

The State Education Department
The University of the State of New York

Office of Innovative School Models
Charter Schools Office
462 EBA
Albany, New York 12234
518-474-1762

*Charter School Annual Report
2009 - 2010*

Charter School Information and Cover Page

Name of Charter School Pinnacle Charter School

Primary Address 115 Ash Street
Buffalo, NY 14204

Telephone (716) 842-1244 Fax (716) 842-1242

BEDS # 140600860853

District/CSD of Location Buffalo SD

Charter Entity NYSED

Date School First Opened for Instruction September 2003

School Leader Heidi S. Rotella
(print name)

E-mail Address of School Leader [REDACTED]

President, Board of Trustees Richard Stanton
(print name)

E-mail Address and Phone Number of Board President [REDACTED]
[REDACTED]

General Instructions

1. Read and follow all instructions. Please be sure to provide all requested information. Do not provide data that are not requested.
2. Questions regarding the completion of these forms should be directed to the appropriate program office:

For assistance with student/teacher attrition rates, call the Charter Schools Office at 518-474-1762.

For assistance with the audit forms, call the Office of Audit Services at 518-473-4516.

All audits for the 2009-10 school year are due to the Department by **5:00 p.m. on November 1, 2010**. Please ensure that they are sent in **electronic form** to both the Charter Schools Office at charterschools@mail.nysed.gov and James Conway in the Office of Audit Services at fsandals33@mail.nysed.gov. Please put "Audit Report" in the subject line of the e-mail.

3. Each person who was a member of a charter school's Board of Trustees during the 2009-10 school year must complete and submit the Disclosure of Financial Interest questionnaire.

4. Submit the annual report as a PDF file (except where otherwise noted) to the Charter Schools Office by **5:00 p.m. November 1, 2010** at charterschools@mail.nysed.gov. Please put "Annual Report" in the subject line of the e-mail. ***Faxed versions will not be accepted.*** The original signed Statement of Assurances must be sent to the Charter Schools Office at the address provided on the cover page above.

Section I

Student Assessment Data

This section refers to the academic achievement of your students on all standardized tests, per your charter, including all State exams. For the State Assessment results in grades 3 - 8, please provide the percent of students scoring at Levels 1 – 4 on each State Assessment in English Language Arts and Mathematics. Longitudinal data are being requested back through the 2006-07 school year. If the school was not in operation during any of the previous years, or if it did not serve students in grades for which there was a State exam, please leave those rows blank or enter “NA.”

You must also provide data for grades 9-12 as well (as applicable).

For all other standardized assessment results, provide the following information for each assessment, by grade, using the chart provided:

1. the full name of each assessment (not an acronym). Include portfolios and any performance-based assessment as well;
2. the name of each sub-test that was given (if applicable);
3. the grade of the students being tested;
4. the date the assessment was given;
5. the number of students enrolled in the grade on the date the assessment was given;
6. the number of students who were absent on the date that the assessment was administered;
7. the number of students who were exempted from such assessment per their IEP;
8. the number of students who were exempted from such assessment as a result of their ELL status;
9. the number of students who were actually assessed (this figure must equal the number of students in the grade on the date the test was given minus those who were absent or exempted);
10. the score obtained for each grade level (be sure to indicate the type of score being reported, e.g., percentile, normal curve equivalent, percent passing);
11. if applicable, include the qualitative levels of the scores (e.g. percent passing with distinction, percent achieving mastery); and,
12. any other evaluative data that describe the performance of your students on the assessments given.

Complete a separate chart for each subtest.

*Student Assessment Data
New York State Assessment Results
Grades 3 – 8 ELA and Math
2009-10 Annual Report*

Grades 3 – 8 State ELA Assessments Results

Year of Test	Grade 3				Grade 4				Grade 5				Grade 6				Grade 7				Grade 8											
	L1	L2	L3	L4	L1	L2	L3	L4	L1	L2	L3	L4	L1	L2	L3	L4																
2009-10 – All Students	17	57	22	4	17	51	32	0	28	52	20	0	10	58	29	3	17	64	17	2	10	67	23	0								
General Education Students	12	57	26	5	14	50	36	0	21	56	23	0	8	56	31	4	13	65	20	2	3	71	26	0								
Special Education Students	33	58	8	0	33	56	11	0	54	38	8	0	18	64	18	0	33	58	8	0	75	25	0	0								
2008-09 – All Students	17	45	36	2	0	38	60	2	0	37	58	5	0	42	58	0	0	33	68	0	3	59	38	0								
General Education Students	15	44	40	2	0	30	68	2	0	36	57	6	0	30	70	0	0	23	77	0	3	59	38	0								
Special Education Students	30	50	20	0	0	73	27	0	0	42	58	0	0	85	15	0	0	100	0	0	0	60	40	0								
2007-08 – All Students	9	53	39	0	12	37	52	0	5	51	44	0	0	64	36	0	0	58	42	0	0	45	55	0								
General Education Students	4	53	43	0	6	33	60	0	2	48	50	0	0	62	38	0	0	58	42	0	0	44	56	0								
Special Education Students	30	50	20	0	33	50	17	0	15	62	23	0	0	80	20	0	0	60	40	0	0	50	50	0								
2006-07 – All Students	12	45	41	2	21	49	30	0	13	60	28	0	3	69	29	0	0	71	29	0	6	65	29	0								
General Education Students																																
Special Education Students	0	60	40	0	44	56	0	0	25	75	0	0	16	67	16	0	0	75	25	0	25	75	0	0								

New York State Assessment Results

Grades 3 – 8 State Math Assessments Results

<i>Year of Test</i>	Grade 3			Grade 4			Grade 5			Grade 6			Grade 7			Grade 8								
	L1	L2	L3	L4	L1	L2	L3	L4	L1	L2	L3	L4	L1	L2	L3	L4	L1	L2	L3	L4				
2009-10 – All Students	7	53	33	7	3	53	36	8	3	69	21	7	5	44	42	8	12	60	24	3	21	55	21	3
General Education Students	7	47	40	7	2	50	38	10	4	63	25	8	6	42	42	10	9	60	28	4	21	53	24	3
Special Education Students	8	75	8	8	11	67	22	0	0	92	8	0	0	55	45	0	27	64	9	0	25	75	0	0
2008-09 – All Students	0	28	67	5	0	12	68	20	0	23	58	19	2	24	69	5	0	23	77	0	0	21	76	3
General Education Students	0	23	71	6	0	13	63	25	0	20	61	20	2	20	72	7	0	24	76	0	0	21	75	4
Special Education Students	0	50	50	0	0	9	91	0	0	36	45	18	0	38	62	0	0	20	80	0	0	20	80	0
2007-08 – All Students	2	29	66	3	2	22	58	18	3	25	63	8	8	51	41	0	6	39	52	3	5	47	47	0
General Education Students	2	28	66	4	2	21	55	21	0	26	66	9	6	52	42	0	7	39	50	4	6	56	38	0
Special Education Students	0	36	64	0	0	23	69	8	15	23	54	8	25	50	25	0	0	40	60	0	0	0	100	0
2006-07 – All Students	10	33	52	5	20	36	39	5	8	55	38	0	18	38	44	0	0	47	53	0	38	38	25	0
General Education Students	9	46	36	9	40	40	40	20	0	50	50	0	40	40	20	0	0	100	0	0	67	33	0	0
Special Education Students																								

New York State Assessment Results

Regents Exam	Year	All Students			General Education Students			Students with Disabilities			
		Total Tested	<54	55-64	65-84	>85	Total Tested	<54	55-64	65-84	>85
Comprehensive English	2009-10										
	2008-09										
	2007-08										
	2006-07										
NOT APPLICABLE											
Math A	2009-10										
	2008-09										
	2007-08										
	2006-07										
NOT APPLICABLE											
Math B	2009-10										
	2008-09										
	2007-08										
	2006-07										
NOT APPLICABLE											
Global History & Geography	2009-10										
	2008-09										
	2007-08										
	2006-07										
NOT APPLICABLE											
US History & Gov't.	2009-10										
	2008-09										
	2007-08										
	2006-07										
NOT APPLICABLE											

New York State Assessment Results

<i>Regents Exam</i>	<i>Year</i>	<i>All Students</i>			<i>General Education Students</i>			<i>Students with Disabilities</i>					
		<i>Total Tested</i>	<i>% Scoring:</i>		<i>Total Tested</i>	<i>% Scoring:</i>		<i>Total Tested</i>	<i>% Scoring at or above:</i>				
		<i><54</i>	<i>55-64</i>	<i>65-84</i>	<i>>85</i>	<i><54</i>	<i>55-64</i>	<i>65-84</i>	<i>>85</i>	<i><54</i>	<i>55-64</i>	<i>65-84</i>	<i>>85</i>
Comp. French	2009-10												
	2008-09												
	2007-08												
	2006-07												
NOT APPLICABLE													
Comp. German	2009-10												
	2008-09												
	2007-08												
	2006-07												
NOT APPLICABLE													
Comp. Hebrew	2009-10												
	2008-09												
	2007-08												
	2006-07												
NOT APPLICABLE													
Comp. Italian	2009-10												
	2008-09												
	2007-08												
	2006-07												
NOT APPLICABLE													
Comp. Latin	2009-10												
	2008-09												
	2007-08												
	2006-07												
NOT APPLICABLE													
Comp. Spanish	2009-10												
	2008-09												
	2007-08												
	2005-06												
NOT APPLICABLE													

New York State Assessment Results

Regents Competency Test	Year	All Students			General Education Students			Students with Disabilities					
		Total Tested	% Scoring:		Total Tested	% Scoring:		Total Tested	% Scoring at or above:				
		<54	55-64	65-84	>85	<54	55-64	65-84	>85	<54	55-64	65-84	>85
Mathematics	2009-10												
	2008-09												
	2007-08												
	2006-07												
Science	2009-10	NOT APPLICABLE											
	2008-09	NOT APPLICABLE											
	2007-08	NOT APPLICABLE											
	2006-07	NOT APPLICABLE											
Reading	2009-10	NOT APPLICABLE											
	2008-09	NOT APPLICABLE											
	2007-08	NOT APPLICABLE											
	2006-07	NOT APPLICABLE											
Writing	2009-10	NOT APPLICABLE											
	2008-09	NOT APPLICABLE											
	2007-08	NOT APPLICABLE											
	2006-07	NOT APPLICABLE											
Global Studies	2009-10	NOT APPLICABLE											
	2008-09	NOT APPLICABLE											
	2007-08	NOT APPLICABLE											
	2006-07	NOT APPLICABLE											
US History & Gov't.	2009-10	NOT APPLICABLE											
	2008-09	NOT APPLICABLE											
	2007-08	NOT APPLICABLE											
	2006-07	NOT APPLICABLE											

New York State Assessment Results

Second Language Proficiency Exams	Year	All Students				General Education Students				Students with Disabilities					
		Total Tested	% Scoring:			Total Tested	% Scoring:			Total Tested	% Scoring at or above:				
			<54	55-64	65-84		>85	<54	55-64		65-84	>85			
French	2009-10	7	57	29	14	0	6	50	33	17	0	1	100	0	0
	2008-09	21	52	24	24	0	16	50	25	25	0	5	60	20	0
	2007-08		25	0	75	0		12	0	88	0		100	0	0
	2006-07														
German	2009-10														
	2008-09														
	2007-08														
	2006-07														
Italian	2009-10	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	2008-09	24	33	13	54	0	23	30	14	56	0	1	100	0	0
	2007-08		17	50	33	0		0	75	25	0		50	0	50
	2006-07														
Latin	2009-10														
	2008-09														
	2007-08														
	2006-07														
Spanish	2009-10	30	27	23	47	3	27	22	22	52	4	3	67	33	0
	2008-09	12	25	17	58	0	12	25	17	58	0	0	0	0	0
	2007-08		0	0	50	50		0	0	45	65		0	0	66
	2006-07														

NOT APPLICABLE

NOT APPLICABLE

New York State Assessment Results																			
NYS English as a Second Language Achievement Test		All Students			General Education Students			Students with Disabilities											
	Year	Total Tested	% Scoring:			Total Tested	% Scoring:			Total Tested	% Scoring at or above:								
			<54	55-64	65-84		>85	<54	55-64		65-84	>85	<54	55-64	65-84	>85			
Listening & Speaking (Gr. K-1)	2009-10																		
	2008-09																		
	2007-08																		
	2006-07																		
Reading & Writing (Gr. K-1)	2009-10																		
	2008-09																		
	2007-08																		
	2006-07																		
Listening & Speaking (Gr. 2-4)	2009-10																		
	2008-09																		
	2007-08																		
	2006-07																		
Reading & Writing (Gr. 2-4)	2009-10																		
	2008-09																		
	2007-08																		
	2006-07																		
Listening & Speaking (Gr. 5-6)	2009-10																		
	2008-09																		
	2007-0-8																		
	2006-07																		
Reading & Writing (Gr. 5-6)	2009-10																		
	2008-09																		
	2007-08																		
	2006-07																		

NOT APPLICABLE

New York State Assessment Results

NYS English as a Second Language Achievement Test	Year	All Students			General Education Students			Students with Disabilities					
		Total Tested	% Scoring:		Total Tested	% Scoring:		Total Tested	% Scoring at or above:				
		<54	55-64	65-84	>85	<54	55-64	65-84	>85	<54	55-64	65-84	>85
Listening & Speaking (Gr. 7-8)	2009-10												
	2008-09												
	2007-08												
	2006-07												
Reading & Writing (Gr. 7-8)	2009-10												
	2008-09												
	2007-08												
	2006-07												
Listening & Speaking (Gr. 9-12)	2009-10												
	2008-09												
	2007-08												
	2006-07												
Reading & Writing (Gr. 9-12)	2009-10												
	2008-09												
	2007-08												
	2006-07												

NOT APPLICABLE

New York State Alternate Assessment Results

NYS Alternate Assessments	Year	All Students	% Scoring:			
			Total Tested	L1	L2	L3
Elementary Social Studies	2009-10					
	2008-09					
	2007-08					
	2006-07					
Middle Level Social Studies	2009-10					
	2008-09					
	2007-08					
	2006-07					
Secondary Level Social Studies	2009-10					
	2008-09					
	2007-08					
	2006-07					
Secondary Level Science	2009-10					
	2008-09					
	2007-08					
	2006-07					

High School Completion Rates

High School Completion	Year	All Students		General Education Students		Students with Disabilities	
		Number Of Students	Percent Graduating	Number Of Students	Percent Graduating	Number Of Students	Percent Graduating
Total Graduates	2009-10						
	2008-09						
	2007-08						
	2006-07						
Rec'd. a Regents Diploma	2009-10						
	2008-09						
	2007-08						
	2006-07						
Rec'd. a Regents Diploma w/Adv. Designation	2009-10						
	2008-09						
	2007-08						
	2006-07						
Rec'd. IEP Diploma	2009-10						
	2008-09						
	2007-08						
	2006-07						
To 4-Year College	2009-10						
	2008-09						
	2007-08						
	2006-07						
To 2-Year College	2009-10						
	2008-09						
	2007-08						
	2006-07						

NOT APPLICABLE

<i>High School Completion</i>	<i>Year</i>	<i>All Students</i>		<i>General Education Students</i>		<i>Students with Disabilities</i>	
		<i>Number Of Students</i>	<i>Percent Of Graduates</i>	<i>Number Of Students</i>	<i>Percent Of Graduates</i>	<i>Number Of Students</i>	<i>Percent Of Graduates</i>
To Other Post-Secondary	2009-10						
	2008-09						
	2007-08						
	2006-07						
Dropped Out	2009-10						
	2008-09						
	2007-08						
	2006-07						
Entered Approved HS Equivalency Prep Program	2009-10						
	2008-09						
	2007-08						
	2006-07						
Total Non-Completers	2009-10						
	2008-09						
	2007-08						
	2006-07						

NOT APPLICABLE

Other Student Assessment Data
2009-10

Name of Charter School: PINNACLE CHARTER SCHOOL

Name of Test: NYS SOCIAL STUDIES

Grade	Date of Test (DOT)	# Enrolled in Grade on DOT	# Absent on Grade on DOT	# Exempted in Grade by IEP	# Exempted in Grade by ELL Status	# Students Assessed in Grade*	Score (Indicate Type of Score, e.g., NCE)	Qualitative Level and Percent Attaining**	Other ***
5	11-09	60	0	0	0	60		L1=10% L2=12% L3=59% L4=19%	
8	5-10	39	0	0	0	39		L1=0% L2=74% L3=23% L4=3%	

* This number should equal the number of students enrolled on the day of the test, minus the number absent and the number exempted by either their IEP or their ELL status.

** If the assessment provides qualitative levels of achievement, e.g., "with honors," indicate the applicable levels and the percent of students who took the test in each grade who attained each level. If not applicable, enter "NA."

*** For any other evaluative data that describe the performance of your students on the assessments given. If not applicable, enter "NA."

Other Student Assessment Data
2009-10

Name of Charter School: **PINNACLE CHARTER SCHOOL**

Name of Test: **NYS SCIENCE** Subtest: Scale and Performance

Grade	Date of Test (DOT)	# Enrolled in Grade on DOT	# Absent on Grade on DOT	# Exempted in Grade by IEP	# Exempted in Grade by ELL Status	# Students Assessed in Grade*	Score (Indicate Type of Score, e.g., NCE)	Qualitative Level and Percent Attaining*	Other ***
4	5-10	60	0	0	0	60		L1=2% L2=8% L3=43% L4=45%	
8	6-10	39	0	0	0	39		L1= 5% L2=44% L3=49% L4=3%	

* This number should equal the number of students enrolled on the day of the test, minus the number absent and the number exempted by either their IEP or their ELL status.

**If the assessment provides qualitative levels of achievement, e.g., "with honors," indicate the applicable levels and the percent of students who took the test in each grade who attained each level. If not applicable, enter "NA."

*** For any other evaluative data that describe the performance of your students on the assessments given. If not applicable, enter "NA."

Progress Toward Goal Attainment

Using the table provided below, state each goal as listed in your approved charter, and provide a narrative that describes the type and amount of progress made toward attaining that goal. Provide specific examples, and indicate the measures that were used to determine such progress. These measures must also be the same as those listed in your approved charter. If the goal has not been met, describe why you think it was not met, and the efforts that you will undertake in the following year to attain it.

Charter schools authorized by the Trustees of the State University of New York may attach a copy of their Accountability Plan and a report of the progress made towards meeting the goals and objectives described in the Plan.

Progress Toward Goal Attainment 2009-10

Goal/Objective: Desired Level of Attainment	Actual Result: Observed Level of Attainment	Measure Used to Indicate Attainment of the Goal/Objective	Was the Goal/ Objective Met? (Y/N)	Explanation if Not Met/Efforts to be Undertaken
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**Progress Toward Goals
2009-10**

Charter School Name: PINNACLE CHARTER SCHOOL School Year: 2009-10

Charter School Name: Desired Level of Attainment	Actual Result: Observed Level of Attainment	Measure Used to Indicate Attainment of the Goal/Objective	Was the Goal/Objective Met? (Y/N)	Explanation if Not Met
Academic Performance				
<ul style="list-style-type: none"> Absolute Goals: <i>At the end of Year 8, at least seventy-five percent (75%) of third through eighth graders (with a minimum retention rate of three years at the school) will meet or exceed (levels 3 and/or 4) NYS Standards in English Language Arts, Mathematics, Social Studies and Science.</i> Growth Goals: Each year, each grade-level cohort of students will reduce by one-half the gap between the percent at level 3 and/or 4 on the previous year's NYS assessment and seventy-five percent (75%) at level 3 and/or 4 on the current year's NYS assessment. (If a grade-level cohort exceeds seventy-five percent (75%) at level 3 or 4 in the previous year, the cohort is expected to maintain or increase for the current year.) 	<p>ELA Gr 8 goal= 72.5%, cohort= 26%, 87% ELA Gr 7 goal= 66%, cohort= 19%, 81% ELA Gr 6 goal= 71.5%, cohort= 37%, 93% ELA Gr 5 goal= 69.5%, cohort= 16%, 71% ELA Gr 4 goal= 60.5%, cohort= 18%, 72%</p> <p>Math Gr 8 goal= 77%, cohort= 26%, 83% Math Gr 7 goal= 75%, cohort= 31%, 87% Math Gr 6 goal= 77%, cohort= 55%, 98% Math Gr 5 goal= 88%, cohort= 25%, 96% Math Gr 4 goal= 74%, cohort= 23%, 97%</p> <p>Social Studies Gr 5 goal= 75%, grade level= 26% Gr 8 goal= 49.5%, grade level= 26%</p> <p>Science Gr 4 goal= 73%, grade level= 88% Gr 8 goal= 51.5%, grade level= 52%</p>	<p>NYS ELA Assessment</p> <p>NYS Math Assessment</p> <p>NYS SS Assessment</p> <p>NYS Science Assessment</p>	<p>Level 3-4 Level 2-4</p> <p>Gr 8- N Y Gr 7- N Y Gr 6- N Y Gr 5- N Y Gr 4- N Y Gr 3- N Y</p> <p>Gr 8- N Y Gr 7- N Y Gr 6- N Y Gr 5- N Y Gr 4- N Y Gr 3- N Y</p> <p>Gr 5- Y Gr 8- N</p> <p>Gr 4- Y Gr 8- Y</p>	<p>As recognized, NYSED altered the performance level cut scores as well as the definition of the Performance Levels for the 2010 assessments. As indicated in our Absolute and Growth Goals, Pinnacle's goal is to have 75% of a grade level meet or exceed NYS Standard. Previously, this has been defines as levels 3-4. The first column as to whether the goal/ objective was met includes the NEW Performance Levels 3-4. However, in the spirit of the intended goal, Levels 2-4 include <u>meeting basic performance, meeting proficiency standard and exceeding proficiency standard.</u> The second column as to whether the goal/ obj was met includes the NEW Performance Levels 2-4.</p>

<ul style="list-style-type: none"> Comparative Goals: Each year, the percent of all tested students (with a minimum retention rate of 3 years at the school) and performing at level 3 or 4 will be greater than that of all students in the same tested grades in the local school district. 	<p>ELA Gr 8 = 23%, Bflo= 27% ELA Gr 7 = 19%, Bflo= 26% ELA Gr 6 = 32%, Bflo= 32% ELA Gr 5 = 20%, Bflo= 26% ELA Gr 4 = 32%, Bflo= 28% ELA Gr 3 = 26%, Bflo= 28%</p> <p>Math Gr 8 = 24%, Bflo= 26% Math Gr 7 = 27%, Bflo= 32% Math Gr 6 = 51%, Bflo= 31% Math Gr 5 = 28%, Bflo= 32% Math Gr 4 = 45%, Bflo= 31% Math Gr 3 = 40%, Bflo= 27%</p>	<p>NYS ELA Assessment</p> <p>NYS Math Assessment</p>	<p>Gr 8- N Gr 7- N Gr 6- Y Gr 5- N Gr 4- Y Gr 3- N</p> <p>Gr 8- N Gr 7- N Gr 6- Y Gr 5- N Gr 4- Y Gr 3- Y</p>	<p>The data presented here shows the comparison of PCS (all students) to BPS (all students). Across the board, PCS has fewer students who received a level one than BPS. Our mean scores were also higher in all grade levels for Math and all but one grade level (grade 5) for ELA where BPS was one point higher than PCS; the mean scores for grade 7 ELA were identical. In all but one grade level (grade 6 Math) we had more students who were at level 2 than BPS.</p>
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Goal/Objective: Desired Level of Attainment <i>Quality Staff</i>	Actual Result: Observed Level of Attainment	Measure Used to Indicate Attainment of the Goal/Objective	Was the Goal/Objective Met? (Y/N)	Explanation if Not Met
<p>Each year, at least 80% of teachers and staff will meet or exceed (levels 3 and/or 4) the performance indicators of the <i>Framework for Teaching</i> evaluation in <i>Professional Standards in Classroom Planning and Preparation, Classroom Environment, Instruction and Assessment, and Professional Responsibilities</i> (taken from Danielson, C. (1996). <i>Enhancing Professional Practice: A Framework for Teaching</i> (p. 67). Alexandria, VA: ASCD. and Evertson, C. (2003). <i>Classroom Organization and Management Program (COMP)</i>. Nashville, TN: Peabody College, Vanderbilt University.)</p>	<p>92% of staff/ teachers achieved a level 3 or 4</p>	<p><i>Framework for Teaching</i> evaluation (taken from Danielson, C. (1996). <i>Enhancing Professional Practice: A Framework for Teaching</i> (p. 67).</p>	<p>Y</p>	

Each year, at least 90% of teachers (with a minimum retention rate of two years at the school) will meet their PDP goals (Core, Supplemental, and Individual)	93% of teachers (≥ 2 yrs at PCS) met their PDP Goals.	<i>Framework for Teaching</i> evaluation (taken from Danielson, C. (1996). <i>Enhancing Professional Practice: A Framework for Teaching</i> (p. 67).	Y	
Each year maintain an 80% retention rate for teachers	95.5% of total teachers/ staff retained	Annual Contracts based on evaluations	Y	
Each year, the school will maintain a level 4 or 5 in Approach, Implementation, and Outcome variables on the Continuous Improvement Continuums for Information and Analysis, Staff Development and Leadership (<i>The School Portfolio Toolkit: A Planning, Implementation, and Evaluation Guide for Continuous School Improvement</i> , by Victoria L. Bernhardt, 2002, Larchmont, NY: Eye on Education.).	<p><u>Information and Analysis:</u> Approach- 5 Implementation- 5 Evaluation- 5</p> <p><u>Prof Development</u> Approach- 4 Implementation- 5 Evaluation- 5</p> <p><u>Leadership:</u> Approach- 4.5 Implementation- 4.5 Evaluation- 4.5</p>	<i>The School Portfolio Toolkit: A Planning, Implementation, and Evaluation Guide for Continuous School Improvement</i> , by Victoria L. Bernhardt, 2002, Larchmont, NY: Eye on Education.).	Y	

Goal/Objective:	Actual Result: Observed Level of Attainment	Measure Used to Indicate Attainment of the Goal/Objective	Was the Goal/Objective Met? (Y/N)	Explanation if Not Met
Desired Level of Attainment <i>Partnership Involvement</i> Each year, at least 80% of parents and guardians will report a satisfactory level with the school based on the annual parent satisfaction questionnaire (<i>The School Portfolio Toolkit: A</i>	Actual Result: Observed Level of Attainment (Percent replying agree or strongly agree) I know what my child's teacher expects of my child- 87% Teachers show respect for students- 89%	<i>Parent survey</i>	Y	

<p><i>Planning, Implementation, and Evaluation Guide for Continuous School Improvement</i>, by Victoria L. Bernhardt, 2002, Larchmont, NY: Eye on Education.)</p>	<p>The school meets the needs of the students- 87% The school has an excellent learning environment- 91%</p>		
<p>Each year, at least 75% of parents and guardians will report a satisfactory level on post-event surveys for school-wide or grade-level events designed for parents to foster learning at home and their role in assisting student learning.</p>	<p>77% average for all PCS events</p>	<p>Parent survey</p>	<p>Y</p>
<p>Each year, the school will maintain a level 4 or 5 in Approach, Implementation, and Outcome variables on the Continuous Improvement Continuum for Partnership Involvement with a focus on the sustainability of community and parent partnerships. (<i>The School Portfolio Toolkit: A Planning, Implementation, and Evaluation Guide for Continuous School Improvement</i>, by Victoria L. Bernhardt, 2002, Larchmont, NY: Eye on Education.)</p>	<p>Partnership Involvement: Approach- 4 Implementation- 5 Evaluation- 4.5</p>	<p><i>The School Portfolio Toolkit: A Planning, Implementation, and Evaluation Guide for Continuous School Improvement</i>, by Victoria L. Bernhardt, 2002, Larchmont, NY: Eye on Education.)</p>	<p>Y</p>

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Section II

Charter School Student and Teacher Attrition Rates

Instructions

Separate tables are included for students and teachers. For students, please provide the total **number** who left for the reasons identified in the table. Then provide the highest number of students enrolled during the course of the year. Using that enrollment figure and the total number of students who left during the year (July 1 – June 30), calculate the percent of students who left the school each year (2006-07 through 2009-10). Do not provide averages or FTEs.

For teachers, provide the total number of classroom teachers (e.g., grades K-6, or secondary subject matter classroom teachers). Do not include guidance counselors, social workers, etc. Do not provide FTEs. A part-time teacher is counted as one teacher. If one person teaches more than one subject area, count them once. Also provide the number of special area teachers (e.g., music, technology, special education). Again, count each such teacher only once. Then, provide the total number of teachers who were retained at the end of each school year. For example, if you had seven classroom teachers (e.g., one each K-6) and three special area teachers (for a total of ten teachers), and three were let go (or otherwise decided not to return in 2010-11) at the end of the 2009-10 school year, your teacher attrition rate would be 30 percent. A teacher on leave is not counted toward the attrition rate.

If you have any questions, please call the Charter School Office at 518-474-1762.

**Charter School Student Attrition Rates
2009-10**

	2009-10	2008-09	2007-08	2006-07
Number of students leaving for lack of transportation	0	2	1	0
Number of students leaving for geographic reasons (e.g., out of state/district relocation)	11	7	8	3
Number of students leaving for more restrictive special education setting	4	2	3	1
Number of students leaving due to parental choice (e.g., school transfer closer to residence, local elementary school, parent convenience)	12	7	5	3
Number leaving for other reasons (undetermined)	2	1	8	3
Total number of students leaving.	29	19	25	10
Highest Number Enrolled (July 1 – June 30)	562	501	504	447
Total Percent Attrition	5.1%	3.8%	4.9%	2.2%

**Charter School Teacher Attrition Rates
2009-10**

	2009-10	2008-09	2007-08	2006-07
Number of Classroom Teachers	27	25	24	22
Number of Special Area Teachers	31	31	24	24
Total Number of Teachers	58	56	48	46
Total Number of Teachers Leaving	1	4	4	3
Total Percent Attrition	1.7%	7.1%	8.3%	2.2%

	2009-10	2008-09	2007-08	2006-07
Number of teachers leaving for geographic reasons (out of state/relocation)	0	2	0	0
Number of teachers leaving to take a position in a school district	1	0	1	1
Number of teachers leaving to take a position in another charter school	0	0	0	0
Number of teachers not retained	0	2	2	2
Number of teachers leaving for other reasons (or undetermined)	0	0	1	0

Section III

Guidelines for Audits of the Financial Statements of Charter Schools

The New York charter schools act of nineteen hundred ninety-eight requires that a charter school “shall be subject to the financial audits, the audit procedures, and the audit requirements set forth in the charter. Such procedures and standards shall be applied consistent with generally accepted accounting and audit standards. Independent fiscal audits shall be required at least once annually.”

These guidelines are provided to assist charter schools in New York State and their auditors through the annual audit process. The guidelines are also intended to provide some uniformity in the reporting by charter schools and to assist the Board of Regents in meeting its responsibilities for ensuring accountability over public funds and for reporting annually to the Governor and Legislature on the status of charter schools.

Each audit should meet the following minimum standards:

Audit Requirements:

- ❑ An independent and licensed Certified Public Accountant or Public Accountant should perform the audit.
- ❑ The audits should be conducted in accordance with generally accepted auditing standards and Government Auditing Standards, issued by the Comptroller General of the United States.
- ❑ If the charter school spends \$500,000 or more in federal awards during the fiscal year, an independent audit as prescribed in the federal Office of Management and Budget Circular A-133 must also be completed and filed with the federal government and the State Education Department.

Reporting Requirements:

- ❑ The sample format for the financial statements is provided in accordance with Section 2851 of the Education Law.
- ❑ The financial statements should be prepared on the accrual basis of accounting in accordance with generally accepted accounting principles for not-for-profit organizations.
- ❑ All statements required by Financial Accounting Standards Board (FASB) Statement No. 117, *Financial Statements of Not-for-Profit Organizations*, should be presented including a Statement of Financial Position, Statement of Activities and Statement of Cash Flows. Required note disclosures and others that are deemed appropriate should be included.
- ❑ A supplemental schedule of functional expenses, in a format consistent with the attached, should be included and subject to the auditing procedures applied in the audit of the general purpose financial statements. Such supplemental schedule is not a required part of the general purpose financial statements and should be included for the purposes of additional analysis.
- ❑ When applicable, the auditor should prepare and submit a management letter. A copy of the management letter should be submitted with the financial statements along with the school’s corrective action plan to address any weaknesses identified in the report or the management letter.
- ❑ Reports (the independent auditor’s report on the financial statements, report on compliance, report on internal control over financial reporting, management letter, and federal Single Audit, if applicable)

must be submitted in electronic form within 120 days of the end of the charter school fiscal year to the following addresses:

State Education Department
Office of Audit Services
89 Washington Avenue Room 524 EB
Albany, New York 12234
fsandals33@mail.nysed.gov

State Education Department
Charter School Office
89 Washington Avenue Room 462 EBA
Albany, New York 12234
charterschools@mail.nysed.gov

SAMPLE FINANCIAL STATEMENTS

Sample financial statements are provided for illustrative purposes. Charter schools and their auditors should consult applicable standards when determining the specific requirements that apply to their schools. The auditor should not consider these examples to be all-inclusive or a substitute for professional judgment.

The following sample financial statements are attached:

- Statement of Financial Position
- Statement of Activities
- Statement of Cash Flows
- Schedule of Functional Expenses

Explanations are provided below for certain key terms included in the sample financial statements:

Revenue:

Public School District:

Revenue - Resident Student Enrollment - Revenue from public school districts based on resident students attending the charter school and each district's adjusted expense per student or the agreed upon amount per student.

Revenue - Students with Disabilities - Revenue from public school districts for aid attributable to special education services or programs provided by the charter school to a student with a disability enrolled in the charter school.

Other Revenue from Public School Districts - Revenues from public school districts other than those defined above.

State Grants: Report state funded grants or other apportionments received directly from the State of New York.

Federal Grants: Report federal funded grants or other apportionments received directly from the federal government or through the State of New York.

Private Grants: Report privately funded grants or other apportionments received.

Program Service Expenses:

Regular Education: Report instructional activities involving the teaching of students, instructional supervision, developing and utilizing learning materials and related services in the classroom or learning environment.

Special Education: Report instructional activities involving the teaching of students with Individualized Education Programs, or those receiving special education services, instructional supervision, developing and utilizing learning materials and related services in the classroom or learning environment.

Other Program: Services other than instructional provided to students, i.e., community services, health services, food services, athletic services, music and theatre arts, and other student activities.

Supporting Service Expenses:

Management and General: Activities related to the overall management and direction of the school that are not identifiable with a particular program or fundraising activity. Management and general expenses typically include the organization oversight, board expenses, business management, record keeping, budgeting, financing and other administrative activities.

Fundraising: Activities related to publicizing and conducting fund-raising campaigns, maintaining donor mailing lists, conducting special fund-raising events, activities involved in the solicitation of contributions from individuals, foundations, government agencies, etc.

Schedule of Functional Expenses:

The schedule of functional expenses must present, in reasonable detail, the nature of the expenses incurred in each category of program and supporting services reported in the activity statement. The sample schedule shows the typical level of detail expected. Charter schools are encouraged to add additional categories if it will enhance the reader's understanding of the schedule. Likewise, classifications not used, or not material to the school's presentation, may be eliminated.

If not otherwise presented, charter schools employing management companies should obtain and provide in note disclosure a breakdown of contracted services fees in a similar format to the schedule of functional expenses to facilitate comparisons among districts.

Note on Allocation of Expenses: Charter schools must use allocation methods that are fair and reasonable. Such allocation methods, as well as the statistical basis used to calculate allocation percentages, should be documented and retained for review upon audit. Salaries of employees who perform tasks for more than one program must be allocated among all programs for which they work. The cost of supplies that are purchased for distribution among multiple programs must be allocated among these programs if direct charges are not possible. Allocation percentages should be reviewed, at a minimum, on an annual basis and adjusted as necessary.

Sample Statement of Financial Position
Charter School Name
Statement of Financial Position
As of June 30, 20XX

	As of June 30, 20XX
Assets	
Current Assets	
Cash and cash equivalents	\$
State and Federal Aid Receivable	
Contributions receivable	
Other Receivables, Net	
Short-term Investments	
Inventories	
Prepaid Expenses	
Total Current Assets	_____
Assets restricted to investment in land, buildings and equipment	
Land, Buildings and Equipment	
Long-term Investments	
Total Assets	\$ _____
Liabilities	
Current Liabilities	
Accounts Payable	\$
Accrued Liabilities	
Other Liabilities	
Deferred Revenues	
Current Portion of Long-term Debt	
Total Current Liabilities	_____
Long-term Debt	
Total Liabilities	_____
Net Assets	
Unrestricted	
Temporarily restricted	
Permanently restricted	
Total Net Assets	_____
Total Liabilities and Net Assets	\$ _____

**Sample Statement of Activities
Charter School
Statement of Activities
For the Year Ended June 30, 20XX**

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Revenues, gains and other support:				
Public School District:				
Revenue - Resident Student Enrollment				
Revenue - Students with Disabilities				
Other Revenue from Public School Districts				
State Grants				
Federal Grants				
Private grants				
Contributions				
Investment Income				
Other Income				
Net Assets Released from Restrictions				
Total Revenues, Gains and Other Support				
Expenses:				
<u>Program Expenses:</u>				
Regular Education				
Special Education				
Other Program				
<u>Supporting Services:</u>				
Management and General				
Fundraising				
Total Expenses				
Change in Net Assets				
Net Assets Beginning of Year				
Net Assets End of Year				

Sample Statement of Cash Flows
Charter School Name
Statement of Cash Flows
For the Year Ended June 30, 20XX

	20XX
Cash flows from operating activities:	
Revenues from School Districts	\$
Grant revenues	
Contributions and fund-raising activities	
Miscellaneous sources	
Payments to vendors for goods and services rendered	()
Payments to charter school personnel for services rendered	()
Interest payments	()
Net cash provided by operating activities	
Cash flows from investing activities:	
Purchase of equipment	()
Net cash used by investing activities	()
Cash flows from financing activities:	
Principal payments on long-term debt	()
Net cash provided by investing activities	()
Net increase in cash	
Cash at beginning of year	
Cash at ending of year	\$
Reconciliation of change in net assets to net cash provided by operating activities:	
Change in net assets	\$
Adjustments to reconcile change in net assets to net cash provided by operating activities:	
Depreciation	
(Increase) Decrease in assets:	
Accounts receivable	
Increase (Decrease) in liabilities:	
Accounts payable	
Accrued liabilities	()
Net cash provided by operating activities	\$

Sample Schedule of Functional Expenses
Charter School Name
Schedule of Functional Expenses
For the Year Ended June 30, 20XX

	Program Services			Supporting Services		Total
	Regular Education	Special Education	Other Program	Fundraising & Special Events	Management and General	
Salaries	\$	\$	\$	\$	\$	\$
Employee Benefits and Payroll Taxes						
Accounting/Auditing Fees						
Board Expenses						
Consultants - Computer						
Consultants – Education						
Contracted Services – Management Co.						
Contracted Services - Other						
Equipment Rental/Lease						
Food						
Insurance						
Interest Expense						
Legal						
Library						
Maintenance & Repairs						
Occupancy						
Printing						
Supplies & Materials						
Other Expenses						
Staff Development						
Telephone						
Textbooks						
Transportation (Student)						
Travel						
Utilities						
Vehicle Rental/Lease						
Depreciation and Amortization						
Total Expenses	\$	\$	\$	\$	\$	\$

Section IV

**Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10**

Name (print): Fenice B. Boyd

Name of Charter School: Pinnacle Charter School

Charter Entity:

Home Address: [REDACTED]

Business Address: [REDACTED]
[REDACTED]

Daytime Phone: [REDACTED]

E-Mail Address: [REDACTED]

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Vice Chair: 2010-2011

Secretary: 2009 – 2010

School Improvement Team (SIT) Board Representative: 2008 - Present

2. Is the trustee an employee of the School? Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

4. Is the trustee an employee or agent of the management company? Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? Yes No

University at Buffalo, SUNY – Graduate School of Education

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
None	None	None	None

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
Graduate School of Education, University at Buffalo	School/University Partnership for Teacher Candidates Field Experiences and Student Teaching Placements	\$ 0.00	Fenice B. Boyd, Associate Dean for Teacher Education, Graduate School of Education, University at Buffalo, SUNY Nature of Business: Quality placements for our student teachers

Fenice B. Boyd, Ph. D.

Signature

November 16, 2010
Date

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

Name (print) BRODERICK CASON

Name of Charter School PINNACLE CHARTER SCHOOL

Charter Entity _____

Home Address 

Business Address 

Daytime Phone 

E-Mail Address 

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Board Secretary

2. Is the trustee an employee of the School? ___ Yes No

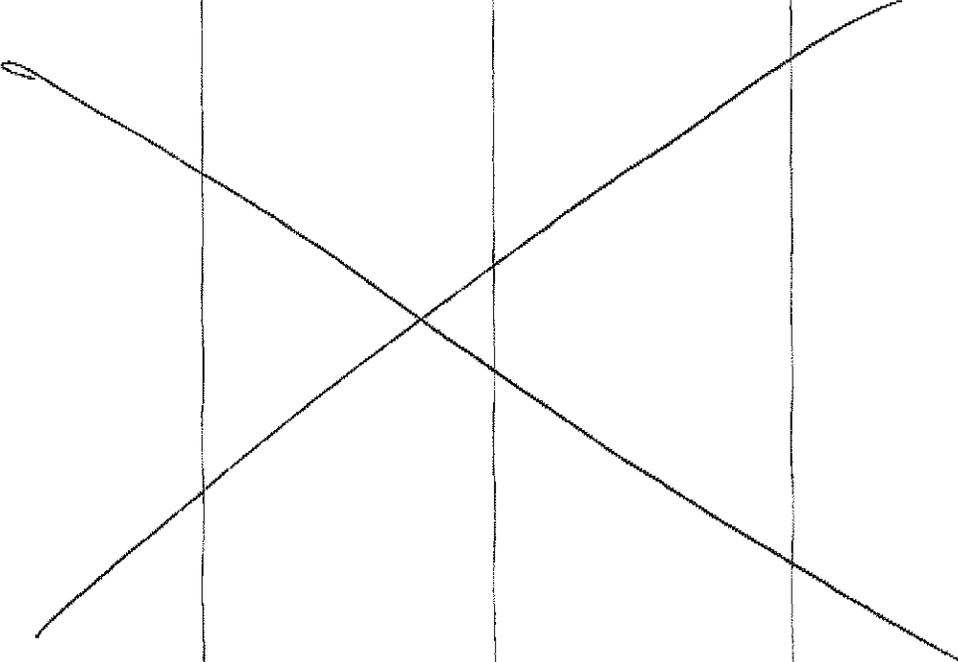
3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

N/A

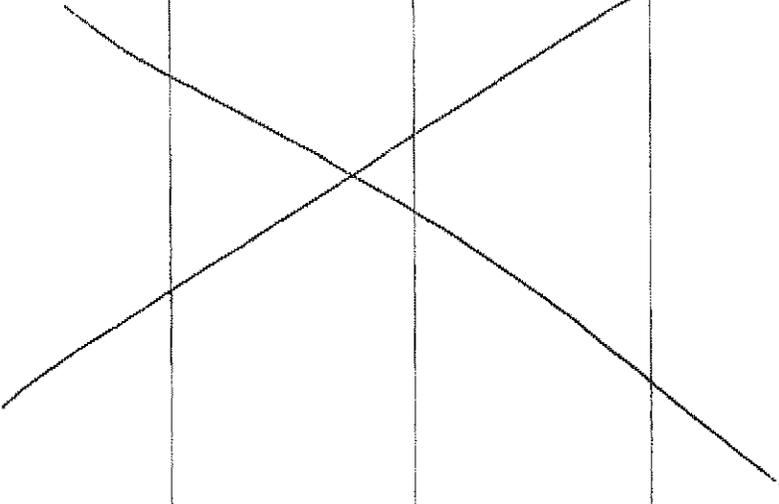
4. Is the trustee an employee or agent of the management company? ___ Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? ___ Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered yes to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
			

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
			

Bradford A. ...
Signature

11/18/10
Date

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

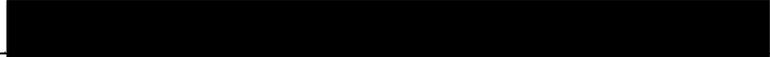
Name (print) Charles Edwards

Name of Charter School Pinnacle Charter

Charter Entity NYSED

Home Address 

Business Address 

Daytime Phone 

E-Mail Address 

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Vice Chair 2009

2. Is the trustee an employee of the School? Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

4. Is the trustee an employee or agent of the management company? Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest

Charles S...

Signature

10/19/10

Date

Section IV

**Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10**

Name (print) Dennis R Horrigan

Name of Charter School Pinnacle Charter School

Charter Entity _____

Home Address [REDACTED]

Business Address [REDACTED]

Daytime Phone [REDACTED]

E-Mail Address [REDACTED]

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Chair 2007-2008

Vice Chair 2008-2009

Treasurer: 2010-2011, 2009-2010

2. Is the trustee an employee of the School? Yes x No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

4. Is the trustee an employee or agent of the management company? Yes x No

5. Is the trustee an employee or agent of any institutional partner of the School? Yes x No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest

[Handwritten Signature]
Signature

11/19/10
Date

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

Name (print) Stephanie Miranda

Name of Charter School Pinnacle Charter

Charter Entity State Ed.

Home Address [REDACTED]

Business Address [REDACTED]

Daytime Phone [REDACTED]

E-Mail Address [REDACTED]

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

teacher rep.

2. Is the trustee an employee of the School? Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

5th grade teacher - teach ELA & math
[REDACTED]
start 2003

4. Is the trustee an employee or agent of the management company? Yes No

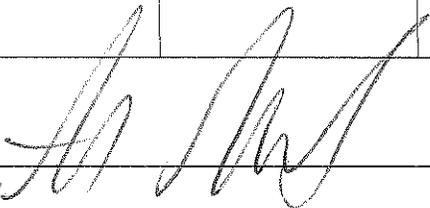
5. Is the trustee an employee or agent of any institutional partner of the School? Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered yes to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest

Signature 

Date 11/19/10

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

Name (print) Stephen Phelps

Name of Charter School Pinnacle Charter School

Charter Entity _____

Home Address _____

Business Address n/a

Daytime Phone _____

E-Mail Address _____

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

trustee, board secretary

2. Is the trustee an employee of the School? ___ Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

4. Is the trustee an employee or agent of the management company? ___ Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? ___ Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
n/a			

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
n/a			→



 Signature

10/19/10

 Date

Section IV

**Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10**

Name (print) Kate Sarata

Name of Charter School Pinnacle Charter School

Charter Entity _____

Home Address [REDACTED]

Business Address [REDACTED]

Daytime Phone [REDACTED]

E-Mail Address [REDACTED]

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Assistant Treasurer, June 2010 to present

2. Is the trustee an employee of the School? Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.

4. Is the trustee an employee or agent of the management company? Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
None			

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School **and** in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **none**.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
<p style="text-align: center;">None</p>			

Kate Farata

Signature

November 18, 2010

Date

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

Name (print) Richard Stanton

Name of Charter School Pinnacles Charter School

Charter Entity _____

Home Address _____

Business Address _____

Daytime Phone _____

E-Mail Address _____

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Chairman, Vics-Chairman, Treasurer, Dir. of
TASOSURSA

2. Is the trustee an employee of the School? ___ Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.
N/A

4. Is the trustee an employee or agent of the management company? ___ Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? ___ Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
None			→

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write none.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
None *			

[Handwritten Signature]

 Signature

 Date

* Pind Construction was a client of The Koen Group, PLLC who was a business partner of mine. They bid on work. I recused myself from discussions + votes.
[Handwritten Signature]

Section IV

Disclosure of Financial Interest by a Charter School Trustee
Annual Report 2009-10

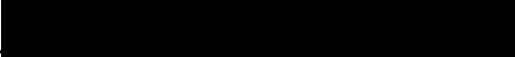
Name (print) Jolie Varner

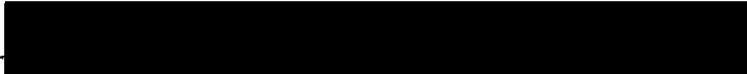
Name of Charter School Pinnacle Charter School

Charter Entity NWSED

Home Address 

Business Address 

Daytime Phone 

E-Mail Address 

1. List all positions, current or past, with dates, held on the board (e.g., officer (specify), committee chair, parent representative):

Parent representative

2. Is the trustee an employee of the School? ___ Yes No

3. If you checked Yes, please provide a description of the position you hold and your responsibilities, your salary and your start date.



4. Is the trustee an employee or agent of the management company? ___ Yes No

5. Is the trustee an employee or agent of any institutional partner of the School? ___ Yes No

Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house have held or engaged in with the charter school during the time you have served on the board, and in the six month period prior to such service. If there has been no such financial interest or transaction, write **none**. Please note that if you answered **yes** to Question 2, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps taken to avoid a conflict of interest, (e.g., did not vote, did not participate in discussion)	Name of person holding interest or engaging in transaction and relationship to yourself
None			

Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the School and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the School that is doing business with the School through a management or services agreement, you need not list every transaction between such organization and the School that is pursuant to such agreement. Instead, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write none.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee/ Immediate Family/Member of Household Holding an Interest in the Organization Conducting Business with the School and the Nature of the Interest
None			

Signature

Date

11/18/15

Statement of Assurances

Our signatures below attest that all of the information contained herein is truthful and accurate, and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter.

Heidi S. Rotella, CAO



11/1/10

Print Name, School Leader

Signature and Date

Richard Stanton

11/1/10

Print Name, President, Board of Trustees

Signature and Date