



# Entry 1 School Information

Created: 07/27/2016

Last updated: 08/01/2016

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## Page 1

### a. SCHOOL NAME AND BEDS#

(Select name from the drop down menu)

HARBOR SCIENCE AND ARTS CS (SUNY TRUSTEES) 310400860812

### b. CHARTER AUTHORIZER

(For technical reasons, please re-select authorizer name from the drop down menu).

SUNY-Authorized Charter School

### c. DISTRICT / CSD OF LOCATION

NYC CSD 4

### d1. SCHOOL INFORMATION

	PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
	132 East 111th Street New York, New York 10029	917-261-2700	212-360-7429	<a href="mailto:harborcharter@yahoo.com">harborcharter@yahoo.com</a>

### d2. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Joanne Hunt
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Title	Principal
Emergency Phone Number (###-###-####)	██████████

**e. SCHOOL WEB ADDRESS (URL)**

[www.hsacs.org](http://www.hsacs.org)

**f. DATE OF INITIAL CHARTER**

01/2000

**g. DATE FIRST OPENED FOR INSTRUCTION**

09/2000

**i. TOTAL ENROLLMENT ON JUNE 30, 2016**

248

**j. GRADES SERVED IN SCHOOL YEAR 2015-16**

Check all that apply

Grades Served	K, 1, 2, 3, 4, 5, 6, 7, 8
---------------	---------------------------

**k1. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?**

No

Page 2

**l1. FACILITIES**

Does the school maintain or operate multiple sites?

No, just one site.

## 12. SCHOOL SITES

Please list the sites where the school will operate for the upcoming school year.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	132 East 111th Street New York, New York 10029	917-261-2700	CSD 4	K-8	Yes	Rent/Lease
Site 2						
Site 3						

### 12a. Please provide the contact information for Site 1.

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Mark Johnson, Incoming Principal	[REDACTED]	[REDACTED]	[REDACTED]
Operational Leader	Nichole Gagnon	[REDACTED]		[REDACTED]
Compliance Contact	Mark Johnson	[REDACTED]	[REDACTED]	[REDACTED]
Complaint Contact	Nichole Gagnon	[REDACTED]		[REDACTED]

## Page 3

**n1. Were there any revisions to the school's charter during the 2015-16 school year? (Please include approved or pending material and non-material charter revisions).**

No

**o. Name and Position of Individual(s) Who Completed the 2015-16 Annual Report.**

Joanne Hunt, Outgoing Principal

**p. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and then use the mouse on your PC or the stylist on your mobile device to sign your name).**

**Responses Selected:**

Yes

**Signature, Head of Charter School**

A handwritten signature in black ink, appearing to be 'Joanne Hunt', written on a light gray background.

**Signature, President of the Board of Trustees**

A handwritten signature in black ink, appearing to be 'Phil Galvan', written on a light gray background.

**Date**

2016/08/01

**Thank you.**



# Entry 2 Link

Last updated: 07/28/2016

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## Page 1

### **1. NEW YORK STATE REPORT CARD**

**Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).**

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<https://data.nysed.gov/profile.php?instid=800000047167>



# Entry 4 Expenditures per Child

Last updated: 08/01/2016

## Page 1

### Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

#### 1. Total Expenditures Per Child

To calculate **'Total Expenditures per Child'** take total expenditures (from the unaudited 2015-16 Schedule of Functional Expenses) and divide by the year end FTE student enrollment. (Integers Only. No dollar signs or commas).

**Note:** *The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:* <http://www.p12.nysed.gov/psc/AuditGuide.html>

Line 1: Total Expenditures	3950168
Line 2: Year End FTE student enrollment	248
Line 3: Divide Line 1 by Line 2	15928

#### 2. Administrative Expenditures per Child

To calculate **'Administrative Expenditures per Child'** To calculate "Administrative Expenditures per Child" first *add* together the following:

1. Take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2015-16 Schedule of Functional Expenses)
2. Any contracted administrative/management fee paid to other organizations or corporations
3. Take the total from above and divide it by the year-end FTE enrollment. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officer, the finance or business offices, school operations personnel, data management and reporting, human resources, technology, etc. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation. Do not include the FTE of personnel whose role is to directly support the instructional program.

**Notes:**

***The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:***

**<http://www.p12.nysed.gov/psc/AuditGuide.html>**

**Employee benefit costs or expenditures should not be reported in the above calculations.**

Line 1: Relevant Personnel Services Cost (Row)	178869
Line 2: Management and General Cost (Column)	233551
Line 3: Sum of Line 1 and Line 2	412420
Line 5: Divide Line 3 by the Year End FTE student enrollment	1663

***Thank you.***



# Entry 6a Audited Statements

Created: 08/01/2016

Last updated: 11/01/2016

Regents, NYCDOE and Buffalo BOE authorized schools should enter the financial contact information requested and upload the independent auditor's report and internal controls reports as one combined file.

## Page 1

### School Based Fiscal Contact Information

	School Based Fiscal Contact Name	School Based Fiscal Contact Email	School Based Fiscal Contact Phone
	Mark Johanson	[REDACTED]	

### Audit Firm Contact Information

	School Audit Contact Name	School Audit Contact Email	School Audit Contact Phone	Years Working With This Audit Firm
	Michelle Cain	[REDACTED]	[REDACTED]	3

### If Applicable:

	Outsourced Financial Services Firm Name	Outsourced Financial Services Contact	Outsourced Financial Services Email	Outsourced Financial Services Phone	Years Working With This Firm
	CSBM	[REDACTED]	[REDACTED]	[REDACTED]	4

Please upload as one combined file:

- a. the independent auditor's report on financial statements and notes; and
- b. reports on internal controls over financial reporting and compliance

<https://nysed-cso-reports.fluidreview.com/media/assets/survey-uploads/84001/6281795-F7wWrRhhE3/HARBOR%20SCIENCE%20AND%20ARTS%20CHARTER%20SCHOOL%20-%2006%2030%202016%20-%20FS%20-%20FINAL.pdf>

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**

**NEW YORK, NEW YORK**

**AUDITED FINANCIAL STATEMENTS**

**REPORT REQUIRED BY  
GOVERNMENT AUDITING STANDARDS**

**AND**

**INDEPENDENT AUDITOR'S REPORTS**

**JUNE 30, 2016**

**(With Comparative Totals For 2015)**



**MENGEL METZGER BARR & CO. LLP**

Certified Public Accountants

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INDEPENDENT AUDITOR'S REPORT

Board of Trustees  
Harbor Science and Arts Charter School

**Report on the Financial Statements**

We have audited the accompanying financial statements of Harbor Science and Arts Charter School, which comprise the statement of financial position as of June 30, 2016, and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

**Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Harbor Science and Arts Charter School as of June 30, 2016, and the changes in its net assets and its cash flows for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

**Report on Summarized Comparative Information**

We have previously audited Harbor Science and Arts Charter School's June 30, 2015 financial statements, and we expressed an unmodified opinion on those audited financial statements in our report dated October 28, 2015. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2015 is consistent, in all material respects, with the audited financial statements from which it has been derived.

**Other Report Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated October 19, 2016 on our consideration of Harbor Science and Arts Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements, and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the control over financial reporting or compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Harbor Science and Arts Charter School's internal control over financial reporting and compliance.

*Mengel, Metzger, Barw & Co. LLP*

Rochester, New York  
October 19, 2016

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

STATEMENT OF FINANCIAL POSITION

JUNE 30, 2016

(With Comparative Totals For 2015)

<u>ASSETS</u>	June 30,	
	<u>2016</u>	<u>2015</u>
<u>CURRENT ASSETS</u>		
Cash	\$ 96,745	\$ 164,301
Cash in escrow	75,000	75,000
Grants and other receivables	171,354	147,903
Prepaid expenses	<u>70,067</u>	<u>5,468</u>
TOTAL CURRENT ASSETS	413,166	392,672
 <u>PROPERTY AND EQUIPMENT</u>		
Furniture and fixtures	40,196	32,983
Computers and software	78,830	75,053
Leasehold improvements	<u>272,737</u>	<u>268,261</u>
	391,763	376,297
Less accumulated depreciation and amortization	<u>129,393</u>	<u>97,844</u>
	262,370	278,453
 <u>DEPOSITS</u>	<u>30,000</u>	<u>30,000</u>
TOTAL ASSETS	<u>\$ 705,536</u>	<u>\$ 701,125</u>
 <u>LIABILITIES AND NET ASSETS</u>		
 <u>CURRENT LIABILITIES</u>		
Accounts payable	\$ 83,322	\$ 99,876
Accrued payroll and benefits	283,372	279,270
Deferred revenue	<u>12,489</u>	<u>-</u>
TOTAL CURRENT LIABILITIES	379,183	379,146
 <u>DEFERRED LEASE LIABILITY</u>	104,656	86,436
 <u>NET ASSETS</u>		
Unrestricted	219,221	235,543
Temporarily restricted	<u>2,476</u>	<u>-</u>
TOTAL NET ASSETS	<u>221,697</u>	<u>235,543</u>
 TOTAL LIABILITIES AND NET ASSETS	<u>\$ 705,536</u>	<u>\$ 701,125</u>

The accompanying notes are an integral part of the financial statements.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

STATEMENT OF ACTIVITIES AND CHANGES IN NET ASSETS

YEAR ENDED JUNE 30, 2016  
(With Comparative Totals For 2015)

	Year ended June 30,			2015
	2016		Total	
	Unrestricted	Temporarily restricted		
Revenue, gains, and other support:				
Public school district				
Resident student enrollment	\$ 3,497,776	\$ -	\$ 3,497,776	\$ 3,442,872
Students with disabilities	228,580	-	228,580	230,658
Grants and contracts				
State and local	17,941	-	17,941	121,154
Federal - Title and IDEA	112,281	-	112,281	111,102
Food service/Child nutrition program	123,016	-	123,016	138,532
TOTAL REVENUE, GAINS AND OTHER SUPPORT	3,979,594	-	3,979,594	4,044,318
Expenses:				
Program services:				
Regular education	2,871,720	-	2,871,720	2,961,716
Special education	649,731	-	649,731	521,039
TOTAL PROGRAM SERVICES	3,521,451	-	3,521,451	3,482,755
Management and general	491,644	-	491,644	492,783
Fundraising and special events	8,802	-	8,802	16,609
TOTAL OPERATING EXPENSES	4,021,897	-	4,021,897	3,992,147
(DEFICIT) / SURPLUS FROM SCHOOL OPERATIONS	(42,303)	-	(42,303)	52,171
Support and other revenue:				
Contributions	12,143	2,476	14,619	49,648
Fundraising	13,455	-	13,455	31,419
Miscellaneous income	383	-	383	-
TOTAL SUPPORT AND OTHER REVENUE	25,981	2,476	28,457	81,067
CHANGE IN NET ASSETS	(16,322)	2,476	(13,846)	133,238
Net assets at beginning of year	235,543	-	235,543	102,305
NET ASSETS AT END OF YEAR	<u>\$ 219,221</u>	<u>\$ 2,476</u>	<u>\$ 221,697</u>	<u>\$ 235,543</u>

The accompanying notes are an integral part of the financial statements.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

STATEMENT OF FUNCTIONAL EXPENSES

YEAR ENDED JUNE 30, 2016  
(With Comparative Totals For 2015)

	No. of positions June 30, 2016	Year ended June 30,						Total	Total
		2016			2015				
		Program Services			Supporting Services				
Regular Education	Special Education	Sub-total	Management and general	Fundraising and special events	Sub-Total	Total	Total		
Personnel service costs:									
Administrative staff personnel	7	\$ 251,962	\$ 65,604	\$ 317,566	\$ 218,787	\$ 5,962	\$ 224,749	\$ 542,315	\$ 524,025
Instructional personnel	27			1,378,841				1,378,841	1,451,247
Non-instructional personnel	11	1,124,033		482,508	5,806	-	5,806	488,314	432,729
			254,808						
<b>TOTAL PERSONNEL SERVICES COSTS</b>	<b>45</b>	<b>400,955</b>	<b>81,553</b>	<b>2,178,915</b>	<b>224,593</b>	<b>5,962</b>	<b>230,555</b>	<b>2,409,470</b>	<b>2,408,001</b>
		1,776,950							
Fringe benefits & payroll taxes			401,965	464,427	47,871	1,271	49,142	513,569	549,232
Other purchased / professional / consulting services		39,985		49,049	8,748		8,748	57,797	32,558
Accounting / audit services		378,750		-	150,700	-	150,700	150,700	148,200
Supplies / materials				47,021				47,021	28,328
Student services			9,064	17,822				17,822	25,575
Office expense		38,332		22,106	2,278	60	2,338	24,444	20,020
Technology		14,529	8,689	17,946	1,850	49	1,899	19,845	19,154
Insurance		18,028	3,293	49,939	5,147	137	5,284	55,223	34,285
Staff development		14,635	4,078	6,987	1,141	16	1,157	8,144	7,485
Marketing / recruitment		40,726	3,311	10,269	137			10,410	7,485
Food			9,213	147,168		4	141	147,168	137,206
Equipment rental		5,698	1,289	41,401	4,267	113	4,380	45,781	43,386
Depreciation and amortization		8,373	1,897	31,549				31,549	26,649
Miscellaneous expense		33,763	27,196	2,121	102	-	102	2,223	
Repair and maintenance		25,719	7,638	34,171	3,522	94	3,616	37,787	25,991
Building and land rent / lease / facility finance interest				370,792	38,220	1,015	39,235	410,027	410,268
Utilities		1,730		29,768	3,068	81	3,149	32,917	52,058
Special events expense		27,867	6,304	-					6,425
			68,404						
		<b>\$4,227,871,720</b>	<b>\$,491,649,731</b>	<b>\$ 3,521,451</b>	<b>\$ 491,644</b>	<b>\$ 8,802</b>	<b>\$ 500,446</b>	<b>\$ 4,021,897</b>	<b>\$ 3,992,147</b>

The accompanying notes are an integral part of the financial statements.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

STATEMENT OF CASH FLOWS

YEAR ENDED JUNE 30, 2016  
(With Comparative Totals For 2015)

	<u>Year ended June 30,</u>	
	<u>2016</u>	<u>2015</u>
<u>CASH FLOWS - OPERATING ACTIVITIES</u>		
Change in net assets	\$ (13,846)	\$ 133,238
Adjustments to reconcile change in net assets to net cash (used for) provided from operating activities:		
Depreciation and amortization	31,549	26,649
Changes in certain assets and liabilities affecting operations:		
Grants and other receivables	(23,451)	(44,086)
Prepaid expenses	(64,599)	1,457
Accounts payable	(16,554)	37,283
Accrued payroll and benefits	4,102	6,137
Deferred revenue	12,489	-
Deferred lease liability	18,220	43,220
NET CASH (USED FOR) PROVIDED FROM OPERATING ACTIVITIES	(52,090)	203,898
<u>CASH FLOWS - INVESTING ACTIVITIES</u>		
Purchases of property and equipment	(15,466)	(160,823)
NET CASH USED FOR INVESTING ACTIVITIES	(15,466)	(160,823)
NET (DECREASE) INCREASE IN CASH	(67,556)	43,075
Cash at beginning of year	164,301	121,226
CASH AT END OF YEAR	\$ 96,745	\$ 164,301
<u>SUPPLEMENTAL DISCLOSURE OF CASH FLOW INFORMATION</u>		
<u>NON-CASH OPERATING AND INVESTING ACTIVITIES</u>		
Transfer of deposits to property and equipment	\$ -	\$ 28,017

The accompanying notes are an integral part of the financial statements.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS

JUNE 30, 2016

(With Comparative Totals For 2015)

NOTE A: THE CHARTER SCHOOL AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The Charter School

Harbor Science and Arts Charter School (the “Charter School”), is an education corporation operating as a charter school in New York, New York. The Charter school opened in 2000 with a charter granted by the Board of Regents of the University of the State of New York. On July 26, 2012, the Board of Regents of the University of the State of New York granted the Charter School a provisional charter valid for a term of five years and renewable upon expiration.

The Charter School’s mission is to provide students with a high quality education through a rigorous academic program that infuses character building, physical wellness and the arts. Students will graduate with the skills and knowledge necessary to succeed in higher learning institutions and have the capability to make a positive contribution to society.

Financial Statement presentation

The financial statements of the Charter School have been prepared on the accrual basis of accounting in conformity with accounting principles generally accepted in the United States of America (GAAP). The Charter School reports information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets and permanently restricted net assets.

These classes of net assets are defined as follows:

*Permanently restricted* – Net assets resulting from contributions and other inflows of assets whose use by the Charter School is limited by donor-imposed stipulations that neither expire by passage of time nor can be fulfilled or otherwise removed by actions of the Charter School. The Charter School had no permanently restricted net assets at June 30, 2016 or 2015.

*Temporarily restricted* – Net assets resulting from contributions and other inflows of assets whose use by the Charter School is limited by donor-imposed stipulations that either expire by passage of time or can be fulfilled and removed by actions of the Charter School pursuant to those stipulations. The Charter School had \$2,476 of temporarily restricted net assets at June 30, 2016. These assets are restricted for facility renovations and improvements. The Charter School had no temporarily restricted net assets at June 30, 2015.

*Unrestricted* – The net assets over which the Governing Board has discretionary control to use in carrying on the Charter School’s operations in accordance with the guidelines established by the Charter School. The Board may designate portions of the current unrestricted net assets for specific purposes, projects or investment.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS, Cont'd

JUNE 30, 2016

(With Comparative Totals For 2015)

NOTE A: THE CHARTER SCHOOL AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES,

Cont'd

Revenue and support recognition

Revenue from state and local governments resulting from the Charter School's charter status and based on the number of students enrolled is recorded when services are performed in accordance with the charter agreement.

Revenue from federal, state and local government grants and contracts are recorded by the Charter School when qualifying expenditures are incurred and billable.

Contributions are recognized as revenue in the year the pledge is received and documented.

Contributions

Contributions and unconditional promises to give are recorded as unrestricted, temporarily or permanently restricted support depending on the existence of any donor restrictions. A contribution that is received and expended in the same year for a specific purpose is classified as unrestricted revenue.

Contributions are recorded as restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities and changes in net assets as net assets released from restrictions.

Cash

Cash balances are maintained at a financial institution located in New York and are insured by the FDIC up to \$250,000 at that institution. In the normal course of business, the cash account balances at any given time may exceed insured limits. However, the Charter School has not experienced any losses in such accounts and does not believe it is exposed to significant risk in cash.

Cash in escrow

The Charter School maintains cash in an escrow account, pursuant to its Charter Agreement, to pay off expenses in the event of dissolution of the Charter School. The amount in escrow was \$75,000 at June 30, 2016 and 2015.

Grants and other receivables

Grants and other receivables are stated at the amount management expects to collect from outstanding balances. Management provides for probable uncollectible amounts based on its assessment of the current status of individual receivables from grants, agencies and others. Balances that are still outstanding after management has used reasonable collection efforts are written off against the allowance for doubtful accounts. There was no allowance for doubtful accounts at June 30, 2016 or 2015.

Property and equipment

Property and equipment are recorded at cost. Depreciation is computed using the straight-line method on a basis considered adequate to depreciate the assets over their estimated useful lives which range from five to fifteen years. Leasehold improvements are being amortized over the term of the lease.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS, Cont'd

JUNE 30, 2016

(With Comparative Totals For 2015)

NOTE A: THE CHARTER SCHOOL AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES,  
Cont'd

Deferred lease liability

The Charter School leases its facility. The lease contains pre-determined fixed escalations of the base rent. In accordance with GAAP, the Charter School recognizes the related rent expense on a straight-line basis and records the difference between the recognized rental expense and the amounts paid under the lease as a deferred lease liability.

Deferred revenue

The Charter School records grant revenue as deferred revenue until it is expended for the purpose of the grant at which time it is recognized as revenue.

Tax exempt status

The Charter School is a tax-exempt organization under section 501(c)(3) of the Internal Revenue Code and applicable state regulations and, accordingly, is exempt from federal and state taxes on income.

Contributed services

The Charter school receives contributed services from volunteers to develop its academic program and to serve on the Board of Trustees. In addition, the Charter School received donated transportation services that were provided for the students from the local district. These services are not valued in the financial statements.

In-kind contributions

Gifts and donations other than cash are recorded at fair market value at the date of contribution. There were no in-kind contributions received during the years ended June 30, 2016 and 2015.

Marketing costs

The Charter School expenses marketing costs as they are incurred. Total marketing and recruiting costs approximated \$10,400 and \$1,100 for the years ended June 30, 2016 and 2015, respectively

Use of estimates in the preparation of financial statements

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

Comparatives for year ended June 30, 2015

The financial statements include certain prior year summarized comparative information in total but not by functional classification. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the Charter School's financial statements for the year ended June 30, 2015, from which the summarized information was derived.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS, Cont'd

JUNE 30, 2016

(With Comparative Totals For 2015)

NOTE A: THE CHARTER SCHOOL AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES,  
Cont'd

Reclassification

Certain prior year balances were reclassified to conform with the current year presentation.

Subsequent events

The Charter School has conducted an evaluation of potential subsequent events occurring after the statement of financial position date through October 19, 2016, which is the date the financial statements are available to be issued. No subsequent events requiring disclosure were noted.

NOTE B: SCHOOL FACILITY

Effective July 1, 2013, the Charter School entered into a fifteen year lease for its facilities through June 30, 2028. The Charter School's base rent for the year ended June 30, 2014 was \$350,000 per annum and the base rent will increase in accordance with the lease agreement. Rent expense totaled \$408,220 and \$408,224, for the years ended June 30, 2016 and 2015, respectively.

The future minimum payments on this agreement are as follows:

<u>Year ending June 30,</u>	<u>Amount</u>
2017	\$ 375,000
2018	375,000
2019	400,000
2020	408,000
2021	408,160
Thereafter	<u>2,857,143</u>
	<u>\$ 4,823,303</u>

In conjunction with this facility lease, the Charter School paid a security deposit of \$30,000, which is included in deposits on the accompanying statement of financial position at June 30, 2016 and 2015.

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS, Cont'd

JUNE 30, 2016

(With Comparative Totals For 2015)

NOTE C: OPERATING LEASE

The Charter School leases office equipment under a non-cancelable lease agreement expiring in June 2018. Lease expense was approximately \$29,000 and \$28,000, for the years ended June 30, 2016 and 2015, respectively. The future minimum payments on this agreement are as follows:

<u>Year ending June 30,</u>	<u>Amount</u>
2017	\$ 28,728
2018	<u>28,728</u>
	<u>\$ 57,456</u>

NOTE D: RETIREMENT PLAN

The Charter School sponsors a 403(b) retirement plan covering all regular employees. The Plan allows for the Charter School to make a discretionary employee matching contribution.

The Charter School did not make any contributions for the years ended June 30, 2016 or 2015.

NOTE E: CONTINGENCY

Certain grants and contracts may be subject to audit by funding sources. Such audits might result in disallowance of costs submitted for reimbursement by the Charter School. Management is of the opinion that such disallowances, if any, will not have a material effect on the accompanying financial statements. Accordingly, no amounts have been provided in the accompanying financial statements for such potential claims.

NOTE F: CONCENTRATIONS

At June 30, 2016 and 2015, approximately 100% and 84%, respectively, of grants and other receivables are due from New York State relating to certain grants.

For the years ended June 30, 2016 and 2015, 94% and 91%, respectively, of total operating revenue and support came from per-pupil funding provided by New York State. The per-pupil rate is set annually by the State based on the school district in which the Charter School's students are located.

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**REPORT REQUIRED BY GOVERNMENT AUDITING STANDARDS**

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING  
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL  
STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS

Board of Trustees  
Harbor Science and Arts Charter School

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Harbor Science and Arts Charter School, which comprise the statement of financial position as of June 30, 2016 and the related statements of activities and changes in net assets, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 19, 2016.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered Harbor Science and Arts Charter School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Harbor Science and Arts Charter School's internal control. Accordingly, we do not express an opinion on the effectiveness of Harbor Science and Arts Charter School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether Harbor Science and Arts Charter School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted a certain matter that we have reported to management of Harbor Science and Arts Charter School in a separate letter dated October 19, 2016.

### **Purpose of This Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*Mengel, Metzger, Barw & Co. LLP*

Rochester, New York  
October 19, 2016

## GENERAL INSTRUCTIONS FOR ANNUAL BUDGET/QUARTERLY REPORT

### TEMPLATE TABS

**1- GRAY tab contains the Instructions**

<a href="#">Instructions</a>	Provides description of tabs and input requirements.
<a href="#">Funding by District</a>	Charter School Tuition Rates

**2- BLUE tabs require input of information**

<a href="#">1.) Name of School</a>	>Select school name from list. >Enter contact information.
<a href="#">2.) Enrollment</a>	Enter enrollment information for Annual Budget (& Revisions) and Quarterly Actuals. Includes: >Enrollment by Grade >Enrollment by District
<a href="#">3.) Staffing Plan</a>	Enter staffing plan information for Annual Budget (& Revisions) and Quarterly Actuals. Includes: >Full Time Equivalent (FTE), by Position Category, By Quarter
<a href="#">4.) Yearly Budget</a>	Enter Yearly Budget information. Includes: >"Pior Year" column may be completed based upon preliminary data, and adjusted with Annual Audited data when the Quarter 2 Actuals are being submitted. (Note: Quarterly Revenue allocation may be set) >Budgeted Enrollment data and Per Pupil Revenue for the current year are populated based upon input on tab "2.) Enrollment." >Budgeted FTE for current year is populated based upon input on tab "3.) Staffing Plan." >All other sources of revenue >All expenses >Budget Revisions, as necessary and <i>approved</i> by the school's Board of Directors, should be submitted when submitting Quarterly Actuals.
<a href="#">5.) Balance Sheet</a>	Enter Balance Sheet information for EdCorps. Separate schools merged into a primary EdCorp should NOT use this tab. >"Pior Year" column may be completed based upon preliminary data, and adjusted with Annual Audited data when the Quarter 2 Actuals are being submitted.
<a href="#">6.) Quarterly Report</a>	Enter Actual Quarterly Report information. Includes: >Actual Enrollment data and Per Pupil Revenue for the current year are populated based upon input on tab "2.) Enrollment." >Actual FTE for current year is populated based upon input on tab "3.) Staffing Plan." >All other sources of revenue >All expenses
<a href="#">7.) Annual Report Requirement</a>	Complete when submitting Actual Quarter 4.

### CELL COLORS & GUIDANCE COMMENTS

 = Enter information into the light BLUE shaded cells.

 = Cells labeled in ORANGE containe guidance regarding the input of information.

 = Cells containing RED triangles in the upper right corner contain "guidance comments" on that particular line item. Please "mouse-over" the triangle to reveal each comment.

**Charter Funding Alphabetical By NYS School District -  
\* (Sum of Charter School Basic Tuition and Supplemental Basic Tuition) -**

<b>District Code</b>	<b>School District Name</b>	<b>Final 2015-16 Basic Tuition*</b>	<b>Final 2016-17 Basic Tuition*</b>
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## ANNUAL BUDGET & QUARTERLY REPORT TEMPLATE

### Harbor Science and Arts Charter School -

#### SCHOOL

<b>Name:</b>	Harbor Science and Arts Charter School
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#### CONTACT INFORMATION -

<b>Contact Name:</b>	Mark Johnson
<b>Contact Title:</b>	Principal
<b>Contact Email:</b>	[REDACTED]
<b>Contact Phone:</b>	[REDACTED]

#### REPORT PERIOD -

<b>Current Academic Year:</b>	2016-17
<b>Prior Academic Year:</b>	Err:508



SCHOOL


**STAFFING PLAN F**

**\*NOTE:** Enter the number of FTE positions in the "blue" cells.

**\*NOTE:** If there are NO budget revisions at the time of quarterly submittal leave blank. If budget revisions ARE made, the entire "REVISED" budget columns for the affected

ADMINISTRATIVE PERSONNEL FTE	PRIOR YEAR Err:508 ACTUAL	ANNUAL BUDGETED FTE				
		Q1		Q2		Q3
		Original	Revised	Original	Revised	Original
Executive Management						
Instructional Management	2.0	4.0		4.0		4.0
Deans, Directors & Coordinators	2.0	3.0		3.0		3.0
CFO / Director of Finance						
Operation / Business Manager	1.0					
Administrative Staff	2.0					
<b>TOTAL ADMINISTRATIVE STAFF</b>	<b>7.0</b>	<b>7.0</b>	<b>0.0</b>	<b>7.0</b>	<b>0.0</b>	<b>7.0</b>

INSTRUCTIONAL PERSONNEL FTE	PRIOR YEAR Err:508 ACTUAL	ANNUAL BUDGETED FTE				
		Q1		Q2		Q3
		Original	Revised	Original	Revised	Original
Teachers - Regular	16.0	17.0		17.0		17.0
Teachers - SPED	2.0	2.0		2.0		2.0
Substitute Teachers	1.0	1.0		1.0		1.0
Teaching Assistants	5.0	4.0		4.0		4.0
Specialty Teachers						
Aides	1.0					
Therapists & Counselors	1.0	3.0		3.0		3.0
Other	1.0					
<b>TOTAL INSTRUCTIONAL</b>	<b>27.0</b>	<b>27.0</b>	<b>0.0</b>	<b>27.0</b>	<b>0.0</b>	<b>27.0</b>

NON INSTRUCTIONAL PERSONNEL FTE	PRIOR YEAR Err:508 ACTUAL	ANNUAL BUDGETED FTE				
		Q1		Q2		Q3
		Original	Revised	Original	Revised	Original
Nurse		0.5		0.5		0.5
Librarian						
Custodian	3.0	3.0		3.0		3.0
Security	1.0	1.0		1.0		1.0
Other	6.0	6.0		6.0		6.0
<b>TOTAL NON-INSTRUCTIONAL</b>	<b>10.0</b>	<b>10.5</b>	<b>0.0</b>	<b>10.5</b>	<b>0.0</b>	<b>10.5</b>

<b>TOTAL PERSONNEL SERVICE FTE</b>	<b>44.0</b>	<b>44.5</b>	<b>0.0</b>	<b>44.5</b>	<b>0.0</b>	<b>44.5</b>
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**AND ARTS CHARTER SCHOOL  
2016-17**

**FULL TIME EQUIVALENT ("FTE")**

**\*NOTE:** Enter the number of FTE positions in the "blue" cells.

The 'REVISED' Column(s) COMPLETELY BLANK. The quarter(s) must be completed on tabs 2, 3

**\*NOTE:** Each quarter, the actual FTE should be input.

ADMINISTRATIVE PERSONNEL FTE			
	3	Q4	
	Revised	Original	Revised
Executive Management			
Instructional Management		4.0	
Deans, Directors & Coordinators		3.0	
CFO / Director of Finance			
Operation / Business Manager			
Administrative Staff			
<b>TOTAL ADMINISTRATIVE STAFF</b>	0.0	7.0	0.0

ACTUAL QUARTERLY FTE			
Q1	Q2	Q3	Q4
Actual	Actual	Actual	Actual
0.0	0.0	0.0	0.0

INSTRUCTIONAL PERSONNEL FTE			
	3	Q4	
	Revised	Original	Revised
Teachers - Regular		17.0	
Teachers - SPED		2.0	
Substitute Teachers		1.0	
Teaching Assistants		4.0	
Specialty Teachers			
Aides			
Therapists & Counselors		3.0	
Other			
<b>TOTAL INSTRUCTIONAL</b>	0.0	27.0	0.0

ACTUAL QUARTERLY FTE			
Q1	Q2	Q3	Q4
Actual	Actual	Actual	Actual
0.0	0.0	0.0	0.0

NON INSTRUCTIONAL PERSONNEL FTE			
	3	Q4	
	Revised	Original	Revised
Nurse		0.5	
Librarian			
Custodian		3.0	
Security		1.0	
Other		6.0	
<b>TOTAL NON-INSTRUCTIONAL</b>	0.0	10.5	0.0

ACTUAL QUARTERLY FTE			
Q1	Q2	Q3	Q4
Actual	Actual	Actual	Actual
0.0	0.0	0.0	0.0

<b>TOTAL PERSONNEL SERVICE FTE</b>	0.0	44.5	0.0
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0.0	0.0	0.0	0.0
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**\*NOTE:** Enter the number of FTE positions in the "blue" cells.

**\*NOTE:** State the assumptions that are being made for personnel FTE levels.

ADMINISTRATIVE PERSONNEL FTE	Description of Assumptions
Executive Management	
Instructional Management	
Deans, Directors & Coordinators	
CFO / Director of Finance	
Operation / Business Manager	
Administrative Staff	
TOTAL ADMINISTRATIVE STAFF	

INSTRUCTIONAL PERSONNEL FTE	Description of Assumptions
Teachers - Regular	
Teachers - SPED	
Substitute Teachers	
Teaching Assistants	
Specialty Teachers	
Aides	
Therapists & Counselors	
Other	
TOTAL INSTRUCTIONAL	

NON INSTRUCTIONAL PERSONNEL FTE	Description of Assumptions
Nurse	
Librarian	
Custodian	
Security	
Other	
TOTAL NON-INSTRUCTIONAL	

<b>TOTAL PERSONNEL SERVICE FTE</b>	
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**HARBOR SCIENCE AND ARTS CHARTER S**  
**Budget / Operating Plan**  
**2016-17**

<b>Total Revenue</b>	4,116,450	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Expenses</b>	4,104,430	973,102	#NAME?	#NAME?	1,039,058	#NAME?	#NAME?	1,031,064
<b>Net Income</b>	12,020	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Actual Student Enrollment</b>	249	258	-	-	258	-	-	258
	<b>Prior Year Actual</b>	<b>1st Quarter - 7/1 - 9/30</b>			<b>2nd Quarter - 10/1 - 12/31</b>			<b>3rd Q</b>
	<b>Err:508</b>	<b>Original Budget</b>	<b>Revised Budget</b>	<b>Variance</b>	<b>Original Budget</b>	<b>Revised Budget</b>	<b>Variance</b>	<b>Original Budget</b>
<b>REVENUE</b>	Allocate Per Pupil Revenue by Quarter	<b>*NOTE: If there are NO budget revisions at the time of quarterly submittal leave If budget revisions ARE made, the entire "REVISED" budget columns for the affect</b>						
<b>REVENUE FROM STATE SOURCES</b>	2016-17 Per Pupil Rate	25.0%		25.0%		25.0%		25.0%
<b>Per Pupil Revenue</b>	PPR %/Qtr->	25.0%	25.0%		25.0%	25.0%		25.0%
NYC CHANCELLOR'S OFFICE	#NAME?	3,609,887	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
-	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
ALL OTHER School Districts: ( Weighted Avg )	#N/A		#N/A	#NAME?	#N/A	#N/A	#NAME?	#N/A
TOTAL Per Pupil Revenue (Weighted Average Per Pupil Funding)	#NAME?	3,609,887	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
Special Education Revenue		166,240	64,938		64,938			64,938
Grants								
Stimulus				#NAME?			#NAME?	
DYCD (Department of Youth and Community Development)				#NAME?			#NAME?	
Other				#NAME?			#NAME?	
Other				#NAME?			#NAME?	
<b>TOTAL REVENUE FROM STATE SOURCES</b>		3,776,127	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>REVENUE FROM FEDERAL FUNDING</b>								
IDEA Special Needs		26,577			#NAME?		#NAME?	
Title I		74,029	8,400		#NAME?	25,200	#NAME?	25,200
Title Funding - Other		12,610	2,461		#NAME?	7,383	#NAME?	7,383
School Food Service (Free Lunch)					#NAME?		#NAME?	
Grants								
Charter School Program (CSP) Planning & Implementation					#NAME?		#NAME?	
Other			15,000		#NAME?	45,000	#NAME?	45,000
Other		169,947			#NAME?		#NAME?	
<b>TOTAL REVENUE FROM FEDERAL SOURCES</b>		283,163	25,861	-	#NAME?	77,583	-	#NAME?
<b>LOCAL and OTHER REVENUE</b>								
Contributions and Donations		26,000			#NAME?		#NAME?	
Fundraising		31,000	250		#NAME?	13,583	#NAME?	3,583
Erate Reimbursement					#NAME?		#NAME?	
Earnings on Investments					#NAME?		#NAME?	
Interest Income		160	45		#NAME?	45	#NAME?	44
Food Service (Income from meals)					#NAME?		#NAME?	
Text Book					#NAME?		#NAME?	
OTHER					#NAME?		#NAME?	
<b>TOTAL REVENUE FROM LOCAL and OTHER SOURCES</b>		57,160	295	-	#NAME?	13,628	-	#NAME?
<b>TOTAL REVENUE</b>		4,116,450	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?

**HARBOR SCIENCE AND ARTS CHARTER S  
Budget / Operating Plan -  
2016-17 -**

<b>Total Revenue</b>	4,116,450	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Expenses</b>	4,104,430	973,102	#NAME?	#NAME?	1,039,058	#NAME?	#NAME?	1,031,064
<b>Net Income</b>	12,020	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Actual Student Enrollment</b>	249	258	-	-	258	-	-	258

	Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
	Err:508	Original Budget	Revised Budget	Variance	Original Budget	Revised Budget	Variance	Original Budget

**EXPENSES**

**ADMINISTRATIVE STAFF PERSONNEL COSTS**

Avg. No. of Positions

Executive Management	-			#NAME?			#NAME?	
Instructional Management	4.00	264,529	100,000		100,000		#NAME?	100,000
Deans, Directors & Coordinators	3.00	156,818	16,250		16,250		#NAME?	16,250
CFO / Director of Finance	-			#NAME?			#NAME?	
Operation / Business Manager	-	87,602					#NAME?	
Administrative Staff	-	11,588					#NAME?	
<b>TOTAL ADMINISTRATIVE STAFF</b>	7.00	520,537	116,250	-	116,250	-	#NAME?	116,250

**INSTRUCTIONAL PERSONNEL COSTS**

Teachers - Regular	17.00	989,955	279,140		279,140		#NAME?	279,141
Teachers - SPED	2.00	147,830	27,409		27,409		#NAME?	27,409
Substitute Teachers	1.00	1,100	275		275		#NAME?	275
Teaching Assistants	4.00	167,413	37,222		37,222		#NAME?	37,222
Specialty Teachers	-			#NAME?			#NAME?	
Aides	-	38,192					#NAME?	
Therapists & Counselors	3.00	67,027	47,055		47,055		#NAME?	47,054
Other	-	100,000	17,500		17,500		#NAME?	17,500
<b>TOTAL INSTRUCTIONAL</b>	27.00	1,511,517	408,601	-	408,601	-	#NAME?	408,601

**NON-INSTRUCTIONAL PERSONNEL COSTS**

Nurse	0.50			#NAME?			#NAME?	
Librarian	-			#NAME?			#NAME?	
Custodian	3.00	77,590	24,081		24,081		#NAME?	24,081
Security	1.00	56,650	14,587		14,587		#NAME?	14,588
Other	6.00	299,081	62,991		62,992		#NAME?	62,992
<b>TOTAL NON-INSTRUCTIONAL</b>	10.50	433,321	101,659	-	101,660	-	#NAME?	101,661

**SUBTOTAL PERSONNEL SERVICE COSTS**

	44.50	2,465,375	626,510	-	626,511	-	#NAME?	626,512
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**PAYROLL TAXES AND BENEFITS**

Payroll Taxes		205,229	27,569		60,257		#NAME?	60,257
Fringe / Employee Benefits		373,822	96,175		96,175		#NAME?	96,175
Retirement / Pension		3,708	930		930		#NAME?	930
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		582,759	124,674	-	157,362	-	#NAME?	157,362

**TOTAL PERSONNEL SERVICE COSTS**

	44.50	3,048,134	751,184	-	783,873	-	#NAME?	783,874
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**CONTRACTED SERVICES**

Accounting / Audit		18,200	6,250		6,250		#NAME?	6,250
Legal				#NAME?			#NAME?	
Management Company Fee		135,000	33,750		33,750		#NAME?	33,750
Nurse Services				#NAME?			#NAME?	
Food Service / School Lunch				#NAME?			#NAME?	
Payroll Services		7,600	1,900		1,900		#NAME?	1,900
Special Ed Services				#NAME?			#NAME?	
Titlement Services (i.e. Title I)				#NAME?			#NAME?	
Other Purchased / Professional / Consulting		21,000	5,250		5,250		#NAME?	5,250
<b>TOTAL CONTRACTED SERVICES</b>		181,800	47,150	-	47,150	-	#NAME?	47,150







		CHOOOL				
<b>Total Revenue</b>		#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Expenses</b>		#NAME?	#NAME?	1,138,387	#NAME?	#NAME?
<b>Net Income</b>		#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Actual Student Enrollment</b>		-	-	258	-	-
		Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
		<b>Revised Budget</b>	<b>Variance</b>	<b>Original Budget</b>	<b>Revised Budget</b>	<b>Variance</b>
<b>EXPENSES</b>						
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>		Avg. No. of Positions				
Executive Management	-	#NAME?				#NAME?
Instructional Management	4.00	#NAME?	100,000			#NAME?
Deans, Directors & Coordinators	3.00	#NAME?	16,250			#NAME?
CFO / Director of Finance	-	#NAME?				#NAME?
Operation / Business Manager	-	#NAME?				#NAME?
Administrative Staff	-	#NAME?				#NAME?
<b>TOTAL ADMINISTRATIVE STAFF</b>	<b>7.00</b>	-	#NAME?	116,250	-	#NAME?
<b>INSTRUCTIONAL PERSONNEL COSTS</b>						
Teachers - Regular	17.00	#NAME?	279,141			#NAME?
Teachers - SPED	2.00	#NAME?	27,409			#NAME?
Substitute Teachers	1.00	#NAME?	275			#NAME?
Teaching Assistants	4.00	#NAME?	37,223			#NAME?
Specialty Teachers	-	#NAME?				#NAME?
Aides	-	#NAME?				#NAME?
Therapists & Counselors	3.00	#NAME?	47,054			#NAME?
Other	-	#NAME?	17,500			#NAME?
<b>TOTAL INSTRUCTIONAL</b>	<b>27.00</b>	-	#NAME?	408,602	-	#NAME?
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>						
Nurse	0.50	#NAME?				#NAME?
Librarian	-	#NAME?				#NAME?
Custodian	3.00	#NAME?	24,082			#NAME?
Security	1.00	#NAME?	14,588			#NAME?
Other	6.00	#NAME?	62,992			#NAME?
<b>TOTAL NON-INSTRUCTIONAL</b>	<b>10.50</b>	-	#NAME?	101,662	-	#NAME?
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>	-	#NAME?	626,514	-	#NAME?
<b>PAYROLL TAXES AND BENEFITS</b>						
Payroll Taxes		#NAME?	60,257			#NAME?
Fringe / Employee Benefits		#NAME?	96,175			#NAME?
Retirement / Pension		#NAME?	930			#NAME?
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		-	#NAME?	157,362	-	#NAME?
<b>TOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>	-	#NAME?	783,876	-	#NAME?
<b>CONTRACTED SERVICES</b>						
Accounting / Audit		#NAME?	6,250			#NAME?
Legal		#NAME?				#NAME?
Management Company Fee		#NAME?	33,750			#NAME?
Nurse Services		#NAME?				#NAME?
Food Service / School Lunch		#NAME?				#NAME?
Payroll Services		#NAME?	1,900			#NAME?
Special Ed Services		#NAME?				#NAME?
Titlement Services (i.e. Title I)		#NAME?				#NAME?
Other Purchased / Professional / Consulting		#NAME?	5,250			#NAME?
<b>TOTAL CONTRACTED SERVICES</b>		-	#NAME?	47,150	-	#NAME?

		SCHOOL				
<b>Total Revenue</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
<b>Total Expenses</b>	#NAME?	#NAME?	1,138,387	#NAME?	#NAME?	
<b>Net Income</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
<b>Actual Student Enrollment</b>	-	-	258	-	-	
		Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
		<b>Revised Budget</b>	<b>Variance</b>	<b>Original Budget</b>	<b>Revised Budget</b>	
					<b>Variance</b>	
<b>SCHOOL OPERATIONS</b>						
Board Expenses		#NAME?	210		#NAME?	
Classroom / Teaching Supplies & Materials		#NAME?	3,260		#NAME?	
Special Ed Supplies & Materials		#NAME?			#NAME?	
Textbooks / Workbooks		#NAME?	22,882		#NAME?	
Supplies & Materials other		#NAME?	6,009		#NAME?	
Equipment / Furniture		#NAME?	9,500		#NAME?	
Telephone		#NAME?	1,447		#NAME?	
Technology		#NAME?	3,830		#NAME?	
Student Testing & Assessment		#NAME?	1,800		#NAME?	
Field Trips		#NAME?	6,150		#NAME?	
Transportation (student)		#NAME?			#NAME?	
Student Services - other		#NAME?	2,148		#NAME?	
Office Expense		#NAME?	5,375		#NAME?	
Staff Development		#NAME?	3,165		#NAME?	
Staff Recruitment		#NAME?	375		#NAME?	
Student Recruitment / Marketing		#NAME?			#NAME?	
School Meals / Lunch		#NAME?	39,000		#NAME?	
Travel (Staff)		#NAME?	125		#NAME?	
Fundraising		#NAME?			#NAME?	
Other		#NAME?	537		#NAME?	
<b>TOTAL SCHOOL OPERATIONS</b>	-	#NAME?	105,813	-	#NAME?	
<b>FACILITY OPERATION &amp; MAINTENANCE</b>						
Insurance		#NAME?	12,000		#NAME?	
Janitorial		#NAME?			#NAME?	
Building and Land Rent / Lease / Facility Finance Interest		#NAME?	132,548		#NAME?	
Repairs & Maintenance		#NAME?	7,500		#NAME?	
Equipment / Furniture		#NAME?			#NAME?	
Security		#NAME?			#NAME?	
Utilities		#NAME?	14,500		#NAME?	
<b>TOTAL FACILITY OPERATION &amp; MAINTENANCE</b>	-	#NAME?	166,548	-	#NAME?	
<b>DEPRECIATION &amp; AMORTIZATION</b>		#NAME?	35,000		#NAME?	
<b>RESERVES / CONTINGENCY</b>		#NAME?			#NAME?	
<b>TOTAL EXPENSES</b>	-	#NAME?	1,138,387	-	#NAME?	
<b>NET INCOME</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	



		HARBOR SCIENCE AND ARTS Budget / Operating Plan 2016-17				
Total Revenue	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
Total Expenses	4,181,612	#NAME?	#NAME?	(77,182)	#NAME?	
Net Income	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
Actual Student Enrollment						
		Total Year			VARIANCE	
		Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget
<b>REVENUE</b>						
<b>REVENUES FROM STATE SOURCES</b>						
		2016-17				
Per Pupil Revenue		Per Pupil Rate				
NYC CHANCELLOR'S OFFICE	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
-	#N/A	#N/A	#NAME?	#NAME?	#N/A	
ALL OTHER School Districts: ( Weighted Avg )	#N/A	#N/A	#NAME?	#NAME?	#N/A	
TOTAL Per Pupil Revenue (Weighted Average Per Pupil Funding)	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
Special Education Revenue	259,752	#NAME?	#NAME?	93,512	#NAME?	
Grants						
Stimulus	-	#NAME?	#NAME?	-	#NAME?	
DYCD (Department of Youth and Community Development)	-	#NAME?	#NAME?	-	#NAME?	
Other	-	#NAME?	#NAME?	-	#NAME?	
Other	-	#NAME?	#NAME?	-	#NAME?	
TOTAL REVENUE FROM STATE SOURCES	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	
<b>REVENUE FROM FEDERAL FUNDING</b>						
IDEA Special Needs	26,557	#NAME?	#NAME?	(20)	#NAME?	
Title I	84,000	#NAME?	#NAME?	9,971	#NAME?	
Title Funding - Other	24,610	#NAME?	#NAME?	12,000	#NAME?	
School Food Service (Free Lunch)	-	#NAME?	#NAME?	-	#NAME?	
Grants						
Charter School Program (CSP) Planning & Implementation	-	#NAME?	#NAME?	-	#NAME?	
Other	169,947	#NAME?	#NAME?	169,947	#NAME?	
Other	-	#NAME?	#NAME?	(169,947)	#NAME?	
TOTAL REVENUE FROM FEDERAL SOURCES	305,114	#NAME?	#NAME?	21,951	#NAME?	
<b>LOCAL and OTHER REVENUE</b>						
Contributions and Donations	-	#NAME?	#NAME?	(26,000)	#NAME?	
Fundraising	31,000	#NAME?	#NAME?	-	#NAME?	
Erate Reimbursement	-	#NAME?	#NAME?	-	#NAME?	
Earnings on Investments	-	#NAME?	#NAME?	-	#NAME?	
Interest Income	178	#NAME?	#NAME?	18	#NAME?	
Food Service (Income from meals)	-	#NAME?	#NAME?	-	#NAME?	
Text Book	-	#NAME?	#NAME?	-	#NAME?	
OTHER	-	#NAME?	#NAME?	-	#NAME?	
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	31,178	#NAME?	#NAME?	(25,982)	#NAME?	
<b>TOTAL REVENUE</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?	

**HARBOR SCIENCE AND ARTS**  
**Budget / Operating Plan -**  
**2016-17 -**

<b>Total Revenue</b>		#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Expenses</b>		4,181,612	#NAME?	#NAME?	(77,182)
<b>Net Income</b>		#NAME?	#NAME?	#NAME?	#NAME?
<b>Actual Student Enrollment</b>					
		<b>Total Year</b>		<b>VARIANCE</b>	
		<b>Original Budget</b>	<b>Revised Budget</b>	<b>Variance</b>	
				<b>Original Budget vs. PY Budget</b>	<b>Revised Budget vs. PY Budget</b>
<b>EXPENSES</b>					
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>	Avg. No. of Positions				
Executive Management	-	-	#NAME?	#NAME?	-
Instructional Management	4.00	400,000	#NAME?	#NAME?	(135,471)
Deans, Directors & Coordinators	3.00	65,000	#NAME?	#NAME?	91,818
CFO / Director of Finance	-	-	#NAME?	#NAME?	-
Operation / Business Manager	-	-	#NAME?	#NAME?	87,602
Administrative Staff	-	-	#NAME?	#NAME?	11,588
<b>TOTAL ADMINISTRATIVE STAFF</b>	<b>7.00</b>	<b>465,000</b>	#NAME?	#NAME?	<b>55,537</b>
<b>INSTRUCTIONAL PERSONNEL COSTS</b>					
Teachers - Regular	17.00	1,116,562	#NAME?	#NAME?	(126,607)
Teachers - SPED	2.00	109,636	#NAME?	#NAME?	38,194
Substitute Teachers	1.00	1,100	#NAME?	#NAME?	-
Teaching Assistants	4.00	148,889	#NAME?	#NAME?	18,524
Specialty Teachers	-	-	#NAME?	#NAME?	-
Aides	-	-	#NAME?	#NAME?	38,192
Therapists & Counselors	3.00	188,218	#NAME?	#NAME?	(121,191)
Other	-	70,000	#NAME?	#NAME?	30,000
<b>TOTAL INSTRUCTIONAL</b>	<b>27.00</b>	<b>1,634,405</b>	#NAME?	#NAME?	<b>(122,888)</b>
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>					
Nurse	0.50	-	#NAME?	#NAME?	-
Librarian	-	-	#NAME?	#NAME?	-
Custodian	3.00	96,325	#NAME?	#NAME?	(18,735)
Security	1.00	58,350	#NAME?	#NAME?	(1,700)
Other	6.00	251,967	#NAME?	#NAME?	47,114
<b>TOTAL NON-INSTRUCTIONAL</b>	<b>10.50</b>	<b>406,642</b>	#NAME?	#NAME?	<b>26,679</b>
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>	<b>2,506,047</b>	#NAME?	#NAME?	<b>(40,672)</b>
<b>PAYROLL TAXES AND BENEFITS</b>					
Payroll Taxes		208,340	#NAME?	#NAME?	(3,111)
Fringe / Employee Benefits		384,700	#NAME?	#NAME?	(10,878)
Retirement / Pension		3,720	#NAME?	#NAME?	(12)
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		<b>596,760</b>	#NAME?	#NAME?	<b>(14,001)</b>
<b>TOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>	<b>3,102,807</b>	#NAME?	#NAME?	<b>(54,673)</b>
<b>CONTRACTED SERVICES</b>					
Accounting / Audit		25,000	#NAME?	#NAME?	(6,800)
Legal		-	#NAME?	#NAME?	-
Management Company Fee		135,000	#NAME?	#NAME?	-
Nurse Services		-	#NAME?	#NAME?	-
Food Service / School Lunch		-	#NAME?	#NAME?	-
Payroll Services		7,600	#NAME?	#NAME?	-
Special Ed Services		-	#NAME?	#NAME?	-
Titlement Services (i.e. Title I)		-	#NAME?	#NAME?	-
Other Purchased / Professional / Consulting		21,000	#NAME?	#NAME?	-
<b>TOTAL CONTRACTED SERVICES</b>		<b>188,600</b>	#NAME?	#NAME?	<b>(6,800)</b>

<b>HARBOR SCIENCE AND ARTS</b>					
<b>Budget / Operating Plan</b>					
<b>2016-17</b>					
<b>Total Revenue</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Expenses</b>	<b>4,181,612</b>	#NAME?	#NAME?	<b>(77,182)</b>	#NAME?
<b>Net Income</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Actual Student Enrollment</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
<b>Total Year</b>					
			<b>VARIANCE</b>		
<b>Original Budget</b>	<b>Revised Budget</b>	<b>Variance</b>	<b>Original Budget vs. PY Budget</b>	<b>Revised Budget vs. PY Budget</b>	
<b>SCHOOL OPERATIONS</b>					
Board Expenses	700	#NAME?	#NAME?	-	#NAME?
Classroom / Teaching Supplies & Materials	12,000	#NAME?	#NAME?	(4,000)	#NAME?
Special Ed Supplies & Materials	-	#NAME?	#NAME?	-	#NAME?
Textbooks / Workbooks	34,620	#NAME?	#NAME?	(12,500)	#NAME?
Supplies & Materials other	7,828	#NAME?	#NAME?	(1)	#NAME?
Equipment / Furniture	38,000	#NAME?	#NAME?	(2,000)	#NAME?
Telephone	5,788	#NAME?	#NAME?	-	#NAME?
Technology	15,320	#NAME?	#NAME?	(1,010)	#NAME?
Student Testing & Assessment	6,000	#NAME?	#NAME?	659	#NAME?
Field Trips	9,600	#NAME?	#NAME?	-	#NAME?
Transportation (student)	-	#NAME?	#NAME?	-	#NAME?
Student Services - other	10,300	#NAME?	#NAME?	-	#NAME?
Office Expense	21,500	#NAME?	#NAME?	(4,950)	#NAME?
Staff Development	9,180	#NAME?	#NAME?	-	#NAME?
Staff Recruitment	1,500	#NAME?	#NAME?	-	#NAME?
Student Recruitment / Marketing	-	#NAME?	#NAME?	-	#NAME?
School Meals / Lunch	130,000	#NAME?	#NAME?	2,770	#NAME?
Travel (Staff)	500	#NAME?	#NAME?	-	#NAME?
Fundraising	4,000	#NAME?	#NAME?	-	#NAME?
Other	2,149	#NAME?	#NAME?	(0)	#NAME?
<b>TOTAL SCHOOL OPERATIONS</b>	<b>308,985</b>	#NAME?	#NAME?	<b>(21,032)</b>	#NAME?
<b>FACILITY OPERATION &amp; MAINTENANCE</b>					
Insurance	48,000	#NAME?	#NAME?	(11,944)	#NAME?
Janitorial	-	#NAME?	#NAME?	-	#NAME?
Building and Land Rent / Lease / Facility Finance Interest	410,220	#NAME?	#NAME?	18,439	#NAME?
Repairs & Maintenance	30,000	#NAME?	#NAME?	11,926	#NAME?
Equipment / Furniture	-	#NAME?	#NAME?	-	#NAME?
Security	-	#NAME?	#NAME?	-	#NAME?
Utilities	58,000	#NAME?	#NAME?	2,402	#NAME?
<b>TOTAL FACILITY OPERATION &amp; MAINTENANCE</b>	<b>546,220</b>	#NAME?	#NAME?	<b>20,823</b>	#NAME?
<b>DEPRECIATION &amp; AMORTIZATION</b>	<b>35,000</b>	#NAME?	#NAME?	<b>(15,500)</b>	#NAME?
<b>RESERVES / CONTINGENCY</b>	<b>-</b>	#NAME?	#NAME?	<b>-</b>	#NAME?
<b>TOTAL EXPENSES</b>	<b>4,181,612</b>	#NAME?	#NAME?	<b>(77,182)</b>	#NAME?
<b>NET INCOME</b>	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?

**HARBOR SCIENCE AND ARTS  
Budget / Operating Plan  
2016-17**

Total Revenue	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
Total Expenses	4,181,612	#NAME?	#NAME?	(77,182)	#NAME?
Net Income	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?
Actual Student Enrollment					

	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget

**ENROLLMENT - \*School Districts Are Linked To Above Entries\***

**Number of Districts:**

NYC CHANCELLOR'S OFFICE

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ALL OTHER School Districts: ( Weighted Avg )

**TOTAL ENROLLMENT**

**REVENUE PER PUPIL**

**EXPENSES PER PUPIL**



**CHARTER SCHOOL**

Total Revenue  
 Total Expenses  
 Net Income  
 Actual Student Enrollment

**DESCRIPTION OF ASSUMPTIONS**

**EXPENSES**

<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>	Avg. No. of Positions
Executive Management	-
Instructional Management	4.00
Deans, Directors & Coordinators	3.00
CFO / Director of Finance	-
Operation / Business Manager	-
Administrative Staff	-
<b>TOTAL ADMINISTRATIVE STAFF</b>	<b>7.00</b>

<b>INSTRUCTIONAL PERSONNEL COSTS</b>	
Teachers - Regular	17.00
Teachers - SPED	2.00
Substitute Teachers	1.00
Teaching Assistants	4.00
Specialty Teachers	-
Aides	-
Therapists & Counselors	3.00
Other	-
<b>TOTAL INSTRUCTIONAL</b>	<b>27.00</b>

<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>	
Nurse	0.50
Librarian	-
Custodian	3.00
Security	1.00
Other	6.00
<b>TOTAL NON-INSTRUCTIONAL</b>	<b>10.50</b>

<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>
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<b>PAYROLL TAXES AND BENEFITS</b>	
Payroll Taxes	
Fringe / Employee Benefits	
Retirement / Pension	
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>	

<b>TOTAL PERSONNEL SERVICE COSTS</b>	<b>44.50</b>
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<b>CONTRACTED SERVICES</b>	
Accounting / Audit	
Legal	
Management Company Fee	
Nurse Services	
Food Service / School Lunch	
Payroll Services	
Special Ed Services	
Titlement Services (i.e. Title I)	
Other Purchased / Professional / Consulting	
<b>TOTAL CONTRACTED SERVICES</b>	

**CHARTER SCHOOL**

**Total Revenue**  
**Total Expenses**  
**Net Income**  
**Actual Student Enrollment**

**DESCRIPTION OF ASSUMPTIONS**

**SCHOOL OPERATIONS**  
Board Expenses  
Classroom / Teaching Supplies & Materials  
Special Ed Supplies & Materials  
Textbooks / Workbooks  
Supplies & Materials other  
Equipment / Furniture  
Telephone  
Technology  
Student Testing & Assessment  
Field Trips  
Transportation (student)  
Student Services - other  
Office Expense  
Staff Development  
Staff Recruitment  
Student Recruitment / Marketing  
School Meals / Lunch  
Travel (Staff)  
Fundraising  
Other  
TOTAL SCHOOL OPERATIONS

**FACILITY OPERATION & MAINTENANCE**  
Insurance  
Janitorial  
Building and Land Rent / Lease / Facility Finance Interest  
Repairs & Maintenance  
Equipment / Furniture  
Security  
Utilities  
TOTAL FACILITY OPERATION & MAINTENANCE

**DEPRECIATION & AMORTIZATION -**  
**RESERVES / CONTINGENCY -**

**TOTAL EXPENSES**  
**NET INCOME**

	<b>CHARTER SCHOOL</b>
<b>Total Revenue</b> <b>Total Expenses</b> <b>Net Income</b> <b>Actual Student Enrollment</b>	<b>DESCRIPTION OF ASSUMPTIONS</b>
<b>ENROLLMENT - *School Districts Are Linked To Above Entries*</b> <b>Number of Districts:</b> NYC CHANCELLOR'S OFFICE - - - - - - - - - - - - - - ALL OTHER School Districts: ( Weighted Avg ) <b>TOTAL ENROLLMENT</b>  <b>REVENUE PER PUPIL</b>  <b>EXPENSES PER PUPIL</b>	

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL  
BALANCE SHEET  
2016-17**

	<u>Prior Year</u>	Q1	Q2	Q3	Q4
	<u>Err:508</u>	<u>As of 9/30</u>	<u>As of 12/31</u>	<u>As of 3/31</u>	<u>As of 6/30</u>
<b><u>ASSETS</u></b>					
<b>CURRENT ASSETS</b>					
Cash and cash equivalents	-	-	-	-	-
Grants and contracts receivable	-	-	-	-	-
Accounts receivables	-	-	-	-	-
Prepaid Expenses	-	-	-	-	-
Contributions and other receivables	-	-	-	-	-
<b>TOTAL CURRENT ASSETS</b>	-	-	-	-	-
<b>PROPERTY, BUILDING AND EQUIPMENT, net</b>	-	-	-	-	-
<b>OTHER ASSETS</b>	-	-	-	-	-
<b>TOTAL ASSETS</b>	-	-	-	-	-
<b><u>LIABILITIES AND NET ASSETS</u></b>					
<b>CURRENT LIABILITIES</b>					
Accounts payable and accrued expenses	-	-	-	-	-
Accrued payroll and benefits	-	-	-	-	-
Deferred Revenue	-	-	-	-	-
Current maturities of long-term debt	-	-	-	-	-
Short Term Debt - Bonds, Notes Payable	-	-	-	-	-
Other	-	-	-	-	-
<b>TOTAL CURRENT LIABILITIES</b>	-	-	-	-	-
<b>LONG-TERM DEBT and NOTES PAYABLE, net current maturities</b>	-	-	-	-	-
<b>TOTAL LIABILITIES</b>	-	-	-	-	-
<b>NET ASSETS</b>					
Unrestricted	-	-	-	-	-
Temporarily restricted	-	-	-	-	-
<b>TOTAL NET ASSETS</b>	-	-	-	-	-
<b>TOTAL LIABILITIES AND NET ASSETS</b>	-	-	-	-	-



2016-17

Total Revenue	-	#NAME?	-	-	#NAME?	-	-
Total Expenses	-	#NAME?	-	-	#NAME?	-	-
Net Income	-	#NAME?	-	-	#NAME?	-	-
Actual Student Enrollment	-	#NAME?	-	-	#NAME?	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual

EXPENSES	Quarter 0 No. of Positions	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
		Actual	Current Budget	Variance	Actual	Current Budget	Variance	
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>								
Executive Management	#NAME?		#NAME?	-		#NAME?	-	
Instructional Management	#NAME?		#NAME?	-		#NAME?	-	
Deans, Directors & Coordinators	#NAME?		#NAME?	-		#NAME?	-	
CFO / Director of Finance	#NAME?		#NAME?	-		#NAME?	-	
Operation / Business Manager	#NAME?		#NAME?	-		#NAME?	-	
Administrative Staff	#NAME?		#NAME?	-		#NAME?	-	
<b>TOTAL ADMINISTRATIVE STAFF</b>	#NAME?	-	#NAME?	-	-	#NAME?	-	
<b>INSTRUCTIONAL PERSONNEL COSTS</b>								
Teachers - Regular	#NAME?		#NAME?	-		#NAME?	-	
Teachers - SPED	#NAME?		#NAME?	-		#NAME?	-	
Substitute Teachers	#NAME?		#NAME?	-		#NAME?	-	
Teaching Assistants	#NAME?		#NAME?	-		#NAME?	-	
Specialty Teachers	#NAME?		#NAME?	-		#NAME?	-	
Aides	#NAME?		#NAME?	-		#NAME?	-	
Therapists & Counselors	#NAME?		#NAME?	-		#NAME?	-	
Other	#NAME?		#NAME?	-		#NAME?	-	
<b>TOTAL INSTRUCTIONAL</b>	#NAME?	-	#NAME?	-	-	#NAME?	-	
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>								
Nurse	#NAME?		#NAME?	-		#NAME?	-	
Librarian	#NAME?		#NAME?	-		#NAME?	-	
Custodian	#NAME?		#NAME?	-		#NAME?	-	
Security	#NAME?		#NAME?	-		#NAME?	-	
Other	#NAME?		#NAME?	-		#NAME?	-	
<b>TOTAL NON-INSTRUCTIONAL</b>	#NAME?	-	#NAME?	-	-	#NAME?	-	
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	#NAME?	-	#NAME?	-	-	#NAME?	-	
<b>PAYROLL TAXES AND BENEFITS</b>								
Payroll Taxes			#NAME?	-		#NAME?	-	
Fringe / Employee Benefits			#NAME?	-		#NAME?	-	
Retirement / Pension			#NAME?	-		#NAME?	-	
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		-	#NAME?	-	-	#NAME?	-	
<b>TOTAL PERSONNEL SERVICE COSTS</b>	#NAME?	-	#NAME?	-	-	#NAME?	-	
<b>CONTRACTED SERVICES</b>								
Accounting / Audit			#NAME?	-		#NAME?	-	
Legal			#NAME?	-		#NAME?	-	
Management Company Fee			#NAME?	-		#NAME?	-	
Nurse Services			#NAME?	-		#NAME?	-	
Food Service / School Lunch			#NAME?	-		#NAME?	-	
Payroll Services			#NAME?	-		#NAME?	-	
Special Ed Services			#NAME?	-		#NAME?	-	
Titlement Services (i.e. Title I)			#NAME?	-		#NAME?	-	
Other Purchased / Professional / Consulting			#NAME?	-		#NAME?	-	
<b>TOTAL CONTRACTED SERVICES</b>		-	#NAME?	-	-	#NAME?	-	

HARBOR SCIENCE AND ARTS CHAF  
Budget / Operating Plan

2016-17

Total Revenue	-	#NAME?	-	-	#NAME?	-	-
Total Expenses	-	#NAME?	-	-	#NAME?	-	-
Net Income	-	#NAME?	-	-	#NAME?	-	-
Actual Student Enrollment	-	#NAME?	-	-	#NAME?	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual
	<b>SCHOOL OPERATIONS</b>						
Board Expenses		#NAME?	-		#NAME?	-	
Classroom / Teaching Supplies & Materials		#NAME?	-		#NAME?	-	
Special Ed Supplies & Materials		#NAME?	-		#NAME?	-	
Textbooks / Workbooks		#NAME?	-		#NAME?	-	
Supplies & Materials other		#NAME?	-		#NAME?	-	
Equipment / Furniture		#NAME?	-		#NAME?	-	
Telephone		#NAME?	-		#NAME?	-	
Technology		#NAME?	-		#NAME?	-	
Student Testing & Assessment		#NAME?	-		#NAME?	-	
Field Trips		#NAME?	-		#NAME?	-	
Transportation (student)		#NAME?	-		#NAME?	-	
Student Services - other		#NAME?	-		#NAME?	-	
Office Expense		#NAME?	-		#NAME?	-	
Staff Development		#NAME?	-		#NAME?	-	
Staff Recruitment		#NAME?	-		#NAME?	-	
Student Recruitment / Marketing		#NAME?	-		#NAME?	-	
School Meals / Lunch		#NAME?	-		#NAME?	-	
Travel (Staff)		#NAME?	-		#NAME?	-	
Fundraising		#NAME?	-		#NAME?	-	
Other		#NAME?	-		#NAME?	-	
TOTAL SCHOOL OPERATIONS	-	#NAME?	-	-	#NAME?	-	-
<b>FACILITY OPERATION &amp; MAINTENANCE</b>							
Insurance		#NAME?	-		#NAME?	-	
Janitorial		#NAME?	-		#NAME?	-	
Building and Land Rent / Lease / Facility Finance Interest		#NAME?	-		#NAME?	-	
Repairs & Maintenance		#NAME?	-		#NAME?	-	
Equipment / Furniture		#NAME?	-		#NAME?	-	
Security		#NAME?	-		#NAME?	-	
Utilities		#NAME?	-		#NAME?	-	
TOTAL FACILITY OPERATION & MAINTENANCE	-	#NAME?	-	-	#NAME?	-	-
<b>DEPRECIATION &amp; AMORTIZATION -</b>							
<b>RESERVES / CONTINGENCY -</b>							
<b>TOTAL EXPENSES</b>	-	#NAME?	-	-	#NAME?	-	-
<b>NET INCOME</b>	-	#NAME?	-	-	#NAME?	-	-

HARBOR SCIENCE AND ARTS CHAF  
Budget / Operating Plan

2016-17

Total Revenue	-	#NAME?	-	-	#NAME?	-	-
Total Expenses	-	#NAME?	-	-	#NAME?	-	-
Net Income	-	#NAME?	-	-	#NAME?	-	-
Actual Student Enrollment	-	#NAME?	-	-	#NAME?	-	-

\*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed

1st Quarter - 7/1 - 9/30

2nd Quarter - 10/1 - 12/31

3rd Q

	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual
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ENROLLMENT - \*School Districts Are Linked To Above Entries\*

NYC CHANCELLOR'S OFFICE	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
-	-	#NAME?	-	-	#NAME?	-	-
ALL OTHER School Districts: ( Count = 0 )	-	#NAME?	-	-	#NAME?	-	-
<b>TOTAL ENROLLMENT</b>	-	#NAME?	-	-	#NAME?	-	-
<b>REVENUE PER PUPIL</b>	-	#NAME?	-	-	#NAME?	-	-
<b>EXPENSES PER PUPIL</b>	-	#NAME?	-	-	#NAME?	-	-



**RTER SCHOOL**  
**n**

<b>Total Revenue</b>	#NAME?	-	-	#NAME?	-
<b>Total Expenses</b>	#NAME?	-	-	#NAME?	-
<b>Net Income</b>	#NAME?	-	-	#NAME?	-
<b>Actual Student Enrollment</b>	#NAME?	-	-	#NAME?	-

<p style="text-align: center;"><b>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</b></p>	<b>Quarter - 1/1 - 3/31</b>		<b>4th Quarter - 4/1 - 6/30</b>		
	<b>Current Budget</b>	<b>Variance</b>	<b>Actual</b>	<b>Current Budget</b>	<b>Variance</b>
	#NAME?	#NAME?	#NAME?	#NAME?	#NAME?

	Quarter 0 No. of Positions				
<b>EXPENSES</b>					
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>					
Executive Management	#NAME?	#NAME?	-	#NAME?	-
Instructional Management	#NAME?	#NAME?	-	#NAME?	-
Deans, Directors & Coordinators	#NAME?	#NAME?	-	#NAME?	-
CFO / Director of Finance	#NAME?	#NAME?	-	#NAME?	-
Operation / Business Manager	#NAME?	#NAME?	-	#NAME?	-
Administrative Staff	#NAME?	#NAME?	-	#NAME?	-
<b>TOTAL ADMINISTRATIVE STAFF</b>	#NAME?	#NAME?	-	#NAME?	-
<b>INSTRUCTIONAL PERSONNEL COSTS</b>					
Teachers - Regular	#NAME?	#NAME?	-	#NAME?	-
Teachers - SPED	#NAME?	#NAME?	-	#NAME?	-
Substitute Teachers	#NAME?	#NAME?	-	#NAME?	-
Teaching Assistants	#NAME?	#NAME?	-	#NAME?	-
Specialty Teachers	#NAME?	#NAME?	-	#NAME?	-
Aides	#NAME?	#NAME?	-	#NAME?	-
Therapists & Counselors	#NAME?	#NAME?	-	#NAME?	-
Other	#NAME?	#NAME?	-	#NAME?	-
<b>TOTAL INSTRUCTIONAL</b>	#NAME?	#NAME?	-	#NAME?	-
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>					
Nurse	#NAME?	#NAME?	-	#NAME?	-
Librarian	#NAME?	#NAME?	-	#NAME?	-
Custodian	#NAME?	#NAME?	-	#NAME?	-
Security	#NAME?	#NAME?	-	#NAME?	-
Other	#NAME?	#NAME?	-	#NAME?	-
<b>TOTAL NON-INSTRUCTIONAL</b>	#NAME?	#NAME?	-	#NAME?	-
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>					
	#NAME?	#NAME?	-	#NAME?	-
<b>PAYROLL TAXES AND BENEFITS</b>					
Payroll Taxes		#NAME?	-	#NAME?	-
Fringe / Employee Benefits		#NAME?	-	#NAME?	-
Retirement / Pension		#NAME?	-	#NAME?	-
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		#NAME?	-	#NAME?	-
<b>TOTAL PERSONNEL SERVICE COSTS</b>					
	#NAME?	#NAME?	-	#NAME?	-
<b>CONTRACTED SERVICES</b>					
Accounting / Audit		#NAME?	-	#NAME?	-
Legal		#NAME?	-	#NAME?	-
Management Company Fee		#NAME?	-	#NAME?	-
Nurse Services		#NAME?	-	#NAME?	-
Food Service / School Lunch		#NAME?	-	#NAME?	-
Payroll Services		#NAME?	-	#NAME?	-
Special Ed Services		#NAME?	-	#NAME?	-
Titlement Services (i.e. Title I)		#NAME?	-	#NAME?	-
Other Purchased / Professional / Consulting		#NAME?	-	#NAME?	-
<b>TOTAL CONTRACTED SERVICES</b>		#NAME?	-	#NAME?	-

QUARTER SCHOOL					
n					
Total Revenue	#NAME?	-	-	#NAME?	-
Total Expenses	#NAME?	-	-	#NAME?	-
Net Income	#NAME?	-	-	#NAME?	-
Actual Student Enrollment	#NAME?	-	-	#NAME?	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed					
	Current Budget	Variance	Actual	Current Budget	Variance
<b>SCHOOL OPERATIONS</b>					
Board Expenses	#NAME?	-		#NAME?	-
Classroom / Teaching Supplies & Materials	#NAME?	-		#NAME?	-
Special Ed Supplies & Materials	#NAME?	-		#NAME?	-
Textbooks / Workbooks	#NAME?	-		#NAME?	-
Supplies & Materials other	#NAME?	-		#NAME?	-
Equipment / Furniture	#NAME?	-		#NAME?	-
Telephone	#NAME?	-		#NAME?	-
Technology	#NAME?	-		#NAME?	-
Student Testing & Assessment	#NAME?	-		#NAME?	-
Field Trips	#NAME?	-		#NAME?	-
Transportation (student)	#NAME?	-		#NAME?	-
Student Services - other	#NAME?	-		#NAME?	-
Office Expense	#NAME?	-		#NAME?	-
Staff Development	#NAME?	-		#NAME?	-
Staff Recruitment	#NAME?	-		#NAME?	-
Student Recruitment / Marketing	#NAME?	-		#NAME?	-
School Meals / Lunch	#NAME?	-		#NAME?	-
Travel (Staff)	#NAME?	-		#NAME?	-
Fundraising	#NAME?	-		#NAME?	-
Other	#NAME?	-		#NAME?	-
TOTAL SCHOOL OPERATIONS	#NAME?	-	-	#NAME?	-
<b>FACILITY OPERATION &amp; MAINTENANCE</b>					
Insurance	#NAME?	-		#NAME?	-
Janitorial	#NAME?	-		#NAME?	-
Building and Land Rent / Lease / Facility Finance Interest	#NAME?	-		#NAME?	-
Repairs & Maintenance	#NAME?	-		#NAME?	-
Equipment / Furniture	#NAME?	-		#NAME?	-
Security	#NAME?	-		#NAME?	-
Utilities	#NAME?	-		#NAME?	-
TOTAL FACILITY OPERATION & MAINTENANCE	#NAME?	-	-	#NAME?	-
<b>DEPRECIATION &amp; AMORTIZATION</b>					
	#NAME?	-		#NAME?	-
<b>RESERVES / CONTINGENCY</b>					
	#NAME?	-		#NAME?	-
<b>TOTAL EXPENSES</b>	#NAME?	-	-	#NAME?	-
<b>NET INCOME</b>	#NAME?	-	-	#NAME?	-





HARBOR SCIENCE AND ARTS CHARTER

Budget / Operating Plan

2016-17

Total Revenue	-	-	-	#NAME?	#NAME?	-	-
Total Expenses	-	-	-	#NAME?	#NAME?	-	-
Net Income	-	-	-	#NAME?	#NAME?	-	-
Actual Student Enrollment	-	-	-			-	-

TOTALS AND VARIANCE ANALYSIS

\*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed

EXPENSES

	Quarter 0 No. of Positions	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>								
Executive Management	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Instructional Management	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Deans, Directors & Coordinators	#NAME?	-	-	-	#NAME?	#NAME?	-	-
CFO / Director of Finance	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Operation / Business Manager	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Administrative Staff	#NAME?	-	-	-	#NAME?	#NAME?	-	-
TOTAL ADMINISTRATIVE STAFF	#NAME?	-	-	-	#NAME?	#NAME?	-	-
<b>INSTRUCTIONAL PERSONNEL COSTS</b>								
Teachers - Regular	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Teachers - SPED	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Substitute Teachers	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Teaching Assistants	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Specialty Teachers	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Aides	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Therapists & Counselors	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Other	#NAME?	-	-	-	#NAME?	#NAME?	-	-
TOTAL INSTRUCTIONAL	#NAME?	-	-	-	#NAME?	#NAME?	-	-
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>								
Nurse	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Librarian	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Custodian	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Security	#NAME?	-	-	-	#NAME?	#NAME?	-	-
Other	#NAME?	-	-	-	#NAME?	#NAME?	-	-
TOTAL NON-INSTRUCTIONAL	#NAME?	-	-	-	#NAME?	#NAME?	-	-
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>								
#NAME?	#NAME?	-	-	-	#NAME?	#NAME?	-	-
<b>PAYROLL TAXES AND BENEFITS</b>								
Payroll Taxes		-	-	-	#NAME?	#NAME?	-	-
Fringe / Employee Benefits		-	-	-	#NAME?	#NAME?	-	-
Retirement / Pension		-	-	-	#NAME?	#NAME?	-	-
TOTAL PAYROLL TAXES AND BENEFITS		-	-	-	#NAME?	#NAME?	-	-
<b>TOTAL PERSONNEL SERVICE COSTS</b>								
#NAME?	#NAME?	-	-	-	#NAME?	#NAME?	-	-
<b>CONTRACTED SERVICES</b>								
Accounting / Audit		-	-	-	#NAME?	#NAME?	-	-
Legal		-	-	-	#NAME?	#NAME?	-	-
Management Company Fee		-	-	-	#NAME?	#NAME?	-	-
Nurse Services		-	-	-	#NAME?	#NAME?	-	-
Food Service / School Lunch		-	-	-	#NAME?	#NAME?	-	-
Payroll Services		-	-	-	#NAME?	#NAME?	-	-
Special Ed Services		-	-	-	#NAME?	#NAME?	-	-
Titlement Services (i.e. Title I)		-	-	-	#NAME?	#NAME?	-	-
Other Purchased / Professional / Consulting		-	-	-	#NAME?	#NAME?	-	-
TOTAL CONTRACTED SERVICES		-	-	-	#NAME?	#NAME?	-	-

HARBOR SCIENCE AND ARTS CHARTER

Budget / Operating Plan

2016-17

Total Revenue	-	-	-	#NAME?	#NAME?	-	-
Total Expenses	-	-	-	#NAME?	#NAME?	-	-
Net Income	-	-	-	#NAME?	#NAME?	-	-
Actual Student Enrollment	-	-	-			-	-

TOTALS AND VARIANCE ANALYSIS

\*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed

	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget
<b>SCHOOL OPERATIONS</b>							
Board Expenses	-	-	-	#NAME?	#NAME?	-	-
Classroom / Teaching Supplies & Materials	-	-	-	#NAME?	#NAME?	-	-
Special Ed Supplies & Materials	-	-	-	#NAME?	#NAME?	-	-
Textbooks / Workbooks	-	-	-	#NAME?	#NAME?	-	-
Supplies & Materials other	-	-	-	#NAME?	#NAME?	-	-
Equipment / Furniture	-	-	-	#NAME?	#NAME?	-	-
Telephone	-	-	-	#NAME?	#NAME?	-	-
Technology	-	-	-	#NAME?	#NAME?	-	-
Student Testing & Assessment	-	-	-	#NAME?	#NAME?	-	-
Field Trips	-	-	-	#NAME?	#NAME?	-	-
Transportation (student)	-	-	-	#NAME?	#NAME?	-	-
Student Services - other	-	-	-	#NAME?	#NAME?	-	-
Office Expense	-	-	-	#NAME?	#NAME?	-	-
Staff Development	-	-	-	#NAME?	#NAME?	-	-
Staff Recruitment	-	-	-	#NAME?	#NAME?	-	-
Student Recruitment / Marketing	-	-	-	#NAME?	#NAME?	-	-
School Meals / Lunch	-	-	-	#NAME?	#NAME?	-	-
Travel (Staff)	-	-	-	#NAME?	#NAME?	-	-
Fundraising	-	-	-	#NAME?	#NAME?	-	-
Other	-	-	-	#NAME?	#NAME?	-	-
<b>TOTAL SCHOOL OPERATIONS</b>	-	-	-	#NAME?	#NAME?	-	-
<b>FACILITY OPERATION &amp; MAINTENANCE</b>							
Insurance	-	-	-	#NAME?	#NAME?	-	-
Janitorial	-	-	-	#NAME?	#NAME?	-	-
Building and Land Rent / Lease / Facility Finance Interest	-	-	-	#NAME?	#NAME?	-	-
Repairs & Maintenance	-	-	-	#NAME?	#NAME?	-	-
Equipment / Furniture	-	-	-	#NAME?	#NAME?	-	-
Security	-	-	-	#NAME?	#NAME?	-	-
Utilities	-	-	-	#NAME?	#NAME?	-	-
<b>TOTAL FACILITY OPERATION &amp; MAINTENANCE</b>	-	-	-	#NAME?	#NAME?	-	-
<b>DEPRECIATION &amp; AMORTIZATION</b>	-	-	-	#NAME?	#NAME?	-	-
<b>RESERVES / CONTINGENCY</b>	-	-	-	#NAME?	#NAME?	-	-
<b>TOTAL EXPENSES</b>	-	-	-	#NAME?	#NAME?	-	-
<b>NET INCOME</b>	-	-	-	#NAME?	#NAME?	-	-

HARBOR SCIENCE AND ARTS CHARTER

Budget / Operating Plan

2016-17

Total Revenue	-	-	-	#NAME?	#NAME?	-	-
Total Expenses	-	-	-	#NAME?	#NAME?	-	-
Net Income	-	-	-	#NAME?	#NAME?	-	-
Actual Student Enrollment	-	-	-			-	-

TOTALS AND VARIANCE ANALYSIS

\*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed

	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget
<b>ENROLLMENT - *School Districts Are Linked To Above Entries*</b>	<b>* Enrollment Data Based on Last Actual Quarter Completed</b>						
NYC CHANCELLOR'S OFFICE	-	-	-			-	-
-	-	-	-			-	-
-	-	-	-			-	-
-	-	-	-			-	-
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-	-	-	-			-	-
-	-	-	-			-	-
-	-	-	-			-	-
ALL OTHER School Districts: ( Count = 0 )	-	-	-			-	-
<b>TOTAL ENROLLMENT</b>	-	-	-			-	-
<b>REVENUE PER PUPIL</b>	-	-	-			-	-
<b>EXPENSES PER PUPIL</b>	-	-	-			-	-



**IR SCHOOL**

<b>Total Revenue</b>	#NAME?	#NAME?	-	-
<b>Total Expenses</b>	4,181,612	4,181,612	-	-
<b>Net Income</b>	#NAME?	#NAME?	-	-
<b>Actual Student Enrollment</b>			-	-

<b>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</b>	5			
		<b>Actual vs. Original Budget TY</b>	<b>PY Actual (PY TY / No. of COMPLETED Actual CY Quarters</b>	<b>Actual CY vs. Actual PY</b>

<b>EXPENSES</b>	Quarter 0 No. of Positions	Original Budget - TY	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY Quarters	Actual CY vs. Actual PY
<b>ADMINISTRATIVE STAFF PERSONNEL COSTS</b>					
Executive Management	#NAME?	-	-	-	-
Instructional Management	#NAME?	400,000	400,000	-	-
Deans, Directors & Coordinators	#NAME?	65,000	65,000	-	-
CFO / Director of Finance	#NAME?	-	-	-	-
Operation / Business Manager	#NAME?	-	-	-	-
Administrative Staff	#NAME?	-	-	-	-
<b>TOTAL ADMINISTRATIVE STAFF</b>	#NAME?	465,000	465,000	-	-
<b>INSTRUCTIONAL PERSONNEL COSTS</b>					
Teachers - Regular	#NAME?	1,116,562	1,116,562	-	-
Teachers - SPED	#NAME?	109,636	109,636	-	-
Substitute Teachers	#NAME?	1,100	1,100	-	-
Teaching Assistants	#NAME?	148,889	148,889	-	-
Specialty Teachers	#NAME?	-	-	-	-
Aides	#NAME?	-	-	-	-
Therapists & Counselors	#NAME?	188,218	188,218	-	-
Other	#NAME?	70,000	70,000	-	-
<b>TOTAL INSTRUCTIONAL</b>	#NAME?	1,634,405	1,634,405	-	-
<b>NON-INSTRUCTIONAL PERSONNEL COSTS</b>					
Nurse	#NAME?	-	-	-	-
Librarian	#NAME?	-	-	-	-
Custodian	#NAME?	96,325	96,325	-	-
Security	#NAME?	58,350	58,350	-	-
Other	#NAME?	251,967	251,967	-	-
<b>TOTAL NON-INSTRUCTIONAL</b>	#NAME?	406,642	406,642	-	-
<b>SUBTOTAL PERSONNEL SERVICE COSTS</b>	#NAME?	2,506,047	2,506,047	-	-
<b>PAYROLL TAXES AND BENEFITS</b>					
Payroll Taxes		208,340	208,340	-	-
Fringe / Employee Benefits		384,700	384,700	-	-
Retirement / Pension		3,720	3,720	-	-
<b>TOTAL PAYROLL TAXES AND BENEFITS</b>		596,760	596,760	-	-
<b>TOTAL PERSONNEL SERVICE COSTS</b>	#NAME?	3,102,807	3,102,807	-	-
<b>CONTRACTED SERVICES</b>					
Accounting / Audit		25,000	25,000	-	-
Legal		-	-	-	-
Management Company Fee		135,000	135,000	-	-
Nurse Services		-	-	-	-
Food Service / School Lunch		-	-	-	-
Payroll Services		7,600	7,600	-	-
Special Ed Services		-	-	-	-
Titlment Services (i.e. Title I)		-	-	-	-
Other Purchased / Professional / Consulting		21,000	21,000	-	-
<b>TOTAL CONTRACTED SERVICES</b>		188,600	188,600	-	-

**IR SCHOOL**

<b>Total Revenue</b>	<b>#NAME?</b>	<b>#NAME?</b>	-	-
<b>Total Expenses</b>	<b>4,181,612</b>	<b>4,181,612</b>	-	-
<b>Net Income</b>	<b>#NAME?</b>	<b>#NAME?</b>	-	-
<b>Actual Student Enrollment</b>			-	-
5				
<p><b>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</b></p>				
	<b>Original Budget - TY</b>	<b>Actual vs. Original Budget TY</b>	<b>PY Actual (PY TY / No. of COMPLETED Actual CY Quarters</b>	<b>Actual CY vs. Actual PY</b>
<b>SCHOOL OPERATIONS</b>				
Board Expenses	700	700	-	-
Classroom / Teaching Supplies & Materials	12,000	12,000	-	-
Special Ed Supplies & Materials	-	-	-	-
Textbooks / Workbooks	34,620	34,620	-	-
Supplies & Materials other	7,828	7,828	-	-
Equipment / Furniture	38,000	38,000	-	-
Telephone	5,788	5,788	-	-
Technology	15,320	15,320	-	-
Student Testing & Assessment	6,000	6,000	-	-
Field Trips	9,600	9,600	-	-
Transportation (student)	-	-	-	-
Student Services - other	10,300	10,300	-	-
Office Expense	21,500	21,500	-	-
Staff Development	9,180	9,180	-	-
Staff Recruitment	1,500	1,500	-	-
Student Recruitment / Marketing	-	-	-	-
School Meals / Lunch	130,000	130,000	-	-
Travel (Staff)	500	500	-	-
Fundraising	4,000	4,000	-	-
Other	2,149	2,149	-	-
<b>TOTAL SCHOOL OPERATIONS</b>	<b>308,985</b>	<b>308,985</b>	<b>-</b>	<b>-</b>
<b>FACILITY OPERATION &amp; MAINTENANCE</b>				
Insurance	48,000	48,000	-	-
Janitorial	-	-	-	-
Building and Land Rent / Lease / Facility Finance Interest	410,220	410,220	-	-
Repairs & Maintenance	30,000	30,000	-	-
Equipment / Furniture	-	-	-	-
Security	-	-	-	-
Utilities	58,000	58,000	-	-
<b>TOTAL FACILITY OPERATION &amp; MAINTENANCE</b>	<b>546,220</b>	<b>546,220</b>	<b>-</b>	<b>-</b>
<b>DEPRECIATION &amp; AMORTIZATION</b>	<b>35,000</b>	<b>35,000</b>	<b>-</b>	<b>-</b>
<b>RESERVES / CONTINGENCY</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL EXPENSES</b>	<b>4,181,612</b>	<b>4,181,612</b>	<b>-</b>	<b>-</b>
<b>NET INCOME</b>	<b>#NAME?</b>	<b>#NAME?</b>	<b>-</b>	<b>-</b>

**IR SCHOOL**

Total Revenue	#NAME?	#NAME?	-	-
Total Expenses	4,181,612	4,181,612	-	-
Net Income	#NAME?	#NAME?	-	-
Actual Student Enrollment			-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed		5		
		Original Budget - TY	Actual vs. Original Budget TY	FY Actual (FY TY / No. of COMPLETED Actual CY Quarters

<b>ENROLLMENT - *School Districts Are Linked To Above Entries*</b>				
NYC CHANCELLOR'S OFFICE			-	-
-			-	-
-			-	-
-			-	-
-			-	-
-			-	-
-			-	-
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-			-	-
-			-	-
-			-	-
-			-	-
-			-	-
-			-	-
-			-	-
ALL OTHER School Districts: ( Count = 0 )			-	-
<b>TOTAL ENROLLMENT</b>			-	-
<b>REVENUE PER PUPIL</b>			-	-
<b>EXPENSES PER PUPIL</b>			-	-



**Annual Report Requirement**  
*for SUNY Authorized Charter Schools*  
**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**2016-17**

Administrative expenditures per pupil:	\$0.00
--	--------

Per NYS Statute      Administrative expenditures per pupil: the sum of all general administration salaries and other general administration expenditures divided by the total number of enrolled students. Employee benefit costs or expenditures should not be reported here.

**\*NOTE: THIS TAB ONLY NEEDS TO BE COMPLETED FOR Q4**

**Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee**

Trustee Name:

H. Philip SALMON

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

HARBOR SCIENCE AND ARTS CHARTER SCHOOL

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). CHAIRMAN

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

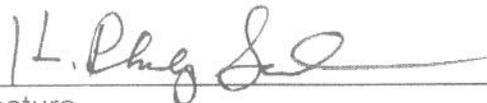
Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Please write "None" if applicable. Do not leave this space blank.

NONE

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<p>Please write "None" if applicable. Do not leave this space blank.</p> <p style="text-align: center;">NONE</p>				


7/28/16  
 Signature Date

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

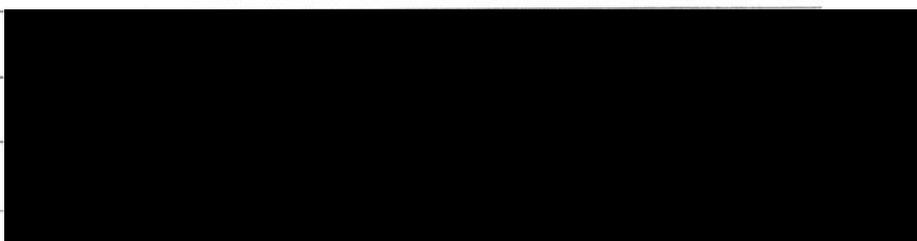
Business Telephone: \_\_\_\_\_

Business Address: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_

Home Address: \_\_\_\_\_



**Disclosure of Financial Interest by a Current or Proposed Charter School  
Education Corporation Trustee**

Trustee Name:

*Alvin Patrick*

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

*SUNY*

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

*Vice Chair*

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

*Please write "None" if applicable. Do not leave this space blank.*

N/A      N/A      N/A      N/A

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
N/A	N/A	N/A	N/A	N/A

*Ben A. Patembag*  
 \_\_\_\_\_  
 Signature

7/15/16  
 \_\_\_\_\_  
 Date

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

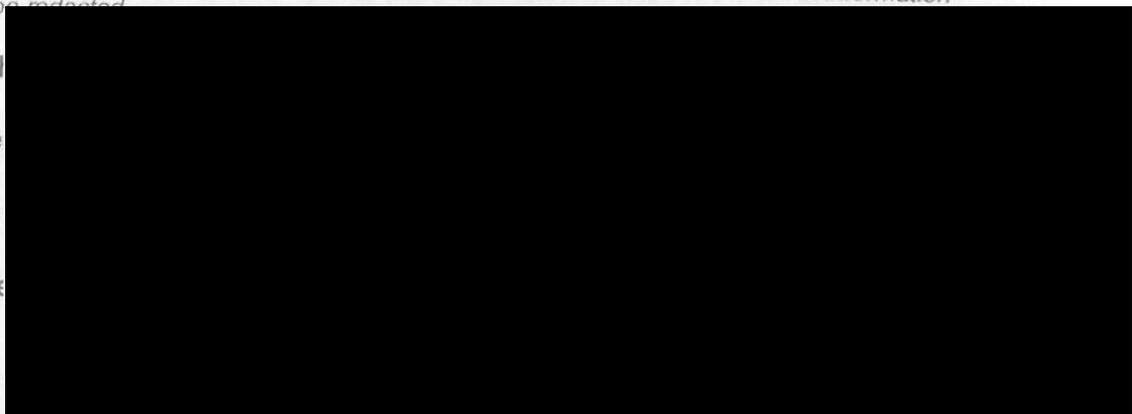
Business Telephone:

Business Address:

E-mail Address:

Home Telephone:

Home Address:



**Disclosure of Financial Interest by a Current or Proposed Charter School  
Education Corporation Trustee**

Trustee Name:

Richard Asche

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Harbor Science and Arts Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<b>NONE</b>	<i>Please write "None" if applicable. Do not leave this space blank.</i>		
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5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
<b>None</b>	→			

**Harbor Science and Arts Charter School**  
 132 East 111th Street  
 New York, NY 10029

**7/29/16**

Signature \_\_\_\_\_

Date \_\_\_\_\_

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

**Business Telephone:** \_\_\_\_\_

**Business Address:** \_\_\_\_\_

**E-mail Address:** \_\_\_\_\_

**Home Telephone:** \_\_\_\_\_

**Home Address:** \_\_\_\_\_

**Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee**

Trustee Name:

Lisa Stenson Desamours

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Harbor Science and Arts Charter School.

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If Yes, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If Yes, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write None. Please note that if you answered Yes to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

<i>Please write "None" if applicable. Do not leave this space blank.</i>
--

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write None.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
None				

Yaderson Desamons 7/30/16  
 Signature Date

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

Business Tele

Business Add

E-mail Address

Home Telepho

Home Address



**Disclosure of Financial Interest by a Current or Proposed Charter School  
Education Corporation Trustee**

Trustee Name:

Luly Duke

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Harbor Science and Arts Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). Fundraising

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

NONE			
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5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write None.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
NONE				

Harbor Science and Arts Charter School  
 132 East 111th Street  
 New York, NY 10029

7/29/16  
 Date

Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.

Business Telephone: \_\_\_\_\_

Business Address: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_

Home Address: \_\_\_\_\_

**Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee**

Trustee Name:

Susan Etes

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Harbor Arts and Science Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). Education Committee

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Please write "None" if applicable. Do not leave this space blank

*None*

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<p>Please write "None" if applicable. Do not leave this space blank</p> <p style="text-align: center;"><i>None</i></p>				

*Susan Edess*  
 Signature

*7/25/16*  
 Date

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

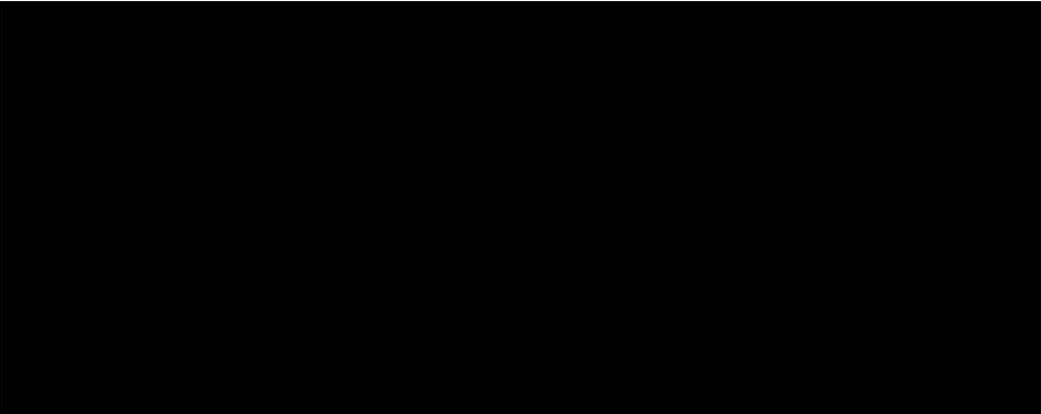
Business Telep

Business Address

E-mail Address

Home Telephone

Home Address:



**Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee**

Trustee Name:

ROBERT NORTH

---

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

HARBOR SCIENCE AND ARTS CHARTER SCOOOL

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1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

NA

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

NA	<i>Please write "None" if applicable. Do not leave this space blank.</i>		
----	--	--	--

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
NA	<i>Please write "None" if applicable. Do not leave this space blank.</i>			

*Robert North* ROBERT NORTH

7/1/2016

Signature

Date

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

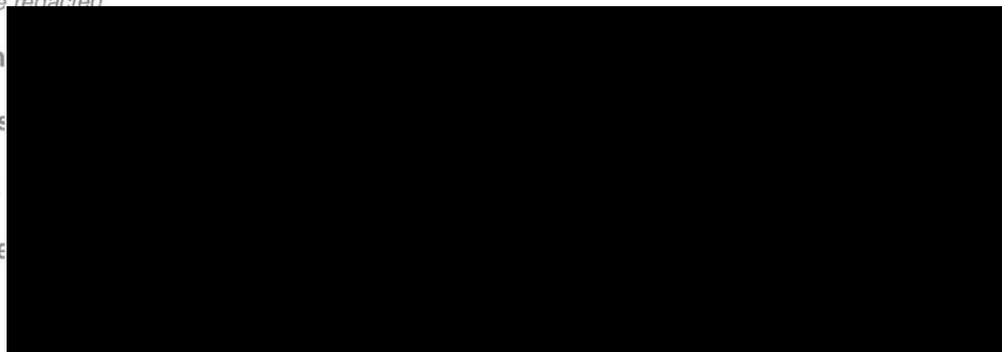
Business Telephone:

Business Address:

E-mail Address:

Home Telephone:

Home Address:



**Disclosure of Financial Interest by a Current or Proposed Charter School  
Education Corporation Trustee**

Trustee Name:

NANCY SCHULMAN

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

Harbor Science & Arts Charter School

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative).

*General board member*

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If Yes, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?

Yes  No

If Yes, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
	<i>NONE</i>		



**Disclosure of Financial Interest by a Current or Proposed Charter School Education Corporation Trustee**

Trustee Name:

CORTNEY WRIGHT THOMAS

Name of Charter School Education Corporation (for an unmerged school, this is the Charter School Name):

HARBOR SCIENCE & ARTS CHARTER SCHOOL

1. List all positions held on the education corporation board (e.g., president, treasurer, parent representative). PARENT REPRESENTATIVE

2. Is the trustee an employee of any school operated by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

3. Is the trustee an employee or agent of the management company or institutional partner of the charter school(s) governed by the Education Corporation?  
 Yes  No

If **Yes**, for each school, please provide a description of the position(s) you hold, your responsibilities, your salary and your start date.

4. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school(s) governed by the Education Corporation during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered **Yes** to Questions 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

	NONE		
--	------	--	--

5. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school(s) governed by the Education Corporation **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member(s) or person(s) living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school(s) that is/are doing business with the school(s) through a management or services agreement, please identify only the name of the organization, your position in the organization, and the relationship between such organization and the school(s). If there was no financial interest, write **None**.

Organization conducting business with the school(s)	Nature of business conducted	Approximate value of the business conducted	Name of Trustee and/or immediate family member of household holding an interest in the organization conducting business with the school(s) and the nature of the interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				
		NONE		

Signature Courtney Wright Thomas Date 7/28/16

*Please note that this document is considered a public record and as such, may be made available to members of the public upon request under the Freedom of Information Law. Personal contact information provided below will be redacted.*

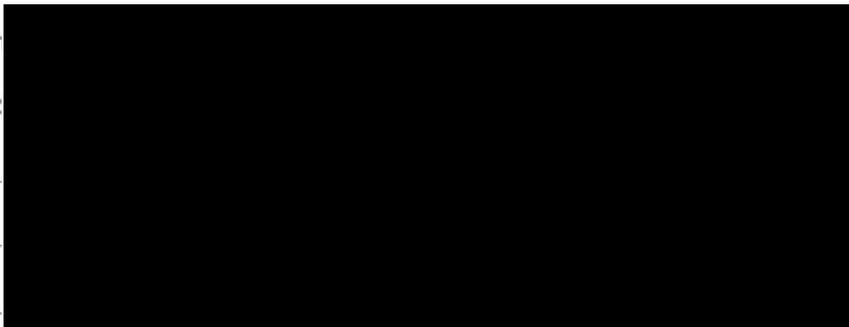
Business Telephone: \_\_\_\_\_

Business Address: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_

Home Address: \_\_\_\_\_





# Entry 9 BOT Table

Last updated: 07/28/2016

## Page 1

### 1. Current Board Member Information

	Trustee Name	Email Address	Position on the Board	Committee Affiliations	Voting Member? (Y/N)	Area of Expertise, and/or Additional Role at School (parent, staff member, etc.)	Number of Terms Served and Length of Each (Include election date and term expiration)
1	Phil Salmon	[REDACTED]	Chair/Board President	Finance	Yes	Finance	4 terms served, 2 year terms, Election Sept 2014 Expiration Sept 2016
2	Alvin Patrick	[REDACTED]	Vice Chair/Vice President	Fundraising, Education	Yes	Media, Fundraising	5 terms, 2 year terms, Election Sept 2014 Expiration Sept 2016
3	Lisa Stenson-Desamours	[REDACTED]	Trustee/Member	Finance	Yes	Finance, Law	7 terms, 2 year terms, Election Sept 2014 Expiration Sept 2016
4	Richard Asche	[REDACTED]	Trustee/Member	Finance	Yes	Finance, Law	7 terms, 2 year terms, Election Sept 2014 Expiration Sept 2016
							7 terms, 2 year terms,

5	Robert North		Trustee/Member	Education	Yes	Education	Election Sept 2014 Expiration Sept 2016
6	Luly Duke		Trustee/Member	Fundraising	Yes	Fundraising	7 terms, 2 year terms, Election Sept 2014 Expiration Sept 2016
7	Susan Eless		Trustee/Member	Education	Yes	Education	4.5 terms, 2 year terms, Election Sept 2015 Expiration Sept 2017
8	Nancy Schulman		Trustee/Member	Education	Yes	Education	1.5 terms, 2 year terms, Election Sept 2015 Expiration Sept 2017
9	Cortney Thomas		Parent Representative	Fundraising	Yes	Fundraising	1/2 term, 2 year terms, Election Sept 2015, Expiration Sept 2017
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							

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**2. Total Number of Members on June 30, 2015**

8

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**3. Total Number of Members Joining the Board 2015-16 School Year**

1

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**4. Total Number of Members Departing the Board during the 2015-16 School Year**

0

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**5. Number of Voting Members 2015-16, as set by the by-laws, resolution or minutes**

9

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**6. Number of Board Meetings Conducted in the 2015-16 School Year**

6

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**7. Number of Board Meetings Scheduled for the 2016-17 School Year**

6

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**Thank you.**



## HARBOR SCIENCE AND ARTS CHARTER SCHOOL

132 East 111<sup>th</sup> Street, New York, NY 10029

P: 917-261-2700 F: 212-360-7429

### **Harbor Science and Arts Charter School (HSACS) Enrollment and Retention Efforts 2015-16**

HSACS will continue its efforts for meeting or exceeding retention targets established by the SUNY Trustees for students who are English language learners, students with disabilities and students who are eligible to participate in the free and reduced-price lunch program. For meeting enrollment targets, the recruitment strategies that were employed for the 2016-17 application process are as follows:

#### **HSACS Outreach Plan to parents for who English is not their primary language and students with disabilities:**

Beginning in 2010-11, HSACS made a significant effort to attract English language learners and students with disabilities by utilizing faculty members who were bilingual and certified in special education to advertise within the community by visiting kindergarten and day care programs to speak with parents about HSACS and our program as well as distribute applications. Applications for the school were created in three other languages; Spanish, French and Mandarin (Chinese) to attract families within the community whose primary language was not English. These applications were also made accessible on the school's website for easy access. The school conducted two open houses in the winter and spring specifically for families who speak a language other than English and/or families of children with special needs. The school provided faculty including parent volunteers from the school who speak Spanish, French and Mandarin to translate information for interested families. During these sessions, school personnel and parent volunteers also helped interested parents complete applications during these open houses. This is a practice that has continued since its inception in 2010-11. For the 2016-17 school lottery application process, the school held its open houses for parents of students who are English language learners and students with disabilities on Tuesday, December 8, 2015 and Thursday, March 10, 2016.

The school will continue to utilize the community outreach team which consists of a bilingual teacher, parent volunteers as well as a special education teacher to continue the school's effort to attract students where English is not the primary language as well as students with disabilities. This team will be available at all of the school's open houses for translation/informational purposes. The team will also present the school's program to community sites in order to advertise and attract families by outlining the school's special education program as well as making applications available in several languages and working with interested families on the spot as necessary.

#### **HSACS Outreach to parents of students who would qualify for free or reduced-price lunch:**

Currently 81 percent of students qualify for free or reduced price lunch. HSACS is located in an area where unfortunately many families fall into the free or reduced price lunch category. In the 2015-16 school year, the school advertised within local businesses, educational facilities and District 4 housing projects within the community to attract such families. The school has been successful in advertising, maintaining and retaining these students as our target has grown to 82 percent up from 79 percent the previous school year. The school will continue its current plan to ensure that we maintain our target.



# Entry 12 Teacher and Administrator Attrition

Created: 07/28/2016

Last updated: 08/01/2016

Report changes in teacher and administrator staffing.

Page 1

## Instructions for completing the Teacher and Administrator Attrition Tables

The following tables reflect formatting in the online portal required for Regents authorized charter schools. Schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2015; the FTE for added staff from July 1, 2015 through June 30, 2016; and the FTE for any departed staff from July 1, 2015 through June 30, 2016 using the two tables provided.

### 2015-16 Teacher Attrition Table

	FTE Teachers on June 30, 2015	FTE Teachers Departed 7/1/15 - 6/30/16	FTE Teachers Filling Vacant Positions 7/1/15 - 6/30/16	FTE Teachers Added in New Positions 7/1/15-6/30/16	FTE of Teachers on June 30, 2016
	18	4	3	0	17

### 2015-16 Administrator Position Attrition Table

	FTE Administrative Positions on June 30, 2015	FTE Administrators Departed 7/1/15 - 6/30/16	FTE Administrators Filling Vacant Positions 7/1/15 - 6/30/16	FTE Administrators Added in New Positions 7/1/15-6/30/16	FTE Administrative Positions on June 30, 2016
	6	0	0	0	6

Thank you



HARBOR SCIENCE AND ARTS CHARTER SCHOOL  
2016-2017 INITIAL SCHOOL CALENDAR

**July**

13-22 Main office open, Hours: 8:30 a.m. – 3:30 p.m., Monday - Friday

**August**

22, Mon Main office reopens, Hours: 8:30 a.m. – 3:30 p.m., Monday - Friday

23, Tue Meet-the-Teachers Meeting for Parents & Students, Grades 1 & 2 at 5:00 p.m. and Grades 7 & 8 at 6:00 p.m.

24, Wed Meet-the-Teachers Meeting for Parents & Students, Grade 3 at 5:00 p.m. and Grade 4 at 6:00 p.m.

25, Thu Meet-the-Teachers Meeting for Parents & Students, Grade 5 at 5:00 p.m. and Grade 6 at 6:00 p.m.

29, Mon First Day of School for Students in grades 1-8; School opens for grades 5-8 at 7:40 a.m.; School opens at 8:00 a.m. for grades 1-4  
Dismissal for all students is at 3:00 p.m.

**September**

1, Thu Meet-the Teachers Meeting for Kindergarten Parents and Students, 5:30 p.m.

2, Fri LABOR DAY WEEKEND RECESS – **No School**

5, Mon LABOR DAY – **No School**

6, Tue LABOR DAY WEEKEND RECESS – **No School**

7, Wed First Day of School for Kindergarten Students

8, Thu Orientation Meeting for Grades 6, 7, 8 After School (SCAN) Program, 6:00 p.m.

13, Tue First Day of After School (SCAN) Program

15, Thu PTA Meeting, 6:00 PM

19, Mon *Tentative* Picture Day; all students must be in uniform; class pictures are taken; 8<sup>th</sup> grade cap & gown picture are taken

**October**

10, Mon COLUMBUS DAY – **No School**

11, Tue First Day of Extended Day Program (Grades K-5)

15, Sat ASAP Program for **ELA & Math** begins, (Grades 3-8), 9:30 AM – 1:15 PM

20, Thu P.T.A. Meeting, 6:00 PM

22, Sat ASAP Program for **ELA & Math** begins, (Grades 3-8), 9:30 AM – 1:15 PM

29, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

**November**

5, Sat ASAP Program for **ELA & Math** begins, (Grades 3-8), 9:30 AM – 1:15 PM

6, Sun DAYLIGHT SAVINGS TIME ends (“Fall back!”)

8, Tue ELECTION DAY – **No School**; Professional Development Day for All Staff

10, Thu P.T.A. Meeting, 6:00 PM

10, Thu *Last day of 1<sup>st</sup> marking period*

11, Fri VETERAN’S DAY – **No School**

17, Thu Parent-Teacher Conferences – **Noon Dismissal, Conferences: 1:00 – 4:00 & 5:00 – 8:00 PM**

19, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

23, Wed THANKSGIVING RECESS – **No School**

24, Thu THANKSGIVING – **No School**

25, Fri THANKSGIVING RECESS – **No School**

**December**

3, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

6, Tue Open House for New Applicants 6:00 PM

10, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

15, Thu P.T.A. Meeting, 6:00 PM

22, Thu WINTER RECESS begins – **No School**

22-30 WINTER RECESS – **No School**

**January**

2, Mon NEW YEAR’S DAY (Observed)– **No School**

7, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

16, Mon DR. MARTIN LUTHER KING, JR. DAY – **No School**

19, Thu P.T.A. Meeting, 6:00 PM

21, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM

27, Fri *Last day of 2<sup>nd</sup> marking period*

28, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM



HARBOR SCIENCE AND ARTS CHARTER SCHOOL  
2016-2017 INITIAL SCHOOL CALENDAR

**February**

4, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM  
9, Thu 2<sup>nd</sup> Quarter Report Cards sent home with students  
11, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM  
16, Thu P.T.A. Meeting, 6:00 PM  
20-24 MID-WINTER RECESS – **No School**

**March**

4, Sat ASAP Program for **ELA & Math**, (Grades 3-8), 9:30 AM – 1:15 PM  
9, Thu Informational Session/Open House for New Applicants 6:00 PM  
10, Fri *Tentative* Picture Day; students are not required to be in uniform  
11, Sat ASAP Program for **ELA**, (Grades 3-8), 9:30 AM – 1:15 PM  
12, Sun DAYLIGHT SAVINGS TIME begins (“Spring ahead!”)  
16, Thu P.T.A. Meeting, 6:00 PM  
18, Sat ASAP Program for **ELA**, (Grades 3-8), 9:30 AM – 1:15 PM  
23, Thu Last Day of Extended Day Program (Grades K-5)  
25, Sat ASAP Program for **ELA**, (Grades 3-8), 9:30 AM – 1:15 PM  
28, Tue **NYS ELA Exam** (Grades 3-8)  
29, Wed **NYS ELA Exam** (Grades 3-8)  
30, Thu **NYS ELA Exam** (Grades 3-8)  
31, Fri *Last day of 3<sup>rd</sup> marking period*

**April**

1, Sat ASAP Program for **MATH** only, (Grades 3-8), 9:30 AM – 1:15 PM  
3, Mon Application Deadline for new applicants, 4:00 PM  
6, Thu Parent-Teacher Conferences – **Noon Dismissal, Conferences: 1:00 – 4:00 & 5:00 – 8:00 PM**  
10-18 SPRING RECESS, **No School**  
20, Thu P.T.A. Meeting, 6:00 PM  
22, Sat ASAP Program for **MATH** only, (Grades 3-8), 9:30 AM – 1:15 PM  
29, Sat ASAP Program for **MATH** only, (Grades 3-8), 9:30 AM – 1:15 PM

**May**

2, Tue **NYS Mathematics Exam** (Grades 3-8)  
3, Wed **NYS Mathematics Exam** (Grades 3-8)  
4, Thu **NYS Mathematics Exam** (Grades 3-8)  
18, Thu P.T.A. Meeting, 6:00 PM  
24-June 2 **NYS Science Performance Test** (Grades 4 & 8)  
26, Fri *HSACS Field Day (All grades)*  
29, Mon MEMORIAL DAY – **No School**

**June**

5, Mon **NYS Science Written Test** (Grades 4, 8)  
8-9 *Tentative* Overnight Senior Trip  
10, Sat *Tentative* Senior Prom  
14, Wed GRADUATION DAY – **No School**; Professional Development Day for All Staff  
14, Wed Graduation Ceremony, 10:00 a.m.  
15, Thu P.T.A. Meeting, 6:00 PM  
16, Fri *Last day of 4<sup>th</sup> marking period*  
16, Fri *Tentative* HSACS Carnival (Rain date: June 23)  
22, Thu Last Day of After School (SCAN) Program  
23, Fri Last Day of School for Students – **Noon Dismissal**; 4<sup>th</sup> Quarter Report Cards sent home with students

Total Number of Instructional Days: 183

**Transmittal Form**  
**Annual Financial Statement Audit Report**  
*for SUNY Authorized Charter Schools*

<b>Charter School Name:</b>	Harbor Science and Arts Charter School
<b>Audit Period:</b>	2015-16
<b>Prior Period:</b>	2014-15
<b>Report Due Date:</b>	Tuesday, November 01, 2016
<b>Date Submitted:</b>	Select from drop-down list →
<b>School Fiscal Contact Name:</b>	Mr. Mark Johnson
<b>School Fiscal Contact Email:</b>	[REDACTED]
<b>School Fiscal Contact Phone:</b>	[REDACTED]
<b>School Audit Firm Name:</b>	Mengel Metzger Barr & Co, LLP
<b>School Audit Contact Name:</b>	Kevin R. Kopp, CPA
<b>School Audit Contact Email:</b>	[REDACTED]
<b>School Audit Contact Phone:</b>	[REDACTED]

Please submit the following items to the **SUNY Charter Schools Institute** via email or online portal:

Email: [charters@suny.edu](mailto:charters@suny.edu)  
Online Portal: <http://www.newyorkcharters.org/operate/existing-schools/reporting-deadlines>

**Required Items:**

- 1) The independent auditor's report on financial statements and notes;
- 2) Excel template file containing the Financial Position, Statement of Activities, Cash Flow and Functional Expenses worksheets; and
- 3) Reports on internal controls over financial reporting and on compliance.

The additional items listed below should be included if applicable. Please explain the reason(s) if the items are not included. Examples might include: a written management letter was not issued; the school did not expend federal funds in excess of the Single Audit Threshold of \$750,000; the management letter response will be submitted by the following date (should be no later than 30 days from the submission of the report); etc. If not applicable enter "N/A."

**And, if applicable:**

Item	<i>If not included, state the reason(s) below. Or, if not applicable fill in "N/A":</i>
Management Letter	
Management Letter Response	
Form 990	
Federal Single Audit (A-133) <sup>1</sup>	
Corrective Action Plan	

Please also submit the following items to the **New York State Education Department** via online portal:

Online Portal: <https://nysed-cso.fluidreview.com>

**Required Items:**

- 1) This transmittal form (a copy of the Excel file containing the four schedules Does NOT need to be included)
- 2) Audited Financial Report;

**And, if applicable:**

- 3) Management Letter and Response;
- 4) Federal Single Audit (A-133).

<sup>1</sup> A copy of the Federal Single Audit must be filed with the Federal Audit Clearinghouse. Please refer to the current "OMB Circu

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**Statement of Financial Position**  
**as of June 30, 2016**

<u>ASSETS</u>	<u>2015-16</u>
<b><u>CURRENT ASSETS</u></b>	
Cash and cash equivalents	171745
Grants and contracts receivable	156663
Accounts receivables	14691
Prepaid expenses	70067
Contributions and other receivables	0
<b>TOTAL CURRENT ASSETS</b>	<b>413,166</b>
<b><u>PROPERTY, BUILDING AND EQUIPMENT, net</u></b>	<b>262370</b>
<b><u>OTHER ASSETS</u></b>	<b>30000</b>
<b>TOTAL ASSETS</b>	<b>705,536</b>
<b><u>LIABILITIES AND NET ASSETS</u></b>	
<b><u>CURRENT LIABILITIES</u></b>	
Accounts payable and accrued expenses	83322
Accrued payroll and benefits	283372
Deferred Revenue	12489.31
Current maturities of long-term debt	0
Short Term Debt - Bonds, Notes Payable	0
Other	0
<b>TOTAL CURRENT LIABILITIES</b>	<b>379,183</b>
<b><u>LONG-TERM DEBT and NOTES PAYABLE, net current maturities</u></b>	<b>104656</b>
<b>TOTAL LIABILITIES</b>	<b><u>483,839</u></b>
<b><u>NET ASSETS</u></b>	
Unrestricted	219221
Temporarily restricted	2476
<b>TOTAL NET ASSETS</b>	<b><u>221,697</u></b>
<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>705,536</b>

**HARBOR SCIENCE AND ARTS CH**  
**Statement of Financial P**  
**as of June 30, 201**

<u>ASSETS</u>	<u>2014-15</u>	<u>IOI</u> nu
<b><u>CURRENT ASSETS</u></b>		
Cash and cash equivalents	239301	
Grants and contracts receivable	133212	
Accounts receivables	14691	
Prepaid expenses	5468	
Contributions and other receivables	0	
<b>TOTAL CURRENT ASSETS</b>	<b>392,672</b>	
<b><u>PROPERTY, BUILDING AND EQUIPMENT, net</u></b>	<b>278453</b>	
<b><u>OTHER ASSETS</u></b>	<b>30000</b>	
<b>TOTAL ASSETS</b>	<b>701,125</b>	
<b><u>LIABILITIES AND NET ASSETS</u></b>		
<b><u>CURRENT LIABILITIES</u></b>		
Accounts payable and accrued expenses	99876	
Accrued payroll and benefits	279270	
Deferred Revenue	0	
Current maturities of long-term debt	0	
Short Term Debt - Bonds, Notes Payable	0	
Other	0	
<b>TOTAL CURRENT LIABILITIES</b>	<b>379,146</b>	
<b><u>LONG-TERM DEBT and NOTES PAYABLE, net current maturities</u></b>	<b>86436</b>	
<b>TOTAL LIABILITIES</b>	<b><u>465,582</u></b>	
<b><u>NET ASSETS</u></b>		
Unrestricted	235543	
Temporarily restricted	0	
<b>TOTAL NET ASSETS</b>	<b><u>235,543</u></b>	
<b>TOTAL LIABILITIES AND NET ASSETS</b>	<b>701,125</b>	

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**Statement of Activities**  
**as of June 30, 2016**

	2015-16		Total
	Unrestricted	Temporarily Restricted	
<b>REVENUE, GAINS AND OTHER SUPPORT</b>			
Public School District			
Resident Student Enrollment	3497776	\$-	\$3,497,776
Students with disabilities	228580	-	228,580
Grants and Contracts			
State and local	17941	-	17,941
Federal - Title and IDEA	112281	-	112,281
Federal - Other	0	-	-
Other	0	-	-
Food Service/Child Nutrition Program	123016	-	<u>123,016</u>
<b>TOTAL REVENUE, GAINS AND OTHER SUPPORT</b>	<b>3,979,594</b>	<b>-</b>	<b>3,979,594</b>
<b>EXPENSES</b>			
Program Services			
Regular Education	2871720	\$-	\$2,871,720
Special Education	649731	-	649,731
Other Programs	0	-	-
Total Program Services	3,521,451	-	3,521,451
Management and general	491644	-	491,644
Fundraising	8802	-	<u>8,802</u>
<b>TOTAL OPERATING EXPENSES</b>	<b>4,021,897</b>	<b>-</b>	<b>4,021,897</b>
<b>SURPLUS / (DEFICIT) FROM SCHOOL OPERATIONS</b>	<b>(42,303)</b>	<b>-</b>	<b>(42,303)</b>
<b>SUPPORT AND OTHER REVENUE</b>			
Contributions			
Foundations	2000	0	\$2,000
Individuals	4595	0	4,595
Corporations	5547.63	2476	8,024
Fundraising	13455	0	13,455
Interest income	0	0	-
Miscellaneous income	383	0	383
Net assets released from restriction	0	0	-
<b>TOTAL SUPPORT AND OTHER REVENUE</b>	<b>25,981</b>	<b>2,476</b>	<b>28,457</b>
<b>CHANGE IN NET ASSETS</b>	<b>(16,322)</b>	<b>2,476</b>	<b>(13,846)</b>
NET ASSETS BEGINNING OF YEAR	235543	0	235,543
PRIOR YEAR/PERIOD ADJUSTMENTS	0	0	-
<b>NET ASSETS END OF YEAR</b>	<b>\$219,221</b>	<b>\$2,476</b>	<b>\$221,697</b>

<b>2014-15</b>	<b>IOI</b>
	<b>nu</b>
<b>Total</b>	

3673530  
0  
  
114166  
111102  
6988  
0  
138532  
4,044,318

2961716  
521039  
0  
3,482,755  
492783  
16609  
3,992,147  
  
52,171

0  
14451  
35197  
31419  
0  
0  
0  
81,067

133,238

102305  
0

\$235,543

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**Statement of Cash Flows**  
**as of June 30, 2016**

	<u>2015-16</u>	<u>2014-15</u>
		*Please briefly explain any
<b>CASH FLOWS - OPERATING ACTIVITIES</b>		
Increase (decrease) in net assets	-13846	133238
Revenues from School Districts	0	0
Accounts Receivable	-41242	-44086
Due from School Districts	0	0
Depreciation	31549	26649
Grants Receivable	-17284.65	0
Due from NYS	0	0
Grant revenues	0	0
Prepaid Expenses	-64599	1457
Accounts Payable	-16554	37283
Accrued Expenses	0	0
Accrued Liabilities	4102	6137
Contributions and fund-raising activities	0	0
Miscellaneous sources	0	0
Deferred Revenue	12489	0
Interest payments	0	0
Other	35075.85	0
Other	18220	43220
<b>NET CASH PROVIDED FROM OPERATING ACTIVITIES</b>	<b>\$(52,090)</b>	<b>\$203,898</b>
<b>CASH FLOWS - INVESTING ACTIVITIES</b>	<b>\$</b>	<b>\$</b>
Purchase of equipment	0	0
Other	-15466	-160823
<b>NET CASH PROVIDED FROM INVESTING ACTIVITIES</b>	<b>\$(15,466)</b>	<b>\$(160,823)</b>
<b>CASH FLOWS - FINANCING ACTIVITIES</b>	<b>\$</b>	<b>\$</b>
Principal payments on long-term debt	0	0
Other	0	0
<b>NET CASH PROVIDED FROM FINANCING ACTIVITIES</b>	<b>\$-</b>	<b>\$-</b>
<b>NET (DECREASE) INCREASE IN CASH AND CASH EQUIVALENTS</b>	<b>\$(67,556)</b>	<b>\$43,075</b>
Cash at beginning of year	164301	121226
<b>CASH AND CASH EQUIVALENTS AT END OF YEAR</b>	<b>\$96,745</b>	<b>\$164,301</b>

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nu

**HARBOR SCIENCE AND ARTS CHARTER SCHOOL**  
**Statement of Functional Expenses**  
**as of June 30, 2016**

		2015-16				
		Program Services				S
	No. of Positions	Regular Education	Special Education	Other Education	Total	Fund-raising
		\$	\$	\$	\$	\$
Personnel Services Costs						
Administrative Staff Personnel	7	251962	65604	-	317,566	5962
Instructional Personnel	27	1124033	254808	-	1,378,841	0
Non-Instructional Personnel	11	400955	81553	-	482,508	0
Total Salaries and Staff	45.00	1,776,950	401,965	-	2,178,915	5,962
Fringe Benefits & Payroll Taxes		378750	85677	-	464,427	1271
Retirement		0	0	-	-	0
Management Company Fees		0	0	-	-	0
Legal Service		0	0	-	-	0
Accounting / Audit Services		0	0	-	-	0
Other Purchased / Professional / Consulting Services		39985	9064	-	49,049	0
Building and Land Rent / Lease / Facility Finance Interest		302388	68404	-	370,792	1015
Repairs & Maintenance		27867	6304	-	34,171	94
Insurance		40726	9213	-	49,939	137
Utilities		24277	5491	-	29,768	81
Supplies / Materials		38332	8689	-	47,021	0
Equipment / Furnishings		33763	7638	-	41,401	113
Staff Development		5698	1289	-	6,987	16
Marketing / Recruitment		8372	1897	-	10,269	4
Technology		14635	3311	-	17,946	49
Food Service		119972	27196	-	147,168	0
Student Services		14529	3293	-	17,822	0
Office Expense		18028	4078	-	22,106	60
Depreciation		25719	5830	-	31,549	0
OTHER		1729	392	-	<u>2,121</u>	0
<b>Total Expenses</b>		<b>\$2,871,720</b>	<b>\$649,731</b>	<b>\$-</b>	<b>\$3,521,451</b>	<b>\$8,802</b>

				2014-15
Supporting Services				
Management and General	Total	Total		
\$	\$	\$	\$	
218787	224,749	542,315	524024.8	
0	-	1,378,841	1451248	
5806	5,806	488,314	432728.79	
224,593	230,555	2,409,470	2,408,002	
47871	49,142	513,569	545953.28	
0	-	-	3278	
0	-	-	0	
0	-	-	0	
150700	150,700	150,700	18200	
8748	8,748	57,797	162084.19	
38220	39,235	410,027	410268	
3522	3,616	37,787	41991.39	
5147	5,284	55,223	34284.669	
3068	3,149	32,917	52058.47	
0	-	47,021	25893.87	
4267	4,380	45,781	15371.37	
1141	1,157	8,144	7192.65	
137	141	10,410	1070.65	
1850	1,899	19,845	19153.58	
0	-	147,168	137205.86	
0	-	17,822	28010.19	
2278	2,338	24,444	47762.64	
0	-	31,549	26649	
102	<u>102</u>	<u>2,223</u>	7719.05	
<b>\$491,644</b>	<b>\$500,446</b>	<b>\$4,021,897</b>	<b>\$3,992,148</b>	