



University of the State of New York  
State Education Department

# **Consolidated Application and Use of Set-Asides for the 2012 -13 School Year**

## **Office of Accountability**

**Ira Schwartz**, Assistant Commissioner

**Roberto Reyes**, Director of Title I School and Community Services

Friday, July 27, 2012



# What's New?

## ESEA Waiver

- Accountability Designations
  - Districts in Good Standing (formerly Group II)
    - Reward: Highest Achieving
    - Good Standing/Acceptable
  - Focus LEAs (formerly Group I, Big 5, etc.)
    - 121 LEAs (includes Focus and Priority Charter Schools)
      - » Focus Schools: accountability
      - » Priority Schools: lowest performing in State



# What's New?

## Consolidated Application

- **New Format**
  - “Base” Application: **ALL LEAs** must complete
    - *Minimal requirements (“shorter”)*
      - Good Standing *and* Focus LEAs; including Focus and Priority Charter Schools
  - Accountability Addendum:
    - Focus LEAs *and*
    - Focus and Priority Charter Schools



# What's New?

## “Base” Application

- *All LEAs*
  - Transferability
    - Up to **100%** use of the funds (Title IIA)
    - **ONLY INTO** Title I; never **OUT** of Title I
    - The on-line form was eliminated. Just complete Consolidated Application Checklist item with Budget Narrative description(s).



# What's New?

## “Base” Application

- *ALL* LEAs continued
  - Parent Involvement Plan (PIP)
    - *Not* collected in application
    - Collected during *ALL* monitoring formats for compliance and implementation
  - Highly Qualified Teachers (HQT)
    - 5 % reserve **Deleted**



# What's New?

## “Base” Application

- *ALL* LEAs continued
  - Teacher Quality Plan (TQP)
    - **Waived**
  - SES and Choice (Accountability Addendum)
    - 20% reserve **Waived**
  - Rural Education Assistance Program (REAP)
    - accountability requirement (funds used for improvement if LEA identified) **Waived.**



# What's New?

## “Base” Application (Continued)

- *ALL LEAs* continued
  - Required Set- Asides
    - Homeless
      - non-Title I buildings
      - “portion” of their Title I A funds to provide services to students who are homeless
    - Parent Involvement
      - For Title I A allocations greater than \$500,000, 1% is required



# What's New?

## Accountability Addendum

- **Focus Districts ONLY**
  - Requirements: in addition to “Base” Application
    - District Comprehensive Improvement Plan (DCIP)
      - Develop & Implement SY 2012-13
    - Comprehensive Education Plan (CEP)
      - Develop & Implement SY 2012-13
    - School Choice
      - Required
    - Supplemental Education Services (SES)
      - Optional



# What's New?

## Accountability Addendum

- **Focus and Priority Charter Schools**
  - Requirements: in addition to “Base” Application
    - DCIP and CEP not required
    - Charter School DCIP Equivalency Form
    - Parent Engagement Set-Asides
    - Set-Asides for Improvement
    - Supplemental Education Services (SES)
      - Optional



# What's New?

## Accountability Addendum

- **Focus LEAs ONLY**
  - Parent Engagement Set-Aside
    - Amount equal to 1% of Title I, Part A allocation
  - Set-Asides for Improvement
    - NYSED sets LEA % rate
      - Applied to Titles IA, IIA & IIIA if LEP identified
  - Limited List of Allowable Activities
    - DCIP
      - [http://www.p12.nysed.gov/accountability/documents/NYSESEAFlexibilityWaiver\\_REVISED.pdf](http://www.p12.nysed.gov/accountability/documents/NYSESEAFlexibilityWaiver_REVISED.pdf)
      - Section 2.E iii (page 109-112) explains & lists activities.



# What's New?

## Accountability Addendum

- **Focus LEAs ONLY**

Additional information will be provided in an upcoming webinar for LEAs with Priority and Focus Schools:

*“Accountability Addendum and Improvement Set-Asides”  
(tentative date) July 30, 2012*



# USDE Findings: Private Schools

- USDE Requirements
  - Private School Participation Form
    - Revised from previous years
  - **Evaluation** of Program
    - LEA is required to assess/consult with the private schools regarding the Title I academic program



# USDE Findings: Private Schools

- USDE Requirements: continued
  - **Inventory Control: materials, property, equipment**
    - LEA: must maintain control of Title I purchased inventory
      - » order, procure, tag, dispose
    - If private school closes:
      - » Inventory is returned to LEA
      - » LEA reassigns to another private school or LEA Title I program(s)



# USDE Findings: Private Schools

- USDE Requirements: continued
  - **Third Party Contract Requirements**
    - Administrative Costs, Parent Involvement (PI) & Professional Development (PD) are separate: “ON TOP” of Instructional Amounts
    - Must be broken out on Invoices and records
    - Contractors *CANNOT* submit for administrative fees or other non-instructional charges that ARE NOT in contract
    - Instructional Services allocations *CANNOT* be USED for Administrative, PD or PI requirements



# USDE Findings: Private Schools

- USDE Requirements: continued
  - Private Schools **are** Targeted Assistance Schools
    - Only Title I Students, their Families & Instructional Staff may participate
    - Title I funds must be used for Federal Programs **only**
    - Title I funds **cannot** be used for general needs of private schools
    - Supplies without program services **are not** allowed.



# USDE Findings: Private Schools

- Title II, Part A
  - Advisory Amounts
    - Recalculate the allocation for the provision of equitable services to non-public/private schools under Title II, Part A, for fiscal years 2007-08 through FY 2011-12
    - To provide equitable professional development services for Non-public/Private Schools.



# USDE Findings: Private Schools

- Title II, Part A: continued
  - Field Memo: ESEA Title II, Part A – Adjustments to Equitable Participation for Non- Public/Private Schools
  - Sent June 21, 2012

[http://www.p12.nysed.gov/nclb/allocations/1112/TitleII\\_A\\_NonPub\\_FieldMemo\\_061812.pdf](http://www.p12.nysed.gov/nclb/allocations/1112/TitleII_A_NonPub_FieldMemo_061812.pdf)



# Fiscal

- Allocations

- Title I, Part A & D:

<http://www.p12.nysed.gov/nclb/allocations/1213/titleiallocpre.html>

- Title II, Part A : Private Schools

<http://www.p12.nysed.gov/nclb/allocations/1213/titleiiaallocpre.html>

**Note:** These allocations are preliminary, pending final Congressional authorization.



# Fiscal

- Set-Asides: Parent Involvement
  - Districts in Good Standing
    - Title I A allocations greater than \$500,000, 1% is required
  - Focus LEAs
    - Title I A allocations greater than \$500,000, 1% is required ***and an additional 1%*** for Family Engagement activities for designated Focus and Priority Schools within the Focus LEA.



# Forms

- Forms to be completed by *ALL* LEAs:
  - Title I Application Supplement (due 8-31-12)
    - Supplement Changes: T.B.D.
  - Title I Comparability Report Form (due 11-30-12)
  - The Administrative Position Description Form(s) if applicable. A hard copy of this form should be included with the FS-10 of the program that is funding the position.
  - Title I Schoolwide Program Notification Form (if applicable)



# Submission Instructions

- Consolidated Application: *ALL* LEAs
  - One Complete Original Application (blue ink signature) Hard Copy including Assurances & Certifications
    - Hard Copies of all appropriate signature pages:
      - » Consultation/Collaboration Form
      - » Private School Participation Form
      - » Part D Neglected & Delinquent Form
  - Two CDs (word format) labeled with LEA name/contact information



# Submission Instructions

- Budgets: *ALL* LEAs
  - Budgets (FS-10)
    - 1 Original with Signature (blue ink) and **3** copies
      - Each Title applied for: Titles I A&D, IIA, IIIA & VI B (REAP)
    - All budgets must also contain
      - Agency Code (LEA's BEDS Code)
      - Project Number (LEA's unique sequence: 1<sup>st</sup> 4 digits given)
        - » Title I A&D      0021
        - » Title II A        0147
        - » Title III A       0149
        - » Title VI B        0006



# Submission Instructions

- Compile and Submit: *ALL* LEAs
  - Consolidated Application: One complete original hard-copy and 2 CDs (labeled)
  - Budgets (FS-10s): band together each title (original, 3 copies) and narrative
    - In Title order, begin w/Title I, Title II, etc; following the Consolidated Application



# Submission Instructions

- Compile and Submit: *ALL* LEAs
  - Label Envelope: Districts identified as Good Standing or Focus
  - Mailing Address:  
**For LEAs & Charter Schools outside NYC**  
Grants Management  
Room 464 EBA  
State Education Department  
Albany, New York 12234



## Submission Instructions: Continued

- Mailing Address:

### **For NYC & Charter Schools in NYC**

John Miller, Supervisor

Title I School & Community Services

New York State Education Department

55 Hanson Place, Suite 578

Brooklyn, NY 11217



# Guidance and Technical Assistance

- Websites

- Consolidated Application 2012-13

<http://www.p12.nysed.gov/accountability/consolidatedappupdate/home.html>

- Summary of the Provisions of New York's Elementary and Secondary Education Act (ESEA) Waiver Request

<http://www.p12.nysed.gov/accountability/documents/ESEAWaiverFieldMemo053012FINAL.pdf>



## Questions and Answers

We invite you to submit additional questions regarding the Consolidated Application (base application) to:

[conappta@mail.nysed.gov](mailto:conappta@mail.nysed.gov)

Questions and Answers will be posted at:

<http://www.p12.nysed.gov/accountability/consolidatedappupdate/ta/home.html>



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# **Consolidated Application and Set-Asides**

Thank you for joining us today.

For more information, please call  
1-518-473-0295

Or Visit

<http://www.p12.nysed.gov/accountability/>