



I. SCHOOL INFORMATION AND COVER PAGE (To be Completed By All Charter Schools)

Created: 07/28/2015

Last updated: 07/31/2015

Please be advised that you will need to complete this task first (including signatures) before all of the other tasks assigned to you by your authorizer are visible on your task page. While completing this task, please ensure that you select the correct authorizer or you may not be assigned the correct tasks.

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1. SCHOOL NAME AND AUTHORIZER

(Select name from the drop down menu)

GREAT OAKS CS (REGENTS) 310200861055

2. CHARTER AUTHORIZER

(For technical reasons, please re-select authorizer name from the drop down menu).

Regents-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 1

4. SCHOOL INFORMATION

| | PRIMARY ADDRESS | PHONE NUMBER | FAX NUMBER | EMAIL ADDRESS |
|--|---|--------------|--------------|---|
| | 38 Delancey Street, 3rd Floor NY, NY 10002 | 212-233-5132 | 212-267-4357 |  |

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

| | |
|---------------------------------------|---|
| Contact Name | Liza Levine |
| Title | Executive Director |
| Emergency Phone Number (###-###-####) |  |

5. SCHOOL WEB ADDRESS (URL)

greateakscharter.org

6. DATE OF INITIAL CHARTER

2012-02-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2013-08-01 00:00:00

8. FINAL VERIFIED BEDS ENROLLMENT FOR THE 2014-15 School Year as reported to Department's Office of Information and Reporting Services (via the NYC DOE for charter schools in NYC) in August.

183

9. GRADES SERVED IN SCHOOL YEAR 2014-15

Check all that apply

| | |
|---------------|------|
| Grades Served | 6, 7 |
|---------------|------|

10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

| | Yes/No | Name of CMO/EMO |
|--|--------|-----------------|
| | No | |

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11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2015-16.

| | Physical Address | Phone Number | District/CSD | Grades Served at Site | School at Full Capacity at Site | Facilities Agreement |
|-------------------------------|---------------------------------|--------------|--------------|-----------------------|---------------------------------|----------------------|
| Site 1 (same as primary site) | 1 Monroe Street NY, NY 10002 | 212-233-5152 | CSD 2 | 6,7, | Yes | Rent/Lease |
| Site 2 | | | | | | |
| Site 3 | | | | | | |

12a. Please provide the contact information for Site 1 (same as the primary site).

| Name | Work Phone | Alternate Phone | Email Address |
|------|------------|-----------------|---------------|
|------|------------|-----------------|---------------|

| | | | | |
|--------------------|---------------|--------------|------------|------------|
| School Leader | Liza Levine | 212-233-5152 | [REDACTED] | [REDACTED] |
| Operational Leader | Dessie Thomas | 212-233-5152 | | [REDACTED] |
| Compliance Contact | Dessie Thomas | 212-233-5152 | | [REDACTED] |
| Complaint Contact | Rashaun Reid | 212-233-5152 | | [REDACTED] |

13. Are the School sites co-located?

No

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14. Were there any revisions to the school's charter during the 2014-2015 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

15. Name and Position of Individual(s) Who Completed the 2014-15 Annual Report.

Liza Levine, Executive Director

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).**

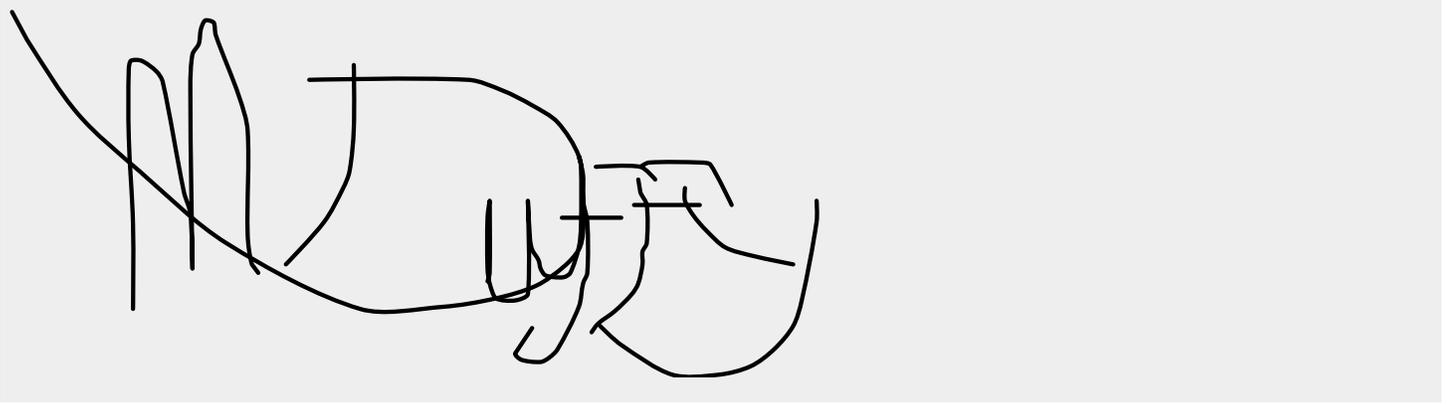
Responses Selected:

Yes

Signature, Head of Charter School



Signature, President of the Board of Trustees



Thank you.



Appendix A: Link to the New York State School Report Card

Created: 07/28/2015

Last updated: 07/31/2015

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Charter School Name:

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/profile.php?instid=800000075841>



Appendix A: Progress Toward Goals

Created: 07/28/2015

Last updated: 07/31/2015

Page 1

Charter School Name:

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>) which captures school-level enrollment and demographic information, staff qualifications, electronic student records, and attendance rates, as prescribed by New York State statute (8 NYCRR 119.3).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/profile.php?instid=800000075841>

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

The following tables reflect formatting in the online portal required for Board of Regents-authorized charter schools and NYCDOE-authorized charter schools only. Schools should list Progress Toward Charter Goals by August 1, 2015. If the goals are based on student performance data that the school will not have access to before August 1, 2015 (e.g., the NYS Assessment results), explain this in the "2014-2015 Progress Toward Attainment of Goal" column. The information can be updated when available. Appendix A must be fully completed no later than November 1, 2015.

2a. ACADEMIC STUDENT PERFORMANCE GOALS

2014-15 Progress Toward Attainment of Academic Goals

| | Academic Student Performance Goal | Measure Used to Evaluate Progress Toward Attainment of Goal | 2014-2015 Progress Toward Attainment of Goal - Met, Partially Met, or Not Met | If Not Met, Describe Efforts School Will Take |
|-----------------|--|---|--|---|
| Academic Goal 1 | Each cohort of students will demonstrate progress as evidenced by increasing its rate of proficiency by 25 points between the 5th grade (year prior to entry) and 8th grade on the New York State English Language Arts and Mathematics exams. | New York State Exams | GO-NYC's oldest students will enroll in 7th grade in Fall 2014. GO-NYC will be prepared to report on this goal in two years, although we would like to modify this goal because of the complexities of tracking 5th grade results. | |

| | | | | |
|-----------------|---|------------------------------|--|--|
| Academic Goal 2 | 2. Students who have been continuously enrolled for two or more years will exceed the Community School District 2 average rate of proficiency on the New York State ELA and Math exams. | New York State Exams | We are now currently housed in District 1 . We are waiting August NYState results. | |
| Academic Goal 3 | 3. 75% of all Great Oaks students will annually score a level 3 or 4 on the New York State ELA and Math exams. | NY State Exams | We are waiting for results. | |
| Academic Goal 4 | 4. 75% of Great Oaks 8th-grade students will score a level 3 or 4 on the New York State Science Exams. | NY State Science Exam | GO-NYC's 8th graders will take this exam in spring of this year. We will report in Fall of 2016. | |
| Academic Goal 5 | In 7th and 8th grade, 90% of students will annually be promoted to the next grade after passing all courses. | Internal promotion criteria. | We will be able to monitor this data at the end of this year; however, we will promote 93% of our 6th and 7th graders this year. | |
| Academic Goal 6 | Great Oaks students will achieve significant reading gains each year as measured by an average increase school-wide of at least 100 Lexile points between the Fall and Spring administrations of the Scholastic Reading Inventory assessment. | STAR Reading Assessment | We did not administer the SRI, but plan to administer a similar Lexile assessment this year - Renaissance's STAR Reading Assessment. We will have data by Fall of 2016. | |
| Academic Goal 7 | 90% of Great Oaks 8th grade students will take and pass the Algebra I Regents exam. | NY State Regents | We plan on administering the Common Core Algebra Regents to a specific cohort of our 8th graders this spring. The old Regents is no longer aligned with Common Core and is not even offered to this cohort. | |
| Academic Goal 8 | 90% of Great Oaks 8th grade students will take and pass the Earth Science Regents exam. | NY State Regents | Because of the changing landscape in Common Core Regents, we plan to support our students in the 8th grade science exam, while exploring curriculum and the future of the earth science regents. We will report in Fall of 2016. | |

2a1. Do have more academic goals to add?

Yes

2014-15 Progress Toward Attainment of Academic Goals

| | Academic Student Performance Goal | Measure Used to Evaluate Progress Toward Attainment of Goal | Measure Used to Evaluate Progress Toward Attainment of Goal - Met, Partially Met, Not Met | If Not Met, Describe Efforts School Will Take |
|------------------|---|---|---|---|
| Academic Goal 9 | 75% of Great Oaks middle school English language learners who have been continuously enrolled for two or more years will score proficient or better on the NYSESLAT exam and no longer be designated as English Language Learners by the time they are promoted to the 9th grade. | NYSESLAT | We will report in Fall of 2016 but anticipate meeting this goal based on interim assessment data. | |
| Academic Goal 10 | In high school, every student will take at least one Advanced Placement course and the corresponding exam. | Student Transcripts | GO-NYC does not yet serve high school grades. | |
| Academic Goal 11 | 100% of students at Great Oaks will graduate with a Regents Diploma by passing all required Regents exams with at least a score of 65. | New York State Regents Exams | GO-NYC does not yet serve high school grades. | |
| Academic Goal 12 | Every student will take the PSAT in the 10th grade and the SAT in the 11th grade and will show growth by advancing at least 10 percentile points nationally. | PSAT and SAT | GO-NYC does not yet serve high school grades. | |
| Academic Goal 13 | All students will demonstrate proficiency with higher-order thinking skills, independent research and critical thinking, as evidenced by the completion of a high-quality college-style research paper in the junior year. | School-created rubric | GO-NYC does not yet serve high school grades. | |
| Academic Goal 14 | Every Great Oaks student will enroll in and complete a college course during their senior year. | Student Transcripts | GO-NYC does not yet serve high school grades. | |
| Academic Goal 15 | | | | |
| Academic Goal 16 | | | | |

2a2. Do have more academic goals to add?

No

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2b. ORGANIZATIONAL GOALS

2014-15 Progress Toward Attainment of Organizational Goals

| | Organizational Goal | Measure Used to Evaluate Progress | 2014-15 Progress Toward Attainment | If Not Met, Describe Efforts School Will Take |
|------------|--|---|--|---|
| Org Goal 1 | Great Oaks Charter School will receive an "A" on its NYC DOE Citywide Progress Report. | NYC DOE Citywide Progress Report | Under the new mayoral administration, the New York City Department of Education no longer issues the Citywide Progress Report. | |
| Org Goal 2 | Great Oaks Charter School will annually meet state and federal accountability goals under applicable laws. | State and Federal Accountability Measures | GO-NYC is in compliance with State and Federal laws. | We are compliant and working towards supporting teachers in attaining appropriate certification including our NYU Residency program for current tutors and teachers who do not currently hold in-state certification. |
| Org Goal 3 | | | | |
| Org Goal 4 | | | | |
| Org Goal 5 | | | | |

2b.1 Do you have more organizational goals to add?

No

2c. FINANCIAL GOALS

2014-15 Progress Toward Attainment of Financial Goals

| | Financial Goals | Measure Used to Evaluate Progress | 2014-2015 Progress Toward Attainment | If Not Met, Describe Efforts School Will Take |
|------------------|-----------------|-----------------------------------|--------------------------------------|---|
| Financial Goal 1 | | | | |
| Financial Goal 2 | | | | |
| Financial Goal 3 | | | | |
| Financial Goal 4 | | | | |

| | | | | |
|------------------|--|--|--|--|
| Financial Goal 5 | | | | |
|------------------|--|--|--|--|



Appendix B: Total Expenditures and Administrative Expenditures per Child

Last updated: 07/31/2015

Page 1

Charter School Name:

B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate '**Total Expenditures per Child**' take total expenditures (from the unaudited 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. (Integers Only. No dollar signs or commas).

| | |
|----------------------------------|---------|
| Line 1: Total Expenditures | 3106193 |
| Line 2: Year End Per Pupil Count | 183 |
| Line 3: Divide Line 1 by Line 2 | 16974 |

2. Administrative Expenditures per Child

To calculate '**Administrative Expenditures per Child**' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

- Do not include the FTE of personnel dedicated to administration of the instructional programs.
- Do not include Employee Benefit costs or expenditures in the above calculations.
- A template for the Schedule of Functional Expenses is provided on page 20 of the 2014-15 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2014-15 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate '**Administrative Expenditures per Child**' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. (Integers Only. No dollar signs or commas).

| | |
|---|--------|
| Line 1: Relevant Personnel Services Cost (Row) | 159367 |
| Line 2: Management and General Cost (Column) | 497722 |
| Line 3: Sum of Line 1 and Line 2 | 657089 |
| Line 4: Year End Per Pupil Count | 183 |
| Line 5: Divide Line 3 by the Year End Per Pupil Count | 3591 |

Thank you.



Financial Audit

School Name:

Date:

School Fiscal Contact Name:

School Fiscal Contact Email:

School Fiscal Contact Phone:

District of Location:

Authorizer:

Years of Operation:

Facility:

Grades Currently Served:

Planned Grades at Full Capacity:

Enrollment:

Max Enrollment:

Year of Most Recent Data

School Fiscal Contact Phone:

School Audit Firm Name:

School Audit Contact Name:

School Audit Contact Email:

School Audit Contact Phone:

Latest Audit Period (through June 30):

Do Not Use this Box



Audit Supplemental Data Request Form

for Regents-Authorized Charter Schools

Great Oaks Charter School

August 1, 2015

Liza Levine

New York City

SED

Two

Private

Sixth-Seventh

Sixth-Thelfth

185

625

2015

310-897-2117

Loeb and Troper

Joseph Blatt

jblatt@loebandtroper.com

212-867-4000 x253

2015

Great Oaks Charter School2015

FILL IN GRAY CELLS

Great Oaks Charter School
STATEMENTS OF FINANCIAL POSITION
 FOR THE YEARS ENDED JUNE 30,

| | <u>2015</u> | <u>2014</u> |
|---|--------------------|------------------|
| ASSETS | | |
| CURRENT ASSETS | | |
| Cash and cash equivalents | \$603,730 | \$101,856 |
| Grants and contracts receivable | 150,802 | 119,796 |
| Accounts receivables | - | - |
| Inventory | - | - |
| Prepaid Expenses | 23,913 | 17,965 |
| Contributions and other receivables | - | - |
| Other | 17,500 | 10,000 |
| TOTAL CURRENT ASSETS | \$795,945 | \$249,617 |
| OTHER ASSETS | | |
| Investments | \$- | \$- |
| Property, Plant and Equipment, Net | 278,054 | 190,961 |
| Restricted Cash | 75,033 | - |
| OTHER ASSETS | \$353,087 | \$190,961 |
| TOTAL ASSETS | <u>\$1,149,032</u> | <u>\$440,578</u> |
| LIABILITIES AND NET ASSETS | | |
| CURRENT LIABILITIES | | |
| Accounts payable and accrued expenses | \$22,278 | \$98,840 |
| Accrued payroll and benefits | - | - |
| Refundable Advances | - | - |
| Dreferred Revenue | - | - |
| Current maturities of long-term debt | - | - |
| Short Term Debt - Bonds, Notes Payable | - | - |
| Other | 199,953 | 104,593 |
| TOTAL CURRENT LIABILITIES | \$222,231 | \$203,433 |
| LONG-TERM DEBT, net current maturities | \$- | \$- |
| TOTAL LIABILITIES | <u>\$222,231</u> | <u>\$203,433</u> |
| NET ASSETS | | |
| Unrestricted | \$926,801 | \$237,145 |
| Temporarily restricted | - | - |
| TOTAL NET ASSETS | \$926,801 | \$237,145 |
| TOTAL LIABILITIES AND NET ASSETS | <u>\$1,149,032</u> | <u>\$440,578</u> |
| | <i>Check</i> | - |

FILL IN GRAY CELLS

Great Oaks Charter School
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30,

| | 2015 | | | 2014 |
|---|--------------------|------------------------|--------------------|--------------------|
| | Unrestricted | Temporarily Restricted | Total | Total |
| REVENUE, GAINS AND OTHER SUPPORT | | | | |
| State & Local Operating Revenue | \$3,475,975 | \$- | \$3,475,975 | \$1,416,739 |
| Federal - Title and IDEA | - | 63,376 | 63,376 | 29,430 |
| Federal - Other | - | 247,015 | 247,015 | 382,742 |
| State and City Grants | - | - | - | - |
| Contributions and private grants | - | - | - | - |
| After school revenue | - | - | - | - |
| Other | 9,483 | - | 9,483 | 106,435 |
| Food Service/Child Nutrition Program | - | - | - | 47,002 |
| TOTAL REVENUE, GAINS AND OTHER SUPPORT | \$3,485,458 | \$310,391 | \$3,795,849 | \$1,982,348 |
| EXPENSES | | | | |
| Program Services | | | | |
| Regular Education | \$1,391,785 | \$285,560 | \$1,677,345 | \$998,482 |
| Special Education | 745,486 | - | 745,486 | 356,806 |
| Other Programs | - | - | - | - |
| Total Program Services | \$2,137,271 | \$285,560 | \$2,422,831 | \$1,355,288 |
| Supporting Services | | | | |
| Management and general | \$658,531 | \$24,831 | \$683,362 | \$372,075 |
| Fundraising | - | - | - | - |
| TOTAL OPERATING EXPENSES | \$2,795,802 | \$310,391 | \$3,106,193 | \$1,727,363 |
| SURPLUS / (DEFICIT) FROM SCHOOL OPERATIONS | \$689,656 | \$- | \$689,656 | \$254,985 |
| Contributions | | | | |
| Foundations | \$- | \$- | \$- | \$- |
| Individuals | - | - | - | - |
| Corporations | - | - | - | - |
| Fundraising | - | - | - | - |
| Interest income | - | - | - | - |
| Miscellaneous income | - | - | - | - |
| Net assets released from restriction | - | - | - | - |
| TOTAL SUPPORT AND OTHER REVENUE | \$- | \$- | \$- | \$- |
| CHANGE IN NET ASSETS | \$689,656 | \$- | \$689,656 | \$254,985 |
| NET ASSETS BEGINNING OF YEAR | \$- | \$- | \$- | \$- |
| PRIOR YEAR/PERIOD ADJUSTMENTS | - | - | - | - |
| NET ASSETS - END OF YEAR | \$689,656 | \$- | \$689,656 | \$254,985 |

GREAT OAKS CHARTER SCHOOL

**FINANCIAL STATEMENTS
AND AUDITOR'S REPORTS**

JUNE 30, 2015

GREAT OAKS CHARTER SCHOOL

TABLE OF CONTENTS

Independent Auditor's Report

Exhibit

A - Balance Sheet

B - Statement of Activities

C - Statement of Functional Expenses

D - Statement of Cash Flows

Notes to Financial Statements

GREAT OAKS CHARTER SCHOOL

TABLE OF CONTENTS
(continued)

**Report on Internal Control Over Financial Reporting and
on Compliance and Other Matters Based on an Audit of
Financial Statements Performed in Accordance with
Government Auditing Standards**

Schedule of Findings and Questioned Costs



**Independent Auditor's Report on Financial Statements
and Supplementary Information**

**Board of Trustees
Great Oaks Charter School**

Report on the Financial Statements

We have audited the accompanying financial statements of Great Oaks Charter School, which comprise the balance sheet as of June 30, 2015, and the related statements of activities, functional expenses and cash flows for the year then ended and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

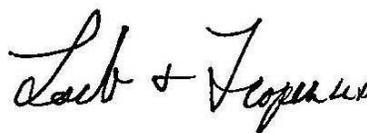
We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Great Oaks Charter School as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 13, 2015 on our consideration of Great Oaks Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Great Oaks Charter School's internal control over financial reporting and compliance.



October 13, 2015

EXHIBIT A**GREAT OAKS CHARTER SCHOOL****BALANCE SHEET****JUNE 30, 2015****ASSETS**

| | |
|---------------------------------|---------------------|
| Current assets | |
| Cash | \$ 603,730 |
| Grants and contracts receivable | 90,449 |
| Security deposits | 17,500 |
| Prepaid expenses | <u>23,913</u> |
| Total current assets | 735,592 |
| Cash - reserve (Note 2) | 75,033 |
| Fixed assets - net (Note 3) | <u>278,057</u> |
| Total assets | <u>\$ 1,088,682</u> |

LIABILITIES AND NET ASSETS

| | |
|--|---------------------|
| Current liabilities | |
| Accounts payable and accrued expenses | \$ 37,283 |
| Accrued salaries and related liabilities | 121,776 |
| Management fee payable (Note 6) | <u>2,823</u> |
| Total current liabilities | 161,882 |
| Net assets (Exhibit B) | |
| Unrestricted | <u>926,800</u> |
| Total liabilities and net assets | <u>\$ 1,088,682</u> |

See independent auditor's report.

The accompanying notes are an integral part of these statements.

EXHIBIT B**GREAT OAKS CHARTER SCHOOL****STATEMENT OF ACTIVITIES****YEAR ENDED JUNE 30, 2015**

| | |
|---|--------------------------|
| Operating revenues and other support | |
| State and local per-pupil operating revenues | \$ 3,475,976 |
| Government grants and contracts | 310,391 |
| Miscellaneous income | 9,483 |
| | <hr/> |
| Total operating revenues | 3,795,850 |
| | <hr/> |
| Expenses (Exhibit C) | |
| Program services | |
| Education | 1,802,966 |
| Special education | 757,369 |
| | <hr/> |
| Total program services | 2,560,335 |
| | <hr/> |
| Supporting services | |
| Management and general | 487,047 |
| | <hr/> |
| Total expenses | 3,047,382 |
| | <hr/> |
| Change in unrestricted net assets before loss on disposal fixed assets | 748,468 |
| Loss on disposal of fixed assets | <u>(58,814)</u> |
| Change in unrestricted net assets (Exhibit D) | 689,654 |
| Net assets, unrestricted - beginning of year | <u>237,146</u> |
| Net assets, unrestricted - end of year (Exhibit A) | <u><u>\$ 926,800</u></u> |

See independent auditor's report.

The accompanying notes are an integral part of these statements.

GREAT OAKS CHARTER SCHOOL

EXHIBIT C

STATEMENT OF FUNCTIONAL EXPENSES

YEAR ENDED JUNE 30, 2015

| | <u>No. of Positions</u> | <u>Program Services</u> | | | <u>Supporting Services</u> | <u>Total</u> |
|---|-----------------------------|-------------------------|------------------------------|--------------|-----------------------------------|--------------|
| | | <u>Education</u> | <u>Special Education</u> | <u>Total</u> | <u>Management and General</u> | |
| Personnel service costs | | | | | | |
| Administrative staff personnel | 6 | | | | \$ 238,339 | \$ 238,339 |
| Instructional personnel | 44 | \$ 743,418 | \$ 331,273 | \$ 1,074,691 | | 1,074,691 |
| Total salaries | | 743,418 | 331,273 | 1,074,691 | 238,339 | 1,313,030 |
| Payroll taxes and employee benefits | | 119,748 | 53,361 | 173,109 | 38,391 | 211,500 |
| Professional development | | 22,158 | 9,874 | 32,032 | 7,104 | 39,136 |
| Professional fees (Note 6) | | 171,420 | 83,636 | 255,056 | 79,612 | 334,668 |
| Student and staff recruitment | | 20,184 | 6,573 | 26,757 | 1,443 | 28,200 |
| Curriculum and classroom | | 91,376 | 26,613 | 117,989 | | 117,989 |
| Supplies and materials | | 26,702 | 12,388 | 39,090 | 4,634 | 43,724 |
| Food service | | 11,675 | 3,400 | 15,075 | | 15,075 |
| Travel/conferences | | 35,826 | 10,434 | 46,260 | | 46,260 |
| Insurance | | 17,928 | 7,989 | 25,917 | 5,748 | 31,665 |
| Information technology | | 26,507 | 10,745 | 37,252 | 6,282 | 43,534 |
| Occupancy/facility costs (Note 4) | | 419,983 | 162,640 | 582,623 | 83,745 | 666,368 |
| Non-capitalized equipment and furnishings | | 24,128 | 7,027 | 31,155 | | 31,155 |
| Repairs and maintenance | | 10,318 | 4,598 | 14,916 | 3,308 | 18,224 |
| Depreciation and amortization | | 51,287 | 22,854 | 74,141 | 16,443 | 90,584 |
| Other | | 10,308 | 3,964 | 14,272 | 1,998 | 16,270 |
| Total expenses (Exhibit B) | | \$ 1,802,966 | \$ 757,369 | \$ 2,560,335 | \$ 487,047 | \$ 3,047,382 |

See independent auditor's report.

The accompanying notes are an integral part of these statements.

EXHIBIT D**GREAT OAKS CHARTER SCHOOL****STATEMENT OF CASH FLOWS****YEAR ENDED JUNE 30, 2015**

| | |
|--|-------------------|
| Cash flows from operating activities | |
| Change in net assets (Exhibit B) | \$ 689,654 |
| Adjustments to reconcile change in net assets to net cash provided by operating activities | |
| Depreciation and amortization | 90,584 |
| Loss on disposal of fixed assets | 58,814 |
| Decrease (increase) in assets | |
| Grants and contracts receivable | 38,006 |
| Security deposits | (7,500) |
| Prepaid expenses | (5,948) |
| Increase (decrease) in liabilities | |
| Accounts payable and accrued expenses | (77,577) |
| Accrued salaries and related liabilities | 68,845 |
| Management fee payable | 2,823 |
| Net cash provided by operating activities | <u>857,701</u> |
| Cash flows from investing activities | |
| Fixed asset acquisitions | (236,494) |
| Cash - reserve | <u>(75,033)</u> |
| Net cash used by investing activities | <u>(311,527)</u> |
| Cash flows from financing activities | |
| Principal payments on loan to Great Oaks Foundation | <u>(44,300)</u> |
| Net change in cash | 501,874 |
| Cash - beginning of year | <u>101,856</u> |
| Cash - end of year | <u>\$ 603,730</u> |

See independent auditor's report.

The accompanying notes are an integral part of these statements.

GREAT OAKS CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015

NOTE 1 - NATURE OF ORGANIZATION

Great Oaks Charter School (GOCS) is an educational corporation that operates as a charter school in the borough of Manhattan, New York City. On November 5, 2012, the Board of Regents and the Board of Trustees of the University of the State of New York, for and on behalf of the State Education Department, granted GOCS a charter valid for a term of 5 years and renewable upon expiration. The school was granted a charter from grades 6-10. GOCS was organized to create a rigorous, supportive middle and high school program that ensures its graduates have the requisite knowledge and habits of mind to earn a degree from a competitive four-year college or University. During fiscal year 2015, GOCS operated classes for 230 students in grades 6 through 7.

Great Oaks Charter School is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code. GOCS is supported primarily by state and local per-pupil operating revenues.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of accounting - The financial statements are prepared on the accrual basis of accounting.

Use of estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Cash - reserve - As part of GOC's charter agreement, GOCS agreed to establish a long-term account to pay for legal and audit expenses that would be associated with a dissolution should it occur.

Grants and contracts receivable - Revenues from government grants and contracts to which GOCS is entitled are recognized mostly on student enrollment. Some grants are provided for specific educational endeavors, which are not based on student enrollment, and are recorded when related expenditures are incurred by GOCS. Receivables are recorded when the revenue is earned.

-continued-

GREAT OAKS CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Allowance for doubtful accounts - GOCS determines whether an allowance for uncollectibles should be provided for receivables. Such estimates are based on management's assessment of the aged basis of its receivables, current economic conditions and historical information. Receivables are written off against the allowance for doubtful accounts when all reasonable collection efforts have been exhausted. GOCS has determined that no allowance for uncollectible accounts for grant and contracts receivable is necessary as of June 30, 2015.

Fixed assets - Fixed assets are recorded at cost. Items with a cost of \$2,500 and an estimated useful life of more than one year are capitalized. Depreciation is provided on the straight-line basis over the estimated following useful lives of assets. Leasehold improvements are capitalized at cost and amortized over the life of the lease or the useful life of the improvement, whichever is shorter.

Unrestricted net assets - Unrestricted net assets include funds having no restrictions as to use or purpose imposed by donors.

State and local per-pupil revenues - Revenues from the state and local governments resulting from GOCS's charter status and based on the number of students enrolled are recorded when services are performed in accordance with the charter agreement. These grants are recorded as revenue by GOCS when services are rendered.

Contributions - Unconditional contributions, including promises to give cash and other assets, are reported at fair value at the date the contribution is received. All contributions are considered to be available for unrestricted use unless specifically restricted by the donor. The gifts are reported as temporarily or permanently restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified as unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

The New York City Department of Education provides free and reduced-price lunches directly to a majority of the students. Such costs are not included in the financial statements.

Government grants and contracts - Revenues from government grants and contracts to which GOCS is entitled are recognized mostly on student enrollment. Some grants are provided for specific educational endeavors, which are not based on student enrollment, and are recorded when related expenditures are incurred by GOCS.

Rent expense - Rent is recorded on the straight-line basis over the term of the lease.

-continued-

GREAT OAKS CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Functional allocation of expenses - The costs of providing services have been summarized on a functional basis. Expenses are allocated among the program and supporting services based on the nature of the expense.

Uncertainty in income taxes - GOCS has determined that there are no material uncertain tax positions that require recognition or disclosure in the financial statements. Periods ending June 30, 2012 and subsequent remain subject to examination by applicable taxing authorities.

Subsequent events - Subsequent events have been evaluated through October 13, 2015, which is the date the financial statements were available to be issued.

NOTE 3 - FIXED ASSETS

| | | <u>Useful Lives</u> |
|--|-------------------|---------------------|
| Furniture and fixtures | \$ 129,170 | 3-5 years |
| Equipment | 185,158 | 3-5 years |
| Leasehold improvements | 60,413 | 4-5 years |
| Computer software | <u>21,413</u> | 3 years |
| | 396,154 | |
| Accumulated depreciation and amortization | <u>(118,097)</u> | |
| | <u>\$ 278,057</u> | |

NOTE 4 - LEASE COMMITMENT

On June 4, 2013, GOCS entered into a lease with St. Josephs Church of St. Joachim Corporation for a location located in New York City, NY. The lease commenced on July 1, 2013 and expired on June 30, 2015. Rent expense as of June 30, 2015 was \$400,800.

-continued-

GREAT OAKS CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015

NOTE 4 - LEASE COMMITMENT (continued)

On June 24, 2015, GOCS entered into a lease with Kunskapsskolan USA, Inc. for a location located at Delancey Street, New York. The lease commenced on July 1, 2015 and expires on February 21, 2022. Future minimum rental payments are estimated as follows:

| | |
|------------|---------------------|
| 2016 | \$ 100,000 |
| 2017 | 300,000 |
| 2018 | 150,000 |
| 2019 | 150,000 |
| 2020 | 150,000 |
| Thereafter | <u>250,000</u> |
| | <u>\$ 1,100,000</u> |

NOTE 5 - CONTINGENCIES AND CONCENTRATIONS

Certain grants and contracts may be subject to audit by the funding sources. Such audits might result in disallowances of costs submitted for reimbursement. Management is of the opinion that such cost disallowances, if any, will not have a material effect on the accompanying financial statements. Accordingly, no amounts have been provided in the accompanying financial statements for such potential claims.

A significant portion of GOCS's operating revenue is paid by New York City Department of Education.

NOTE 6 - ACADEMIC AND SERVICES AGREEMENT

The School entered into an Academic and Business Service Agreement with Great Oaks Foundation, Inc. (GOF), a not-for-profit organization dedicated to help start and run charter schools. The agreement provides academic, management and other administrative support services to GOCS. Pursuant to the terms of the agreement, GOCS shall pay GOF a service fee equivalent to 10% of the total number of students enrolled times the approved per-pupil operating expense for the current year. The agreement is renewable annually. These current terms end June 30, 2016. Service fees were \$259,065 in 2015.



**Report on Internal Control Over Financial Reporting
and on Compliance and Other Matters
Based on an Audit of Financial Statements Performed
in Accordance with Government Auditing Standards**

Independent Auditor's Report

**Board of Trustees
Great Oaks Charter School**

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Great Oaks Charter School, which comprise the balance sheet as of June 30, 2015, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 13, 2015.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered Great Oaks Charter School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Great Oaks Charter School's internal control. Accordingly, we do not express an opinion on the effectiveness of Great Oaks Charter School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

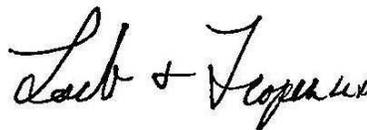
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Great Oaks Charter School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



October 13, 2015

GREAT OAKS CHARTER SCHOOL
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
YEAR ENDED JUNE 30, 2015

No matters were reported.

**GREAT OAKS CHARTER
SCHOOL**

MANAGEMENT LETTER

JUNE 30, 2015



**Board of Trustees
Great Oaks Charter School**

In planning and performing our audit of the financial statements of Great Oaks Charter School (the "School") as of and for the year ended June 30, 2015 in accordance with auditing standards generally accepted in the United States of America, we considered the School's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control was for the limited purpose described in the first paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

We wish to make the following observations and recommendations for consideration by management:

Journal Entries

During the course of the audit, we noted that the fiscal department does not currently have procedures in place to ensure that journal entries as prepared are reviewed by someone other than the preparer. It is possible to initiate, prepare and post a general journal entry without authorization or review. The review of general journal entries is important in helping to ensure that the transactions recorded are correct.

We recommend that the School implement procedures to ensure that all general journal entries are reviewed. As part of the review process, the reviewer should indicate approval with initials.

Independent Contractors

Due to increased regulation and Internal Revenue Service (IRS) audits, we recommend that the School request independent contractors to fill out W-9 Form. This will ensure that the information provided by the independent contractor is correct, help decide the Form 1099 is necessary and provides documentation of the vendors name, address and EIN that is used in preparing the Form 1099.

This communication is intended solely for the information and use of management, the Board of Trustees and others within Great Oaks Charter School, and is not intended to be and should not be used by anyone other than these specified parties.



October 13, 2015

New York State Education Department

Request for Proposals to Establish Charter Schools Authorized by the Board of Regents

2015-16 Budget & Cash Flow Template

General Instructions and Notes for New Application Budgets and Cash Flows Templates

| | |
|----------|--|
| 1 | Complete ALL SIX columns in BLUE |
| 2 | Enter information into the GRAY cells |
| 3 | Cells containing RED triangles in the upper right corner in columns B through G contain guidance on that particular item |
| 4 | Funding by School District information for all NYS School district is located on the State Aid website at https://stateaid.nysed.gov/charter/ . Refer to this website for per-pupil tuition funding for all school districts. Rows may be inserted in the worksheet to accommodate additional districts if necessary. |
| 5 | The Assumptions column should be completed for all revenue and expense items unless the item is self-explanatory. Where applicable, please reference the page number or section in the application narrative that indicates the assumption being made. For instance, student enrollment would reference the applicable page number in Section I, C of the application narrative. |



Audited Financial Statement Checklist

Last updated: 10/29/2015

Page 1

Charter School Name:

1. Please check each item that is included in the 2014-15 Audited Financial Statement submitted for your charter school.

| | Yes/No |
|---|----------------|
| Audited Financial Statements (including report on compliance and report on internal control over financial reporting) | Yes |
| Single Audit (if applicable) | Not Applicable |
| CSP Agreed Upon Procedures (if applicable) | Yes |
| Management Letter | Yes |
| Report on Extracurricular Student Activity Accounts (if applicable) | Not Applicable |
| Corrective Action Plans for any Findings | Not Applicable |

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2014-15 Audited Financial Statement.

| | Yes/No |
|---|----------------|
| Report on Compliance | Not Applicable |
| Report on Internal Control over Financial Reporting | Not Applicable |
| Single Audit | Not Applicable |
| CSP Agreed Upon Procedures Report | Not Applicable |
| Management Letter | Not Applicable |

Thank you.



Appendix E: Disclosure of Financial Interest Form

Last updated: 10/29/2015

Page 1

All trustees who served on an education corporation governing one or more charter schools during the 2014-2015 school year must complete the form in Appendix E (Disclosure of Financial Interest Form). [The Disclosure of Financial Interest Forms are due on November 1, 2015. A link to a safe and secure form that each Trustee must complete by the November 1, 2015 deadline will be provide here by September 1, 2015 or sooner.](#)

ALL charter schools or merged education corporations must complete the Board of Trustees Membership Table within the online portal in Appendix F (Board of Trustees Membership Table). The Board of Trustees Membership Table must be submitted by August 1, 2015.

Regents-authorized charter schools must upload a complete set of board of trustee Meeting Minutes from July 2014-June 2015 into Appendix G (Board Minutes). Board of Trustee Meeting Minutes must be submitted by August 1, 2015.

Yes, each member of the school's Board of Trustees will receive a link to the Disclosure of Financial Interest Form.

Yes

Thank you.



Appendix F: BOT Membership Table

Last updated: 07/31/2015

Page 1

1. Current Board Member Information

| | Trustee Name | Email Address | Committee Affiliation(s) | Voting Member? (Y/N) | Area of Expertise, and/or Additional Role and School (parent, staff member, etc.) | Number of Terms Served and Length of Each (Include election date and term expiration) |
|----|----------------------|---------------|---------------------------|----------------------|---|---|
| 1 | Michael Duffy | [REDACTED] | Trustee/Member | Yes | Great Oaks Foundation President | |
| 2 | Charles Ingersoll | [REDACTED] | Vice Chair/Vice President | Yes | Community District 2 Parent | |
| 3 | John Love | [REDACTED] | Secretary | Yes | Former principal and educator | |
| 4 | Joseph Paul McDonald | [REDACTED] | Trustee/Member | Yes | Professor at NYU | |
| 5 | Susan Akselrad | [REDACTED] | Chair/Board President | Yes | Law experience in NYC | |
| 6 | Robert Ludlum | [REDACTED] | Trustee/Member | Yes | Background in finance | |
| 7 | Liz Sexton | [REDACTED] | Trustee/Member | Yes | Management consultant with previous experience at NYC DOE | |
| 8 | Neha Bajaj | [REDACTED] | Trustee/Member | Yes | Background in finance | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| 13 | | | | | | |
| 14 | | | | | | |
| 15 | | | | | | |
| 16 | | | | | | |
| 17 | | | | | | |
| 18 | | | | | | |
| 19 | | | | | | |
| 20 | | | | | | |

2. Total Number of Members Joining Board during the 2014-15 school year

0

3. Total Number of Members Departing the Board during the 2014-15 school year

0

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

n/a

5. How many times did the Board meet during the 2014-15 school year?

10 times

6. How many times will the Board meet during the 2015-16 school year?

12-14

Thank you.



**Great Oaks – New York City
Board of Directors
Meeting Minutes: September 9, 2014**

In attendance: John Love, Liz Sexton, Michael Duffy, Charles Ingersoll, Joe McDonald, Neha Balaj (by phone), Susan Akselrad, Robert Ludlum (by phone), Christina Grant (staff), Jean Lombardi (staff), Dianna Yeung (staff), Rick Iandoli (staff) and Kristin Levine (staff).

Board Chair Michael Duffy called the meeting to order at 6:23 PM at Great Oaks Charter School, One Monroe Street, New York, NY 10002.

Executive Director Kristin Levine gave an update on Move Up Night, an event welcoming students to the GOCS community that will take place on September 18.

Michael Duffy identified meeting minutes as the next item on the agenda. Secretary Susan Akselrad stated that she would have minutes ready for review at the next meeting.

Kristin Levine provided school updates, and described the school's focus on establishing a strong culture with students and families regarding rules and expectations. Every family has been contacted by their student's tutor at least one and in some cases twice. The system for giving merits/demerits is getting tighter, with every member of the faculty and staff giving approximately the same number of merits. The school is focused on developing systems around a strong tutorial curriculum.

Kristin Levine provided an update on student enrollment. Close to 40 students who had registered didn't attend school on the first day. 166 were in the building on the first day. 190 are in the building today. 4 students just registered and will be in next week. 3 were absent today and will be back. Board members asked questions about why enrollment was so much lower than expected and discussion ensued.

Kristin Levine described how GO-NYC engaged the parent committee about enrollment and they were enthusiastic. GO-NYC sent out referral forms with current students, and one parent recommended offering a free uniform item to incentivize referrals. GO-NYC is holding parent nights with rules and expectations for the parents. Robo calls were done by Vanguard Direct, and the schools is still getting calls back. Another mailing is going out to directly target 7th grade families.

Kristin Levine reported on the demographics of 6th grade incoming class. Of note is that the percentage of students with IEPs is very high.

GO-NYC held two staff days this year. Staff rated satisfaction at an 8.42 out 10. The goal for the year is to always be above an 8.0. An annual PD calendar outlines the professional development GO-NYC will hold throughout the year.

Kristin Levine described how GO-NYC's test scores compare with the city, district and other charters.

The board received an update from the Great Oaks Foundation Director of Finance Rick Iandoli. GO-NYC is looking at a surplus this year. Loeb and Troper, GO-NYC's auditor, has begun audit work at the school. The estimation is that they will put together a draft set of financials for the October board meeting to make the November 1 deadline. Michael Duffy will recommend to the Great Oaks Foundation Board of Trustees that the Great Oaks Foundation forgive the \$50,000 loan to GO-NYC.

Michael Duffy indicated that the New York State Education Department (NYSED) is ready to send the management agreement between the Great Oaks Foundation and GO-NYC to the Board of Regents. Based on Michael's conversations with NYSED, he has made the decision to step down from the position of Board Chair.

Michael Duffy moved to accept a resolution concerning board governance. BE IT RESOLVED that as of September 9, 2014:

Susan Akselrad will step into the role of Board Chair of the Great Oaks Charter School
Joe McDonald will step into the role of Vice Chair of the Great Oaks Charter School
John Love will step into the role of Secretary of the Great Oaks Charter School
Neha Balaj will step into the role of Treasurer of the Great Oaks Charter School

Liz Sexton seconded the motion. It passed unanimously.

Michael Duffy called for any comments or discussion. Susan Akselrad stated that Michael will still continue to sit on the board and that Robert Ludlum will stay on to assist with the Treasurer position. Susan stated that all the individuals who are moving into the new positions feel strongly about the success of the school.

Michael Duffy provided a facilities update. He stated that there has been no update on applying to the city for space. He also shared that there has not been a decision about closing St. Joseph's Parish, but sources indicate that a decision will likely be made by September 20. GO-NYC has the option to lease the One Monroe Street space for a third year.

Michael Duffy asked if there was any new business that the board would like to address. Susan Akselrad asked Executive Director Kristin Levine whether there was anything she believed the school needed assistance with. Kristin indicated that she is focused on boosting enrollment and implementing a blended learning curriculum.

The meeting adjourned at 7:43 PM.



**Great Oaks – New York City
Board of Directors
Meeting Minutes: October 14, 2014**

In attendance: John Love, Michael Duffy, Charles Ingersoll, Joe McDonald, Neha Najaj, Susan Akselrad, Robert Ludlum (by phone), Christina Grant (staff), Rick Iandoli (staff) and Kristin Levine (staff). Joseph Blatt of Loeb & Troper also attended the first part of the meeting to report on the audit.

Board Chair Susan Akselrad called the meeting to order at 6:05 PM at Great Oaks Charter School, One Monroe Street, New York, NY 10002.

The Board approved the August and September minutes.

For the Spotlight Executive Director Kristin Levine reported on the September 18th Move Up Night, the event welcoming students to the GOCS community.

This year's audit was unique in that it began with the School's inception in November of 2012 and ended on June 30, 2014. Joseph Blatt walked the Board through the audit. The one compliance issue that surfaced in the audit is the lack of an escrow account which should be currently funded at \$75,000.

The management letter noted inadequate documentation of the corporate credit card, and the absence of a Board member review of credit card expenditures. In addition all general journal entries need to be reviewed by someone other than the person making the entry. The School also needs to develop and publish a whistleblower policy.

Overall Joseph Blatt complimented the School on the thoroughness and soundness of its first audit.

The outstanding issues are all being addressed and the School's progress towards compliance will be reported at the November meeting.

The Board accepted the audit report and management letter.

Kristin's School Update focused on several topics. Regarding enrollment and retention the School currently counts 193 students. Currently 58 of these students have disabilities. Parent University began on October 8th with 20 families, and Kristin continues to feel that the goal of 90% attendance at a minimum of one session is attainable. Surveys suggest that the staff feels supported by the administrators, and reports higher levels of satisfaction than was reported at the same time last year. Kristin also reported on the staff's assessment of the reading and math levels of the four 6th grade cohorts. In particular two of the cohorts are operating well below grade level, i.e. in one case at 2nd grade and in the other at 3rd/4th. Several Board members expressed concerns about the segregation of the 6th grade students into ability groups. Although



**Great Oaks – New York City
Board of Directors
Meeting Minutes: October 14, 2014**

In attendance: John Love, Michael Duffy, Charles Ingersoll, Joe McDonald, Neha Najaj, Susan Akselrad, Robert Ludlum (by phone), Christina Grant (staff), Rick Iandoli (staff) and Kristin Levine (staff). Joseph Blatt of Loeb & Troper also attended the first part of the meeting to report on the audit.

Board Chair Susan Akselrad called the meeting to order at 6:05 PM at Great Oaks Charter School, One Monroe Street, New York, NY 10002.

The Board approved the August and September minutes.

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the students have some whole grade and mixed group experiences the bulk of their classroom instruction occurs in these homogeneous groups.

Rick Iandoli reported that because of the additional funds that accompany many of these students the School has the financial resources to give these students additional support. Identifying additional staff positions which can best meet the needs of these students and filling those positions is at the top of the administrators' list of concerns.

Regarding the budget, Michael Duffy reported that the Great Oaks Foundation has received federal funds that will allow it to award GOCS New York several hundred thousand dollars for each of the next several years. Rick also reported that his latest review of the budget still shows the School ending the 2014-2015 school year with a surplus of a couple of hundred thousand dollars. Given the budget situation, the School will also be able to create and fully fund the escrow account referenced in the audit.

Regarding governance Christina Grant reported that the public hearing/meeting with Mayor DiBiasio's charter school staff about the School's management letter regarding the charter management organization went well. No one from the public attended the hearing, and the mayor's staff was impressed with the studious behavior of the GOCS students.

Regarding facilities Michael reported that although the School's letter of agreement with the diocese does not grant the School a third year in its current location, the diocese seems open to considering the idea of GOCS purchasing the building. Susan reported that the city will be granting four charter schools co-location. She continues to hear contradictory messages about whether to pursue co-location through the commissioner or the courts.

The meeting adjourned to executive session at 7:35 PM.



**Great Oaks – New York City
Board of Directors
Meeting Minutes: November 11, 2014**

In attendance: Susan Akselrad, Michael Duffy, John Love, Robert Ludlum (by phone), Joe McDonald, Neha Najaj, Liz Sexton, Christina Grant (staff), Rick Iandoli (staff) and Kristin Levine (staff).

Board Chair Susan Akselrad called the meeting to order at 6:15 PM at We Work, 222 Broadway, New York, NY 10038.

The topic of Executive Director Kristin Levine's Spotlight was Parent Events, including Parent University, report card pickup, and the upcoming family potluck. Attendance and parental feedback has been generally positive.

The Board approved the October minutes.

Kristin's School Update focused on four topics. Regarding enrollment and retention, the School currently counts 194 students. For the first time in two years, all special services and service personnel are currently in place. With the first quarter ending, no more students can be admitted and our attrition target for January is 190.

Regarding tutor house security, a recent break-in has led to a series of measures to enhance security and support the residents. Whether the current Bushwick location is in our long-term interests is worth a Board discussion. The administration is also looking into providing a group renters' policy for the tutors. One recommendation for immediate action is requesting extra police attention to the building.

Regarding ANet data and re-teaching, both 6th and 7th graders are outperforming network students in math, 7th graders are outperforming in ELA, and 6th graders are matching network students in ELA. The faculty is working to develop strategies for enhancing the reading program.

Regarding blended learning, the chief purpose of which is to individualize instruction, the faculty is looking at three programs, Triumph, iReady, and ALEKS. At this point Triumph seems the most promising, but no network schools are currently using it, and the school administration needs to reach out to current users outside the network for feedback.

Highlights from the Middle School Quality Snapshot are the relatively low percentage of Asian students and the high percentage of Hispanic students for the neighborhood, and the very low percentage of ELL students, the constituency that by its charter GOCS New York seeks to enroll. To boost those ELL numbers the administration hopes to be able to identify neighborhood ELL students and by recruiting to counter those students' drift to neighborhood schools. Our performance in math and with the lowest performing students ranks high.

Chief Financial Officer Rick Jandoli gave the financial report. Rick has now taken over financial management from our previous team following the completion of the audit. Going forward Rick plans to provide the Board a monthly highlight report. The School's current cash position is sound and the administration will soon be in a position to look at how to spend the School's recently awarded grants. In connection with the Bridgeport school and with both schools' need to establish a permanent home, a potential lender has begun to work with Rick and other Foundation staff. What lenders most want to see as the School moves towards a full 6-12 school is sustainability.

Superintendent Christina Grant reported on governance and the city report. The Board of Regents has approved the management agreement.

Michael Duffy updated the Board on facilities. The School's attorney is preparing to file a petition for co-location with the state commissioner, with the idea that if the School is not granted space it is entitled to \$2800 per student. GOCS New York is the first existing school to file such a petition, and the expectation is that the School will be denied co-location space but will ultimately be successful in obtaining the per student funds.

The meeting adjourned to executive session at 7:20 PM.

GREAT OAKS



CHARTER SCHOOL
Great oaks, from little acorns grow

**Great Oaks – New York City
Board of Directors
Meeting Minutes: December 9, 2014**

In attendance : Michael Duffy (by phone), Charles Ingersoll (by phone), Neha Najaj (by phone), Liz Sexton (by phone) Kristin Levine, Christina Grant (staff – by phone), Rick Iandoli (staff – by phone).

Board Treasurer Neha Najaj called the meeting to order at 6pm.

School Spotlight: Kristin Levine shared the details of the school potluck, which was attended by family and students of the school on Nov. 19th. Shared information about the event and thoughts on Thanksgiving for the school.

Minutes: November meetings will be approved at the January meeting.

School Update: Enrollment: 193, students with disabilities: 59. The student recruitment plan will be presented to the board at the January meeting. The first mailing to Vanguard will be sent out by the end of the year and open houses for the school have been scheduled. A mid-year staff survey will be given before the Winter Break to judge the feelings of the staff at GO – NYC.

Finance Update: Rick Iandoli re-capped the finance committee call for the board. Neha Najaj supported the analysis of the current state of finance for the school.

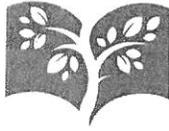
Facilities Update: Michael Duffy updated the board on the current status of facilities for the school with respect to the lawsuit. We will find out the result of our request for funding from NYSED by the end of the calendar year.

New Business: None.

There was no executive session.

Meeting was adjourned at 6:45pm.

GREAT OAKS



CHARTER SCHOOL
Great oaks, from little acorns grow

Great Oaks – New York City Board of Directors Meeting Minutes: November 11, 2014

In attendance: Susan Akselrad, Michael Duffy, John Love, Robert Ludlum (by phone), Joe McDonald, Neha Najaj, Liz Sexton, Christina Grant (staff), Rick Iandoli (staff) and Kristin Levine (staff).

Board Chair Susan Akselrad called the meeting to order at 6:15 PM at We Work, 222 Broadway, New York, NY 10038.

The topic of Executive Director Kristin Levine's Spotlight was Parent Events, including Parent University, report card pickup, and the upcoming family potluck. Attendance and parental feedback has been generally positive.

The Board approved the October minutes.

Kristin's School Update focused on four topics. Regarding enrollment and retention, the School currently counts 194 students. For the first time in two years, all special services and service personnel are currently in place. With the first quarter ending, no more students can be admitted and our attrition target for January is 190.

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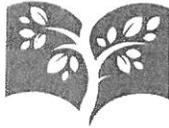
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The meeting adjourned to executive session at 7:20 PM.

**Great Oaks – New York City
Board of Directors
Meeting Minutes: January 13, 2015**

In attendance: Susan Akselrad, Michael Duffy, Charles Ingersoll, John Love, Robert Ludlum (by phone), Joe McDonald (by phone), Neha Najaj, Liz Sexton, Kia Childs (staff), Christina Grant (staff), Rick Iandoli (staff), Jean Lombardi (staff), Tiziana Sullivan (staff).

Board Chair Susan Akselrad called the meeting to order at 6:10.

Spotlight: Great Oaks Grizzlies: The boys' and girls' basketball teams played their inaugural games against experienced teams from a Kip charter school. Although both teams lost, the excitement of the players and their fans made for a special night in the history of the school.

Meeting Minutes: The November 11th and December 9th minutes were approved as submitted, and the January 4th minutes were approved with modifications.

School Updates (Kia):

Enrollment: The current enrollment stands at 185. The withdrawal of ten students had not been reflected in the school's records and the reasons for those recently unearthed withdrawals have not yet been fully investigated. A concern was expressed about whether the school has become a last resort school likely to experience ongoing attrition issues.

Retention: The transition to new leadership does not appear to be a problem as regards student retention. The leadership team continues to engage families in school culture among other measures to retain students.

Student Recruitment. The Vanguard mailing, which was supposed to go out before December break, is now ready to go. The leadership team has planned info sessions at K-5 charters, and is working on a family referral program which will offer uniform discounts and other incentives. Targets for the current admissions cycle are 600 applications for grade 6 and 100 applications each for grades 7 and 8.

Special Education. Hiring another fulltime special ed teacher for the current year remains a priority. The faculty are working to implement literature circles and math labs and to continue to work with Triumph Blended Learning. Because the weakest students are functioning at an upper elementary level, the leadership team and the faculty are looking to minimizing transitions for those students. Liz expressed a concern about the school's non-compliance with certain students' IEPs, and the team is working to fill those gaps.

Student Performance. The latest round of testing continues the pattern of the school's students matching the performance of other network schools in language arts, and outperforming in math.

Staff Hiring 2014-1015. At the administrative level an ops associate will be added next year. Enrollment permitting, the full-time faculty could grow to as many as 15, with the addition of a 6-8 certified PE teacher a priority, along with a full complement of special ed teachers.

Staff Retention. The transition to new leadership seems not to have had a significant impact on faculty morale or prospects for retention of faculty. The leadership team continues regular check-ins with faculty and monthly events. The faculty has not been very positive about the existing professional development program and many would like the opportunity to visit other high-performing schools, a request that the leadership team is working to implement.

Finance Update (Rick): The school's cash position is very strong. The enrollment of 185, although reduced from an earlier figure, is still 5 students above the budgeted number, and if that number holds the school can still expect to show an end of year surplus in the \$300 K range. It turns out that the request for a 3-year \$150K a year federal start-up grant overlaps with this, the third year of a federal grant funneled through New York state, but the school remains eligible for the second and third years of that federal grant.

The Board granted provisional approval of a pension plan for full-time faculty and staff under which the school will contribute half of an individual's contribution up to 2% of salary, with final approval subject to individual board members' review of the written documents.

Jean reported the school's application for an Americorps grant to provide tutors to the school, which could contribute \$400K a year for 3 years as well as enhancing tutor compensation.

Facilities Update (Michael): Officials at city Hall have confirmed that they do not intend to appeal the ruling giving the school \$280K in lieu of space.

New Business:

Harassment Policy and Training: The school is revising its harassment policy and moving to offer training to the staff.

The meeting adjourned to Executive Session at 8:00.

**Great Oaks – New York City
Board of Directors
Meeting Minutes: February 10, 2015**

In attendance: Susan Akselrad, Michael Duffy, Charles Ingersoll (by phone), John Love, Joe McDonald, Neha Najaj (by phone), Liz Sexton, Kia Childs (staff), Christina Grant (staff), Rick Iandoli (staff), Dessie Thomas (staff). Guests from Innovate Manhattan Charter School / Kunskapsskolan USA:

Susan called the meeting to order at 6:10.

Kia presented this month's Spotlight. FUNbruary is a series of Tuesdays and Thursdays featuring the students wearing their uniforms in different ways according to the theme of the given day. FUNbruary will end with a student talent show.

The Board voted to adopt the January minutes.

Kia presented School Updates

Enrollment currently stands at 180, 103 6th grades plus 77 7th graders and appears stable. There have been some parent questions about the leadership transition, but the focus of parent questions is on the Head of School for the 2015-2016 school year.

The leadership team is raising serious questions about the policy of retaining students, since of the 8 students from last year's 6th grade repeating the grade this year only one student looks like a real success story.

Regarding student recruitment, the School has received 70 applications for next year and the Vanguard mailing is going out to 3000 addresses. The School is holding 4 open houses in the coming weeks, with parent volunteers helping out, and Kia is reaching out to area elementary schools with 5th grades.

Eighty-six percent of parents attended the recent Report Card Pickup, slightly short of the 90% goal but still a good showing.

Although the School continues to search for a 6th grade SPED teacher, recent initiatives on behalf of needy students have been successful. The School has adopted the Triumph Blended Learning program, and is increasing the frequency of academic interventions for students needing extra support. The tutors and their charges have been all meeting together in the lunch room, a practice that has increased consistency of tutoring practice and allowed for better supervision of the tutor corps. Saturday Academy will begin in March, and could attract up to 80 students.

Seventh-graders continue to perform better on ANet tests than 6th graders, and students in both grades continue to perform better in math better than in ELA. The leadership team continues to investigate the reliability of ANet tests in predicting student performance on state tests.

New hires include the Director of Curriculum and the Chief Operating Officer. The leadership team is pleased about internal candidates for the Dean of Students and Assistant Dean Of Students positions, and for leadership positions in the tutoring corps.

NYSED school visit was postponed until March 3rd.

The recent Sexual Harassment Training went well.

Rick gave a combined Finance Update and Facilities Update focused chiefly on the proposed leasing of 38 Delancey Street as the site for Grades 6-8 of GOCS-NY starting in fall 2015. Rick's 2015-2021 budget projection for a GOCS-NY 6-8 Middle School of 225 students indicate that the proposed relocation and reduction in student population is financially feasible, including the cost of the lease and leasehold improvements at 38 Delancey.

The Board and the three guests from Innovate Manhattan Charter School/Kunskapsskolan USA discussed the synergies that might be possible between GOCS-NY and Kunskapsskolan.

The Board approved resolutions to request amendments to the Charter changing the target enrollment from 100 students to 75 students per grade and changing the School's location to 38 Delancey Street in District 1.

The Board also endorsed a Memorandum of Understanding between the Great Oaks Foundation and Kunskapsskolan.

The Board recommended that appropriate individuals from the Foundation and the Board negotiate for the acquisition of the lease to 38 Delancey Street.

Under New Business, the Board formally approved the creation of a 403b plan for full-time GOCS-NY employees as previously discussed in the regular January meeting.

The meeting adjourned at 8:00 pm.

**Great Oaks- New York City
Board of Directors
Meeting Minutes- March 10, 2015**

In Attendance: Susan Akslersad, Nelah Bajaj Liz Sexton, CJ, Michael Duffy, John Love (by phone) Christina Grant (Staff), Kia Childs (Staff), Dessie Thomas (Staff)

School Update

Kia presented spotlights: State visit went well. Report comes out on March 20. Kim Santiago (NYSEd) was blown away at our ability to serve students with special needs. We are outperforming the district in that demographic. Open roles for next year 7 positions will be posted externally. The staff survey went out this week and we will have data at the next meeting.

Tutor appreciation week upcoming. Tutors will received a full week of activities based solely for them. A Cocktail party May 15 for tutors at Susan's house being planned. A June 5 event for tutors at Liz's office on linked in is being planned as well. Board members have agreed to have contact emails shared with tutors.

Looking ahead to April: talent recruitment from Innovate. Parent University at Innovate under consideration. State testing breakfast by parents planned. Intercession is half day studying content of their choice for half days in April. Peter coming to school for the whole day on March 20 Michael will lead the conversation that day.

Board discussed changes to the Staff Handbook with the goal of having it approved by June.

Finance Update

Finance update Nelah filled in for Rick in a good place. We are waiting for a decision on AmeriCorps funding.

Facilities Update

Facilities: The revision request is being considered and should be voted on at the April Board of Regents board meeting. The board approved two policies needed for the NY AmeriCorps application.

Meeting adjourned at 7:30pm.

**Great Oaks – New York City
Board of Directors
Meeting Minutes: April 14, 2015**

In attendance: Susan Akselrad, Michael Duffy, Charles Ingersoll , John Love (by phone), Joe McDonald, Neha Najaj (by phone), Liz Sexton, Kia Childs (staff), Christina Grant (staff), Rick Iandoli (staff), Dessie Thomas (staff).

Meeting called to order on 6:08

We took items out of order to accommodate members of the board and their availability.

Finance Update

We still have a strong cash balance and have added resources to our staff recruitment line to ensure we are able to run an executive search. We are in the process of securing quotes for our school move and we have the resources to cover the move. We have submitted our next invoice to the state and fully expect it will be approved by the end of April. The finance committee is working diligently on preparing the budget for next year with hopes of presenting the budget for the 16-17 year to the board for a May vote.

Discussion ensued regarding the furniture we will use during the move. Rick gave an update on our CSP funding and our relationship with NYSED.

School Update

World Premiere of our State Champ Video, which is a teacher made video getting students excited about state testing. Kia walked through the school updates and it encompassed general information. The school lottery was held today. There were 268 applicants and we accepted 125 and built a strong wait list. There is a strong matriculation plan with events every month from now until the end of the school year. We discussed the efforts to ensure student matriculation to ensure student enroll to the students.

Facilities Update

There will be updates on the middle school lease agreement with hopes to secure the lease by the end of May.

Governance Update

Discussion ensued about partnership about Board on Track, which is an organization that supports charter school governance. Discussion ensued about the executive search plan for a new executive director and members agree with the steps moving forward in the process.

Great Oaks Charter School NYC May 12, 2015 Board Minutes

In attendance Rick Iandoli, Michael Duffy, Joe McDonald, Liz, Dessie Thomas, Kia Childs, Susan Asklerad, Neha Bajaj

Michael Duffy called meeting to order at 6:09.

Board started discussing references for Liza (ED candidate) from former co-workers with Bradford rep. There are no major concerns. Liza has a strong background in ELA. She has been found to be strong willed and focuses on pet projects. Liza seems to be focused on what's best for kids. Liza has spent time working with SPED kids

Board voted to extend offer to Liza for ED position confirmed by all. Board wants to check references. Liza cannot start before July 1, and we will support her weaknesses in operations and whole school administration.

Rick went over financials. Rent money is coming. Rick went over budget and trends are running a little high. Next year we will have a full time social worker. Existing tutor space is fine for next year. Corp staff will be housed within walking distance of 38 Delancey. Discussed healthcare for tutors this year and next year Rick proposed the board vote on preliminary budget. Neha made a motion that was seconded by Mike passed unanimously in favor of.

Board went over April minutes, found 2 typos, ensue not ensure, board on track last sentence needs fixing.

Kia did school update. The week of intersession birthed GO World News. Active enrichment is taking place now. Final mailing for student recruitment will take place soon. Retention for 14 students but 4 can pass in district

Discussed possible space for high school at 88 Greenwich

Tabled discussion

Meeting adjourned at 7:55



In 2014-15, Great Oaks Charter School -GO-NYC used the following strategies to attract and retain a greater enrollment of students with disabilities, English language learners (ELLs), and students who are eligible for free and reduced price lunch (FRPL):

Students with disabilities: All GO-NYC recruitment materials and person-to-person communications made clear that the school was open to all students, including students with disabilities. Through GO - NYC's high - dosage tutoring model every student received at least two hours of individualized tutoring every day. For students with disabilities, this meant that an educator assessed their academic needs every single day and adjusted daily instruction accordingly. A full-time special education teacher led classes and provided push-in services for students in accordance with their IEPs. In 2014-15, 35% of students had IEPs.

English language learners: In addition to translating all marketing and application materials into the community's dominant languages -Spanish, Cantonese and Mandarin), GO-NYC offered a lottery preference, reserving 25% of incoming seats for ELLs. To retain ELLs, GO-NYC hired teachers and tutors who were proficient in the community's dominant languages, enabling educators to communicate with students and – critically – their families in their home language. In 2014-15, just under 50% of GO-NYC students came from homes where a language other than English is spoken.

Students eligible for free and reduced price lunch: To attract students eligible for FRPL, GO-NYC performed in-person outreach and work with local community leaders at NYCHA public housing locations and other organizations serving disadvantaged children and families in Community School District 2 such as Hamilton Madison House, Grand Street Settlement, Abrons Art Center and Rutgers Community Center. Additionally, GO- NYC simplified the application process to ensure that the document was straightforward and didn't request information that might discourage applicants. Strategies for retaining students eligible for FRPL included weekly outreach to parents and intensive one-on-one or small group tutoring for all students to ensure that no student fell behind.

GREAT OAKS



CHARTER SCHOOL

Great oaks, from little acorns grow

Given the effectiveness of this approach during the 2014-15 school year, GO-NYC implemented the same recruitment strategies for the 2015-16 school year, and anticipates implementing the same retention strategies.



Attend Our Open House for Prospective 6th-Graders
Saturday, January 11th, 2014
1 Monroe St., New York, NY 10002
9am—11am

Why Great Oaks NYC?

Tutoring for All Students

Whether your student needs an extra challenge or some extra help, he or she will have about two hours of tutoring each school day.



The mission of Great Oaks Charter School of NYC is to prepare each student to **succeed in college**. Our rigorous, college-prep curriculum is aligned to **the Common Core** and provides every single student with the knowledge, skills, and character to achieve their potential. GO NYC opened its doors in August of 2013 and currently serves grade 6. At capacity, it will serve grades 6-12. **Does your fifth-grader want to be a Great Oak in the fall?**



Longer School Day

The average NYC school day is 6.5 hours long. At GO-NYC, it's 9 hours. We also have after-school programming from 4:45-6:00 every day, such as teacher office hours, extracurricular activities, and a quiet homework center.

Involved Families

At GO-NYC, we believe that our students' families are an essential part of our school community. We have an active Parent Committee, conferences every quarter, parent orientation sessions, and fun family events like ZUMBA and potluck dinners.



Check us out online or stop by and see us in action!

www.GreatOaksCharter.org





Appendix I: Teacher and Administrator Attrition

Last updated: 07/31/2015

Report changes in teacher and administrator staffing.

Page 1

Charter School Name:

Instructions for completing the Teacher and Administrator Attrition Tables

ALL charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2014, the FTE for added staff from July 1, 2014 through June 30, 2015, and the FTE for any departed staff from July 1, 2014 through June 30, 2015 using the two tables provided.

2013-14 Teacher Attrition Table

| | FTE Teachers on June 30, 2014 | FTE Teachers Additions 7/1/14 – 6/30/15 | FTE Teacher Departures 7/1/14 – 6/30/15 |
|--|-------------------------------|---|---|
| | 6 | 4 | 1 |

2013-14 Administrator Position Attrition Table

| | FTE Administrator Positions On 6/30/2014 | FTE Administrator Additions 7/1/14 – 6/30/15 | FTE Administrator Departures 7/1/14 – 6/30/15 |
|--|--|--|---|
| | 5 | 2 | 2 |

Thank you



Appendix J: Uncertified Teachers

Last updated: 07/31/2015

"thirty per centum or 5 teachers, whichever is less"

To comply with NYS Education Law Section 2854(3)(a-1), please report the (FTE) count of uncertified and certified teaching staff as of the last day of school for the 2014-15 school year.

Page 1

Charter School Name:

Note Definition of FTE:

Full-time equivalent employees equal the number of employees on full-time schedules plus the number of employees on part-time schedules converted to a full-time basis. The number of full-time equivalent employees in each industry is the product of the total number of employees and the ratio of average weekly hours per employee for all employees to average weekly hours per employee on full-time schedules. An industry's full-time equivalent employment will be less than the number of its employees on full- and part-time schedules, unless it has no part-time employees (U.S. Commerce--Bureau of Economic Analysis at: http://www.bea.gov/faq/index.cfm?faq_id=368#sthash.8Rbj89kq.dpuf)

How many UNCERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of last day of school in 2014-15?

For each applicable category (i-iv), input the relevant full time equivalent (FTE) count of teachers.

| | FTE - (June 30, 2015) |
|---|-----------------------|
| (i) uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience | 3 |
| (ii) individuals who are tenured or tenure track college faculty | 0 |
| (iii) individuals with two years satisfactory experience through Teach for America | 1 |
| (iv) individuals who possess exceptional business, professional, artistic, athletic, or military experience | 1 |
| FTE count of uncertified teachers who do not fit into any of the four statutory categories | 6 |
| Total | 11.0 |

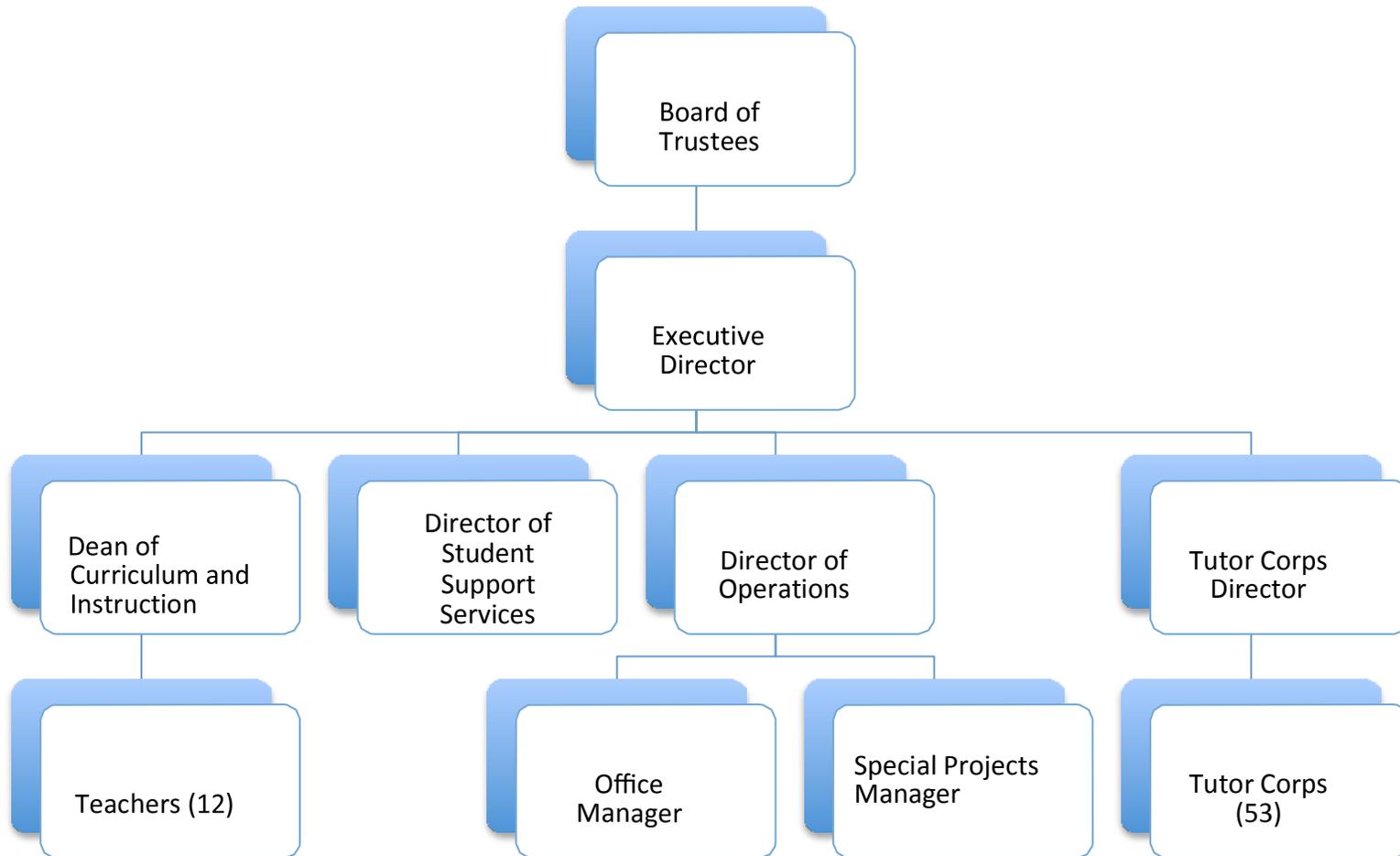
How many CERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of the last day of school in 2014-15?

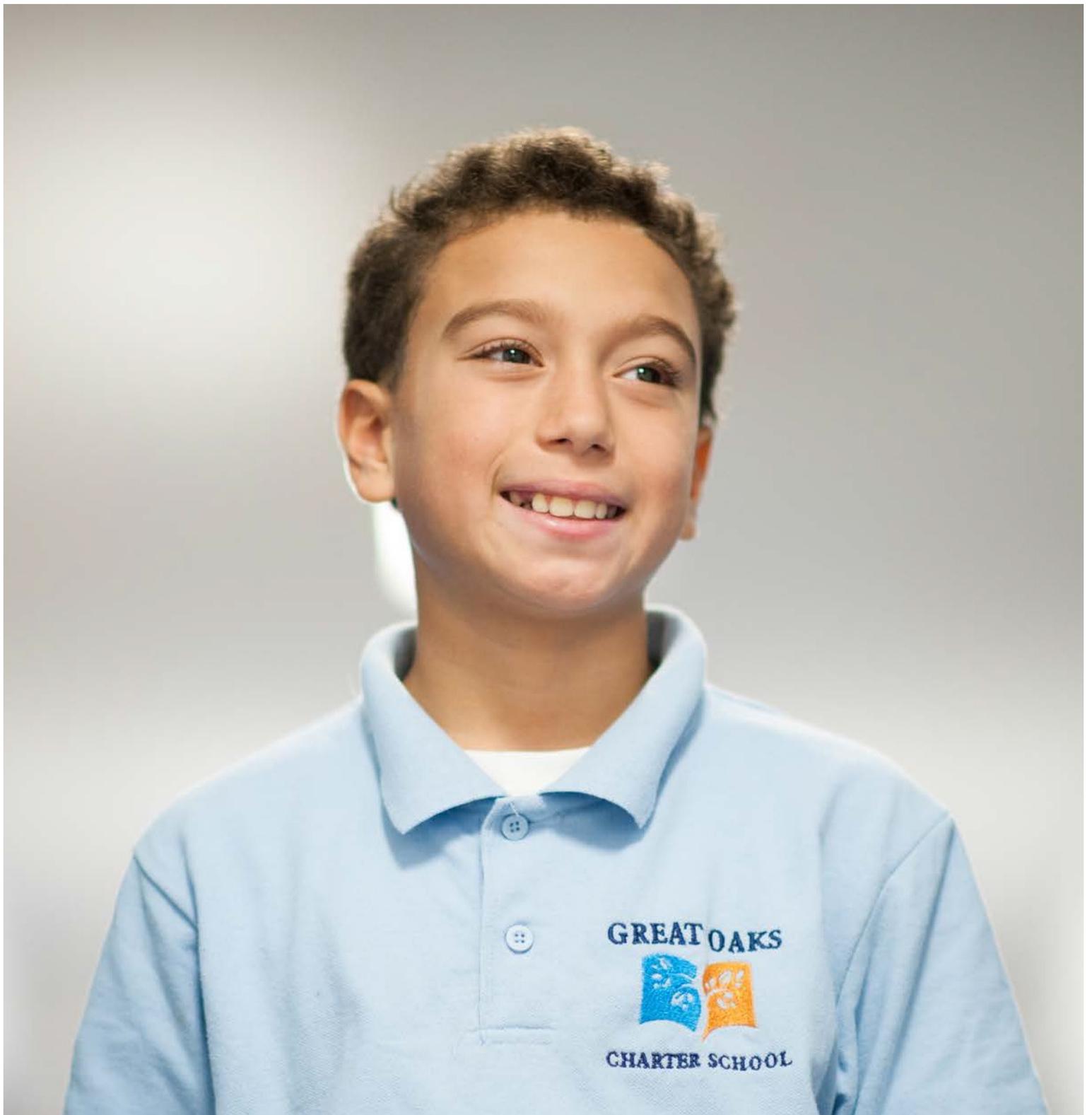
4

Thank you.



GO-NYC Organizational Chart





Great Oaks - NYC

Mission Statement and Summary of Key Design



Great Oaks - NYC Mission Statement

Great Oaks - New York City

The purpose of the Great Oaks Charter School – New York City (GO-NYC) is to prepare students to succeed in college. GO-NYC opened in 2013 and currently serves Grades 6-8. At scale, it will serve Grades 6-12.

At GO-NYC, we believe that every child can succeed. What makes us unique?

Individualized Instruction: Every student receives at least two hours of small group or one-on-one tutoring every day, delivered by our full-time Tutor Corps.

Unrelenting Focus on College Readiness: Every GO-NYC student knows that his or her goal is to graduate from college.

More Time on Task: The school day and year are significantly longer than those of the New York City Department of Education schools.

Character Development: GO-NYC actively develops character traits through a school culture that reinforces our core values of Scholarship, Discipline and Honor.

Family Engagement: Our Executive Director, teachers, tutors and administrators engage in frequent parent outreach to make sure that parents' voices are heard and incorporated into the school's decision-making process.



Great Oaks Foundation Executive Summary

Why create a new network of charter schools more than 20 years after the first charter school opened in the United States?

First and foremost, the Great Oaks (GO) Foundation creates new schools because too many children still lack access to an outstanding college preparatory education. Inspired by the Match Charter School of Boston, we provide each one of our students with several hours of individualized tutoring every day as a part of their regular school schedule.

Second, technology and the attendant ability to easily store and analyze vast quantities of data are providing the means to personalize learning in ways that were unimaginable when the country's leading charter networks developed their models in the 1990s. Over the next several years the GO Foundation will make its own contribution to 'blended learning' in partnership with the Swedish company Kunskapsskolan in an effort to tailor lessons precisely to student need and develop the kind of agency required to be successful in college.

Third, our impact stems not just from the hundreds of students we set on the path to college success but from the many more who will be served by the educators we train. The GO Foundation recruits tutors from a national pool of candidates and brings them to cities where they help to seed an education ecosystem as they become classroom teachers, deans, administrators, leaders and advocates for change.

Finally, we see the challenge posed by finding facilities for our schools as an opportunity for investment in the vitality of the communities we serve. By design, our schools contribute to the vitality of the urban neighborhoods where they are located by attracting and creating social, human and investment capital.

Foundation Mission Statement and Statement of Impact

BACKGROUND: The Great Oaks (GO) Foundation is a nonprofit organization dedicated to the launch and support of a network of charter schools. The academic model of our schools was inspired by the Match School of Boston, which has had an extraordinary track record of success using individualized instruction for the last decade and a half. The GO Foundation launched its first school, Great Oaks - Newark (GO-NWK) in 2011 in the Central Ward of Newark, New Jersey. Our second school, Great Oaks - New York City (GO-NYC) opened in 2013 on the Lower East Side of Manhattan. Great Oaks - Bridgeport (GO-BPT) opened a year later in Bridgeport, Connecticut's East Side neighborhood. The GO Foundation will open Great Oaks - Wilmington (GO-WIL) on the outskirts of downtown Wilmington Delaware in 2015.

VISION: The GO Foundation envisions eradicating poverty in the neighborhoods where our schools are located.

MISSION: The mission of the GO Foundation is to launch and support a network of charter schools that prepare students for college success through high-dosage tutoring. By design, our schools contribute to the vitality of the urban neighborhoods where they are located by attracting and creating social, human and investment capital.

VALUE PROPOSITION: What sets the Great Oaks schools apart from other college preparatory charter schools are the following elements:

- *High-Dosage Tutoring:* The GO Tutor Corps, a highly selective group of recent college graduates, delivers at least two hours of individualized instruction to every GO student, every day.
- *Pipeline of Talent:* The GO Foundation recruits tutors from a national pool of recent college graduates and brings them to cities where they help to seed an education ecosystem as they become classroom teachers, deans, administrators, leaders and advocates for change.
- *Community Vitality:* The GO Foundation invests in communities through the construction of school facilities and housing, such as Teachers Village in Newark, and by building authentic relationships with civic and business leaders, elected officials, clergy, philanthropists and parents.

THEORY OF CHANGE: The GO Foundation's Theory of Change is that our cadre of tutors will dramatically increase student achievement, generate a pipeline of talent and attract an investment of social and financial capital in the neighborhoods where our schools operate. The GO Foundation's two newest schools (GO-BPT and GO-WIL) have been developed in cities that have great poverty, poor performing schools and have largely been overlooked by national education reform organizations. Our geographic focus is on the "Acela Corridor" in the Northeast where any one of our schools is no more than a 90-minute train ride away from the Great Oak Foundation's central office in New York City.

Community Analysis

The Great Oaks Foundation identifies potential school sites based on community need, funding, political environment and facilities. GO has launched or plans to launch schools in the following communities:

New York City, New York

Great Oaks - New York City (GO-NYC) is located on Manhattan's Lower East Side. GO-NYC has a focus on educating English Language Learners (ELLs), a historically underserved population who receive preference in GO-NYC's admission lottery, close to half of students live in homes where a language other than English is spoken. Approximately 41% of students are Latino, 29% are African American, 28% are Asian and 2% are White. At GO-NYC, 85% of students are eligible for free or reduced-price lunch, and 30% of students received special educational services. State test results demonstrate the impact of the achievement gap in Community School District 1. In 2014, only 19.6% of Black students and 21.2% of Latino students were reading on grade level by 8th grade, compared to 78.4% of White students and 69.0% of Asian students. A similar pattern exists with math, with 11.7% of Black students and 17.8% of Latino students performing on grade level by 8th grade, compared to 50% of White students and 75.2% of Asian students. Based on the College Board's college readiness benchmark, students in Community School District 1 were woefully unprepared with an average SAT score of 1319.





Growing to Capacity

Great Oaks — New York City Middle School

| | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
|-------------------------|------------|------------|------------|------------|------------|------------|------------|
| 6th Grade | 100 | 75 | 75 | 75 | 75 | 75 | 75 |
| 7th Grade | 80 | 75 | 75 | 75 | 75 | 75 | 75 |
| 8th Grade | | 75 | 75 | 75 | 75 | 75 | 75 |
| Total Enrollment | 180 | 225 | 225 | 225 | 225 | 225 | 225 |

Great Oaks — New York City High School

| | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |
|-------------------------|------------|------------|------------|------------|------------|------------|------------|
| 9th Grade | 67 | 77 | 77 | 77 | 77 | 77 | 77 |
| 10th Grade | 67 | 67 | 77 | 77 | 77 | 77 | 77 |
| 11th Grade | | 67 | 67 | 77 | 77 | 77 | 77 |
| 12th Grade | | | 67 | 67 | 77 | 77 | 77 |
| Total Enrollment | 134 | 211 | 288 | 298 | 308 | 308 | 308 |

Great Oaks - NYC

| <p>Years 0-3: Startup <i>Key Milestone: School Facility Secured at Year3</i></p> | <p>Years 4-5: Maturing <i>Key Milestone: Charter Reauthorization at Year5</i></p> | <p>Year 6+: Sustainable <i>Key Milestone: Full Enrollment at Year7</i></p> |
|---|---|---|
| <ul style="list-style-type: none"> • Secure facility for new school • Raise funds to account for per-pupil management fee • Board development • Identifying onboarding, and coaching school leader • Staff recruitment • Training on GO model • Student recruitment • Tutor recruitment • Consistently training tutors on tutoring and content • Cultivating tutor experience and alumni network • Securing housing for tutors • Compliance with charter authorizers • Setting and tracking accountability standards • Financial management | <ul style="list-style-type: none"> • Leader support and coaching • Ongoing tutor recruitment • Cultivating tutor experience and alumni network • Financial management • Setting and tracking accountability standards • Compliance with charter authorizers | <ul style="list-style-type: none"> • Ongoing tutor recruitment • Cultivating tutor experience and alumni network • Financial management • Setting and tracking accountability standards |



Staffing

- **Executive Director:** The Executive Director is responsible for leading a high- quality school. S/he directs the 6-12 program, fosters a positive school culture, inspires and leads teachers, tutors and staff, and builds relationships with parents and students. The Executive Director reports to and is evaluated by the Superintendent of the GO Foundation. The school's Board of Trustees is responsible for making hiring, firing and compensation decisions regarding the Executive Director.
- **Tutor Corps Director:** The TutorCorps Director manages the tutor activities, and oversees tutorial. The Tutor Corps Director reports to the Executive Director.
- **Director of Operations:** The Director of Operations manages projects ranging from student enrollment, staff recruitment, facilities and student services. The Director of Operations reports to the Executive Director.
- **Director of Curriculum and Instruction:** The Director of Curriculum and Instruction manages academic planning and instructional coaching of teachers. The Director of Curriculum and Instruction reports to the Executive Director.
- **Director of Student Support Services:** The Director of Student Support Services ensures that all students receive the services they need. The Director of Student Support Services reports to the Director of Curriculum and Instruction.
- **Teachers:** Teachers are responsible for driving student outcomes through classroom instruction. Teachers report to the Executive Director.
- **Tutor Corps:** Tutor Corps members work closely with a small group of students to provide daily, individualized instruction. Tutors also take on secondary responsibilities, including academic planning, leadership and coaching of extracurricular activities and teaching assistant and administrative roles. The Tutor Corps reports to the Tutor Corps Director.

Tutor Development

Over the course of the three-year plan, the GO Foundation will take responsibility for managing the full continuum of the Tutor Corps experience. The GO Foundation will lead the onboarding process, including training tutors on core instructional techniques and academic components, classroom and tutorial management, school culture basics, and parent communication and relationship building.

The GO Foundation will also direct ongoing, weekly professional development for tutors. Professional development focuses on skills to improve their practice in tutorial, as well as professional life skills such as resume building. The GO Foundation will generate or make available content and curricular resources for tutorial, and has plans to develop an online platform for tutors across the GO network to upload their materials and lesson plans.

Through partnerships with other education reform organizations and schools, the GO Foundation will support Tutor Corps alumni as they navigate life after the Corps. The GO



Great Oaks – NYC
38 Delancey Street, 3rd Floor
New York, NY 10002
212 233 5152

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/0b823d3580f5ae7eba>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Jessica | Muse |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

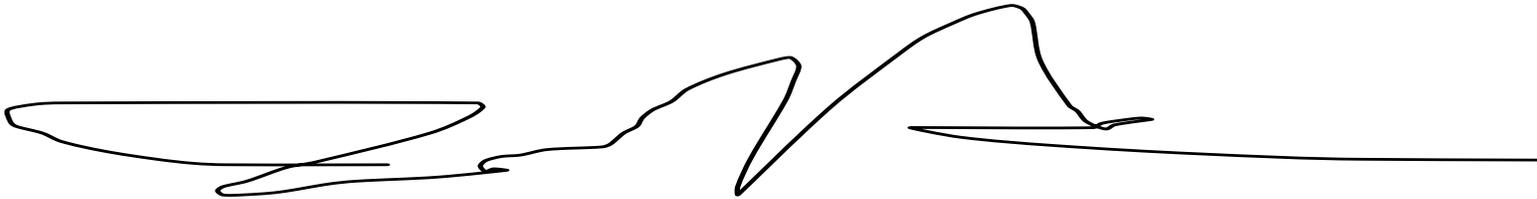
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the end.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

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Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | John | Love |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

-
- Secretary
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

The image shows three handwritten signatures in black ink. The first signature is 'John', the second is 'M', and the third is 'Love'. They are written in a cursive, flowing style.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

Updated Wednesday, November 04, 2015

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Page 1

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1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Elizabeth | Sexton |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the bottom.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

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Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Neha | Bajaj |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

- Treasurer
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of several loops and a long, sweeping tail that extends upwards and to the right.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Wednesday, November 04, 2015

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Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Michael | Duffy |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

-
- Chair/President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

Yes

10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date.

| | |
|---|------------------------------------|
| [cmoeY.0] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date. Position Held | President, Great Oaks Foundation |
| [cmoeY.1] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date. Responsibilities | Managment, Leadership, Fundraising |
| [cmoeY.2] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date. Salary | \$200,000 |
| [cmoeY.3] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date. Start Date | 8/15/13 |

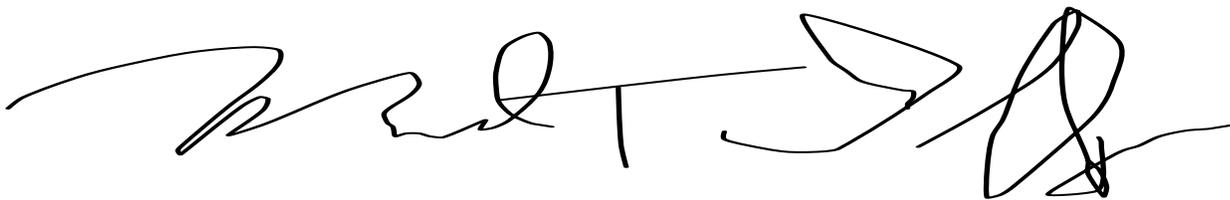
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Wednesday, November 04, 2015

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Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Charles | Ingersoll |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

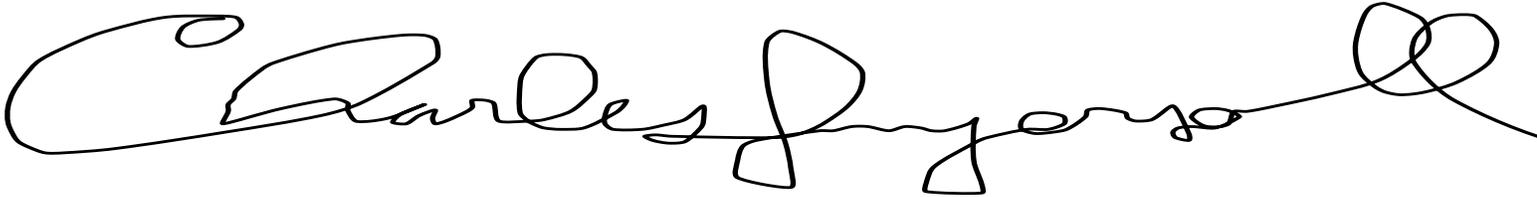
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Charles J. Jones". The signature is written in a cursive style with a large initial "C" and a decorative flourish at the end.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Thursday, November 05, 2015

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Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Joseph | McDonald |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

-
- Vice Chair/Vice President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to be 'J. M. [unclear]', written over a light gray horizontal bar.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Thursday, November 05, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/5438ed26317b026a6>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

| | First Name | Last Name |
|--------------|------------|-----------|
| Trustee Name | Robert | Ludlum |

2. *Your Home Address:

| | |
|---|------------|
| 2. *Your Home Address: Street Address | [REDACTED] |
| 2. *Your Home Address: City/State | [REDACTED] |
| 2. *Your Home Address: Zip | [REDACTED] |

3. *Your Business Address

| | |
|--|------------|
| 3. *Your Business Address Street Address | [REDACTED] |
| 3. *Your Business Address City/State | [REDACTED] |
| 3. *Your Business Address Zip | [REDACTED] |

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

GREAT OAKS CS (REGENTS) 310200861055

8. Select all positions you have held on the Board:

(check all that apply)

- Treasurer
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

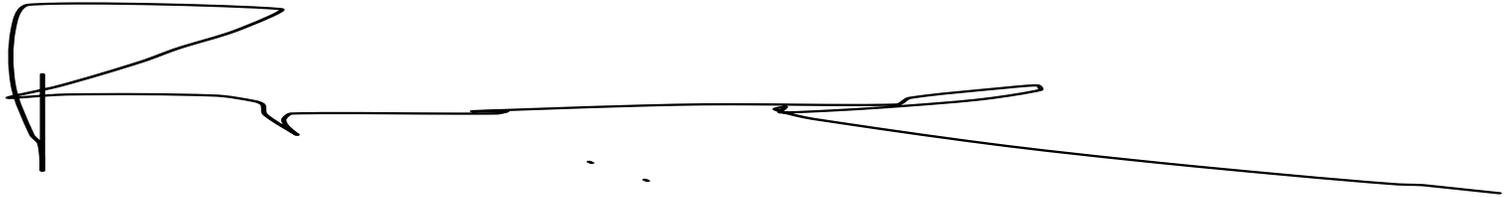
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of a large, stylized initial 'T' followed by a long, horizontal, slightly wavy line that tapers off to the right.

Thank you.