



Thomas J. Schoeck, Ed.D., Bureau Chief  
Test Administration and Communications  
Office of State Assessment

June 2009

**TO:** Principals of Public, Charter, and Nonpublic Schools

**FROM:** Thomas J. Schoeck

**SUBJECT:** Administration of the June 2009 Regents Examinations,  
Regents Competency Tests, and Proficiency Examinations

**IMPORTANT:** Test booklets for the June Regents Examination period will be shipped so as to arrive at schools (or approved storage locations) between 8:00 a.m. and 5:00 p.m. on June 12 or June 15. See "Shipment of Examination Materials" below for further information.

This memorandum provides essential information about the administration of the June examinations. In addition, all persons coordinating the administration of the June 2009 examinations should be familiar with the publication *Regents Examinations, Regents Competency Tests, and Second Language Proficiency Examinations: School Administrator's Manual, 2008 Edition*. This manual is available on the Department's web site at: <http://www.emsc.nysed.gov/osa/sam/secondary/home.html>.

Also enclosed with this memorandum are the list of regional centers, a memorandum regarding the content of each June examination, and the schedules for the June 2009 and August 2009 examination periods.

#### SHIPMENT OF EXAMINATION MATERIALS

Testing materials are delivered to schools in several shipments, depending on the examinations requested. The performance test (Part One) of the Second Language Proficiency Examinations and of the Comprehensive Regents Examinations in foreign languages have already been shipped to schools that requested those examinations.

Nonsecure materials for the June examinations are shipped to schools in cardboard cartons and/or padded mailers and were delivered in May. This shipment included answer booklets for the Regents Competency Test (RCT) in Writing; answer sheets for all other RCTs; answer booklets for the Regents Examinations in English, social studies, and foreign languages; Earth Science Performance Test materials; science reference tables; directions for administering and scoring all examinations; and Regents diplomas.

The final shipment, comprising secure materials for June examinations, will be delivered in locked Regents boxes to arrive at schools or approved storage locations between 8:00 a.m. and 5:00 p.m. on June 12 or June 15. Please be sure that someone in your school or approved storage location is available during those hours to accept the examination shipment. Should any problems occur in connection with the delivery of examination materials, please call this office at 518-474-8220 or 474-5902 immediately.

All packages containing secure examination materials for your school will be shipped via UPS and will be delivered between 8:00 a.m. and 5:00 p.m. on either or both of the dates indicated above.

The locked plastic sliding-lid Regents boxes will be secured with two locks and a plastic crimp. Padlock keys are mailed to the principal and should arrive no later than June 12. Please call the Test Distribution Unit at 518-474-5914 if padlock keys have not been received by 12:00 noon on that date.

#### **CHECKING THE EXAMINATION SHIPMENT**

Enclosed in each delivery is a shipping notice listing the materials included. As soon as you receive the Regents box(es), use the shipping notice and your *School Record of Examinations Requested* to ensure that all appropriate examination materials have been received for each subject. If your inventory shows that the shipment contains excess examination materials or that it contains examination materials that you did not request, please notify the Office of State Assessment immediately via fax to 518-474-2021. It is the principal's responsibility to maintain these excess materials under the same strict security as the examinations that your school will be administering, as outlined on the next page under "Safeguarding Examination Materials". Under no circumstances may the sealed packages of secure examination materials be opened during this inventory. The number of test booklets contained in each of the sealed packages is indicated below.

- *Regular English editions of Regents Examinations, Second Language Proficiency Examinations, and RCTs in Reading and Writing:* Each sealed package contains 25 booklets.
- *Spanish editions of the Regents Examinations in Integrated Algebra, Living Environment, Physical Setting/Earth Science, Global History and Geography, and United States History and Government:* Each sealed package contains 25 booklets.
- *Braille and large-type editions, and translations (other than Spanish) of Regents Examinations:* The exact quantity requested will be shipped to the school.
- *Restricted editions — The Regents Comprehensive Examinations in German and Hebrew; the RCTs in Global Studies, United States History and Government, Mathematics, and Science; the alternative language editions of these RCTs; and the braille editions of all RCTs except Reading and Writing.* Each test booklet is numbered and sealed in a separate envelope. Exact quantities requested are shipped to the school.

#### **EMERGENCY SUPPLIES OF EXAMINATION MATERIALS**

If your school's shipment does not include all the materials needed, additional materials must be obtained from a nearby regional center. The regional center must be contacted immediately to ensure that a sufficient supply of examination materials will be available when your representative arrives. A list of regional centers is enclosed with this memorandum and posted on the Department's web site. Supplies will be available at regional centers of all examination materials *other than* braille, large-type, and alternative language editions of all examinations. If you require an emergency supply of materials that are *not* available at the regional centers or if you require more than 25 booklets of an examination that is available at the regional center, please send a fax to the Office of State Assessment at 518-474-2021.

Examination materials are available at the regional centers only on the day of the examination. Secure materials for morning examinations will be released in the morning; secure materials for afternoon examinations will not be released until after 11:00 a.m. Scoring keys will not be released by regional centers until after the Uniform Statewide Admission Deadlines (10:00 a.m. for morning examinations, 2:00 p.m. for afternoon examinations). Subject to these limitations, materials may be picked up at regional centers between 8:00 a.m. and 3:00 p.m.

In order to obtain examination materials at a regional center, an individual must present a letter of authorization written on his/her school's letterhead and signed by the principal. The letter must identify the person picking up the materials and list the specific materials requested. Anyone obtaining examination materials must provide identification with a photograph and countersign the authorization letter before the examination materials will be released.

### **SAFEGUARDING EXAMINATION MATERIALS**

The principal of each school requesting examinations must make the necessary arrangements to safeguard the materials requested for the school. Upon delivery, the locked Regents boxes must immediately be placed in the Department-approved safe or vault at the storage location designated by the school. Examination materials may be removed from locked Regents boxes only for inventory and for administration of the examinations on the scheduled date.

If the locked Regents boxes containing a school's shipment of secure examination materials are found to be too large for the approved storage location, the principal who requested the examinations must contact this office immediately at 518-474-8220 or 474-5902 to make arrangements for storage of the boxes in an alternative approved location.

The Regents box keys and the combination or key to the safe or vault must be maintained under strict security conditions to preclude access to examinations by students and other unauthorized persons. When the safe or vault where the Regents boxes are stored is unlocked, it must be kept under continuous supervision by school personnel. Additionally, the locked Regents boxes must be visually checked daily by the person in charge of the administration of the examinations to ensure that they have not been tampered with and that their contents remain secure.

The sealed packages containing examination booklets and teacher dictation copies must not be opened until the day each examination is scheduled to be administered, and no earlier than is necessary for distribution to proctors. Scoring key packages must be kept secure and may not be opened until after the Uniform Statewide Admission Deadlines of 10:00 a.m. for morning examinations and 2:00 p.m. for afternoon examinations. The principal must notify the Department by fax to 518-474-2021 if any of the packages of secure examination materials were not sealed properly when received.

Department personnel will make unannounced visits to some schools after the examination materials have been delivered in order to verify that they are being properly stored. If a violation of storage procedures is found, all examination materials will immediately be removed from the school and transferred to a location designated by the Department. The school principal will have to arrange to pick up examination materials from that location each morning and afternoon during the Regents Examination period and to pick up scoring keys after the morning and afternoon Uniform Statewide Admission Deadlines on the appropriate days. The school will then be required to store all examination materials at a location designated by the Department for a period of at least one year. In addition, the Department may rescind the school's authorization to administer examinations.

Following the examination period, the principal must return to the Department the completed Examination Storage Certificate and Deputy and Proctor Certificate (included in the shipment of secure materials).

### **ADMINISTERING AND SCORING EXAMINATIONS**

The general administration procedures for secondary-level examinations are provided in the *School Administrator's Manual*. Specific directions for administering regular and restricted examinations were included in the shipment of nonsecure examination materials. These materials must be distributed to teachers before the examination date in order to give them time to become familiar with the instructions.

Schools will receive no more than eight scoring keys for each State examination. Scoring keys and rating guides, where applicable, for the restricted Regents Comprehensive Examinations in German and Hebrew and the restricted RCTs in Mathematics, Science, Global Studies, and United States History and Government may not under any circumstances be photocopied or reproduced in any way. Should the necessity arise, all other scoring materials may be photocopied, but only after the Uniform Statewide Admission Deadline for the corresponding examination.

- *Regents Examinations.* The same scoring materials are provided for the regular English editions and the alternative language and braille editions of all examinations except Physical Setting/Earth Science. Separate scoring materials are provided for only the braille edition of Physical Setting/Earth Science.
- *RCT in Mathematics.* Two scoring keys are provided: one for the English edition, the second for the alternative language and braille editions.
- *RCT in Science.* Two scoring keys are provided: one for the English and braille editions, and one for the alternative language editions.
- *RCT in Reading.* The same scoring key is provided for the regular English edition and for the braille edition.
- *RCT in Writing.* The same scoring key is provided for the regular English edition and for the braille edition.
- *RCT in Global Studies.* One set of scoring materials is provided for all editions: the semi-transparent overlay for the Part One multiple-choice questions, and the sequentially numbered restricted rating guide for the Part Two essays.
- *RCT in United States History and Government.* One set of scoring materials is provided for all editions: the semi-transparent overlay for the Part One multiple-choice questions, and the restricted, sequentially numbered rating guide for the Part Two essays.
- *Second Language Proficiency Examination.* The same scoring materials are provided for the regular English editions and braille editions.

#### **CHANGE IN THE PROCEDURES FOR STUDENTS RETAKING THE REGENTS EXAMINATION IN EARTH SCIENCE**

With the exception of students who were unable to take the written test on January 28, 2009, because of a weather-related school closing, all students taking the June 2009 Regents Examination in Physical Setting/Earth Science, including those retaking the written examination, must take the performance test during the two week period immediately preceding the June written examination. Except as noted in the preceding sentence, scores earned on the Earth Science Performance Test in conjunction with prior administrations of this written examination may no longer be carried forward and applied to the student's final examination score for this Regents Examination. For students affected by weather-related closings in January 2009, please see the section below entitled, "Adjustments to High School Testing Procedures to Address Weather-Related School Closings on January 28, 2009."

All students taking future administrations — August, January, and June — of the Regents Examination in Physical Setting/Earth Science must take the performance test each time they take the written examination. Only the performance test score earned by the student in the weeks immediately preceding the written examination may be used to determine the student's final examination score for this Regents Examination.

#### **ADJUSTMENTS TO HIGH SCHOOL TESTING PROCEDURES TO ADDRESS WEATHER-RELATED SCHOOL CLOSINGS ON JANUARY 28, 2009**

Students whose schools were closed on January 28, 2009, because of inclement weather may carry forward the performance test (lab test) scores they earned in January 2009 and apply those scores to the written test component of *only* the June 2009 Regents Examination in Physical Setting/Earth Science. If any of these students wish to take the performance test again, they must be given the opportunity to do so. However, if an affected student takes the performance test in June 2009, that performance test raw score must be used in determining the student's final scale score for the June 2009 Regents Examination in Physical Setting/Earth Science.

The student should make the determination which option (described above) to choose. Schools are asked to make sure that the option the student selects is carried out.

Only students whose schools were closed on January 28, 2009, and who took Session One of the Regents Comprehensive Examination in English on January 27, 2009, may, if they choose, take only Session Two of the June 2009 examination. The raw scores earned by these students on Session One of the January 2009 Regents Comprehensive Examination in English may be combined with the raw scores earned on the June 2009 Session Two to constitute one complete and official examination administration. The student's final score for this special combined-date administration will be derived from a special conversion chart that will be provided to schools on June 18, 2009. Affected students who choose to take both sessions of the June 2009 Regents Comprehensive Examination in English will be awarded a final examination score for the June 2009 administration, as well as a final score for this special combined-date administration.

The student should make the determination which option (described above) to choose. Schools are asked to make sure that the option the student selects is carried out.

#### **REPORTING ADMINISTRATION AND SCORING IRREGULARITIES TO THE DEPARTMENT**

The building principal is responsible for all aspects of the school's administration of State examinations and must take appropriate measures both to prevent, as much as possible, and to investigate all irregularities associated with the administration and scoring of these examinations. In addition, the principal must report the following events in writing to the Office of State Assessment:

- All student infractions of the Department's policy prohibiting the use of cell phones and other communications devices during State examinations.
- All confirmed cases of students having committed fraud on State examinations.
- All interruptions of testing sessions including those caused by power outages or fire alarms.
- All suspected or confirmed cases of teachers, administrators, or paraprofessionals giving aid to students during a State examination or altering student responses on an examination paper.
- All instances in which a State examination is administered without Department authorization at a time outside the published Statewide schedule.
- All instances in which a school does not conform to the Uniform Statewide Admission Deadline in administering a State examination.
- All instances in which scorers do not rate State examinations in accordance with the scoring materials provided by the Department.
- All cases in which a school official does not adhere to the Department policy concerning the finality of examination scores as determined by the teacher scoring committees. This policy is explained in the *Directions for Administering Regents Examinations, June and August Administrations* under the heading "Rating Examination Papers" on pages 13 through 14.
- All cases in which a school official or staff member alters or otherwise misrepresents a student's earned examination score during scoring, recording, or reporting.
- All cases in which student answer papers are lost prior to either the scoring of the papers or recording of the scores in students' permanent records.

Principals must report all such occurrences in writing by fax to 518-474-1989 or via e-mail to [emscassessinfo@mail.nysed.gov](mailto:emscassessinfo@mail.nysed.gov).

More complete information on strategies for avoiding the occurrences listed above and for addressing them should they occur may be found in the *School Administrator's Manual* and in the *Directions for Administering Regents Examinations*.

## TESTING ACCOMODATIONS

### *Students with Disabilities*

Principals must ensure that students with disabilities are provided the testing accommodations specified in their Individualized Education Programs or Section 504 Accommodation Plans when they take State examinations. Under certain conditions, special examination arrangements may be made for general education students taking State examinations. The guidelines to be followed in such circumstances are provided in Section Two, pages 15-16, of the *School Administrator's Manual*.

### *Limited-English-Proficient (LEP) Students*

Schools may provide the following testing accommodations to LEP students:

- *Time Extension:* Schools may extend the test time for LEP students. Principals may use any reasonable extensions, such as “time and a half” (the required testing time plus half that amount), in accordance with their best judgment about the needs of the LEP students. Principals should consult with each student’s classroom teacher in making these determinations.
- *Separate Location:* Schools are encouraged to provide optimal testing environments and facilities for all students. Schools may administer State examinations to LEP students individually or in small groups in a separate location.
- *Third Reading of Listening Selection:* Proctors may read the listening passage a third time to LEP students who are taking the Regents Comprehensive Examination in English. This accommodation is *not* permitted on State examinations in foreign languages.
- *Bilingual Dictionaries and Glossaries:* LEP students may use bilingual dictionaries and glossaries when taking State examinations in all subjects *except* foreign languages. The bilingual dictionaries and glossaries may provide *only* direct translations of words. Bilingual dictionaries or glossaries that provide definitions or explanations are *not* permitted.
- *Simultaneous Use of English and Alternative Language Editions:* For those Regents Examinations for which the Department provides direct written translations, LEP students may use both an English and an alternative language edition of the test simultaneously. However, they should be instructed to record all of their responses in only one of the two editions. The alternative language edition used by the student should be so indicated on the student’s answer document. (Note: the alternative language editions of RCTs provided by the Department are *not* direct translations of the English editions being administered during the same examination period and therefore may *not* be used simultaneously with an English edition.)
- *Oral Translation for Lower Incidence Languages:* Schools may provide LEP students with an oral translation of a State examination when there is no translated edition provided by the Department. This accommodation is permitted for State examinations in all subjects *except* English language arts and foreign languages. All translations must be oral, direct translations of the English editions; written translations are not allowed. No clarifications or explanations may be provided. Translators should receive copies of the English edition of the tests one hour prior to administration. The Department’s Office of Bilingual Education and Foreign Language Studies and the Bilingual/ESL Technical Assistance Centers (BETACs) can assist schools in locating suitable translators.
- *Writing Responses in the Native Language:* LEP students making use of alternative language editions or of oral translations of State examinations may write their responses to the open-ended questions in their native language. Scoring the tests is the responsibility of the school. However, the Department’s Office of Bilingual Education and Foreign Language Studies and the BETACs can assist schools in locating persons who can translate the students’ responses into English to facilitate scoring of the answer papers.

### *Former LEP Students*

Effective September 2008, schools may provide the testing accommodations listed on the previous page under the heading “LEP Students” only to former LEP students who were identified as English language proficient based on their scores on one of the two most recent administrations of the New York State English as a Second Language Achievement Test (NYSESLAT), either Spring 2007 or Spring 2008. These accommodations may not be provided to former LEP students who were identified as English language proficient prior to the 2007 NYSESLAT administration.

### **DEPARTMENT REVIEW**

Selected principals requesting examinations will receive notice concerning Department review by the end of the June Regents Examination period. This notice will indicate each subject for which answer papers must be submitted via traceable mail to the Department. All answer papers not requested must remain on file in the school for one year. Schools asked to submit answer papers for the Regents Examinations in English or Integrated Algebra should produce and retain in the school photocopies of answer papers for all students scoring below 65 on these examinations. These photocopies will be needed by the school to determine which component(s) the student may be eligible for in the Spring 2010 Component Retesting.

### **HANDLING OF STUDENTS’ COMPLETED EXAMINATION MATERIALS**

When a student has completed a State examination, the student’s answer materials should be collected and confirmed, or “checked in,” by a proctor and logged in to a list of examinees before that student is permitted to leave the testing room. When they are hand scored, answer papers for all State examinations must remain in the custody of teachers or administrators in the school building or regional scoring site until the rating process is complete and scores are recorded on the students’ permanent records. When answer papers are scored in cooperation with another school, they may be transported to the cooperating school for such scoring. It remains the principal’s responsibility to ensure the security of such papers while they are out of the building. Answer papers that are sent to a scanning center for scoring may be stored either at the scanning location or in the schools where the examinations were administered, as long as storage conditions are maintained that will not limit the principal’s access to an individual student’s answer paper. As an additional precaution, all scrap paper should be retained until all examination scores have been recorded.

If a student’s answer paper is lost, the principal or superintendent must conduct an inquiry and file a written report with this office. This report must include (1) a description of the loss or disappearance, including a list of all students whose papers are missing; (2) the examination title and administration date; (3) the likely cause of the loss or disappearance; and (4) what steps will be taken to prevent a similar occurrence in the future.

### **SPECIAL PROCEDURES FOR SCORING THE REGENTS EXAMINATION IN GEOMETRY**

As part of the June 2009 score collection process for the Regents Examination in Geometry, schools will be required to:

- score the examination;
- make a school record of the total raw score earned by each student on the form included in the *Directions for Administering and Scoring the Regents Examination in Geometry*;
- make a photocopy of each scored answer sheet to be retained by the school; and,
- have the scored original answer sheets ready to ship to the Department's contractor, Pearson, by 2 p.m. on Wednesday, June 17. UPS will arrive at all schools between the hours of 2 p.m. and 5 p.m. to pick up the box(es). It is the principal's responsibility to ensure that a staff member is available during that time to provide the box(es) to the UPS driver.

### RETURN OF THE ANSWER SHEETS TO PEARSON FOR THE REGENTS EXAMINATION IN GEOMETRY

1. Score all of the students' responses strictly in accordance with the scoring key for the examination provided by the Department. Scorers record the raw scores for each constructed response question (Questions 29–38), the students' total raw score for each of the four parts of the examination, and the total raw score in the designated spaces on the back of each answer sheet. Use only a number 2 pencil to score. Do **not** use pen. Do **not** use labels.
2. Make a careful record to be retained in the school of the total raw score earned by each student. The Department will provide a recordkeeping form for this purpose as part of the detailed directions for administering and scoring the June 2009 Regents Examination in Geometry.
3. Schools must photocopy both sides of each scored answer sheet to provide a temporary record while the answer sheets are being scanned by Pearson and as a safeguard should the answer sheets become lost in transit.
4. Return only the completed original answer sheets; **do not** return to Pearson blank answer sheets or any answer sheets on which the student declaration is unsigned.
5. Schools **must** use the white box in which the school's answer sheets were delivered for their return to Pearson. Affix both labels (UPS and Pearson) to the box. If the box or the shipping label in the box are lost or damaged, contact the Office of State Assessment by fax at 518-474-2021.
6. The boxes containing the original scored answer sheets must be ready for pick up by 2 p.m. on Wednesday, June 17. UPS will arrive between the hours of 2 p.m. and 5 p.m. It is the principal's responsibility to ensure that a staff member is available during that time to provide the box(es) to the UPS driver. If UPS arrives for pick up prior to 2 p.m. and before the answer sheets are scored and ready to ship, or if UPS does not arrive by 4:45 p.m., please call UPS at 800-742-5877. Please have your confirmation number ready when you call.

Upon completion of scanning and data collection Pearson will return all used answer sheets to the schools they were received from; however, this will not occur until several weeks after the submission by the school.

If you have questions regarding any of the procedures included in these instructions for the return of materials, please contact the Pearson New York State Customer Support Center at 800-627-7990, and enter State Code 833.

### MATERIALS RETURNED IN THE REGENTS BOXES

The materials that must be returned to the Department in the Regents boxes are listed on pages 1-2 of Section Four of the *School Administrator's Manual*. Please combine all materials to be returned to the Department in one Regents box whenever possible.

Return all Regents boxes no later than July 13. Please check all storage locations for any boxes remaining from previous examination periods and return those boxes as well. Each school must pay for the return shipment of its own Regents boxes; the boxes may not be returned collect. The boxes may be returned by UPS or any other carrier. Regardless of the carrier, the school must call the carrier, make the necessary arrangements, and pay for the return shipment. If the Regents boxes for a school are returned collect, the Department will refuse delivery.

### ONLINE SUBMISSION OF TEACHER EVALUATIONS OF EXAMINATIONS

Suggestions and feedback from teachers are an important contribution to the test development process. The Department provides an online evaluation form for State assessments where teachers may respond to several specific questions and may make suggestions. Go to [www.emsc.nysed.gov/osa/exameval/](http://www.emsc.nysed.gov/osa/exameval/) to complete and submit your evaluation of State examinations.