

# I. SCHOOL INFORMATION AND COVER PAGE

Created Tuesday, June 17, 2014  
Updated Friday, August 01, 2014

## Page 1

### 1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

310600861013 KIPP NYC WASHINGTON HEIGHTS CS

### 2. CHARTER AUTHORIZER

Regents-Authorized Charter School

### 3. DISTRICT / CSD OF LOCATION

NYC CSD 6

### 4. SCHOOL INFORMATION

| PRIMARY ADDRESS                      | PHONE NUMBER | FAX NUMBER   | EMAIL ADDRESS |
|--------------------------------------|--------------|--------------|---------------|
| 21 Jumel Place New York, NY<br>10032 | 212-991-2620 | 212-342-2521 |               |

### 4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

|                                       |   |
|---------------------------------------|---|
| Contact Name                          | Danny Swersky                                   |
| Title                                 | KIPP Washington Heights Middle School Principal |
| Emergency Phone Number (###-###-####) |   |

### 5. SCHOOL WEB ADDRESS (URL)

<http://www.kippnyc.org/schools/middle-schools/kipp-washington-heights-middle-school>

### 6. DATE OF INITIAL CHARTER

2011-09-01 00:00:00

### 7. DATE FIRST OPENED FOR INSTRUCTION

2012-08-01 00:00:00

### 8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2013-14 (as reported on BEDS Day)

(as reported on BEDS Day)

## 9. GRADES SERVED IN SCHOOL YEAR 2013-14

Check all that apply

• K

• 1

• 2

• 5

• 6

## 10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

| Yes/No | Name of CMO/EMO |
|--------|-----------------|
| Yes    | KIPP NYC, LLC   |

10a. Please provide the name and contact information for each of the following individuals who are management level personnel associated with the CMO.

|                                    | Name                                | Work Phone | Alternate Phone | Email Address | Contact this individual also in emergencies |
|------------------------------------|-------------------------------------|------------|-----------------|---------------|---|
| CEO (e.g., network superintendent) | Josh Zoia                           | [REDACTED] |                 | [REDACTED]    |   |
| CFO (e.g., network CFO)            | Charizma Williams, Finance Director | [REDACTED] |                 | [REDACTED]    |   |
| Compliance Contact                 | Alicia Johnson                      | [REDACTED] |                 | [REDACTED]    |   |
| Complaint Contact                  | Josh Zoia                           | [REDACTED] |                 | [REDACTED]    |   |

## 11. FACILITIES

Will the School maintain or operate multiple sites?

Yes, 2 sites

## 12. SCHOOL SITES

Please list the sites where the school will operate in 2014-15.

|                               | Physical Address                             | Phone Number | District/C SD | Grades Served at Site | School at Full Capacity at Site | Facilities Agreement |
|-------------------------------|--|--------------|---------------|-----------------------|---------------------------------|----------------------|
| Site 1 (same as primary site) | 21 Jumel Place New York, NY 10032            | 212-991-2620 | CSD 6         | 5-7                   | Yes                             | DOE space            |
| Site 2                        | 586 W 177th St 4th Floor, New York, NY 10033 | 212-991-2630 | CSD 6         | K-3                   | Yes                             | DOE space            |

12a. Please provide the contact information for Site 1 (same as the primary site).

|                    | Name           | Work Phone | Alternate Phone | Email Address |
|--------------------|----------------|------------|-----------------|---------------|
| School Leader      | Danny Swersky  | [REDACTED] |                 | [REDACTED]    |
| Operational Leader | Cindy Lee      | [REDACTED] |                 | [REDACTED]    |
| Compliance Contact | Alicia Johnson | [REDACTED] |                 | [REDACTED]    |
| Complaint Contact  | Josh Zoia      | [REDACTED] |                 | [REDACTED]    |

12b. Please provide the contact information for Site 2.

|                    | Name           | Work Phone | Alternate Phone | Email Address |
|--------------------|----------------|------------|-----------------|---------------|
| School Leader      | Anokhi Saraiya | [REDACTED] |                 | [REDACTED]    |
| Operational Leader | Leslie Billie  | [REDACTED] |                 | [REDACTED]    |
| Compliance Contact | Alicia Johnson | [REDACTED] |                 | [REDACTED]    |
| Complaint Contact  | Josh Zoia      | [REDACTED] |                 | [REDACTED]    |

13. Are the School sites co-located?

Yes

13a. Please list the terms of your current co-location.

|                       | Date School will leave current co-location | Is school working with NYCDOE to expand into current space? | If so, list year expansion will occur. | Is school working with NYCDOE to move to separate space? | If so, list the proposed space and year planned for move | School at Full Capacity at Site |
|-----------------------|--|---|--|--|--|---------------------------------|
| Site 1 (primary site) | No Plan to Leave                           | No  |  | No   |  | Yes                             |

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|        |                     |    |    |     |
|--------|---------------------|----|----|-----|
| Site 2 | No Plan to<br>Leave | No | No | Yes |
|--------|---------------------|----|----|-----|

14. Were there any revisions to the school's charter during the 2013-2014 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

Yes

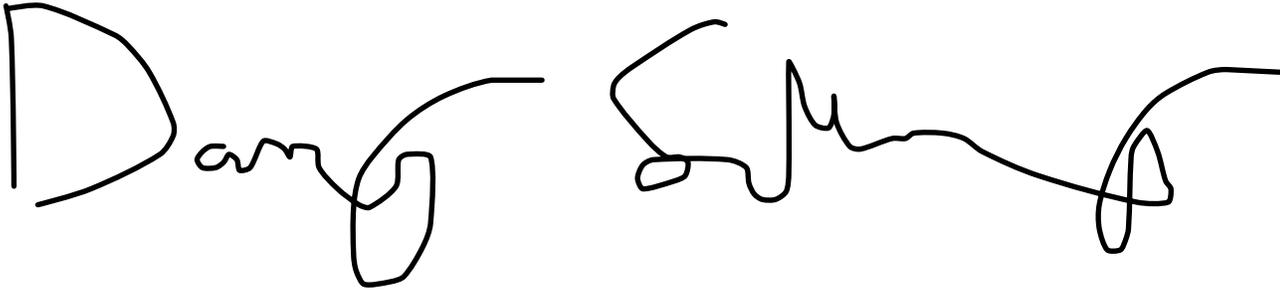
15. Summary of Charter Revisions

|   | Category (Select Best Description)      | Specific Revision (150 word limit)   | Date Approved by BOT (if applicable) | Date Approved by Authorizer (if applicable) |
|---|---|--|--------------------------------------|---|
| 1 | Change/Termination of CMO Contract      | Increased the shared services team fee   | 6/3/13                               | N/A   |
| 2 | Change in fixed size/number of Trustees | Brian Zied left this Board and now serves on one Board, Infinity, rather than two. | N/A                                  | N/A   |

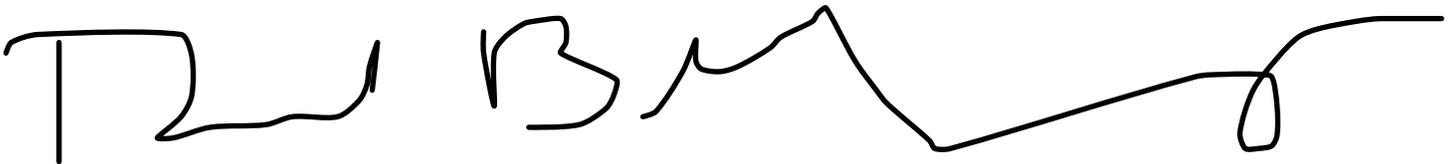
16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check YES if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

• Yes

Signature, Head of Charter School



Signature, President of the Board of Trustees



Thank you.

# Audited Financial Statement Checklist

Created Wednesday, July 09, 2014

Updated Friday, October 31, 2014

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## Page 1

Charter School Name:

1. Please check each item that is included in the 2013-14 Audited Financial Statement submitted for your charter school.

|   | Yes/No         |
|---|----------------|
| Audited Financial Statements (including report on compliance and report on internal control over financial reporting) | Yes            |
| Single Audit (if applicable)  | Yes            |
| CSP Agreed Upon Procedures (if applicable)  | Not Applicable |
| Management Letter   | Yes            |
| Report on Extracurricular Student Activity Accounts (if applicable)   | Not Applicable |
| Corrective Action Plans for any Findings  | Not Applicable |

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2013-14 Audited Financial Statement.

|   | Yes/No         |
|---|----------------|
| Report on Compliance                                | Not Applicable |
| Report on Internal Control over Financial Reporting | Not Applicable |
| Single Audit  | Not Applicable |
| CSP Agreed Upon Procedures Report                   | Not Applicable |
| Management Letter                                   | Yes            |

Thank you.

# Appendix A: Progress Toward Goals

Created Thursday, July 17, 2014

Updated Friday, October 31, 2014

## Page 1

Charter School Name: 310600861013 KIPP NYC WASHINGTON HEIGHTS CS

### 1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/reportcard.php?instid=800000071076&year=2013&createreport=1&enrollment=1&freelunch=1&attendance=1&teacherqu>

### 2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

#### 2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the results are not available by August 1st, please list the goals and explain this in the "progress toward goal attainment" column. This task will reopen for the school to update and finalize by the November 1, 2014 due date.

#### 2013-14 Progress Toward Attainment of Academic Goals

|                 | Academic Student Performance Goal  | Measure Used to Evaluate Progress | 2013-2014 Progress Toward Attainment   | If Not Met, Describe Efforts to be Taken  |
|-----------------|--|-----------------------------------|--|---|
| Academic Goal 1 | Goal 1: Students will be proficient readers, writers, listeners and speakers of the English language<br><br>Absolute Performance (1)<br><br>K-2nd: Each year, 75 percent of K-2 students who have been enrolled at the school for at least two consecutive BEDS Days will perform at or above grade level in reading, as measured by STEP. | STEP Scores                       | 83% of K-2 students who were enrolled for at least 2 BEDS days were reading at or above grade level by the end of the year | Achieved  |
| Academic Goal 2 | Absolute Performance (2)<br><br>K-2nd: Each year, 75 percent of K-2 students will meet their expected annual growth goal on the MAP Reading assessment.  | MAP Reading Assessment Results    | 70% of K-2 students met their expected annual growth goal on the MAP Reading assessment.                                   | Did Not Achieve<br><br>While we did not achieve our goal in this area, we feel confident that we have made considerable progress towards meeting the goal. We have continued to refine our literacy |

|                 |  |                              |  |  |
|-----------------|--|------------------------------|--|--|
|                 |  |                              |  | instruction, and this year, have added a Close Reading block to address some of the comprehension challenges that are preventing students from continued growth.   |
| Academic Goal 3 | <p>Absolute Performance (3)</p> <p>3rd-8th: Each year, the percent of students performing at or above Level 3 on the State ELA exam in each tested school will meet our annual interim goals. For 2013-2014, this goal is 55%.</p> | NYS ELA Exam                 | In 2013-2014 24% of students in 5th-8th grade scored at or above proficiency on the ELA exam | <p>Did Not Achieve</p> <p>We are committed to ensuring that students leave our schools equipped to succeed in college and know that ELA proficiency is a key component of college success. We are committed to increasing ELA proficiency via continued transition to a curriculum platform based on common core standards, coupled with regular interim assessments and data analysis. We are implementing the following initiatives in our Middle School this year:</p> <ul style="list-style-type: none"> <li>- Scholastic READ 180 reading intervention</li> <li>- Scholastic System 44 phonics intervention</li> <li>- Newsela (differentiated nonfiction program)</li> <li>- Vocabulary Class</li> <li>- Use of MAP test to measure interim progress towards goals</li> <li>- Additional professional development for staff and teachers, particularly in Guided Reading</li> <li>- Continued implementation of the KIPP Framework for Excellent Teaching as a coaching and development tool</li> <li>- Continued implementation of shared data protocol to ensure that we use data more strategically and effectively.</li> </ul> |
| Academic Goal 4 | <p>Absolute Performance (4)</p> <p>9th-12th: Each year, 80 percent of the graduating cohort will have scored at least 75 on the New York State Regents examinations in ELA.</p>  | NYS Regents ELA Examinations | NA: The Washington Heights Charter does not currently enroll students in grades 9-12         | N/A  |
| Academic Goal 5 | <p>Value-Added Measures (1)</p> <p>K-12th: Each year, each constituent school will make Adequate Yearly Progress in ELA as measured by NCLB</p>  | NCLB Status                  | Data Not Available at Time of Submission   | Data Not Available at Time of Submission   |

|                 |   |                                |   |  |
|-----------------|---|--------------------------------|---|--|
| Academic Goal 6 | Comparative Measures (1)<br>K-2nd: Each year, at least 50% of students will perform at or above the national norm in MAP Reading.   | MAP Reading Assessment Results | 70% of students in K-2 scored above the national norm in MAP reading on the Spring 2014 administration.   | Achieved   |
| Academic Goal 7 | Comparative Measures (2)<br>3rd-8th: Each year, the percent of students performing at or above Level 3 on the State ELA exam in each tested grade will, in the majority of grades, exceed the average performance of students tested in the same grades of the Community School District in which the school is located and the statewide proficiency average.. | NYS ELA Exam                   | In 2013-2014 the percentage of students scoring at or above proficiency on the ELA exam exceeded that of the local CSD in the 2 tested grades, but exceeded statewide percentage in 0 of 2 tested grades. The target was only partially achieved. | Did Not Achieve.<br><br>Please refer to above for Middle School ELA action plan. |
| Academic Goal 8 | Comparative Measures (3)<br>9th-12th: Each year, the percent of students in the high school accountability cohort passing an ELA Regents exam with a score of 75 or above by the end of their fourth year will exceed that of students across New York State.   | NYS Regents ELA Examinations   | NA: The Washington Heights Charter does not currently enroll students in grades 9-12  | N/A  |

## 2a1. Do have more academic goals to add?

Yes

## 2013-14 Progress Toward Attainment of Academic Goals

| Academic Student Performance Goal   | Measure Used to Evaluate Progress | 2013-2014 Progress Toward Attainment   | If Not Met, Describe Efforts to be Taken   |
|---|-----------------------------------|--|--|
| Academic Goal 9<br><br>Goal 2: Students will demonstrate knowledge and understanding of mathematics including application, practical and conceptual understanding, and problem solving.<br><br>Absolute Measures (1)<br><br>K-2nd: Each year, 75 percent of K-2 students who have been enrolled at the school for at least 2 consecutive years will perform at or above grade level in Mathematics, as measured by the MAP (Measure of Academic Progress) Exam. | MAP Math Assessment Results       | 62% of K-2 students who have been enrolled for at least 2 consecutive BEDS days performed at or above grade level in Mathematics on the Spring MAP assessment. | Did Not Achieve.<br><br>While we did not meet our goal, we have made strides to address the specific needs of our students, so that they can make continued growth. This year, we've added a Cognitively Guided Instruction block to develop student problem solving and critically thinking when approaching math story problems and open-ended questions. We expect this to continue to impact student achievement and growth. |

|                  |   |                             |  |  |
|------------------|---|-----------------------------|--|--|
| Academic Goal 10 | Absolute Measures (2)<br><br>3rd-8th: Each year, the percent of students performing at or above Level 3 on the State Math exam in each tested school will meet our annual interim goals. For 2013-2014, this goal is 80%  | NYS Math Exam               | In 2013-2014 50% of students in 5th-8th grade scored at or above proficiency on the Math exam  | Achieved                                 |
| Academic Goal 11 | Absolute Measures (3)<br><br>9th-12th: Each year, 80% of the graduating cohort will have scored at least 75 on a New York State Regents examination in Math.  | NYS Regents Math Exams      | NA: The Washington Heights Charter does not currently enroll students in grades 9-12   | N/A                                      |
| Academic Goal 12 | Value-Added Measures<br><br>K-12th: Each year, each constituent school will make Adequate Yearly Progress in Math as measured by NCLB.  | NCLB Status                 | Data Not Available at Time of Submission   | Data Not Available at Time of Submission |
| Academic Goal 13 | Comparative Measures (1)<br><br>K-2nd: Each year, at least 50% of students will perform at or above the national norm in MAP Math.  | MAP Math Assessment Results | 65% of students scored at or above the national norm in MAP Mathematics on the Spring 2014 administration.   | Achieved                                 |
| Academic Goal 14 | Comparative Measures (2)<br><br>3rd-8th: Each year, the percent of students performing at or above Level 3 on the State Math exam in each tested grade will, in the majority of grades, exceed the average performance of students tested in the same grades of the Community School District in which the school is located and the statewide proficiency average. | NYS Math Exam               | In 2013-2014 the percentage of students scoring at or above proficiency on the Math exam exceeded that of the local CSD and the statewide percentage in both tested grades. The target was achieved. | Achieved                                 |
| Academic Goal 15 | Comparative Measures (3)<br><br>9th-12th: Each year, the percent of students in the high school accountability cohort passing a Math Regents exam with a score of 80 or above by the end of their fourth year will exceed that of the students across New York State.   | NYS Regents Math Exams      | NA: The Washington Heights Charter does not currently enroll students in grades 9-12   | N/A                                      |
| Academic Goal 16 | Goal 3: Students will demonstrate proficiency in the understanding and application of scientific reasoning<br><br>Absolute Measure (1)  | NYS Regents Science Exams   | NA: The Washington Heights Charter does not currently enroll students in grades 9-12   | N/A                                      |

9th-12th: Each year, 80% of the graduating cohort will have scored at least 75 on a New York State Regents examination in Science (Living Environment, Chemistry, or other).

## 2a2. Do have more academic goals to add?

Yes

### 2013-14 Progress Toward Attainment of Academic Goals

|                  | Academic Student Performance Goal  | Measure Used to Evaluate Progress                 | 2013-14 Progress Toward Attainment   | If Not Met, Describe Efforts to be Taken |
|------------------|--|---|--|--|
| Academic Goal 17 | <p>Comparative Measure</p> <p>4th and 8th: Each year, the percent of students performing at or above Level 3 on the State Science exam in 4th and 8th grade will exceed the average performance of students tested in the same grades of the Community School District in which the school is located and the statewide proficiency average.</p> | NYS Science Exam                                  | NA: The Washington Heights Charter does not currently enroll students in grades 4 or 8 | N/A                                      |
| Academic Goal 18 | <p>Goal 4: Students will understand and be able to critically analyze World and United States History and Geography</p> <p>Absolute Measure</p> <p>9th-12th: Each year, 80% of the graduating cohort will have scored at least 75 on the New York State Regents examinations in History (Global Studies and U.S. History)</p>                    | NYS Global Studies and U.S. History Regents Exams | NA: The Washington Heights Charter does not currently enroll students in grades 9-12   | N/A                                      |
| Academic Goal 19 | <p>Goal 5: Each constituent school will make Adequate Yearly Progress as mandated by the No Child Left Behind Act</p> <p>Absolute Measure</p> <p>K-12th: Each year, the school will be deemed "In Good Standing" on the NYS Report Card.</p>   | NYS Report Card                                   | Data Not Available at Time of Submission   | Data Not Available at Time of Submission |
| Academic Goal 20 | <p>Goal 6: Students will demonstrate academic preparedness for college and careers</p> <p>Absolute Measure (1)</p> <p>9th-12th: Each year, 85 percent of students in the high school Graduation Cohort will graduate after completion of</p>   | HS Graduation Rate                                | NA: The Washington Heights Charter does not currently enroll students in grades 9-12   | N/A                                      |

|                  |   |                                      |  |          |
|------------------|---|--------------------------------------|--|----------|
|                  | their fourth year in the cohort.  |                                      |  |          |
| Academic Goal 21 | Absolute Measure (2)<br>9th-12th: Each year, 90 percent of students in the Graduation Cohort will complete the school's college placement program consisting of passing 3 of the following courses: Speech and Composition; Math and Verbal Reasoning; College Readiness; and Senior Research Institute College Counseling. (The program changed from 4 to 3 courses) | College Placement Program Completion | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 22 | Absolute Measure (3)<br>9th-12th: Each year, 50 percent of students in the Graduation Cohort will successfully pass a high school AP exam.  | AP Exam Results                      | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 23 | Comparative Measure (1)<br>11th-12th: Each year, the average performance of students will exceed the citywide average on the SAT tests in Critical Reading and Mathematics. It is expected that the participation rate for this test will be 75% or greater.  | SAT Results                          | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 24 | High School Graduation Rates<br>95% of alumni will earn a high school diploma within 5 years of finishing 8th grade.  | High School Graduation               | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 25 | College Graduation Rates (1)<br>50% of alumni will earn a bachelor's degree within 6 years of matriculation   | College Graduation                   | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 26 | College Graduation Rates (2)<br>100% of alumni who graduate from middle and high school will earn a bachelor's degree, an associate's degree, or complete a program that prepares them for careers through which they can achieve self-sufficiency.   | Post-High School Program Completion  | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 27 | College Participation and Attendance (1)<br>Each year, 85 percent of students in the Graduation Cohort will gain admission into a two and/or four year college.   | College Admission                    | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 28 | College Participation and Attendance (2)<br>Each year, 70 percent of students in the Graduation Cohort will enroll in a two and/or four year college.   | College Enrollment                   | NA: The Washington Heights Charter does not currently enroll students in grades 9-12 | N/A      |
| Academic Goal 29 | Student Engagement (1)  | Daily Attendance                     | In 2013-14 the average daily attendance rate was 96%.                                | Achieved |

Each year, the school will have an average daily student attendance rate of at least 95 percent.

Academic Goal 30

Student Engagement (1)

Re-Enrollment

98% of students enrolled on the last day of school returned in September of 2014

Achieved

Each year, 95 percent of all students enrolled on the last day of the school year will return the following September.

2b. ORGANIZATIONAL GOALS

2013-14 Progress Toward Attainment of Organizational Goals

|            | Organizational Goal  | Measure Used to Evaluate Progress | 2013-14 Progress Toward Attainment  | If Not Met, Describe Efforts to be Taken  |
|------------|--|-----------------------------------|---|---|
| Org Goal 1 | Adherence to Contract Terms<br>Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act. | Compliance                        | The school complied with all relevant rules, regulations and contract terms.                    | Achieved  |
| Org Goal 2 | Adherence to Contract Terms<br>Each year, leadership will engage in strategic goal setting aligned to the organization’s mission, beliefs, and long-term priorities. The school will accomplish 100% of its annual strategic goals.  | Strategic Goal Setting            | Each of the schools engaged in academic goal setting this year                                  | Achieved  |
| Org Goal 3 | Enrollment Stability<br>Each year, student enrollment will be within 15% of full enrollment as defined in the school’s contract. This will be measured each year by an analysis of student enrollment figures in ATS.  | Enrollment                        | With 507 students enrolled in 2013-14, enrollment remained within 15% of contracted enrollment. | Achieved  |
| Org Goal 4 | Each of the constituent schools will target underserved populations in their communities of residence. (1)<br><br>At least 80% of students will qualify for Free or Reduced Price Lunch  | Enrollment                        | 90% of students qualified for Free or Reduced Price Lunch in 2013-14                            | Achieved  |
| Org Goal 5 | Each of the constituent schools will target underserved populations in their communities of residence. (2)<br><br>The percent of students in the incoming class classified as English Language Learners will exceed that of the local CSD  | Enrollment                        | 20% of students classified as ELL in 2013-14 as compared to 31% of the local CSD                | Did Not Achieve<br><br>While we actively recruit students who are English Language Learners, our student population comes from all over Washington Heights, which results in very mixed student population. In addition, as students progress |

through our school, the percentage of ELLs declines, as students pass the NYSESLAT within 2 years of being at our school. We do have 2 ESL interventionists that provide services to our ELL students, as well as former ELLs.

## 2b.1 Do you have more organizational goals to add?

Yes

### 2013-14 Progress Toward Attainment of Organizational Goals

|            | Organizational Goal   | Measure Used to Evaluate Progress   | 2013-2014 Progress Toward Attainment   | If Not Met, Describe Efforts to be Taken   |
|------------|---|-------------------------------------|--|--|
| Org Goal 6 | Each of the constituent schools will target underserved populations in their communities of residence. (3)<br><br>The percent of students in the incoming class who will receive Special Education Services will exceed that of the local CSD | Enrollment                          | 17% of students had an IEP in 2013-14 as compared to 14% of the local CSD  | Achieved   |
| Org Goal 7 | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (1)<br><br>% above 4.1 on annual Staff Healthy Schools Surveys will be 100%                                  | Healthy Schools and Regions Surveys | On average, 88% of Staff/Teachers agreed or strongly agreed with statements on the 2013-14 Healthy Schools Surveys | Did Not Achieve<br><br>KIPP NYC schools are committed to providing a safe learning environment where all stakeholders function as a team and a family, and are consistently working towards increasing scores on the annual Healthy Schools and Regions Surveys across all stakeholders.   |
| Org Goal 8 | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (2)<br><br>% above 4.1 on annual Parent Healthy Schools Surveys will be 100%                                 | Healthy Schools and Regions Surveys | On average, 89% of Parents agreed or strongly agreed with statements on the 2013-14 Healthy Schools Surveys        | Did Not Achieve<br><br>KIPP NYC schools are committed to providing a safe learning environment where all stakeholders function as a team and a family, and are consistently working towards increasing scores on the annual Healthy Schools and Regions Surveys across all stakeholders.<br><br>Families have many opportunities to participate in school events and activities, as well as provide feedback in order to continue to help improve our school. We will continue to identify areas for |

|             |  |                                     |  |  |
|-------------|--|-------------------------------------|--|--|
|             |  |                                     |  | growth in meeting family needs.  |
| Org Goal 9  | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (3)<br><br>% above 4.1 on annual Student Healthy Schools Surveys will be 100%   | Healthy Schools and Regions Surveys | On average, 83% of Students* agreed or strongly agreed with statements on the 2013-14 Healthy Schools Surveys.<br>*only students in grades 5-8 were surveyed | Did Not Achieve<br><br>KIPP NYC schools are committed to providing a safe learning environment where all stakeholders function as a team and a family, and are consistently working towards increasing scores on the annual Healthy Schools and Regions Surveys across all stakeholders. |
| Org Goal 10 | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (4)<br><br>90% of parents will agree or strongly agree with the statement: "I would recommend KIPP to other families"                 | Healthy Schools and Regions Surveys | 98% of parents agreed or strongly agreed with the statement "I would recommend KIPP to other families"   | Achieved   |
| Org Goal 11 | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (5)<br><br>90% of staff will agree or strongly agree with the statement "I would recommend KIPP to a friend as a place of employment" | Healthy Schools and Regions Surveys | 73% of staff/teachers agreed or strongly agreed with the statement "I would recommend KIPP to a friend as a great place to work."                            | Did Not Achieve<br><br>KIPP NYC schools are committed to providing a safe learning environment where all stakeholders function as a team and a family, and are consistently working towards increasing scores on the annual Healthy Schools and Regions Surveys across all stakeholders. |
| Org Goal 12 | Each of the constituent schools will provide a safe learning environment where all stakeholders function as a team and a family. (6)<br><br>90% of students will agree or strongly agree with the statement "I would recommend KIPP to my family/friends"              | Healthy Schools and Regions Surveys | 90% of students* agreed or strongly agreed with the statement "I would recommend KIPP to my family/friends."<br>*only students in grades 5-8 were surveyed   | Achieved   |

## 2c. FINANCIAL GOALS

### 2013-14 Progress Toward Attainment of Financial Goals

|                  | Financial Goals   | Measure Used to Evaluate Progress | 2013-2014 Progress Toward Attainment                                       | If Not Met, Describe Efforts to be Taken |
|------------------|---|-----------------------------------|--|--|
| Financial Goal 1 | Financial Compliance<br><br>Upon completion of the school's first year of | Financial Audit                   | The annual audit resulted in an unmodified opinion with no major findings. | Achieved                                 |

operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.

Financial Goal 2

Financial Viability

Each year, the school will operate on a balanced budget and maintain a stable cash flow.

Budget

The school has maintained a budget consistent with it's financial goals.

Achieved

# Appendix I: Teacher and Administrator Attrition

Created Thursday, July 17, 2014

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## Page 1

Charter School Name: 310600861013 KIPP NYC WASHINGTON HEIGHTS CS

Instructions for completing the Teacher and Administrator Attrition Tables  
Board of Regents-authorized charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2013, the FTE for added staff from July 1, 2013 through June 30, 2014, and the FTE for any departed staff from July 1, 2013 through June 30, 2014 using the two tables provided.

### 2013-14 Teacher Attrition Table

| FTE Teachers on June 30, 2013 | FTE Teachers Additions 7/1/13 – 6/30/14 | FTE Teacher Departures 7/1/13 – 6/30/14 |
|-------------------------------|---|---|
| 19                            | 25                                      | 12                                      |

### 2013-14 Administrator Position Attrition Table

| FTE Administrator Positions On 6/30/2013 | FTE Administrator Additions 7/1/13 – 6/30/14 | FTE Administrator Departures 7/1/13 – 6/30/14 |
|--|--|---|
| 10                                       | 3  |   |

Thank you

# Appendix J: Uncertified Teachers

Created Friday, July 18, 2014

## Page 1

Charter School Name: 310600861013 KIPP NYC WASHINGTON HEIGHTS CS

### Note Definition of FTE:

Full-time equivalent employees equal the number of employees on full-time schedules plus the number of employees on part-time schedules converted to a full-time basis. The number of full-time equivalent employees in each industry is the product of the total number of employees and the ratio of average weekly hours per employee for all employees to average weekly hours per employee on full-time schedules. An industry's full-time equivalent employment will be less than the number of its employees on full- and part-time schedules, unless it has no part-time employees (U.S. Commerce--Bureau of Economic Analysis at: [http://www.bea.gov/faq/index.cfm?faq\\_id=368#sthash.8Rbj89kq.dpuf](http://www.bea.gov/faq/index.cfm?faq_id=368#sthash.8Rbj89kq.dpuf))

How many UNCERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of last day of school in 2013-14?

For each applicable category (i-iv), input the relevant full time equivalent (FTE) count of teachers.

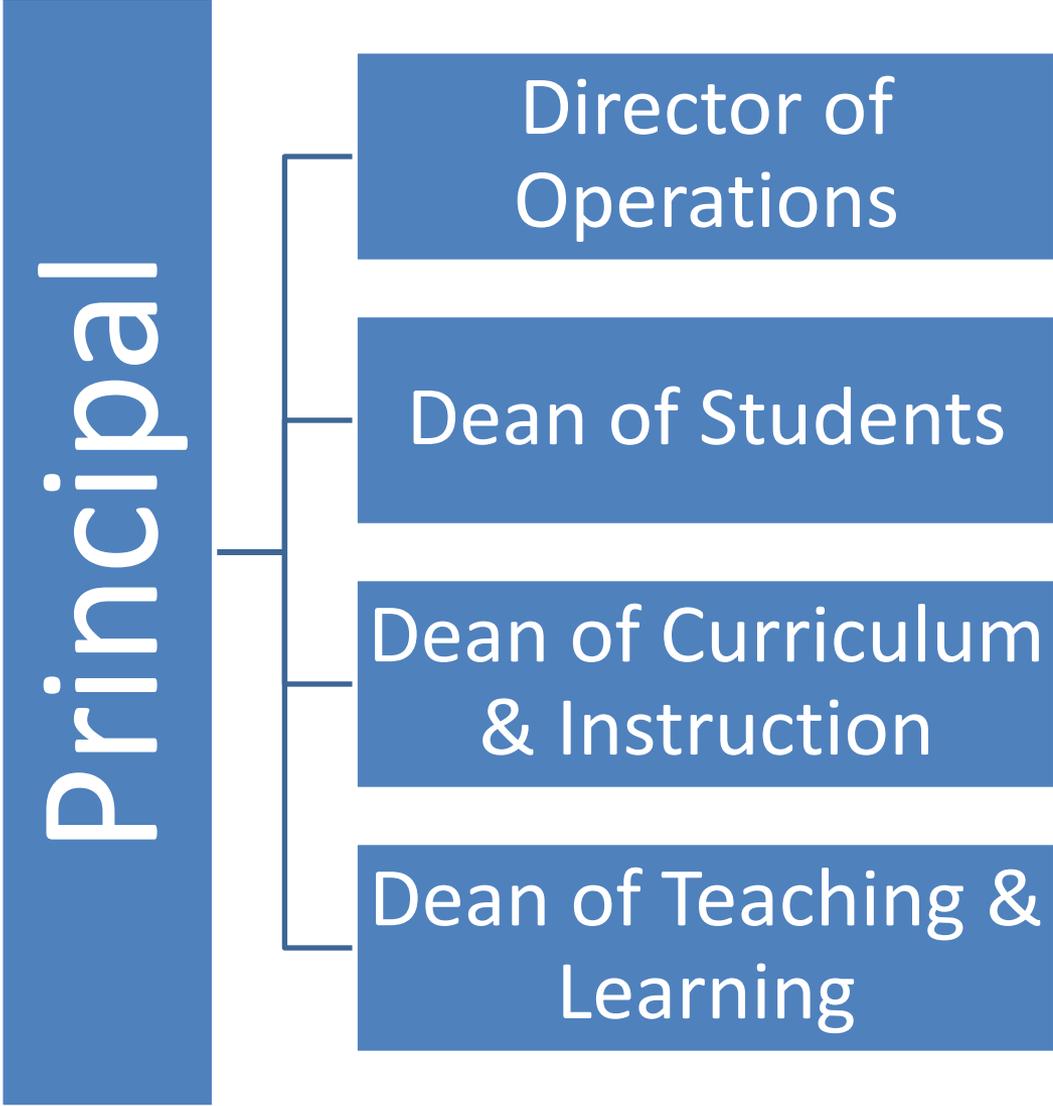
|   | FTE |
|---|-----|
| (i) uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience | 5   |
| (ii) tenured or tenure track college faculty  | 0   |
| (iii) individuals with two years satisfactory experience through Teach for America                                  | 0   |
| (iv) individuals who possess exceptional business, professional, artistic, athletic, or military experience         | 7   |
| Total FTE (Sum of all Uncertified Teaching Staff)   | 12  |

How many CERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of the last day of school in 2013-14?

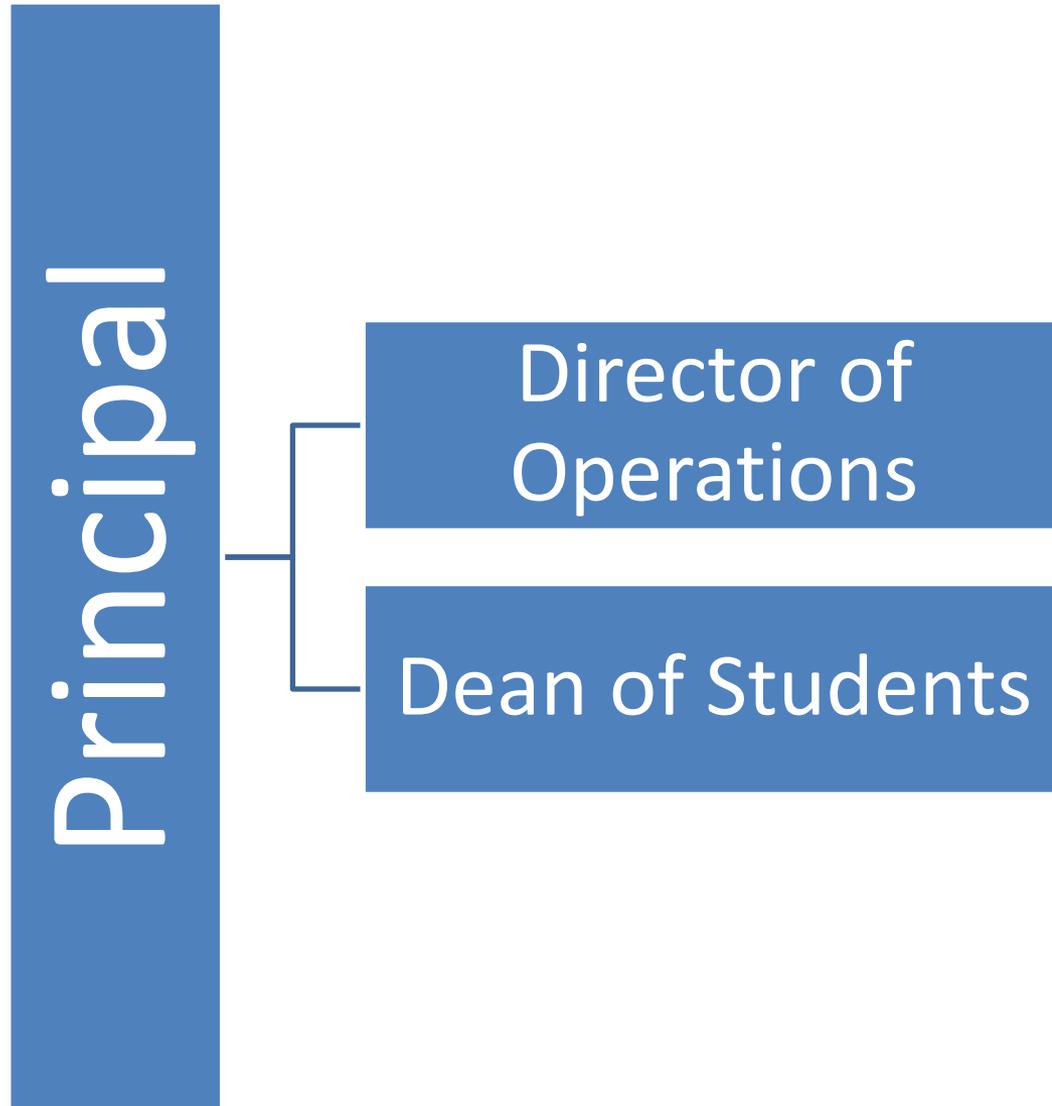
43

Thank you.

# KIPP STAR Washington Heights Elementary Org Chart 2014-15



# KIPP Washington Heights Middle Org Chart 2014-15



# Appendix B: Total Expenditures and Administrative Expenditures per Child

Created Friday, August 01, 2014

## Page 1

Charter School Name: 310600861013 KIPP NYC WASHINGTON HEIGHTS CS

### B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

#### 1. Total Expenditures Per Child

To calculate 'Total Expenditures per Child' take total expenditures (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the count of students you reported on of BEDS Day. (Integers Only. No dollar signs or commas).

|   |          |
|---|----------|
| 1. Total Expenditures Per Child   Line 1: Total Expenditures      | 16276826 |
| 1. Total Expenditures Per Child   Line 2: BEDS Day Pupil Count    | 1029     |
| 1. Total Expenditures Per Child   Line 3: Divide Line 1 by Line 2 | 15822    |

#### 2. Administrative Expenditures per Child

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the BEDS per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

Do not include the FTE of personnel dedicated to administration of the instructional programs.

Do not include Employee Benefit costs or expenditures in the above calculations.

A template for the Schedule of Functional Expenses is provided on page 21 of the 2012 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2013-14 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).

|  |         |
|--|---------|
| To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 1: Relevant Personnel Services Cost (Row)    | 176146  |
| To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 2: Management and General Cost (Column)      | 1757595 |
| To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 3: Sum of Line 1 and Line 2                  | 1933741 |
| To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 4: BEDS Day Pupil Count                      | 1029    |
| To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).   Line 5: Divide Line 3 by the BEDS Day Pupil Count | 1880    |

Thank you.



## Financial Audit Supplemental Data Request Form

*for Regents-Authorized Charter Schools*

**School Name:** [KIPP NYC Public Charter Schools](#)

**Date:** August 1, 2014

**School Fiscal Contact Name:** [Charizma Williams](#)  
**School Fiscal Contact Email:** [REDACTED]  
**School Fiscal Contact Phone:** [REDACTED]  
**District of Location:** [New York City](#)  
**Authorizer:** [SED](#)  
**Years of Operation:** 2  
**Facility:** [Public](#)  
**Grades Currently Served:** [K-2; 5-12](#)  
**Planned Grades at Full Capacity:** [K-12](#)  
**Enrollment:** [1028.727](#)  
**Max Enrollment:** [1757](#)  
**Year of Most Recent Data:** [2014](#)  
**School Fiscal Contact Phone:** [212.991.2610 ext6025](#)

**School Audit Firm Name:** [CliftonLarsonAllen LLP](#)  
**School Audit Contact Name:** [David Sekerak](#)  
**School Audit Contact Email:** [david.sekerak@claconnect.com](mailto:david.sekerak@claconnect.com)  
**School Audit Contact Phone:** [\(267\) 419-1635](#)

**Latest Audit Period (through June 30):** [2014](#)

**Do Not Use this Box** [KIPP NYC Public Charter Schools2014](#)

**KIPP NYC PUBLIC CHARTER SCHOOL**

**FINANCIAL STATEMENTS**

**YEAR ENDED JUNE 30, 2014**

**KIPP NYC PUBLIC CHARTER SCHOOL  
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YEAR ENDED JUNE 30, 2014**

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## INDEPENDENT AUDITORS' REPORT

Board of Trustees  
KIPP NYC Public Charter School  
New York, New York

### **Report on the Financial Statements**

We have audited the accompanying financial statements of KIPP NYC Public Charter School (a nonprofit organization), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditors' Responsibility***

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Board of Directors  
KIPP NYC Public Charter School

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of KIPP NYC Public Charter School as of June 30, 2014, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

**Merger**

As discussed in Note 1 to the financial statements, as of July 1, 2013, KIPP STAR College Prep Charter School and KIPP NYC Washington Heights Academy Charter School merged their financial books and records and ceased operating as two separate entities and became KIPP NYC Public Charter School. Our opinion is not modified with respect to that matter.

**Other Matters**

*Other Information – Schedule of Expenditures of Federal Awards*

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The schedule of expenditures of federal awards, as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated, in all material respects, in relation to the financial statements as a whole.

**Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated October 29, 2014, on our consideration of KIPP NYC Public Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the result of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering KIPP NYC Public Charter School's internal control over financial reporting and compliance.



**CliftonLarsonAllen LLP**

Plymouth Meeting, Pennsylvania  
October 29, 2014

**KIPP NYC PUBLIC CHARTER SCHOOL  
STATEMENT OF FINANCIAL POSITION  
JUNE 30, 2014**

**ASSETS**

|                                   |    |                         |
|-----------------------------------|----|-------------------------|
| Cash and cash Equivalents         | \$ | 3,688,307               |
| Grants and Contracts Receivable   |    | 730,666                 |
| Prepaid Expenses and Other Assets |    | 327,091                 |
| Property and Equipment            |    | <u>673,879</u>          |
| Total Assets                      | \$ | <u><u>5,419,943</u></u> |

**LIABILITIES AND NET ASSETS**

**LIABILITIES**

|                                       |    |                |
|---------------------------------------|----|----------------|
| Accounts Payable and Accrued Expenses | \$ | 269,103        |
| Due to Related Parties                |    | 212,879        |
| Capital Lease Obligations             |    | <u>250,000</u> |
| Total Liabilities                     |    | <u>731,982</u> |

**NET ASSETS**

|                        |  |                  |
|------------------------|--|------------------|
| Unrestricted           |  | 4,431,802        |
| Temporarily Restricted |  | <u>256,159</u>   |
| Total Net Assets       |  | <u>4,687,961</u> |

|                                  |    |                         |
|----------------------------------|----|-------------------------|
| Total Liabilities and Net Assets | \$ | <u><u>5,419,943</u></u> |
|----------------------------------|----|-------------------------|

*See accompanying Notes to Financial Statements.*

**KIPP NYC PUBLIC CHARTER SCHOOL  
STATEMENT OF ACTIVITIES  
YEAR ENDED JUNE 30, 2014**

|   | <u>Unrestricted</u>        | <u>Temporarily<br/>Restricted</u> | <u>Totals</u>              |
|---|----------------------------|-----------------------------------|----------------------------|
| <b>OPERATING REVENUE</b>                    |                            |                                   |                            |
| State and Local Per Pupil Operating Revenue | \$ 16,021,150              | \$ -                              | \$ 16,021,150              |
| Government Grants and Contracts             | <u>1,176,531</u>           | <u>-</u>                          | <u>1,176,531</u>           |
| Total Operating Revenue                     | 17,197,681                 | -                                 | 17,197,681                 |
| <b>OPERATING EXPENSES</b>                   |                            |                                   |                            |
| Program Services                            | 14,502,833                 | -                                 | 14,502,833                 |
| Supporting Services                         | <u>1,877,722</u>           | <u>-</u>                          | <u>1,877,722</u>           |
| Total Operating Expenses                    | <u>16,380,555</u>          | <u>-</u>                          | <u>16,380,555</u>          |
| School Operating Surplus                    | 817,126                    | -                                 | 817,126                    |
| Other Revenue                               |                            |                                   |                            |
| Contributions and Other Grants              | 327,207                    | 393,712                           | 720,919                    |
| Interest and Other Income - Net             | 309,216                    | -                                 | 309,216                    |
| Donated Goods and Services                  | 3,409                      | -                                 | 3,409                      |
| Special Event Revenue                       | <u>200</u>                 | <u>-</u>                          | <u>200</u>                 |
| Total Other Revenue                         | 640,032                    | 393,712                           | 1,033,744                  |
| Net Assets Released from Restrictions       | <u>152,267</u>             | <u>(152,267)</u>                  | <u>-</u>                   |
| <b>CHANGE IN NET ASSETS</b>                 | 1,609,425                  | 241,445                           | 1,850,870                  |
| Net Assets - Beginning of Year              | <u>2,822,377</u>           | <u>14,714</u>                     | <u>2,837,091</u>           |
| <b>NET ASSETS - END OF YEAR</b>             | <u><u>\$ 4,431,802</u></u> | <u><u>\$ 256,159</u></u>          | <u><u>\$ 4,687,961</u></u> |

See accompanying Notes to Financial Statements.

**KIPP NYC PUBLIC CHARTER SCHOOL  
STATEMENT OF FUNCTIONAL EXPENSES  
YEAR ENDED JUNE 30, 2014**

|                                      | Program<br>Services  | Supporting<br>Services  | Total                |
|--------------------------------------|----------------------|-------------------------|----------------------|
|                                      | School<br>Operations | Management<br>& General | Expenses             |
| Salaries                             | \$ 9,569,639         | \$ -                    | \$ 9,569,639         |
| Payroll Taxes and Employee Benefits  | 1,792,273            | -                       | 1,792,273            |
| Technology                           | 543,661              | -                       | 543,661              |
| Professional Fees                    | 389,768              | 38,553                  | 428,321              |
| Field Lessons                        | 256,009              | -                       | 256,009              |
| Staff Development                    | 179,575              | -                       | 179,575              |
| Instructional Materials and Supplies | 408,413              | -                       | 408,413              |
| Academic Programming                 | 188,618              | -                       | 188,618              |
| Donated Goods and Services           | 3,409                | -                       | 3,409                |
| Telephone and Internet               | 322,230              | -                       | 322,230              |
| Insurance                            | 150,950              | -                       | 150,950              |
| Equipment and Maintenance            | 125,611              | -                       | 125,611              |
| Depreciation and Amortization        | 123,173              | -                       | 123,173              |
| Licensing and Management Fees        | 94,339               | 1,839,169               | 1,933,508            |
| Occupancy                            | 169,755              | -                       | 169,755              |
| Contracted Services - Other          | 24,875               | -                       | 24,875               |
| Student Events                       | 47,139               | -                       | 47,139               |
| Miscellaneous                        | 61,394               | -                       | 61,394               |
| Student Transportation               | 47,230               | -                       | 47,230               |
| Bad Debt Expense                     | 4,772                | -                       | 4,772                |
|                                      | <u>4,772</u>         | <u>-</u>                | <u>4,772</u>         |
| Total Functional Expenses            | <u>\$ 14,502,833</u> | <u>\$ 1,877,722</u>     | <u>\$ 16,380,555</u> |

See accompanying Notes to Financial Statements.

**KIPP NYC PUBLIC CHARTER SCHOOL  
STATEMENT OF CASH FLOWS  
YEAR ENDED JUNE 30, 2014**

|  |                            |
|--|----------------------------|
| <b>CASH FLOWS FROM OPERATING ACTIVITIES</b>  |                            |
| Change in Net Assets   | \$ 1,850,870               |
| Adjustments to Reconcile Change in Net Assets to Net<br>Cash Provided by Operating Activities: |                            |
| Depreciation and Amortization  | 123,173                    |
| (Increase) Decrease in Assets:   |                            |
| Grants and Contracts Receivable  | (375,109)                  |
| Prepaid Expenses and Other Assets  | (164,360)                  |
| Due from Related Parties   | 1,303,042                  |
| Increase (Decrease) in Liabilities:  |                            |
| Accounts Payable and Accrued Expenses  | 76,132                     |
| Due to Related Parties   | 212,879                    |
| Refundable Advances  | <u>(11,954)</u>            |
| Net Cash Provided by Operating Activities  | 3,014,673                  |
| <b>CASH FLOWS FROM INVESTING ACTIVITIES</b>  |                            |
| Purchases of Property and Equipment  | <u>(301,945)</u>           |
| <b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>   | 2,712,728                  |
| Cash and Cash Equivalents - Beginning of Year  | <u>975,579</u>             |
| <b>CASH AND CASH EQUIVALENTS - END OF YEAR</b>   | <u><u>\$ 3,688,307</u></u> |

*See accompanying Notes to Financial Statements.*

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 1      SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Nature of Operations**

KIPP NYC Public Charter School (the "School") is an education corporation that is the consolidation of the former KIPP STAR College Prep Charter School (STAR) and KIPP NYC Washington Heights Academy Charter School (Washington Heights). As of July 1, 2013, the charter schools combined their financial books and records as a business combination accounted for as a merger and ceased operating as two separate entities. The net assets of the former schools at June 30, 2013 were \$2,332,935 for STAR and \$504,156 for Washington Heights, respectively. June 30, 2013 audited financial statements for each entity are available. Through their merger, the charter entities seek to achieve substantial operational efficiencies in governance and accounting workflow, enhanced buying power and shared resources, all of which will provide for a more streamlined, cost efficient organization for students, families and staff. The School's mission is to equip students with the academic and character skills necessary to succeed in high school, college and the competitive world beyond. The School will operate under the provisional charter of KIPP NYC Washington Heights Academy Charter School. The charter is effective through June 30, 2016.

In fiscal year 2014, the School operated for students in kindergarten through two and grades five through twelve.

The major source of revenue and support for the School is from state and local funding on per pupil basis. The New York City Department of Food Services provides free and reduced-price lunches and the New York City Department of Transportation provides transportation vouchers directly to a majority of the School's students. Amounts with respect to these items are not included in these financial statements.

**Financial Statement Presentation**

The financial statements of the School have been prepared on the accrual basis of accounting in accordance with established accounting standards for not for profit entities. The School is required to report information regarding its financial position and activities in according to three classes of net assets: unrestricted net assets, temporarily restricted net assets and permanently restricted net assets.

Unrestricted net assets are not restricted by donors or the donor-imposed restrictions have expired. Temporarily restricted net assets contain donor-imposed restrictions that permit the School to use or expend the assets as specified. The restrictions are satisfied either by the passage of time or by action of the School. Permanently restricted net assets contain donor-imposed restrictions that stipulate the resources be maintained permanently but permit the School to use or expend part or all of the income derived from the restricted assets for either specified or unspecified purposes. The School only has unrestricted and temporarily restricted net assets.

**Use of Estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Cash and Cash Equivalents**

Cash and cash equivalents consist of demand deposits.

**Grants and Contract Receivables**

Grants and contract receivables primarily consist of amounts due from the New York Department of Education for federal and state subsidy programs and private grants. Grants and contract receivables are stated at the amount management expects to collect from outstanding balances. As of June 30, 2014 an allowance of \$3,822 was established.

**Governmental Funding**

Revenue from the state and local governments resulting from the School's charter status and based on the number of students enrolled is recorded when services are performed in accordance with the charter agreement. Federal and state funds are recognized by the School when expenditures are incurred and billable.

Revenue from other government grants to which the School is entitled is recognized mostly on student enrollment. Some grants are provided for specific educational endeavors which are not based on student enrollment and are recorded when related expenditures are incurred by the School.

Federal and state funds received prior to the services provided or the related expenditures being incurred are deferred and recorded as refundable advances.

**Contributions**

The School reports gifts of cash and other assets as restricted support if they are received with donor stipulations that limit the use of the donated assets. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

**Donated Goods and Services**

Donated goods and services are recorded at their fair value when such services are rendered or goods are donated. Contributed services are recognized as contributions if the services: (a) create or enhance nonfinancial assets or (b) required specialized skills and are performed by people with those skills and would otherwise be purchased by the School and (c) are measurable.

A number of volunteers have made a contribution of their time to the School. These in-kind contributions have not been reflected in the financial statements since they do not meet the criteria for recognition under accounting standards for contributions.

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Equipment, Improvements, Depreciation and Amortization**

Property and equipment is recorded at cost. The School capitalizes purchases of equipment in excess of \$2,500. Depreciation is computed using the straight-line method over the estimated useful lives of the respective assets. Property and equipment acquired with certain government contract funds are recorded as expenses when the grantor retains title.

Equipment acquired under capital leases are recorded in equipment with corresponding obligations carried in liabilities. The amount capitalized is the lower of the present value of the minimum lease payments or the fair value of the leased asset. Amortization on assets leased under capital leases is recorded on a straight-line basis over the estimated useful life of the asset or the term of the lease, depending on the criteria used to capitalize the lease. Improvements to the facility are amortized over the useful life as there is no set lease term for the school building.

**Expense Allocation**

The costs of providing services have been summarized on a functional basis in the statement of activities and in the statement of functional expenses. Accordingly, certain costs have been allocated to the program and support services benefited.

**Concentration of Credit Risk**

The School maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits.

**Income Taxes**

The School is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Service Code and applicable income tax regulations of the State of New York. No provision for income taxes has been established, as the School has no unrelated business activity.

The School follows the guidance in the income tax standard regarding the recognition and measurement of uncertain tax positions. The guidance clarifies the accounting for uncertainty in income taxes recognized in an entity's financial statements. The guidance further prescribes recognition and measurement of tax provisions taken or expected to be taken on a tax return that are not certain to be realized. This standard had no impact on the School's financial statements. The School does not have any prior tax returns open for federal and state tax examinations as prior to the fiscal year ended 2014, there were no activities. However, returns for the former KIPP STAR College Prep Charter School for the years ended 2011 to 2013 and former KIPP NYC Washington Heights Academy Charter School for the year ended 2013 remain open for federal and state tax examinations.

**Subsequent Events**

In preparing these financial statements, the School has evaluated events and transactions for potential recognition or disclosure through October 29, 2014, the date the financial statements were available to be issued.

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 2 RELATED PARTY TRANSACTIONS**

The Knowledge is Power Program Foundation (“KIPP Foundation”) is a national, nonprofit organization that trains school leaders to open and run academically rigorous public schools. The School is a member of KIPP Foundation’s KIPP Network of Schools and, as such, is eligible for a range of benefits and fee-based services. See Note 7 for additional information about the license fee agreement.

KIPP NYC, LLC (“LLC”) is the sole member of KIPP New York, Inc. (“KNYI”). Amounts due to and from related parties represent short-term timing differences of grants received and expenses incurred on behalf of the School that have not been paid or received as of the financial statement date.

LLC is considered a related party due to the usage of the LLC shared services team who handles the back-office functions for the School. In July 2013, the School entered into a shared services fee agreement with LLC for the back-office functions. The management fee is 11% of the revenue as defined in the shared services agreement. The School incurred a management fee to LLC in the amount of \$1,839,169 for the year ended June 30, 2014.

KIPP New York, Inc. (“KNYI”) is the sole member of KTC NYC, LLC (“KTC”). Amounts due to and from related parties represent short-term timing differences of grants received and expenses incurred on behalf of the School that have not been paid or received as of the financial statement date.

KTC is considered a related party due to the services they provide to the KIPP New York charter schools and school alumni to prepare them for college. The services KTC provides are college advisory, counseling and placement, transition programs, collage scholars and career preparation. There were no transactions between KTC and the School during the year.

KIPP NYC Facilities II LLC (“Facilities”) is a single member limited liability company with KIPP NYC Facility Holdings, Inc. as its sole member. KIPP NYC Facility Holdings Inc. is a Delaware non-stock charitable membership corporation with a mission of securing long-term facilities for KIPP charter schools operating in New York City. KIPP NY Inc., KIPP Academy, KIPP AMP, KIPP Infinity, and KIPP NYC Public Charter Schools are members of KIPP NYC Facility Holdings Inc. There were no transactions between Facilities and the School during the year.

The School, KIPP Academy Charter School, KIPP AMP Academy Charter School, and KIPP Infinity Charter School, share minimal common membership on their Board of Directors (maximum of two shared members per school).

During the year ended June 30, 2014, the School moved out of the space it shared with KIPP Infinity Charter School. As a result of this move, the School transferred leasehold improvements and equipment to KIPP Infinity Charter School with a net book value of \$108,537. This transaction is netted within due from related parties on the statement of financial position.

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 3      EQUIPMENT AND IMPROVEMENTS**

Equipment and Improvements consists of the following:

|   |    |            |
|---|----|------------|
| Furniture and Fixtures                          | \$ | 75,828     |
| Technology                                      |    | 786,125    |
| Equipment                                       |    | 62,390     |
| Leasehold Improvements                          |    | 409,990    |
| Total   |    | 1,334,333  |
| Less: Accumulated Depreciation and Amortization |    | (660,454)  |
| Total Property and Equipment                    |    | \$ 673,879 |

**NOTE 4      RESTRICTIONS ON NET ASSET BALANCES**

Temporarily restricted net assets at June 30, 2014 were available for the following purposes:

|                   |    |            |
|-------------------|----|------------|
| Athletic Programs | \$ | 6,107      |
| Music Programs    |    | 3,123      |
| Academic Programs |    | 246,929    |
|                   |    | \$ 256,159 |

**NOTE 5      PENSION EXPENSE**

Due to a cost sharing arrangement for high school employees the School incurs expenses for a defined benefit pension plan provided by KIPP Infinity Charter School. The amount charged to the School's operations for contributions to this Plan amounted to \$102,143 for the year ended June 30, 2014.

The School is part of the KIPP NYC 403(b) Retirement Plan, a multiemployer defined contribution plan, under Section 403(b) of the Internal Revenue Code which employees of the School can elect to contribute. Employees, who choose this plan, can contribute up to the level set by the IRS. The Employer match is a discretionary contribution. The employer contributions to this Plan amounted \$165,328 for the year ended June 30, 2014.

**NOTE 6      SCHOOL FACILITY**

The School occupies several facilities. The School has an agreement with the New York City Department of Education for this dedicated and shared space at P.S. 125, a New York City public school, located at West 123rd Street, New York City, New York, P.S 115, New York City public school, located at West 177<sup>th</sup> Street, New York City, New York, and at I.S. 90, a New York City public school, located at Jumel Place, New York City, New York. The School is responsible for any overtime-related costs for services provided beyond regular opening hours in both facilities. These costs have been included in contracted services in the accompanying statement of functional expenses. The School also jointly occupies approximately 132,500 square feet of space at 201 East 144<sup>th</sup> St in the South Bronx where the joint high school for KIPP NYC Public Charter Schools, KIPP Academy Charter School, KIPP AMP Academy Charter School and KIPP Infinity Charter School resides.

**KIPP NYC PUBLIC CHARTER SCHOOL  
NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2014**

**NOTE 6 SCHOOL FACILITY (CONTINUED)**

The facility was provided to the School at no cost, but the school must provide for continuing maintenance and operating expenses. The expenses are also included in building costs in the accompanying statement of functional expenses.

**NOTE 7 TRADEMARK LICENSE AGREEMENT**

The School has entered into a trademark license agreement with KIPP Foundation subject to a license fee of 1% per pupil operating revenue not to exceed \$30,000 per elementary school, middle school or high school. The School incurred licensing fees amounting to \$94,339 for the year ended June 30, 2014.

**NOTE 8 OPERATING EXPENSES**

Operating expenses are presented in the statement of functional expenses classified according to the significant program activity related to the purpose for which the school exists or supporting service.

The significant activities are:

**School Operations**

This represents work (time and materials) that is specifically related to or necessary for the programming aspects of the School. The activities and related costs directly affecting students or parents fall under this program.

The significant supporting services are:

**Management and General**

Time and materials relating to operating the non-programmatic/back-end operational functions of the School are reflected as management and general expenses. These expenses include, but are not limited to, human resources, finance, and technology activities.

**Fundraising**

Represents work (time and materials) associated with the School's fund-raising program including but not limited to annual mailings, donor meeting and events. The fundraising expenses were incurred by KIPP NYC, LLC.

**NOTE 9 CONTINGENCY**

Certain grants and contracts may be subject to audit by the funding sources. Such audits might result in disallowances of costs submitted for reimbursement. Management is of the opinion that such cost disallowances, if any, will not have a material effect on the accompanying financial statements. Accordingly, no amounts have been provided in the accompanying financial statements for such potential claims.

**KIPP NYC PUBLIC CHARTER SCHOOL  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
YEAR ENDED JUNE 30, 2014**

| Federal Grantor/<br>Pass-Through Grantor/<br>Program Title | Source<br>Code | Federal<br>CFDA<br>Number | Pass-<br>Through<br>Grantor's<br>Number | Grant/<br>Contract<br>Period | Grant<br>Amount     | Accrued or<br>(Deferred)<br>Revenue<br>at 7/1/13 | Total<br>Received<br>for<br>the Year | Federal<br>Disbursements/<br>Expenditures | Accrued or<br>(Deferred)<br>Revenue<br>at 6/30/14 |
|--|----------------|---------------------------|---|------------------------------|---------------------|--|--------------------------------------|---|---|
| <u>U.S. Department of Education:</u>                       |                |                           |   |                              |                     |  |                                      |   |   |
| Pass-through New York Department of Education:             |                |                           |   |                              |                     |  |                                      |   |   |
| Title I Grant - Improving Academic Achievement             | I              | 84.010                    | 0021-134110                             | 9/1/12-8/31/13               | \$ 313,383          | \$ 77,758  | \$ 77,758                            | \$ -                                      | \$ -  |
| ARRA - Race to the Top                                     | I              | 84.395                    | 5500-134110                             | 7/1/12-6/30/13               | 5,911               | 592  | 592                                  | -   | -   |
| Title II Grant- Teaching, Principal Training, Recruitment  | I              | 84.367                    | 0147-134110                             | 9/1/12-8/31/13               | 13,686              | 6,233  | 6,233                                | -   | -   |
| Investing in Innovation                                    | I              | 84.396A                   | U396A100031                             | 7/1/12-6/30/13               | 124,096             | 24,699   | 24,699                               | -   | -   |
| Title I Grant - Improving Academic Achievement             | I              | 84.010                    | 0021-144110                             | 9/1/13-8/31/14               | 482,012             | -  | 374,847                              | 482,012                                   | 107,165   |
| ARRA - Race to the Top                                     | I              | 84.395                    | 5500-144110                             | 7/1/13-6/30/14               | 13,530              | -  | 10,147                               | 13,530                                    | 3,383   |
| Title II Grant- Teaching, Principal Training, Recruitment  | I              | 84.367                    | 0147-144110                             | 9/1/13-8/31/14               | 25,159              | -  | 22,467                               | 25,159                                    | 2,692   |
| Individuals with Disabilities Education Act                | I              | 84.027                    | n/a                                     | 9/1/13-8/31/14               | 120,580             | -  | 120,580                              | 120,580                                   | -   |
| Investing in Innovation                                    | I              | 84.396A                   | U396A100031                             | 7/1/13-6/30/14               | 60,796              | -  | -                                    | 60,796                                    | 60,796  |
| Total Federal Expenditures                                 |                |                           |   |                              | <u>\$ 1,159,153</u> | <u>\$ 109,282</u>                                | <u>\$ 637,323</u>                    | <u>\$ 702,077</u>                         | <u>\$ 174,036</u>                                 |

D - Direct Funding  
I - Indirect Funding  
S - State Share

See accompanying Notes to Schedule of Expenditures of Federal Awards.

**KIPP NYC PUBLIC CHARTER SCHOOL**  
**NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**YEAR ENDED JUNE 30, 2014**

**NOTE A      GENERAL INFORMATION**

The accompanying Schedule of Expenditures of Federal Awards presents the activities of the federal financial assistance programs of KIPP NYC Public Charter School (the School). Financial awards received directly from federal agencies, as well as financial assistance passed through other governmental agencies or non-profit organizations, are included in the schedule.

**NOTE B      BASIS OF PRESENTATION**

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the School and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

**NOTE C      RELATIONSHIP TO FINANCIAL STATEMENTS**

The Schedule of Expenditures of Federal Awards presents only a selected portion of the activities of the School. It is not intended to, and does not, present either the balance sheet or revenue, expenditures, and changes in net assets. The financial activity for the aforementioned awards is reported in the School's statement of activities.

**NOTE D      MERGER**

KIPP NYC Public Charter School (the "School") is an education corporation that is the consolidation of the former KIPP STAR College Prep Charter School and KIPP NYC Washington Heights Academy Charter School. As of July 1, 2013, the charter schools merged their financial books and records and ceased operating as two separate entities. The former KIPP STAR College Prep Charter School prepared a Schedule of Expenditures of Federal Awards for the year ended June 30, 2013. The carryover balances and finding from the former KIPP STAR College Prep Charter School have been incorporated into the reporting for June 30, 2014.

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Board of Trustees  
KIPP NYC Public Charter School  
New York, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of KIPP NYC Public Charter School, which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 29, 2014.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered KIPP NYC Public Charter School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of KIPP NYC Public Charter School's internal control. Accordingly, we do not express an opinion on the effectiveness of KIPP NYC Public Charter School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether KIPP NYC Public Charter School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



**CliftonLarsonAllen LLP**

Plymouth Meeting, Pennsylvania  
October 29, 2014



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**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR FEDERAL PROGRAM, ON INTERNAL CONTROL OVER COMPLIANCE, AND ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS IN ACCORDANCE WITH OMB CIRCULAR A-133**

Board of Trustees  
KIPP NYC Public Charter School  
New York, New York

**Report on Compliance for Each Major Federal Program**

We have audited KIPP NYC Public Charter School's compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of KIPP NYC Public Charter School's major federal programs for the year ended June 30, 2014. KIPP NYC Public Charter School's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

***Auditors' Responsibility***

Our responsibility is to express an opinion on compliance for each of KIPP NYC Public Charter School's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about KIPP NYC Public Charter School's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of KIPP NYC Public Charter School's compliance.

***Opinion on Each Major Federal Program***

In our opinion, KIPP NYC Public Charter School complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

### **Report on Internal Control Over Compliance**

Management of KIPP NYC Public Charter School is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered KIPP NYC Public Charter School's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of KIPP NYC Public Charter School's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the result of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.



**CliftonLarsonAllen LLP**

Plymouth Meeting, Pennsylvania

October 29, 2014

**KIPP NYC PUBLIC CHARTER SCHOOL  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
YEAR ENDED JUNE 30, 2014**

***Section I – Summary of Auditors’ Results***

*Financial Statements*

1. Type of auditors’ report issued: Unmodified
2. Internal control over financial reporting:
  - Material weakness(es) identified? \_\_\_\_\_ yes        X   no
  - Significant deficiency(ies) identified that are not considered to be material weakness(es)? \_\_\_\_\_ yes        X   none reported
3. Noncompliance material to financial statements noted? \_\_\_\_\_ yes        X   no

*Federal Awards*

1. Internal control over major federal programs:
  - Material weakness(es) identified? \_\_\_\_\_ yes        X   no
  - Significant deficiency(ies) identified that are not considered to be material weakness(es)? \_\_\_\_\_ yes        X   none reported
2. Type of auditor’s report issued on compliance for major federal programs: Unmodified
3. Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? \_\_\_\_\_ yes        X   no

***Identification of Major Federal Programs***

**CFDA Number(s)**

84.010

**Name of Federal Program or Cluster**

U.S. Department of Education, Pass-through New York State Education Department, Title I Grant – Improving Academic Achievement

Dollar threshold used to distinguish between Type A or Type B programs was:

\$ 300,000

Auditee qualified as low-risk auditee pursuant to OMB Circular A-133?

\_\_\_\_\_ yes        X   no

**KIPP NYC PUBLIC CHARTER SCHOOL  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
YEAR ENDED JUNE 30, 2014**

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***Section II – Financial Statement Findings***

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Our audit did not disclose any matters required to be reported in accordance with Government Auditing Standards.

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***Section III – Findings and Questioned Costs – Major Federal Programs***

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Our audit did not disclose any matters required to be reported in accordance with Section 510(a) of OMB Circular A-133.

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***Section IV – Prior Year Findings***

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From the former KIPP STAR College Prep Charter School:

Finding No. 2013-001 – Internal Control of Financial Statement Presentation

Criteria:

Management is responsible for adopting accounting policies and establishing and maintaining a system of internal control for the fair presentation of the basic financial statements in accordance with accounting principles generally accepted in the United States of America.

Condition:

Management did not identify and accurately record federal funding which would have resulted in an audit in accordance with OMB Circular A-133 (Single Audit).

Recommendation:

Management should carefully review policies and procedures in identifying funding sources and award documentation to properly determine if the funds have been properly identified as federal or non-federal funding.

Current Status:

This has been corrected for the year ended June 30, 2014.



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The Board of Directors and Management  
KIPP New York Charter Schools  
New York, New York

In planning and performing our audits of the financial statements of KIPP New York Charter Schools as of and for the year ended June 30, 2014, in accordance with auditing standards generally accepted in the United States of America, we considered the entity's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we do not express an opinion on the effectiveness of the entity's internal control. However, during our audit we became aware of several matters that are opportunities for strengthening internal control and operating efficiency. Our comments and suggestions regarding those matters are summarized below. This letter does not affect our communication dated October 29, 2014.

Current Year Comments:

**Review and Approval of Journal Entries**

During the review of client prepared journal entries, it was noted that there are instances of journal entries being prepared, reviewed, and posted by the same person. We recommend that these duties be segregated and all entries be seen by two different people.

**Management's Response:**

Our standard internal procedures already provide for an appropriate segregation of duties when preparing and recording journal entries in the accounting system. When short-staffed there have been instances when the Managing Director has both prepared and posted entries to the system in order to assist the team during month closings. While we do not believe this resulted in any improper entries, we do understand the potential risk this presented to the organization and have since updated the security restrictions in our accounting system to prevent any future occurrences.

**Information Technology Review:**

As part of our fiscal year 2014 audit, we issued an Information Technology Review report, dated October 29, 2014. The objective of this review was to evaluate the computer processing environments and general controls that help ensure the reliability of the business and financial systems that support business objectives during the current and future reporting period. Please refer to this report for information technology related comments and recommendations.

**Management's Response:**

Throughout the past year, the organization's technology systems have been upgraded along with the implementation and use of best practices and standards throughout the environment. As such, there are still items that are in progress as part of the Technology Team's strategic initiatives that are planned for completion in the next 6-9 months. Documentation of new processes and system efficiencies will continue to be implemented in order to minimize any associated risks within the environment as it relates to data, security, privacy, PII and financial information.

Prior Year Comments:

**Adjustments and Year-end Closing**

At the start of final fieldwork, management communicated to us that some accounts needed to be reviewed and additional entries were required to adjust the initial trial balance that was provided.

Management is responsible for the accuracy and completeness of all financial records and related information. Their responsibilities include adjusting the financial statements to correct material misstatements. Management is responsible for controls over the period-end financial reporting process, including controls over procedures used to enter transaction totals into the general ledger; initiate, authorize, record, and process journal entries into the general ledger; and record recurring and nonrecurring adjustments to the financial statements.

During the performance of our audit engagement procedures and previously communicated by management, proposed adjustments were provided to adjust cash, receivables, accrued salaries, equipment and depreciation based on the initial trial balance that was provided. Timely and accurate account reconciliations are a necessary step in ensuring that financial statements are fairly stated.

We recommend that the School work to adjust all account balances to reflect appropriate year-end balances. This process would ideally occur before the year-end external audit.

*Current year update:*

There was a significant delay in the receipt of the final trial balance for the NYC Public Charter School. This trial balance was not received until several weeks after the scheduled final fieldwork. In addition, several of the entities required adjustments after the final trial balance was provided. We recommend that management continue to review and reconcile accounts throughout the year in order to provide timely and accurate financial information.

**Management's Response:**

The delay in providing the final trial balance for KIPP NYC Public Charter Schools was due to an unusual circumstance resulting from the necessity to reorganize the assets from the two legacy charters, KIPP NYC Washington Heights Academy Charter School and KIPP STAR College Prep Charter School, into a new entity structure, which combined assets from each of the legacy charters into two new programs. As the merger was a one-time occurrence we do not expect this happening again.

As a part of our normal procedures we conduct monthly or quarterly reviews of all general ledger accounts. While our goal is to release completely finalized trial balances prior to the start of the audit, occasionally we realize that an additional entry is needed to correct a previously undiscovered error or to treat an entry differently as guided by the auditors. Over the years the number of adjusting entries provided has continued to decrease despite an increase in the size and complexity of the organization. In the future we will continue to work on improving in this area.

**Credit Cards**

During our audit, we noted instances in which supporting invoices or receipts were not included in the credit card file as supporting documentation. We recommend that all supporting invoices or receipts be provided for Credit Card purchases and be included in the files as the supporting documentation for the purchases. In addition, we noted the credit card use by the school is significant which imposes risk with the credit card usage. We recommend emphasizing reviewing the purchases of the credit cards and consider using vendor purchase process for expenses where available.

*Current year update:*

This is a continuing comment as there were missing receipts in the credit card testing sample. We continue to encourage management to look into developing vendor relationships. The schools could potentially see savings if relationships are developed across all entities.

**Management's Response:**

As a growing organization we have often utilized credit cards as a method of payment to facilitate travel and the purchase of materials and supplies for our schools and programs. We continually monitor credit card usage and establish accounts with vendors where we experience a high volume of credit card purchases. Our current policy states that all credit card users are required to provide appropriate documentation for all purchases made with their card. We recognize the organizational risk of non-compliance by card users and are currently working with a consultant to update the policy with strengthened accountability measures to help mitigate that risk and increase compliance.

\* \* \* \* \*

We will review the status of these comments during our next audit engagement. We have already discussed many of these comments and suggestions with various entity personnel, and we will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations.

This communication is intended solely for the information and use of management, Board of Directors, and others within the entity, and is not intended to be, and should not be, used by anyone other than these specified parties.



**CliftonLarsonAllen LLP**

Plymouth Meeting, Pennsylvania  
October 29, 2014

**KIPP NYC Public Charter Schools**

**PROJECTED BUDGET FOR 2014-2015**

**July 1, 2014 to June 30, 2015**

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

| PROJECTED BUDGET FOR 2014-2015 |                   |                   |       |             |                      |            | Assumptions  |
|--------------------------------|-------------------|-------------------|-------|-------------|----------------------|------------|--|
| July 1, 2014 to June 30, 2015  |                   |                   |       |             |                      |            | DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable |
|                                | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL      |  |
| Total Revenue                  | 20,290,800        | 2,800,053         | -     | -           | -                    | 23,090,853 |  |
| Total Expenses                 | 17,531,727        | 2,528,197         | -     | 1,322       | 2,566,673            | 22,627,919 |  |
| Net Income                     | 2,759,073         | 271,856           | -     | (1,322)     | (2,566,673)          | 462,934    |  |
| Actual Student Enrollment      | 1,376             | 231               |       |             |                      |            |  |
| Total Paid Student Enrollment  | -                 | -                 |       |             |                      |            |  |

| PROGRAM SERVICES |                   |                   |       |             |                      |       | SUPPORT SERVICES |  |
|------------------|-------------------|-------------------|-------|-------------|----------------------|-------|------------------|--|
|                  | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL |                  |  |

**REVENUE**

**REVENUES FROM STATE SOURCES**

|   | CY Per Pupil Rate  | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL      |
|---|--------------------|-------------------|-------------------|-------|-------------|----------------------|------------|
| Per Pupil Revenue                       |                    |                   |                   |       |             |                      |            |
| <b>New York City</b>                    | <b>\$13,777.00</b> | 18,767,580        | -                 | -     | -           | -                    | 18,767,580 |
| School District 2 (Enter Name)          |                    | -                 | -                 | -     | -           | -                    | -          |
| School District 3 (Enter Name)          |                    | -                 | -                 | -     | -           | -                    | -          |
| School District 4 (Enter Name)          |                    | -                 | -                 | -     | -           | -                    | -          |
| School District 5 (Enter Name)          |                    | -                 | -                 | -     | -           | -                    | -          |
|   |                    | 18,767,580        | -                 | -     | -           | -                    | 18,767,580 |
| Special Education Revenue               |                    | -                 | 2,598,922         | -     | -           | -                    | 2,598,922  |
| Grants                                  |                    | -                 | -                 | -     | -           | -                    | -          |
| Stimulus                                |                    | -                 | -                 | -     | -           | -                    | -          |
| Other                                   |                    | -                 | -                 | -     | -           | -                    | -          |
| Other State Revenue                     |                    | -                 | -                 | -     | -           | -                    | -          |
| <b>TOTAL REVENUE FROM STATE SOURCES</b> |                    | 18,767,580        | 2,598,922         | -     | -           | -                    | 21,366,502 |

**REVENUE FROM FEDERAL FUNDING**

|  |  |         |         |   |   |   |         |
|--|--|---------|---------|---|---|---|---------|
| IDEA Special Needs                                     |  | -       | 201,131 | - | - | - | 201,131 |
| Title I  |  | 688,000 | -       | - | - | - | 688,000 |
| Title Funding - Other                                  |  | -       | -       | - | - | - | -       |
| School Food Service (Free Lunch)                       |  | -       | -       | - | - | - | -       |
| Grants   |  | -       | -       | - | - | - | -       |
| Charter School Program (CSP) Planning & Implementation |  | -       | -       | - | - | - | -       |
| Other  |  | 58,500  | -       | - | - | - | 58,500  |
| Other Federal Revenue                                  |  | -       | -       | - | - | - | -       |
| <b>TOTAL REVENUE FROM FEDERAL SOURCES</b>              |  | 746,500 | 201,131 | - | - | - | 947,631 |

**LOCAL and OTHER REVENUE**

|   |  |         |   |   |   |   |         |
|---|--|---------|---|---|---|---|---------|
| Contributions and Donations, Fundraising  |  | 228,350 | - | - | - | - | 228,350 |
| Erate Reimbursement   |  | 433,415 | - | - | - | - | 433,415 |
| Interest Income, Earnings on Investments, NYC-DYCD (Department of Youth and Community Developmt.) |  | -       | - | - | - | - | -       |
| Food Service (Income from meals)  |  | 7,469   | - | - | - | - | 7,469   |
| Text Book   |  | -       | - | - | - | - | -       |
| Other Local Revenue   |  | 107,486 | - | - | - | - | 107,486 |
| <b>TOTAL REVENUE FROM LOCAL and OTHER SOURCES</b>   |  | 776,720 | - | - | - | - | 776,720 |

**TOTAL REVENUE**

|  |            |           |   |   |   |            |
|--|------------|-----------|---|---|---|------------|
|  | 20,290,800 | 2,800,053 | - | - | - | 23,090,853 |
|--|------------|-----------|---|---|---|------------|

**EXPENSES**

**ADMINISTRATIVE STAFF PERSONNEL COSTS**

|                                   | No. of Positions | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER    | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL            |  |
|-----------------------------------|------------------|-------------------|-------------------|----------|-------------|----------------------|------------------|--|
| Executive Management              | 1.81             | 319,396           | -                 | -        | -           | -                    | 319,396          | see "Staff List" tab; fractional staff are shared across multiple charters |
| Instructional Management          | 4.31             | 576,759           | -                 | -        | -           | -                    | 576,759          | see "Staff List" tab; fractional staff are shared across multiple charters |
| Deans, Directors & Coordinators   | 20.10            | 1,704,184         | -                 | -        | -           | -                    | 1,704,184        | see "Staff List" tab; fractional staff are shared across multiple charters |
| CFO / Director of Finance         | -                | -                 | -                 | -        | -           | -                    | -                | see "Staff List" tab; fractional staff are shared across multiple charters |
| Operation / Business Manager      | 5.10             | 436,261           | -                 | -        | -           | -                    | 436,261          | see "Staff List" tab; fractional staff are shared across multiple charters |
| Administrative Staff              | 4.10             | 259,665           | -                 | -        | -           | -                    | 259,665          | see "Staff List" tab; fractional staff are shared across multiple charters |
| <b>TOTAL ADMINISTRATIVE STAFF</b> | <b>35</b>        | <b>3,296,265</b>  | <b>-</b>          | <b>-</b> | <b>-</b>    | <b>-</b>             | <b>3,296,265</b> |  |

**INSTRUCTIONAL PERSONNEL COSTS**

|                     |       |           |           |   |   |   |           |  |
|---------------------|-------|-----------|-----------|---|---|---|-----------|--|
| Teachers - Regular  | 85.00 | 5,789,175 | -         | - | - | - | 5,789,175 | see "Staff List" tab; fractional staff are shared across multiple charters |
| Teachers - SPED     | 25.00 | -         | 1,785,278 | - | - | - | 1,785,278 | see "Staff List" tab; fractional staff are shared across multiple charters |
| Substitute Teachers | -     | -         | -         | - | - | - | -         | see "Staff List" tab; fractional staff are shared across multiple charters |
| Teaching Assistants | -     | -         | -         | - | - | - | -         | see "Staff List" tab; fractional staff are shared across multiple charters |
| Specialty Teachers  | 14.40 | 944,845   | -         | - | - | - | 944,845   | see "Staff List" tab; fractional staff are shared across multiple charters |
| Aides               | -     | -         | -         | - | - | - | -         | see "Staff List" tab; fractional staff are shared across multiple charters |

List exact titles and staff FTE's ( Full time equivalent)

**KIPP NYC Public Charter Schools**

**PROJECTED BUDGET FOR 2014-2015**

**July 1, 2014 to June 30, 2015**

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

| PROJECTED BUDGET FOR 2014-2015  |                   |                   |                  |             |                      |                   |   | Assumptions  |
|---|-------------------|-------------------|------------------|-------------|----------------------|-------------------|---|--|
| July 1, 2014 to June 30, 2015   |                   |                   |                  |             |                      |                   |   | DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable |
| Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9. |                   |                   |                  |             |                      |                   |   |  |
|   | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER            | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL             |   |  |
| Total Revenue   | 20,290,800        | 2,800,053         | -                | -           | -                    | 23,090,853        |   |  |
| Total Expenses  | 17,531,727        | 2,528,197         | -                | 1,322       | 2,566,673            | 22,627,919        |   |  |
| Net Income  | 2,759,073         | 271,856           | -                | (1,322)     | (2,566,673)          | 462,934           |   |  |
| Actual Student Enrollment   | 1,376             | 231               |                  |             |                      |                   |   |  |
| Total Paid Student Enrollment   | -                 | -                 |                  |             |                      |                   |   |  |
| PROGRAM SERVICES  |                   |                   |                  |             |                      |                   |   |  |
|   | REGULAR EDUCATION | SPECIAL EDUCATION | OTHER            | FUNDRAISING | MANAGEMENT & GENERAL | TOTAL             |   |  |
| Therapists & Counselors   | 13.86             | 949,312           | 240,836          | -           | -                    | 1,190,148         | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| Other   | 2.10              | 178,411           | -                | -           | -                    | 178,411           | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| <b>TOTAL INSTRUCTIONAL</b>  | <b>140</b>        | <b>7,861,743</b>  | <b>2,026,114</b> | <b>-</b>    | <b>-</b>             | <b>9,887,857</b>  |   |  |
| NON-INSTRUCTIONAL PERSONNEL COSTS   |                   |                   |                  |             |                      |                   |   |  |
| Nurse   | -                 | -                 | -                | -           | -                    | -                 | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| Librarian   | -                 | -                 | -                | -           | -                    | -                 | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| Custodian   | -                 | 156,590           | -                | -           | -                    | 156,590           | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| Security  | 1.00              | 68,263            | -                | -           | -                    | 68,263            | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| Other   | -                 | -                 | -                | -           | -                    | -                 | see "Staff List" tab; fractional staff are shared across multiple charters                  |  |
| <b>TOTAL NON-INSTRUCTIONAL</b>  | <b>1</b>          | <b>224,853</b>    | <b>-</b>         | <b>-</b>    | <b>-</b>             | <b>224,853</b>    |   |  |
| <b>SUBTOTAL PERSONNEL SERVICE COSTS</b>   | <b>177</b>        | <b>11,382,861</b> | <b>2,026,114</b> | <b>-</b>    | <b>-</b>             | <b>13,408,975</b> |   |  |
| PAYROLL TAXES AND BENEFITS  |                   |                   |                  |             |                      |                   |   |  |
| Payroll Taxes   | -                 | 859,569           | 152,971          | -           | -                    | 1,012,540         |   |  |
| Fringe / Employee Benefits  | -                 | 1,121,243         | 243,134          | -           | -                    | 1,364,377         |   |  |
| Retirement / Pension  | -                 | 235,274           | 70,000           | -           | -                    | 305,274           |   |  |
| <b>TOTAL PAYROLL TAXES AND BENEFITS</b>   | <b>-</b>          | <b>2,216,086</b>  | <b>466,105</b>   | <b>-</b>    | <b>-</b>             | <b>2,682,191</b>  |   |  |
| <b>TOTAL PERSONNEL SERVICE COSTS</b>  | <b>-</b>          | <b>13,598,947</b> | <b>2,492,219</b> | <b>-</b>    | <b>-</b>             | <b>16,091,166</b> |   |  |
| CONTRACTED SERVICES   |                   |                   |                  |             |                      |                   |   |  |
| Accounting / Audit  | -                 | 33,513            | -                | -           | -                    | 33,513            |   |  |
| Legal   | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Management Company Fee  | -                 | -                 | -                | -           | 2,566,673            | 2,566,673         | Includes KIPP licensing fee and management fee; management fee includes fundraising by CMO. |  |
| Nurse Services  | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Food Service / School Lunch   | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Payroll Services  | -                 | 14,273            | -                | -           | -                    | 14,273            |   |  |
| Special Ed Services   | -                 | -                 | 9,756            | -           | -                    | 9,756             |   |  |
| Titlement Services (i.e. Title I)   | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Other Purchased / Professional / Consulting   | -                 | 450,779           | -                | -           | -                    | 450,779           |   |  |
| <b>TOTAL CONTRACTED SERVICES</b>  | <b>-</b>          | <b>498,565</b>    | <b>9,756</b>     | <b>-</b>    | <b>2,566,673</b>     | <b>3,074,994</b>  |   |  |
| SCHOOL OPERATIONS   |                   |                   |                  |             |                      |                   |   |  |
| Board Expenses  | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Classroom / Teaching Supplies & Materials   | -                 | 23,156            | -                | -           | -                    | 23,156            |   |  |
| Special Ed Supplies & Materials   | -                 | -                 | -                | -           | -                    | -                 |   |  |
| Textbooks / Workbooks   | -                 | 202,195           | 26,222           | -           | -                    | 228,417           |   |  |
| Supplies & Materials other  | -                 | 181,453           | -                | -           | -                    | 181,453           |   |  |
| Equipment / Furniture   | -                 | 144,579           | -                | -           | -                    | 144,579           |   |  |
| Telephone   | -                 | 225,859           | -                | -           | -                    | 225,859           |   |  |
| Technology  | -                 | 1,094,400         | -                | -           | -                    | 1,094,400         |   |  |
| Student Testing & Assessment  | -                 | 40,504            | -                | -           | -                    | 40,504            |   |  |
| Field Trips   | -                 | 264,456           | -                | -           | -                    | 264,456           |   |  |
| Transportation (student)  | -                 | 49,219            | -                | -           | -                    | 49,219            |   |  |
| Student Services - other  | -                 | 105,819           | -                | -           | -                    | 105,819           |   |  |
| Office Expense  | -                 | 176,071           | -                | -           | -                    | 176,071           |   |  |
| Staff Development   | -                 | 238,228           | -                | -           | -                    | 238,228           |   |  |
| Staff Recruitment   | -                 | 1,622             | -                | -           | -                    | 1,622             |   |  |
| Student Recruitment / Marketing   | -                 | 16,664            | -                | -           | -                    | 16,664            |   |  |
| School Meals / Lunch  | -                 | 49,683            | -                | -           | -                    | 49,683            |   |  |
| Travel (Staff)  | -                 | 2,122             | -                | -           | -                    | 2,122             |   |  |
| Fundraising   | -                 | -                 | -                | 1,322       | -                    | 1,322             |   |  |
| Other   | -                 | 87,288            | -                | -           | -                    | 87,288            |   |  |
| <b>TOTAL SCHOOL OPERATIONS</b>  | <b>-</b>          | <b>2,903,318</b>  | <b>26,222</b>    | <b>-</b>    | <b>1,322</b>         | <b>2,930,862</b>  |   |  |
| FACILITY OPERATION & MAINTENANCE  |                   |                   |                  |             |                      |                   |   |  |

**KIPP NYC Public Charter Schools**

**PROJECTED BUDGET FOR 2014-2015**

| PROJECTED BUDGET FOR 2014-2015  |                          |                          |                       |   |                      |                   | Assumptions  |
|---|--------------------------|--------------------------|-----------------------|---|----------------------|-------------------|--|
| July 1, 2014 to June 30, 2015   |                          |                          |                       |   |                      |                   | DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable                         |
| Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9. |                          |                          |                       |   |                      |                   |  |
|   | REGULAR EDUCATION        | SPECIAL EDUCATION        | OTHER                 | FUNDRAISING   | MANAGEMENT & GENERAL | TOTAL             |  |
| Total Revenue   | 20,290,800               | 2,800,053                | -                     | -   | -                    | 23,090,853        |  |
| Total Expenses  | 17,531,727               | 2,528,197                | -                     | 1,322   | 2,566,673            | 22,627,919        |  |
| Net Income  | 2,759,073                | 271,856                  | -                     | (1,322)   | (2,566,673)          | 462,934           |  |
| Actual Student Enrollment   | 1,376                    | 231                      |                       |   |                      |                   |  |
| Total Paid Student Enrollment   | -                        | -                        |                       |   |                      |                   |  |
|   | PROGRAM SERVICES         |                          |                       | SUPPORT SERVICES  |                      |                   |  |
|   | REGULAR EDUCATION        | SPECIAL EDUCATION        | OTHER                 | FUNDRAISING   | MANAGEMENT & GENERAL | TOTAL             |  |
| Insurance   | 210,975                  | -                        | -                     | -   | -                    | 210,975           |  |
| Janitorial  | 75,100                   | -                        | -                     | -   | -                    | 75,100            |  |
| Building and Land Rent / Lease  | 65,000                   | -                        | -                     | -   | -                    | 65,000            | Extended use permits   |
| Repairs & Maintenance   | -                        | -                        | -                     | -   | -                    | -                 |  |
| Equipment / Furniture   | -                        | -                        | -                     | -   | -                    | -                 |  |
| Security  | -                        | -                        | -                     | -   | -                    | -                 |  |
| Utilities   | 179,822                  | -                        | -                     | -   | -                    | 179,822           |  |
| <b>TOTAL FACILITY OPERATION &amp; MAINTENANCE</b>   | <b>530,897</b>           | <b>-</b>                 | <b>-</b>              | <b>-</b>  | <b>-</b>             | <b>530,897</b>    |  |
| DEPRECIATION & AMORTIZATION   | -                        | -                        | -                     | -   | -                    | -                 |  |
| DISSOLUTION ESCROW & RESERVES / CONTINGENCY   | -                        | -                        | -                     | -   | -                    | -                 | \$75K for dissolution has been set aside in previous years and remains in an escrow account. |
| <b>TOTAL EXPENSES</b>   | <b>17,531,727</b>        | <b>2,528,197</b>         | <b>-</b>              | <b>1,322</b>  | <b>2,566,673</b>     | <b>22,627,919</b> |  |
| <b>NET INCOME</b>   | <b>2,759,073</b>         | <b>271,856</b>           | <b>-</b>              | <b>(1,322)</b>  | <b>(2,566,673)</b>   | <b>462,934</b>    |  |
| <b>ENROLLMENT - *School Districts Are Linked To Above Entries*</b>  | <b>REGULAR EDUCATION</b> | <b>SPECIAL EDUCATION</b> | <b>TOTAL ENROLLED</b> |   |                      |                   |  |
| New York City   | 1,376                    | 231                      | 1,607                 |   |                      |                   |  |
| School District 2 (Enter Name)  |                          |                          | -                     |   |                      |                   |  |
| School District 3 (Enter Name)  |                          |                          | -                     |   |                      |                   |  |
| School District 4 (Enter Name)  |                          |                          | -                     |   |                      |                   |  |
| School District 5 (Enter Name)  |                          |                          | -                     |   |                      |                   |  |
| <b>TOTAL ENROLLMENT</b>   | <b>1,376</b>             | <b>231</b>               | <b>1,607</b>          |   |                      |                   |  |
| <b>REVENUE PER PUPIL</b>  | <b>14,746</b>            | <b>12,121</b>            | <b>-</b>              |   |                      |                   |  |
| <b>EXPENSES PER PUPIL</b>   | <b>12,741</b>            | <b>10,945</b>            | <b>-</b>              |   |                      |                   |  |
|   |                          |                          |                       | SpEd allocations are only for instruction which is exclusively for SpEd students although SpEd students are also serviced by GenEd staff and materials. |                      |                   |  |

# Appendix E: Disclosure of Financial Interest Form

Created Monday, July 07, 2014

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Page 1

310600861013 KIPP NYC WASHINGTON HEIGHTS CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2013-14 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at:

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/>. Trustees may download and/or email their forms to you upon completion.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <https://fluidsurveys.com/account/surveys/540612/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.  
Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

# Appendix F: BOT Membership Table

Created Thursday, June 19, 2014

Updated Friday, August 01, 2014

## Page 1

310600861013 KIPP NYC WASHINGTON HEIGHTS CS

### 1. Current Board Member Information

|    | Full Name of Individual Trustees | Position on Board (Officer or Rep). | Voting Member | Area of Expertise &/or Additional Role  | Terms Served & Length (include date of election and expiration) | Committee affiliations                 |
|----|----------------------------------|-------------------------------------|---------------|---|---|--|
| 1  | David Massey                     | Chair/President                     | Yes           | Law                                     | September 2000 - Present  | Audit Committee                        |
| 2  | Rafael Mayer                     | Treasurer                           | Yes           | Finance, Secretary & Treasurer          | June 2006 - Present   | Audit Committee, Development Committee |
| 3  | Jackie Adams                     | Member                              | Yes           | Public Relations                        | March 2003 - Present  |  |
| 4  | Girish Bhakoo                    | Member                              |               | Finance                                 | June 2006 - September 2014                                      |  |
| 5  | Jane Martinez Dowling            | Member                              | Yes           | KIPP Through College Executive Director | January 2012 - Present  |  |
| 6  | Carrie Genuso                    | Member                              | Yes           | Retail                                  | September 2004 - Present  | Audit Committee                        |
| 7  | Thomas Lehrman                   | Member                              | Yes           | Finance                                 | September 2010 - Present  |  |
| 8  | Joseph Negron                    | Member                              | Yes           | KIPP Teacher, Infinity                  | January 2012 - Present  |  |
| 9  | Dawn Palo                        | Member                              | Yes           | Finance                                 | January 2012 - Present  |  |
| 10 | Andrew Paul                      | Member                              | Yes           | Finance                                 | January 2005 - Present  |  |

### 2. Total Number of Members Joining Board during the 2013-14 school year

0

### 3. Total Number of Members Departing the Board during the 2013-14 school year

1

### 4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

25

### 5. How many times did the Board meet during the 2013-14 school year?

4

6. How many times will the Board meet during the 2014-15 school year?

4

Thank you.

**KIPP NYC Public Charter Schools  
KIPP Academy Charter School  
KIPP Infinity Charter School  
KIPP AMP Academy Charter School  
KIPP NYC Joint High School Committee  
Minutes of November 4, 2013 Meeting**

The above-listed Boards of Trustees (the “Board(s)”) met on November 4, 2013 at Hunter College with the following trustees in attendance:

KIPP Academy Charter School Board

David Massey, Rafael Mayer, Whitney Tilson, Dawn Palo, and Stephanie Coleman

KIPP NYC Public Charter Schools Board

David Massey, Rafael Mayer, Carrie Gennuso, Jacqueline Adams, Dawn Palo, Jane Martinez-Dowling, and Joseph Negron

KIPP AMP Charter School Board

David Massey, Rafael Mayer, John Zeiler, Frank Corcoran, and Daniel Lugo

KIPP Infinity Charter School Board

David Massey, Rafael Mayer, Brian Zied, and Abigail Klem

Also present at the meeting were certain members of the Board of Trustees of KIPP New York Inc. (David Levin, Amy Griffin, and Valerie Lancaster-Beal) as well as the following KIPP NYC staff members: Josh Zoia, Patrick Brennan, Vicki Zubovic, Orpheus Williams, Derek Smith, Alicia Johnson, Charizma Williams, Allison Willis Holley, Debon Lewis, Brandi Vardiman, and Kate Sussman. Karim Roberts, consultant to KIPP AMP Middle School, was also in attendance. Naquan Brown and Montell Nelson also attended the meeting. They are Medgar Evers College Interns at KIPP AMP Middle School and Coordinators of the It Takes A Village program, an intervention program at KIPP AMP Middle School for at-risk or retained male students. Gwendolyn Brunson, who is nominated to join the KIPP Academy Board, and Dawn Glasgow, KIPP AMP Middle School parent, attended as well. Mr. Massey chaired the meeting.

**Opening of Meeting**

The Boards voted unanimously to run concurrently the meetings of the Boards of KIPP Academy, KIPP NYC Public Charter Schools, KIPP AMP, KIPP Infinity, and the Joint High School Committee due to the commonality of issues.

**Board Business**

Mr. Massey opened the meeting by introducing Daniel Lugo, a new KIPP AMP Academy Board member, and Ms. Brunson. He mentioned that KIPP NYC will be doing Board Buddies, a program that pairs new and existing Board members in a mentoring-style relationship.

Mr. Massey asked for public comments. There were no comments.

Josh Zoia, Superintendent of KIPP NYC, updated the Boards on changes to the Principals' quarterly School Reports, which include moving some data to individual school dashboards and merging narrative sections to streamline the report creation process.

Mr. Massey introduced Stephanie Coleman, a new KIPP Academy Board member.

Mr. Zoia updated the Boards on several key data points for the region, including year-over-year growth in students, staff and operating expenses. He discussed how the organization is investing and evolving its systems to support its growth.

Mr. Massey nominated Ms. Brunson for the KIPP Academy Board. He also noted that the KIPP New York Inc. board will vote separately on a new member for its board, Stanley Aladi, a KIPP NYC graduate.

Mr. Levin updated the Boards on KIPP NYC's advocacy efforts. He highlighted KIPP NYC's participation in the October 8<sup>th</sup> march across the Brooklyn Bridge in support of charter schools and desire to build strong relationships with mayor-elect de Blasio's administration.

Charizma Williams, Managing Director of Finance, reviewed the 2013 audit results, which were issued with unmodified opinions, meaning no material weaknesses or internal control deficiencies were found. She mentioned that all management comments from last year were addressed and no new comments issued. She then walked through the KIPP NYC 2013-14 budget, highlighting some key differences between the budget prepared in June and the October pro-forma budget. Mr. Zoia added that KIPP NYC will conduct scenario planning during its budgeting process, accounting for various financial situations.

Mr. Massey then conducted the following votes:

- All school Boards voted unanimously to:
  - Approve the minutes to its June 3, 2013 meeting;
  - Approve their schools' new staff assignments and hires; and
  - Authorize new signatories for their bank accounts.
  
- KIPP Academy Board voted unanimously to:
  - Re-elect Philip Mactaggart to the Board; and
  - Elect Gwendolyn Brunson as a new Board member.

Mr. Zoia reviewed KIPP NYC's Student Support Services initiative. He discussed data related to the schools' populations of students with Individualized Education Programs and those who are English-language learners, and in particular, the organization's sizeable high needs population. Mr. Zoia then talked about how KIPP NYC has added staff and developed new programs as part of the initiative. He concluded by describing how we measure the

effectiveness of the initiative and highlighting several outstanding questions related to the initiative that the organization is working to solve.

The Board voted unanimously to move to Executive Session to discuss confidential personnel-related matters.

In Executive Session, Mr. Massey conducted the following votes:

- All school Boards voted unanimously to approve the 2013 performance review of KIPP NYC College Prep High School Principal Natalie Byrne.
- KIPP Academy Board voted unanimously to approve the 2013 performance review of KIPP Academy Middle School Principal Frank Corcoran and KIPP Academy Elementary School Principal Carolyn Petruzzello.
- KIPP Infinity Board voted unanimously to approve the 2013 performance review of KIPP Infinity Middle School Principal Allison Willis Holley.
- KIPP NYC Public Charter Schools Board voted unanimously to approve the 2013 performance review of KIPP STAR Elementary Washington Heights Principal Anokhi Saraiya.
- The KIPP Academy Board voted unanimously to designate David Massey to approve and review the final conditions of a particular real estate transaction.

### **Adjournment**

The meeting adjourned.

**KIPP NYC Public Charter Schools  
KIPP Academy Charter School  
KIPP Infinity Charter School  
KIPP AMP Academy Charter School  
KIPP NYC Joint High School Committee  
Minutes of January 27, 2014 Meeting**

The above-listed Boards of Trustees (the “Board(s)”) met on January 27, 2014 at Hunter College with the following trustees in attendance:

KIPP Academy Charter School Board

Rafael Mayer, Lisa Blau, Gwendolyn Brunson, Philip Mactaggart, Dawn Palo, and Whitney Tilson

KIPP NYC Public Charter Schools Board

Rafael Mayer, Jacqueline Adams, Jane Martinez-Dowling, Thomas Lehrman, Joseph Negron, Dawn Palo, and Andrew Paul

KIPP AMP Charter School Board

Rafael Mayer, Kelly Coffey, Frank Corcoran, and Daniel Lugo (via videoconference)

KIPP Infinity Charter School Board

Rafael Mayer, Deborah Dauman, Erica Dewan, Abigail Klem, Richard Taft, and Brian Zied

Also present at the meeting were certain members of the Board of Trustees of KIPP New York Inc. (Larry Robbins, David Levin, Stanley Aladi (by phone), Valerie Lancaster-Beal, and Dwight Scott) as well as the following KIPP NYC staff members: Josh Zoia (Superintendent of KIPP NYC), Patrick Brennan, Orpheus Williams, Derek Smith, Alicia Johnson, Charizma Williams, Allison Willis Holley, Debon Lewis, Anokhi Saraiya, Lindsay Danon, and Stacy Johnson. Nancy Livingston from the KIPP Foundation also attended the meeting. Randy Simpson, who is nominated to join the KIPP Infinity Board, attended as well. Mr. Zoia chaired the meeting.

**Opening of Meeting**

Mr. Zoia opened the meeting. The Boards voted unanimously to run concurrently the meetings of the Boards of KIPP Academy, KIPP NYC Public Charter Schools, KIPP AMP, KIPP Infinity, and the Joint High School Committee due to the commonality of issues.

**Board Business**

Mr. Zoia asked for public comments. There were no comments.

He then welcomed Gwendolyn Brunson and Philip Mactaggart, both new KIPP Academy Board members. Mr. Mactaggart previously served on that Board and took a one-year hiatus.

Mr. Levin introduced Randy Simpson as a candidate for the KIPP Infinity Board. The KIPP Infinity Board voted unanimously to elect Mr. Simpson as a new Board member.

Mr. Mayer then conducted the following votes:

- All school Boards voted unanimously to:
  - Approve the minutes to its November 4, 2013 meeting; and
  - Approve their schools' new staff assignments and hires.

Mr. Zoia then conducted the following vote:

- All school Boards voted unanimously to:
  - Name Mr. Mayer to their Audit Committees, and as their Board Secretary and Treasurer

Mr. Mayer described what his roles will entail.

Mr. Zoia then conducted the following votes:

- KIPP Infinity Board voted unanimously to:
  - Approve the minutes to its June 3, 2013 meeting;
  - Authorize new signatories for its bank accounts; and
  - Approve its schools' new staff assignments and hires for the first quarter of this school year.

(These KIPP Infinity Board votes were taken since the Board did not have a quorum at its November 4, 2013 meeting.)

Mr. Zoia welcomed the Boards and led a discussion on literacy achievement and college readiness for KIPP NYC grades 3-8. He described how this student population exhibits wide literacy skill diversity, and how our assessment of our students' reading levels indicates the degree to which these students are college ready. He emphasized the importance of reading as a key enabler of all learning and discussed the various strategies that KIPP NYC is using to continue to improve literacy scores at all schools.

Mr. Zoia discussed how KIPP NYC is using its literacy data to inform short-term interventions and adjustments on a school-by-school basis. He also presented several of the long-term options that KIPP NYC has to address the root causes of student literacy performance. He explained that such adjustments are primarily focused on continuing to increase consistency of instructional approaches and programs across the organization. Mr. Zoia highlighted the differences in approach and outcomes between KIPP NYC and its charter peers with regards to the level of standardization across curriculum, scheduling, and organizational structure. In response to several questions posed by Mr. Zoia, the Board and School leadership engaged in a robust discussion on the benefits and challenges of standardization across the different areas of the KIPP NYC organization.

The Boards voted unanimously to move to Executive Session to discuss confidential personnel-related matters.

In Executive Session, Mr. Mayer conducted the following votes:

- All school Boards voted unanimously to approve the 2013 performance review of KIPP NYC Superintendent Josh Zoia.
- KIPP AMP Academy Board voted unanimously to approve the 2013 performance review of KIPP AMP Middle School Principal Debon Lewis.
- KIPP NYC Public Charter Schools Board voted unanimously to approve the 2013 performance reviews of KIPP STAR Middle School Principal Stacy Johnson and KIPP Washington Heights Middle School Principal Danny Swersky.

### **Adjournment**

The meeting adjourned.

**KIPP NYC Public Charter Schools  
KIPP Academy Charter School  
KIPP Infinity Charter School  
KIPP AMP Academy Charter School  
KIPP NYC Joint High School Committee  
Minutes of April 7, 2014 Meeting**

The above-listed Boards of Trustees (the “Board(s)”) met on April 7, 2014 at Hunter College with the following trustees in attendance:

KIPP Academy Charter School Board

David Massey, Rafael Mayer, Lisa Blau, Gwendolyn Brunson, Stephanie Coleman, and Philip Mactaggart

KIPP NYC Public Charter Schools Board

David Massey, Rafael Mayer, Jacqueline Adams, Jane Martinez-Dowling, Carrie Gennuso, Thomas Lehrman, Joseph Negron, Andrew Paul, and Dawn Palo

KIPP AMP Charter School Board

David Massey, Rafael Mayer, Frank Corcoran, Daniel Lugo, and John Zeiler

KIPP Infinity Charter School Board

David Massey, Rafael Mayer, Deborah Dauman, Erica Dewan, Abigail Klem, Randy Simpson, Richard Taft, and Brian Zied

Also present at the meeting were certain members of the Board of Trustees of KIPP New York Inc. (Larry Robbins, David Levin, Stanley Aladi (by phone), and Valerie Lancaster-Beal) as well as the following KIPP NYC staff members: Josh Zoia (Superintendent of KIPP NYC), Patrick Brennan, Orpheus Williams, Natalie Byrne, Vicki Zubovic, Charizma Williams, Brandi Vardiman, Lindsay Danon, Kate Sussman, Jamie Greenthal, and Steven Tutterow.

**Opening of Meeting**

Mr. Massey opened the meeting. The Boards voted unanimously to run concurrently the meetings of the Boards of KIPP Academy, KIPP NYC Public Charter Schools, KIPP AMP, KIPP Infinity, and the Joint High School Committee due to the commonality of issues.

**Board Business**

Mr. Massey asked for public comments. There were no comments. He then asked for any comments on our Principals’ school reports. Several Board members asked to see in future school reports more context for suspensions, such as year-over-year comparisons.

Mr. Massey announced the formation of a Board Compensation Committee. The Committee’s first responsibilities will be to help KIPP NYC develop succession planning strategies, a compensation dashboard, and a timeline for reviewing Principals’ and the Superintendent’s annual performance reviews.

Mr. Massey conducted the following votes:

- All school Boards voted unanimously to:
  - Approve the minutes to its January 27, 2014 meeting; and
  - Approve their schools' new staff assignments and hires.
- KIPP NYC Public Charter Schools Board voted unanimously to:
  - Approve Brandi Vardiman as founding Principal of KIPP STAR Harlem Elementary School.
- KIPP Infinity Board voted unanimously to:
  - Approve the 2013 performance reviews of KIPP Infinity Elementary School Principals Stephanie Adams and Lindsay Danon.

Mr. Zoia welcomed the Boards and discussed several achievements that have involved the collective efforts of the region. These results include engaging a talent development consultant to help improve teacher retention, and the expansion of our Empire Fellows teacher development program. Natalie Byrne, Principal of College Prep High School, then shared financial aid and college acceptance results from this year's senior class.

Mr. Zoia led a discussion on regional academic data and progress toward KIPP NYC's college and career readiness goals. At the middle school level, he highlighted that we have implemented consistently Scholastic's Read 180 and System 44 literacy programs, and we are seeing positive results from our fidelity to this intervention. At the elementary school level, he discussed how we have been teaching our students conceptual math techniques, but that they haven't been implemented consistently across all schools. He stated that he anticipates improved results with a more systemic instructional approach to math.

Mr. Zoia then shared college readiness indicators for College Prep High School, highlighting the number of seniors who graduated with an Advanced Regents diploma last year in comparison to our predictions for future graduating classes. At the college level, Mr. Zoia talked about the number of former KIPP NYC students currently enrolled in college, who are supported by the KIPP Through College program, in comparison to the benchmarks we set. He concluded the discussion by explaining that our work to prepare students to get to and through college includes both academic and non-academics efforts, such as extracurricular activities and class trips.

Mr. Zoia then provided an update on KIPP NYC's Student Support Services (i.e., special education) initiative. He opened the discussion by reminding the Board that we serve a comparable number of students with special needs (on a percentage basis) to the districts in which our schools are located. Mr. Zoia then explained two strategic shifts that the organization is considering in terms of its service to students with special needs: (1) offering more integrated co-teaching classes across all levels and (2) creating more small classroom settings for students with extremely high needs. He shared with the Board that the region is going to set common expectations for all schools to follow around quality,

time, and approach for their respective special education services. He also mentioned that we have recently hired (and plan to hire next year) more special education staff members above our original long-term hiring model, underscoring KIPP NYC's investment in special education. He concluded the discussion with an overview of the benefits and risks of the strategic shifts that the organization is considering.

The Boards voted unanimously to move to Executive Session to discuss confidential personnel-related matters.

**Adjournment**

The meeting adjourned.

**KIPP NYC Public Charter Schools  
KIPP Academy Charter School  
KIPP Infinity Charter School  
KIPP AMP Academy Charter School  
KIPP NYC Joint High School Committee  
Minutes of June 9, 2014 Meeting**

The above-listed Boards of Trustees (the “Board(s)”) met on June 9, 2014 at Hunter College with the following trustees in attendance:

KIPP Academy Charter School Board

David Massey, Rafael Mayer, Gwendolyn Brunson, Philip Mactaggart, and Whitney Tilson

KIPP NYC Public Charter Schools Board

David Massey, Rafael Mayer, Thomas Lehrman, Joseph Negron, and Andrew Paul

KIPP AMP Charter School Board

David Massey, Rafael Mayer, Kelly Coffey, and Frank Corcoran

KIPP Infinity Charter School Board

David Massey, Rafael Mayer, Deborah Dauman, Abigail Klem, Joe Reece, Richard Taft, and Brian Zied

Also present at the meeting were certain members of the Board of Trustees of KIPP New York Inc. (Larry Robbins, David Levin, Stanley Aladi, Amy Griffin, Valerie Lancaster-Beal, and Dwight Scott) as well as the following KIPP NYC staff members: Josh Zoia (Superintendent of KIPP NYC), Patrick Brennan, Natalie Byrne, Tessa Kratz, Vicki Zubovic, Alicia Johnson, Charizma Williams, Nancy Livingston, Jamie Greenthal, and Steven Tutterow.

**Opening of Meeting**

Mr. Massey opened the meeting. The Boards voted unanimously to run concurrently the meetings of the Boards of KIPP Academy, KIPP NYC Public Charter Schools, KIPP AMP, KIPP Infinity, and the Joint High School Committee due to the commonality of issues.

**Board Business**

Mr. Massey asked for public comments. There were no comments. He then asked for any comments on our Principals’ school reports. There were no comments.

Mr. Massey discussed reconstituting the Boards’ membership, which KIPP NYC does every two years per its charters’ by-laws. He then introduced the formation of a Board Governance Task Force, which is comprised of several Board members who have been meeting on an ad hoc basis and will meet regularly going forward. Other board members were invited to participate.

Natalie Byrne, KIPP NYC College Prep High School (CPHS) Principal, and Tessa Kratz, CPHS Director of College and Career Counseling, showed a video of CPHS seniors announcing the colleges that they plan to attend next year. Ms. Byrne and Ms. Kratz shared several statistics related to the Class of 2014, including high school graduation rate, college enrollment rate, and financial aid awarded. They then discussed the seniors' SAT scores in comparison to those of the Class of 2015, and the Class of 2016's PSAT scores.

Patrick Brennan, Chief Operating Officer, presented the 2014-15 budget. He discussed some differences in this year's budgeting process versus those of years' past (e.g., starting the process earlier and staffing scenario planning). Mr. Brennan then described some of the guiding principles of the budget process and new investments that KIPP NYC plans to make next year. Rafael Mayer, Chairman of Audit/Finance, informed the Board that the 2014-15 budget had been thoroughly reviewed by the Audit/Finance Committee and was unanimously recommended by that Committee for full approval for the Board.

Mr. Massey conducted the following votes:

- All school Boards voted unanimously to:
  - Approve the minutes to the April 7, 2014 Board meeting;
  - Approve their schools' new staff assignments and hires;
  - Approve the 2014-15 student internet use agreement;
  - Approve the 2014-15 Board of Trustees slate;
  - Approve the 2014-15 budget; and
  - Reauthorize signatories for the charters' bank accounts.

The Boards voted unanimously to move to Executive Session to discuss confidential personnel-related matters.

A portion of Executive Session was conducted with no KIPP NYC staff members present.

In Executive Session, Mr. Massey conducted the following votes:

- All school Boards voted unanimously to approve the 2014-15 compensation budget line items for KIPP NYC's Superintendent, Chief Operating Officer, Managing Directors, and Principals.
- KIPP AMP Board voted unanimously to ratify a personnel-related decision that previously had been approved by email vote.
- KIPP Infinity Board voted unanimously to ratify a personnel-related decision that it had made on a conference call on June 4, 2014.

## **Adjournment**

The meeting adjourned.



# KIPP: NYC

**YOUR CHILD'S PATH  
TO COLLEGE IS RIGHT  
AROUND THE CORNER.**

**Our schools promise  
to see each child to  
and through college.  
See how far your child  
can go.**

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**KIPP ACADEMY ELEMENTARY (Grades K-4)**

730 Concourse Village West, Tower D (@ E. 156th St.), Bronx, NY 10451  
P: (718) 943-3737

**KIPP AMP ELEMENTARY (Grades K-1)**

1224 Park Place, 4th Floor (@ Troy Ave.), Brooklyn, NY 11213  
P: (718) 943-3740

**KIPP INFINITY ELEMENTARY (Grades K-4)**

625 W. 133rd St., 2nd Floor (@ Broadway), Harlem, NY 10027  
P: (212) 991-2622

**KIPP STAR ELEMENTARY — WASHINGTON HEIGHTS (Grades K-3)**

586 W. 177 St., 4th Floor (@ St. Nicholas), Washington Heights, NY 10033  
P: (212) 991-2630

**KIPP STAR ELEMENTARY — HARLEM (Grade K)**

625 W. 133rd St. (@ Broadway), Harlem, NY 10027  
P: (212) 991-2655



**“KIPP is great because I know that someone is following my daughter. It’s not just me saying, ‘You’re going to college,’ it’s the whole community.”**

–Tamica, mother of three KIPP students

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– Tamica, madre de tres estudiantes de KIPP



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**START HERE:**

Enrollment is by open lottery for all 11 KIPP NYC schools across Brooklyn, the Bronx, Harlem, and Washington Heights. To apply, use the enclosed forms, pick up a paper application in the main office of any of our schools, or apply online: [kipppnyc.org/apply](http://kipppnyc.org/apply). Applications must be received by 12:00 PM on April 1, 2014.

**GO FARTHER:**

95% of KIPP NYC students graduate from high-school and 86% go on to college. Once on campus, KIPP NYC students continue to thrive and graduate college at higher rates than students across the country.

**We promise you:**

**All of Us Will Learn.** We believe every child is capable of remarkable learning and growth. Our teachers are trained and committed to addressing every child’s individual learning needs.

**More Time To Love Learning.** Our day starts earlier and ends later than other schools. We hold half-day classes on many Saturdays and summer school for all students. After school, you and your child can connect with any teacher by phone, text, or email.

**Character Counts.** We know success in school and in life depends on character. In everything we do, we focus as much on developing character as we do on academics.

**We’re Family.** Being with KIPP NYC means you’re part of a team and family. Your child is guaranteed a spot from day one all the way through high school. After graduation, we’ll still be there. Our KIPP Through College team will support your child through college and into success in the working world.

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**COMIENCE AQUÍ:**

La inscripción es por lotería para las 11 escuelas de KIPP NYC a través de Brooklyn, el Bronx, Harlem y Washington Heights. Para aplicar, use los formularios encontrados aquí, recoja una aplicación en la oficina principal en cualquiera de nuestras escuelas, o aplique en línea: [kipppnyc.org/apply](http://kipppnyc.org/apply).

Aplicaciones deben de ser recibidas antes del medio día el 1º de abril del 2014.

**LLEGAR MÁS LEJOS:**

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21 Jumel Place (@ 168th St.), Washington Heights, NY 10032  
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433 West 123rd St. (@ Amsterdam), Harlem, NY 10027  
P: (212) 991-2650

**KIPP ACADEMY MIDDLE SCHOOL (Grades 5-8)**  
250 East 156th St. (@ Concourse Village East), Bronx, NY 10451  
P: (718) 665-3555

**KIPP INFINITY MIDDLE SCHOOL (Grades 5-8)**  
625 West 133rd St., 2nd Fl. (@ Broadway), Harlem, NY 10027  
P: (212) 991-2600

**KIPP AMP MIDDLE SCHOOL (Grades 5-8)**  
1224 Park Place, 4th Fl. (@ Troy Ave.), Brooklyn, NY 11213  
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Apply online at [www.kippnyc.org/enroll](http://www.kippnyc.org/enroll)  
**Elementary School Lottery Application 2014-15**

|                     |
|---------------------|
| For Office Use Only |
| Date _____          |
| Entered _____       |

**Before completing this application, please read the instructions below.**

- **Required Items.** The items marked with an asterisk (\*) are the only items that may be required in order to apply to this charter school. Any items not marked by an (\*) are optional.
- **Applying to more than one KIPP NYC School.** You may apply to more than one KIPP NYC school using this form. If admitted to more than one school, families will have one week from the date of offer to accept a seat at their preferred school. Students may only enroll at one KIPP NYC school. If no school preferences are indicated we will submit your application to all schools in order of proximity to the home address provided.
- **Sibling Applicants.** Separate applications must be filled out for each student.
- **Application Confirmation.** All received applications will be confirmed by email or US Mail if an email address is not provided. This email/letter will serve as confirmation of your application, without it your application is not guaranteed. If you do not receive confirmation of your application before **Tuesday, April 1st, 2014**, please contact the school(s) you are applying to directly.
- **Non-Discrimination Statement:** A charter school shall not discriminate against or limit the admission of any student on any unlawful basis, including on the basis of ethnicity, national origin, gender, disability, intellectual ability, measures of achievement or aptitude, athletic ability, race, creed, gender, national origin, religion or ancestry. A school may not require any action by a student or family (such as an admissions test, interview, essay, attendance at an information session, etc.) in order for an applicant to either receive or submit an application for admission to that school.

**Important Dates:**

- Applications must be received by the school by **Tuesday April 1, 2014 by 12:00pm (noon).**
- Results of the Lottery will be announced at each KIPP NYC school on **Monday April 7, 2014 at 5:30pm.** Attendance at the lottery is not required and will not affect your child's chances of entering the school.
- Admissions Notification Letters will be mailed to all applicants beginning on **Tuesday April 8, 2014.**

**\*School(s) Applying to:** Please list each school you are applying to in your order of preference. You are not required to apply to all schools

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

| School Name                                    | Grades Served | Location  |
|--|---------------|---|
| KIPP Academy Elementary School                 | K-4           | 730 Concourse Village West, <b>South Bronx</b> , 10451, CSD 7             |
| KIPP AMP Elementary School                     | K-1           | 1224 Park Place <b>Crown Heights Brooklyn</b> , 11213, CSD 17             |
| KIPP Infinity Elementary School                | K-4           | 625 W. 133 <sup>rd</sup> Street @ Broadway <b>Harlem</b> , 10027, CSD 5   |
| KIPP STAR Washington Heights Elementary School | K-3           | 586 W. 177 <sup>th</sup> Street, <b>Washington Heights</b> , 10033, CSD 6 |
| KIPP STAR Harlem Elementary School             | K Only        | 625 W. 133 <sup>rd</sup> Street @ Broadway <b>Harlem</b> , 10027, CSD 5   |

Please submit my application to all KIPP Elementary Schools, with a preference to those schools that are closest to my home.

**\*Student Information**

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Home Address: \_\_\_\_\_  
*Proof of address will be required before enrollment* Street Address: \_\_\_\_\_ Apt.: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Borough: Bronx Brooklyn Manhattan Staten Island Queens N/A

Gender: Male Female Date of Birth: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
 Month Day Year

To apply to Kindergarten, your child must be FIVE years old on or before December 31<sup>st</sup>, 2014.



### \*Current School Information

Student's Current School Name: \_\_\_\_\_

Student's Current Grade:  N/A  Pre-K  Kindergarten  1  2  3  4

Student's Grade Next Year:  Kindergarten  1  2  3  4

### \*Parent/Guardian Contact Information

Parent Guardian Name: \_\_\_\_\_

Relationship to Child:  Mother  Father  Legal Guardian

Primary Phone: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Alternate Phone: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Email Address: \_\_\_\_\_

### \*Sibling Information

KIPP NYC Schools are legally allowed to give enrollment preference to students with brothers or sisters enrolled and admitted to enroll in related KIPP NYC Schools. Siblings must share at least one biological parent or share a legal guardian. This information will be verified before enrollment.

Does the applicant have a brother/sister currently enrolled in a KIPP NYC School?  Yes  No

Current KIPP NYC Sibling First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Sibling's KIPP NYC School: \_\_\_\_\_ Grade: \_\_\_\_\_

Does the applicant have a brother/sister in another KIPP NYC Lottery this year?  Yes  No

Does this child have a twin applying to a KIPP NYC School?  Yes  No

Sibling in another KIPP Lottery First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

KIPP NYC School applying to: \_\_\_\_\_ Grade: \_\_\_\_\_

### Additional Information

You are strongly encouraged to submit the following information as it might increase your child's chances of admission.

KIPP NYC Schools are legally allowed to give enrollment preference to students eligible for free or reduced price lunch. Answering the following questions is optional and it might increase, though it does not guarantee, your child's chances of admission.

Total household income before taxes: \$ \_\_\_\_\_  Weekly  Bi-weekly  Twice Monthly  Monthly  Annually

Total number of individuals in your household: \_\_\_\_\_

Do you receive Supplemental Nutrition Assistance Program (SNAP) benefits, formerly known as Food Stamps?

Yes  No SNAP # \_\_\_\_\_

Do you receive Temporary Assistance for Needy Families (TANF) benefits?

Yes  No TANF # \_\_\_\_\_

Answers to the following questions have no impact on admissions.

In submitting this application, I grant permission for KIPP NYC or its research partners, to request the school records of the student for whom I am submitting this application, including such information as my child's attendance, test scores, or other academic outcomes, for the duration of the study. I further understand that this information may be used for studies of KIPP NYC and that all student-level information will remain confidential as required by the Family Educational Rights and Privacy Act (FERPA). My decision whether or not to participate in the study will have no impact on my child's likelihood of admission to a KIPP NYC school.

Yes, my child CAN participate in studies of KIPP and I authorize his/her school, district, or state to release his/her student administrative records.

No, I do not consent for my child to participate in the studies of KIPP.

How did you hear about us?

KIPP NYC Website  Mailing  Open House  Friend  Current KIPP Family  Poster  Church

Community Center  After-school program  Other \_\_\_\_\_

### Families for Excellent Schools:

I grant permission for KIPP NYC to share my contact information with Families for Excellent Schools, a partner of KIPP NYC working to build a movement of schools and their families in support of every parent's right to choose an excellent school for their children.

Yes  No

### \*Signature

I attest that I am the legal parent or guardian of the student named above. I affirm that the information I have submitted is true and accurate to the best of my knowledge. I understand that all information will be verified and providing incorrect information can result in application disqualification or loss of seat. Additionally, I understand that submitting an application does not guarantee admission to this charter school.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Please return this form by mail or in person to the main office of any KIPP NYC school.



# Solicitud de Lotería de la Escuela Primaria de 2014-15

Solicita en línea al [www.kippnyc.org/enroll](http://www.kippnyc.org/enroll)

For Office Use Only

Date \_\_\_\_\_

Entered \_\_\_\_\_

**Antes de completar esta solicitud, por favor lea las instrucciones abajo.**

- **Artículos Requeridos.** Los artículos marcados con un asterisco (\*) son los únicos elementos que pueden ser necesarios para aplicar a esta escuela. Cualquier artículo que no estén marcados con asterisco (\*) son opcionales.
- **Entregar su solicitud.** Sólo una solicitud por estudiante se aceptará.
- **Solicitar a más de una escuela de KIPP NYC.** Se puede solicitar a más de una escuela de KIPP NYC con este formulario. Las preferencias que lista se utilizará para guiar el proceso de inscripción. Si es admitido a más de una escuela, las familias tendrán una semana desde la fecha de la oferta para aceptar un asiento en su escuela preferida. Estudiantes sólo podrán inscribirse en una escuela KIPP NYC.
- **Solicitudes de hermanos.** Solicitudes separadas deben rellenarse para cada estudiante.
- **Confirmación de Solicitud.** Todas las solicitudes recibidas serán confirmadas por correo electrónico o correo de los EE.UU si una dirección de correo electrónico no se pone. Este correo electrónico / carta servirá como confirmación de su solicitud, sin ello su solicitud no está garantizada. Si usted no recibe confirmación de su solicitud antes del **martes, 1 de abril del 2014**, por favor póngase en contacto con la(s) escuela(s) que usted está solicitando directamente.
- **Declaración de No Discriminación:** Una escuela charter no discriminará a ningún estudiante por razones de origen étnico, origen nacional, género, discapacidad o cualquier otro motivo que sería ilegal si fuera hecho por una escuela. La admisión de los estudiantes no será limitada por razones de la capacidad intelectual, medidas de logro o de aptitud, habilidad atlética, discapacidad, raza, credo, género, origen nacional, religión o ascendencia. Una escuela no puede requerir ninguna acción por parte de un estudiante o familia (como una entrevista, un ensayo, asistencia a una sesión de información, etc) para que un solicitante o reciba o presente una solicitud de admisión a esa escuela.

## Fechas importantes:

- Las solicitudes deben entregarse antes del **martes, 1 de abril del 2014 a las 12:00 del mediodía.**
- Resultados de la lotería serán anunciados en cada KIPP NYC escuela el **lunes, 7 de abril del 2014 a las 5:30 de la tarde.** La asistencia a la lotería no es obligatoria y no afectará a la posibilidad de su hijo/a de entrar en la escuela.
- Las Cartas de Notificación de Admisión se enviarán por correo a todos los solicitantes el **martes, 8 de abril del 2014.**

**Aplicación para la escuela(s):** Por favor escriba las escuelas que quiere aplicar a en las líneas siguientes.

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

| Escuela  | Grados | Dirección   |
|--|--------|---|
| KIPP Academy Elementary School                 | K-4    | 730 Concourse Village West, South Bronx, 10451, CSD 7             |
| KIPP AMP Elementary School                     | K-1    | 1224 Park Place Crown Heights Brooklyn, 11213, CSD 17             |
| KIPP Infinity Elementary School                | K-4    | 625 W. 133 <sup>rd</sup> Street @ Broadway Harlem, 10027, CSD 5   |
| KIPP STAR Washington Heights Elementary School | K-3    | 586 W. 177 <sup>th</sup> Street, Washington Heights, 10033, CSD 6 |
| KIPP STAR Harlem Elementary School             | Solo K | 625 W. 133 <sup>rd</sup> Street @ Broadway Harlem, 10027, CSD 5   |

Por favor entregue mi aplicación a todas las escuelas de KIPP NYC, con preferencia para las escuelas que estén cerca de mi casa.

## \*Información estudiantil

Nombre: \_\_\_\_\_ Apellido: \_\_\_\_\_

Dirección de domicilio:

Se requerirá prueba de dirección antes de inscripción

Calle: \_\_\_\_\_ Apto.: \_\_\_\_\_

Ciudad: \_\_\_\_\_ Estado: \_\_\_\_\_ Código postal: \_\_\_\_\_

Municipio:  Bronx  Brooklyn  Manhattan  Staten Island  Queens  N/A

Sexo:  Masculino  Femenino Fecha de nacimiento: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Mes Día Año

Para solicitar a Kindergarten, su hijo/a debe haber cumplido los CINCO años antes del 31 de diciembre de 2014.

## \*Información actual escolar



Nombre de la escuela presente del estudiante: \_\_\_\_\_

Grado actual del estudiante:  N/A  Pre-K  K  1  2  3  4

Grado del estudiante del año próximo:  K  1  2  3  4

### \*Información de contacto del padre/Guardián legal

Nombre del padre/tutor legal: \_\_\_\_\_

Relación al niño:  Madre  Padre  Guardián legal

Teléfono Principal: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Teléfono alternativo: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Dirección de email: \_\_\_\_\_

### \*Información sobre hermanos

Se les permite legalmente a las Escuelas de KIPP NYC dar preferencia de inscripción a estudiantes con hermanos ya inscritos y admitidos para inscribirse en escuelas relacionadas de KIPP NYC. Los hermanos deben compartir por lo menos un padre/una madre biológico(a) o tutor legal. Esta información se verificará antes de inscripción.

¿Tiene el solicitante un hermano/a actualmente inscrito/a en una Escuela de KIPP NYC?  Sí  No

Nombre del hermano/a actual de KIPP NYC: \_\_\_\_\_ Apellido: \_\_\_\_\_

Escuela del hermano/a de KIPP NYC: \_\_\_\_\_ Grado: \_\_\_\_\_

¿Tiene el solicitante un hermano/a en otra Lotería de KIPP NYC este año?  Sí  No

¿Este niño tiene un gemelo aplicando a una escuela KIPP NYC?  Sí  No

Nombre del hermano/a en otra Lotería de KIPP: \_\_\_\_\_ Apellido: \_\_\_\_\_

Escuela de KIPP NYC a la cual solicita: \_\_\_\_\_ Grado: \_\_\_\_\_

### Información adicional

Le animamos fuertemente a entregar la información siguiente porque puede aumentar la posibilidad de la admisión de su hijo/a.

Se permite legalmente que KIPP dé preferencia de inscripción a los estudiantes elegibles para almuerzo gratis o a precio reducido. Contestar las preguntas siguientes es opcional y puede aumentar, aunque no garantiza, la posibilidad de admisión de su hijo/a.

Ingreso total del hogar antes de los impuestos: \$ \_\_\_\_\_  Semanalmente  Bisemanalmente  Dos veces mensualmente  Mensualmente  Anualmente

Número total de individuos en su hogar: \_\_\_\_\_

¿Recibe usted beneficios del Programa de Asistencia Suplementaria para Nutrición (SNAP), anteriormente conocido como Cupones para Alimentos?

Sí  No N.º. de SNAP \_\_\_\_\_

¿Recibe usted beneficios de Asistencia Federal para Familias Necesitadas (TANF)?

Sí  No N.º. de TANF \_\_\_\_\_

Respuestas a las siguientes preguntas no tienen impacto sobre las admisiones.

En presentar esta aplicación, yo doy permiso a KIPP NYC o a su asociados de estudios, para pedir los archivos escolares (del/de la) estudiante por (el/la) cual estoy presentando esta aplicación, incluyendo información tal como la asistencia de mi hijo(a), grados de pruebas u otros resultados académicos por la duración del estudio. Además, entiendo que esta información podrá ser usada para estudios de KIPP NYC y que toda la información a nivel de estudiante permanecerá confidencial según lo requerido por el Family Educational Rights and Privacy Act (FERPA-Acto de Derechos Educativos y Privacidad de la Familia). Mi decisión de participar o no participar en el estudio no tendrá ningún impacto en las posibilidades de admitir a mi hijo(a) a una escuela de KIPP NYC.

Sí, mi hijo(a) PUEDE participar en los estudios de KIPP y doy autorización a su escuela, distrito o estado para compartir/divulgar sus archivos administrativos de estudiante.

No, yo no doy consentimiento para que mi hijo(a) participe en el Estudio KIPP y otros estudios similares.

¿Cómo supo de nosotros?

Sitio web de KIPP  Correo  Jornada de puertas abiertas  Amigo  Familia actual de KIPP NYC  Cartel  Iglesia

Centro Comunitario  Programa de después de la escuela  Otro: \_\_\_\_\_

### Familias para Escuelas Excelentes

Doy permiso para que KIPP NYC de mi información a Familias para Escuelas Excelente, un programa asociado con KIPP NYC que trabaja para construir un movimiento de escuelas y familias en soporte del derecho de los padres para elegir escuelas excelentes para sus niños.

Sí  No

### \*Firma

Atestiguo que yo soy el padre legal o tutor legal del estudiante nombrado arriba. Afirmo que toda la información que he entregado es verdadera y cierta según mi leal saber y conocer. Entiendo que toda la información se verificará y que proporcionar información incorrecta puede resultar en la descalificación de la solicitud o pérdida de plazo. Adicionalmente, entiendo que entregar una solicitud no garantiza la admisión a esta escuela charter.

Firma: \_\_\_\_\_ Fecha: \_\_\_\_\_

Por favor devuelva este formulario por correo o en persona a la oficina principal de cualquier escuela de KIPP NYC.



# Solicitud de Lotería de los 5<sup>o</sup>-8<sup>o</sup> Grados de 2014-15

## Solicita en línea al [www.kippnyc.org/enroll](http://www.kippnyc.org/enroll)

|                     |
|---------------------|
| For Office Use Only |
| Date _____          |
| Entered _____       |

### Antes de completar esta solicitud, por favor lea las instrucciones abajo.

- **Artículos Requeridos.** Los artículos marcados con un asterisco (\*) son los únicos elementos que pueden ser necesarios para aplicar a esta escuela. Cualquier artículo que no estén marcados con asterisco (\*) son opcionales.
- **Entregar su solicitud.** Sólo una solicitud por estudiante se aceptará.
- **Solicitar a más de una escuela de KIPP NYC.** Se puede solicitar a más de una escuela de KIPP NYC con este formulario. Las preferencias que lista se utilizará para guiar el proceso de inscripción. Si es admitido a más de una escuela, las familias tendrán una semana desde la fecha de la oferta para aceptar un asiento en su escuela preferida. Estudiantes sólo podrán inscribirse en una escuela KIPP NYC.
- **Solicitudes de hermanos.** Solicitudes separadas deben rellenarse para cada estudiante.
- **Promoción Requerida para Admisión al 5<sup>o</sup> Grado.** Solicitantes presentemente en el 4<sup>o</sup> grado tienen que adelantarse al 5<sup>o</sup> grado para inscribirse a KIPP AMP, KIPP STAR y KIPP Washington Heights porque estas escuelas no sirven a los estudiantes en 4<sup>o</sup> grado.
- **Confirmación de Solicitud.** Todas las solicitudes recibidas serán confirmadas por correo electrónico o correo de los EE.UU si una dirección de correo electrónico no se pone. Este correo electrónico / carta servirá como confirmación de su solicitud, sin ello su solicitud no está garantizada. Si usted no recibe confirmación de su solicitud antes del **martes, 1 de abril del 2014**, por favor póngase en contacto con la(s) escuela(s) que usted está solicitando directamente.
- **Declaración de No Discriminación:** Una escuela charter no discriminará a ningún estudiante por razones de origen étnico, origen nacional, género, discapacidad o cualquier otro motivo que sería ilegal si fuera hecho por una escuela. La admisión de los estudiantes no será limitada por razones de la capacidad intelectual, medidas de logro o de aptitud, habilidad atlética, discapacidad, raza, credo, género, origen nacional, religión o ascendencia. Una escuela no puede requerir ninguna acción por parte de un estudiante o familia (como una entrevista, un ensayo, asistencia a una sesión de información, etc) para que un solicitante o reciba o presente una solicitud de admisión a esa escuela.

### Fechas importantes:

- Las solicitudes deben entregarse antes del **martes, 1 de abril del 2014 a las 12:00 del mediodía.**
- Resultados de la lotería serán anunciados en cada KIPP NYC escuela el **lunes, 7 de abril del 2014 a las 5:30 de la tarde.** La asistencia a la lotería no es obligatoria y no afectará a la posibilidad de su hijo/a de entrar en la escuela.
- Las Cartas de Notificación de Admisión se enviarán por correo a todos los solicitantes empezando el **martes, 8 de abril del 2014.**

**Aplicación para la escuela(s):** Por favor escriba las escuelas que quiere aplicar a en las líneas siguientes.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

| Escuela                        | Grados | Dirección   |
|--------------------------------|--------|---|
| KIPP Academy Middle School     | 5-8    | 250 E. 156 <sup>th</sup> Street<br><b>South Bronx, CSD 7</b>                  |
| KIPP AMP Middle School         | 5-8    | 1224 Park Place<br><b>Crown Heights Brooklyn, CSD 17</b>                      |
| KIPP Infinity Middle School    | 5-8    | 625 W. 133 <sup>rd</sup> Street @<br>Broadway <b>Harlem, CSD 5</b>            |
| KIPP STAR Middle School        | 5-8    | 433 W. 123 <sup>rd</sup> Street @<br>Amsterdam <b>Harlem, CSD 5</b>           |
| KIPP Washington Heights Middle | 5-7    | 21 Jumel Place @ 168 <sup>th</sup><br>Street <b>Washington Heights, CSD 6</b> |

**Por favor entregue mi aplicación a todas las escuelas de KIPP NYC,** con preferencia para las escuelas que estén cerca de mi casa.

### \*Información estudiantil

**Nombre:** \_\_\_\_\_ **Apellido:** \_\_\_\_\_

**Dirección de domicilio:** Calle: \_\_\_\_\_ Apto.: \_\_\_\_\_

*Se requerirá prueba de dirección antes de inscripción*

Ciudad: \_\_\_\_\_ Estado: \_\_\_\_\_ Código postal: \_\_\_\_\_

**Municipio:** Bronx Brooklyn Manhattan Staten Island Queens N/A

**Sexo:** Masculino Femenino **Fecha de nacimiento:** \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Mes Día Año



### \*Información actual escolar

Nombre de la escuela presente del estudiante: \_\_\_\_\_

Grado actual del estudiante: 4 5 6 7 8

Grado del estudiante del año próximo: 5 6 7 8

### \*Información de contacto del padre/Guardián legal

Nombre del padre/tutor legal: \_\_\_\_\_

Relación al niño: Madre Padre Guardián legal

Teléfono Principal: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Teléfono alternativo: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Dirección de email: \_\_\_\_\_

### \*Información sobre hermanos

Se les permite legalmente a las Escuelas de KIPP NYC dar preferencia de inscripción a estudiantes con hermanos ya inscritos y admitidos para inscribirse en escuelas relacionadas de KIPP NYC. Los hermanos deben compartir por lo menos un padre/una madre biológico(a) o tutor legal. Esta información se verificará antes de inscripción.

¿Tiene el solicitante un hermano/a actualmente inscrito/a en una Escuela de KIPP NYC? Sí No

¿Este niño tiene un gemelo aplicando a una escuela KIPP NYC? Sí No

Nombre del hermano/a actual de KIPP NYC: \_\_\_\_\_ Apellido: \_\_\_\_\_

Escuela del hermano/a de KIPP NYC: \_\_\_\_\_ Grado: \_\_\_\_\_

¿Tiene el solicitante un hermano/a en otra Lotería de KIPP NYC este año? Sí No

Nombre del hermano/a en otra Lotería de KIPP: \_\_\_\_\_ Apellido: \_\_\_\_\_

Escuela de KIPP NYC a la cual solicita: \_\_\_\_\_ Grado: \_\_\_\_\_

### Información adicional

Le animamos fuertemente a entregar la información siguiente porque puede aumentar la posibilidad de la admisión de su hijo/a.

Se permite legalmente que KIPP dé preferencia de inscripción a los estudiantes elegibles para almuerzo gratis o a precio reducido. Contestar las preguntas siguientes es opcional y puede aumentar, aunque no garantiza, la posibilidad de admisión de su hijo/a.

Ingreso total del hogar antes de los impuestos: \$ \_\_\_\_\_

Semanalmente Bisemanalmente Dos veces mensualmente Mensualmente Anualmente

Número total de individuos en su hogar: \_\_\_\_\_

¿Recibe usted beneficios del Programa de Asistencia Suplementaria para Nutrición (SNAP), anteriormente conocido como Cupones para Alimentos?

Sí No N.º. de SNAP \_\_\_\_\_

¿Recibe usted beneficios de Asistencia Federal para Familias Necesitadas (TANF)?

Sí No N.º. de TANF \_\_\_\_\_

Respuestas a las siguientes preguntas no tienen impacto sobre las admisiones.

En presentar esta aplicación, yo doy permiso a KIPP NYC o a su asociados de estudios, para pedir los archivos escolares (del/de la) estudiante por (el/la) cual estoy presentando esta aplicación, incluyendo información tal como la asistencia de mi hijo(a), grados de pruebas u otros resultados académicos por la duración del estudio. Además, entiendo que esta información podrá ser usada para estudios de KIPP NYC y que toda la información a nivel de estudiante permanecerá confidencial según lo requerido por el Family Educational Rights and Privacy Act (FERPA-Acto de Derechos Educativos y Privacidad de la Familia). Mi decisión de participar o no participar en el estudio no tendrá ningún impacto en las posibilidades de admitir a mi hijo(a) a una escuela de KIPP NYC.

Sí, mi hijo(a) PUEDE participar en los estudios de KIPP y doy autorización a su escuela, distrito o estado para compartir/divulgar sus archivos administrativos de estudiante.

No, yo no doy consentimiento para que mi hijo(a) participe en el Estudio KIPP y otros estudios similares.

### ¿Cómo supo de nosotros?

Sitio web de KIPP Correo Jornada de puertas abiertas Amigo Familia actual de KIPP NYC Cartel Iglesia

Centro Comunitario Programa de después de la escuela Otro: \_\_\_\_\_

### Familias para Escuelas Excelentes

Doy permiso para que KIPP NYC de mi información a Familias para Escuelas Excelente, un programa asociado con KIPP NYC que trabaja para construir un movimiento de escuelas y familias en soporte del derecho de los padres para elegir escuelas excelentes para sus niños.

Sí No

### \*Firma

Atestiguo que yo soy el padre legal o tutor legal del estudiante nombrado arriba. Afirmo que toda la información que he entregado es verdadera y cierta según mi leal saber y conocer. Entiendo que toda la información se verificará y que proporcionar información incorrecta puede resultar en la descalificación de la solicitud o pérdida de plazo. Adicionalmente, entiendo que entregar una solicitud no garantiza la admisión a esta escuela charter.

Firma: \_\_\_\_\_ Fecha: \_\_\_\_\_

Por favor devuelva este formulario por correo o en persona a la oficina principal de cualquier escuela de KIPP NYC.



# Apply online at [www.kippnyc.org/enroll](http://www.kippnyc.org/enroll) 5<sup>th</sup>-8<sup>th</sup> Grade Lottery Application 2014-15

For Office Use Only

Date \_\_\_\_\_

Entered \_\_\_\_\_

**Before completing this application, please read the instructions below.**

- **Required Items.** The items marked with an asterisk (\*) are the only items that may be required in order to apply to this charter school. Any items not marked by an (\*) are optional.
- **Submitting your application.** Only one application per student will be accepted.
- **Applying to more than one KIPP NYC School.** You may apply to more than one KIPP NYC school, using this form. The preferences you list will be used to guide the enrollment process. If admitted to more than one school, families will have one week from the date of offer to accept a seat at their preferred school. Students may only enroll at one KIPP NYC.
- **Sibling Applicants.** Separate applications must be filled out for each student.
- **Promotion Required for Admission to the 5<sup>th</sup> Grade.** Current 4<sup>th</sup> grade applicants must be promoted to the 5<sup>th</sup> grade to enroll at KIPP AMP, KIPP STAR and KIPP Washington Heights Middle Schools as these schools do not currently serve 4<sup>th</sup> grade students.
- **Application Confirmation.** All received applications will be confirmed by email or US Mail if an email address is not provided. This email/letter will serve as confirmation of your application, without it your application is not guaranteed. If you do not receive confirmation of your application before **Tuesday April 1<sup>st</sup>, 2014**, please contact your preferred school.
- **Non-Discrimination Statement:** A charter school shall not discriminate against or limit the admission of any student on any unlawful basis, including on the basis of ethnicity, national origin, gender, disability, intellectual ability, measures of achievement or aptitude, athletic ability, race, creed, gender, national origin, religion or ancestry. A school may not require any action by a student or family (such as an admissions test, interview, essay, attendance at an information session, etc.) in order for an applicant to either receive or submit an application for admission to that school.

### Important Dates:

- Applications must be submitted by **Tuesday April 1, 2014 by 12:00pm (noon)**.
- Results of the Lottery will be announced at each KIPP NYC on **Monday April 7, 2014 at 5:30 pm**. Attendance at the lottery is not required and will not affect your child's chances of entering the school.
- Admissions Notification Letters will be mailed to all applicants beginning on **Tuesday April 8, 2014**.

**\*School(s) Applying to:** Please list each school you are applying to in your order of preference. You are not required to apply to all schools.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

| School Name                    | Grades Served | Location  |
|--------------------------------|---------------|---|
| KIPP Academy Middle School     | 5-8           | 250 E. 156 <sup>th</sup> Street<br>South Bronx, CSD 7                     |
| KIPP AMP Middle School         | 5-8           | 1224 Park Place<br>Crown Heights Brooklyn,<br>CSD 17                      |
| KIPP Infinity Middle School    | 5-8           | 625 W. 133 <sup>rd</sup> Street @<br>Broadway Harlem, CSD 5               |
| KIPP STAR Middle School        | 5-8           | 433 W. 123 <sup>rd</sup> Street @<br>Amsterdam Harlem, CSD 5              |
| KIPP Washington Heights Middle | 5-7           | 21 Jumel Place @ 168 <sup>th</sup><br>Street Washington<br>Heights, CSD 6 |

Please submit my application to all KIPP Middle Schools, with a preference to those schools that are closest to my home.

### \*Student Information

First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Home Address: Street Address: \_\_\_\_\_ Apt.: \_\_\_\_\_

*Proof of address will be required before enrollment*

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Borough:  Bronx  Brooklyn  Manhattan  Staten Island  Queens  N/A

Gender:  Male  Female Date of Birth: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Month Day Year

### \*Current School Information

Student's Current School Name: \_\_\_\_\_

Student's Current Grade:  4  5  6  7  8

Student's Grade Next Year:  5  6  7  8



### \*Parent/Guardian Contact Information

Parent/Guardian Name: \_\_\_\_\_

Relationship to Child:  Mother  Father  Legal Guardian

Primary Phone: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ Alternate Phone: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Email Address: \_\_\_\_\_

### \*Sibling Information

KIPP NYC Schools are legally allowed to give enrollment preference to students with brothers or sisters enrolled and admitted to enroll in related KIPP NYC Schools. Siblings must share at least one biological parent or share a legal guardian. This information will be verified before enrollment.

Does the applicant have a brother/sister currently enrolled in a KIPP NYC School?  Yes  No

Current KIPP NYC Sibling First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

Sibling's KIPP NYC School: \_\_\_\_\_ Grade: \_\_\_\_\_

Does the applicant have a brother/sister in another KIPP NYC Lottery this year?  Yes  No

Does this child have a twin applying to a KIPP NYC School?  Yes  No

Sibling in another KIPP Lottery First Name: \_\_\_\_\_ Last Name: \_\_\_\_\_

KIPP NYC School applying to: \_\_\_\_\_ Grade: \_\_\_\_\_

### Additional Information

You are strongly encouraged to submit the following information as it might increase your child's chances of admission.

KIPP NYC Schools are legally allowed to give enrollment preference to students eligible for free or reduced price lunch. Answering the following questions is optional and it might increase, though it does not guarantee, your child's chances of admission.

Total household Income before taxes: \$ \_\_\_\_\_  Weekly  Bi-weekly  Twice Monthly  Monthly  Annually

Total number of Individuals in your household: \_\_\_\_\_

Do you receive Supplemental Nutrition Assistance Program (SNAP) benefits, formerly known as Food Stamps?

Yes  No SNAP # \_\_\_\_\_

Do you receive Temporary Assistance for Needy Families (TANF) benefits?

Yes  No TANF # \_\_\_\_\_

Answers to the following questions have no impact on admissions.

In submitting this application, I grant permission for KIPP NYC or its research partners, to request the school records of the student for whom I am submitting this application, including such information as my child's attendance, test scores, or other academic outcomes, for the duration of the study. I further understand that this information may be used for studies of KIPP NYC and that all student-level information will remain confidential as required by the Family Educational Rights and Privacy Act (FERPA). My decision whether or not to participate in the study will have no impact on my child's likelihood of admission to a KIPP NYC school.

Yes, my child CAN participate in studies of KIPP and I authorize his/her school, district, or state to release his/her student administrative records.

No, I do not consent for my child to participate in studies of KIPP.

How did you hear about us?

KIPP NYC Website  Mailing  Open House  Friend  Current KIPP Family  Poster  Church

Community Center  After-school program  Other: \_\_\_\_\_

### Families for Excellent Schools:

I grant permission for KIPP NYC to share my contact information with Families for Excellent Schools, a partner of KIPP NYC working to build a movement of schools and their families in support of every parent's right to choose an excellent school for their children.

Yes  No

### \*Signature

I attest that I am the legal parent or guardian of the student named above. I affirm that the information I have submitted is true and accurate to the best of my knowledge. I understand that all information will be verified and providing incorrect information can result in application disqualification or loss of seat. Additionally, I understand that submitting an application does not guarantee admission to this charter school.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Please return this form by mail or in person to the main office of any KIPP NYC school.



# Now Accepting Applications for Kindergarten and 5<sup>th</sup> Grade

Free, K-12 public charter schools in Crown Heights, Harlem, the South Bronx, and Washington Heights.

Apply Online at [www.kippnyc.org/enrollnow](http://www.kippnyc.org/enrollnow)  
*Aplique en línea*

KIPP NYC schools is focused on academic results, character development, and getting our students to and through college.

*Las escuelas de KIPP NYC se concentra en resultados académicos, el desarrollo de carácter, y que nuestros estudiantes lleguen y terminen la universidad.*

KIPP NYC accepts applications from all students, including those with special needs and English language learners.

*KIPP NYC acepta aplicaciones de todos los estudiantes incluyendo los estudiantes con necesidades especiales y estudiantes aprendiendo el inglés.*

**KIPP:**  
**NYC**

# KIPP: NYC

## Go. Graduate.

Free, K-12 public charter schools in Crown Heights, Harlem, the South Bronx, and Washington Heights.



**Now accepting Kindergarten and  
5th grade applications.**

Our mission is to teach our students to develop the academic and character skills necessary to succeed in high school and college, to be self-sufficient, successful, and happy in the competitive world, and to build a better tomorrow for themselves and us all.

*Nuestra misión es enseñar a nuestros estudiantes las habilidades académicas y el desarrollo de carácter que son necesarias para tener éxito en la escuela secundaria y Universidad, y que sean autosuficientes, exitosos y felices en el mundo competitivo y para construir un mejor mañana para ellos y nosotros todos.*

**APPLY NOW**

**APLIQUE AHORA**

**[www.kippnyc.org/apply](http://www.kippnyc.org/apply)**

# KIPP: NYC Go. Graduate.

Free, K-12 public charter schools in Crown Heights, Harlem, the South Bronx, and Washington Heights.



**Now accepting 5th grade and Kindergarten applications.**

Our mission is to teach our students to develop the academic and character skills necessary to succeed in high school and college, to be self-sufficient, successful, and happy in the competitive world, and to build a better tomorrow for themselves and us all.

*Nuestra misión es enseñar a nuestros estudiantes las habilidades académicas y el desarrollo de carácter que son necesarias para tener éxito en la escuela secundaria y Universidad, y que sean autosuficientes, exitosos y felices en el mundo competitivo y para construir un mejor mañana para ellos y nosotros todos.*

**APPLY NOW**

**APLIQUE AHORA**

**[www.kippnyc.org/apply](http://www.kippnyc.org/apply)**



# KIPP: NYC

**YOUR CHILD'S PATH  
TO COLLEGE IS RIGHT  
AROUND THE CORNER.**

**Our K-12 public schools  
promise to see each child  
to and through college.  
See how far your child  
can go.**

**NOW ACCEPTING APPLICATIONS FOR KINDERGARTEN AND 5TH GRADE**



625 W. 133rd St. • New York, NY 10027

## APPLY NOW TO SET YOUR CHILD ON THE PATH TO AND THROUGH COLLEGE

94% of KIPP NYC students graduate from high school, 86% go on to college. Once on campus, they continue to thrive and graduate college at higher rates than students across the country.

KIPP NYC's schools are located in Crown Heights, West & Central Harlem, the South Bronx and Washington Heights.

We are accepting applications for our enrollment lottery through 12:00 PM on April 1, 2014.

**Visit [www.kippnyc.org/apply](http://www.kippnyc.org/apply) to learn more, sign up for a school tour, and apply.**

Estamos aceptando aplicaciones para nuestra lotería hasta las 12:00 del mediodía el 1º de abril del 2014.

**Visite [www.kippnyc.org/apply](http://www.kippnyc.org/apply) para mas información, una gira de nuestras escuelas, y una aplicación.**



# KIPP: NYC

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TO COLLEGE IS RIGHT  
AROUND THE CORNER.**

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**Visite [www.kippnyc.org/apply](http://www.kippnyc.org/apply) para mas información, una gira de nuestras escuelas, y una aplicación.**

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Friday, August 01, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/0def5606980633cb620d9f13dfb5d0cecb1f5a1d/>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*David B. Massey*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

---

• Chair/President

---

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

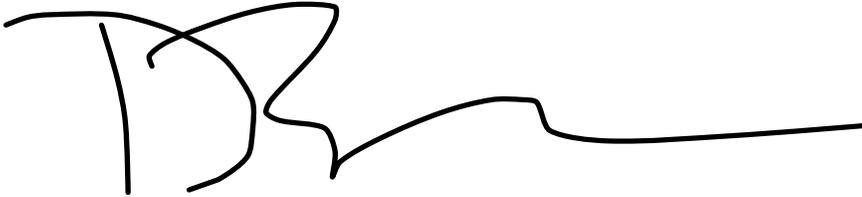
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of a large, stylized 'T' followed by a series of loops and a long horizontal stroke extending to the right.

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Wednesday, July 30, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/0e57deb6a0090087f76fe68953a00b633890f67c/>

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## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Rafael Mayer*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

---

- Treasurer

---

- Secretary

---

- Other, please specify...: Chair, Audit/Finance Committee

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Thursday, July 31, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/06e9f8ffefae4e875bd54280b1487decd7338063/>

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## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

Jacqueline Adams

### 2. Charter School Name:

KIPP NYC Washington Heights Academy Charter School

### 3. Charter Authorizer:

Board of Regents

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "Taegul Ahn". The signature is written in a cursive style with a large initial 'T' and a long horizontal stroke extending to the right.

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Wednesday, July 30, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/348cb0f0fedfc6b48d304a6775c51ea7ceb72086/>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Jane Martinez Dowling*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

- 
- Other, please specify...: Trustee
- 

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

Yes

10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

|  |   |
|--|---|
| [cmoeY.0] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Position Held    | Executive Director, KIPP Through College          |
| [cmoeY.1] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Responsibilities | Lead Alumni Program for graduates of KIPP schools |
| [cmoeY.2] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Salary           | \$173,000   |
| [cmoeY.3] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Start Date       | May 1, 2008                                       |

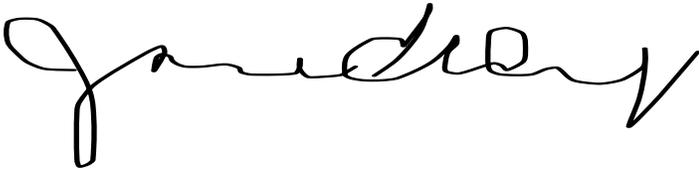
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "J. J. Jaudon". The signature is written in a cursive style with a large initial "J" and a long horizontal stroke.

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Thursday, July 31, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/c5fed9f4b54a17bfd2c6142a79faee3d7c475735/>

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## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Carrie Gennuso*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of a stylized first letter followed by a series of connected loops and a long horizontal stroke extending to the right.

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Friday, August 01, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/522dd05c5340a08646b713cbf8c0a4c86358fc2b/>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Thomas Lehrman*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Wednesday, July 30, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/f3f70a8de8acfa82e04e839d77ed2d5af5c90385/>

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## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Joseph Negrón*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

[REDACTED]

### 7. \*E-mail Address:

[REDACTED]

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

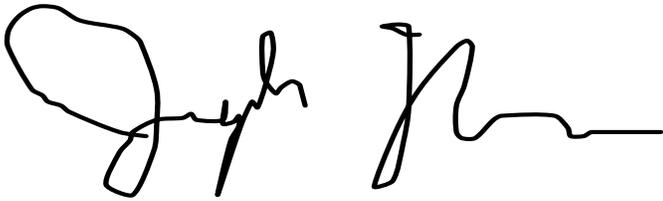
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of two distinct parts. The first part is a large, stylized initial 'J' followed by a cursive 'h'. The second part is a more complex signature, starting with a large 'K' and ending with a horizontal line.

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Thursday, July 31, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/3bcbc4993db75c3585d7a1b07ceb746202ed3ee9>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Andrew S. Paul*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A. S. Pawl

# Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 24, 2014

Updated Friday, August 01, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/d20be6d654b4ad9e9c25dcd8c9e2544c846c1ba7>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Dawn M. Palo*

### 2. Charter School Name:

*KIPP NYC Washington Heights Academy Charter School*

### 3. Charter Authorizer:

*Board of Regents*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

*No*

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

*No*

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee