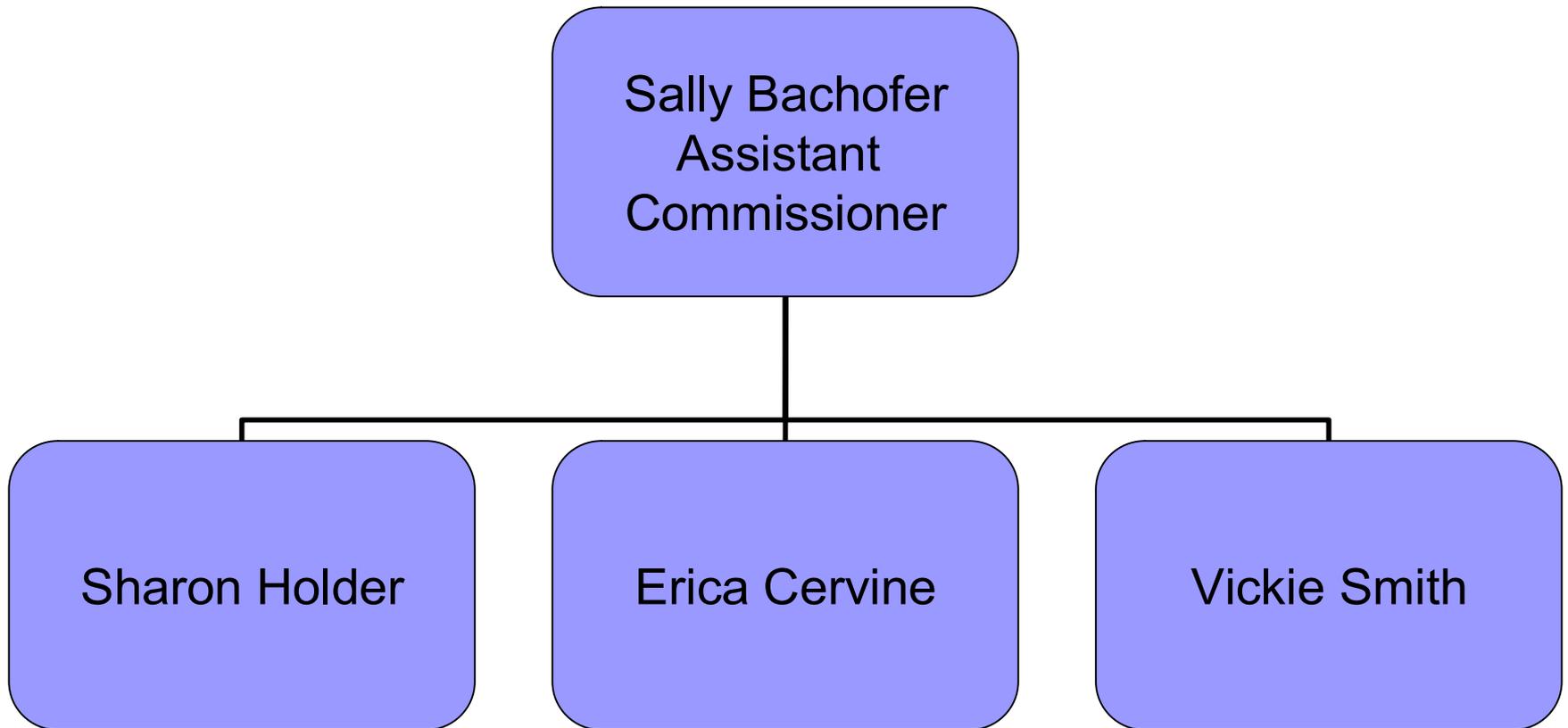


The Office of Innovative School Models

The New York State
Education Department

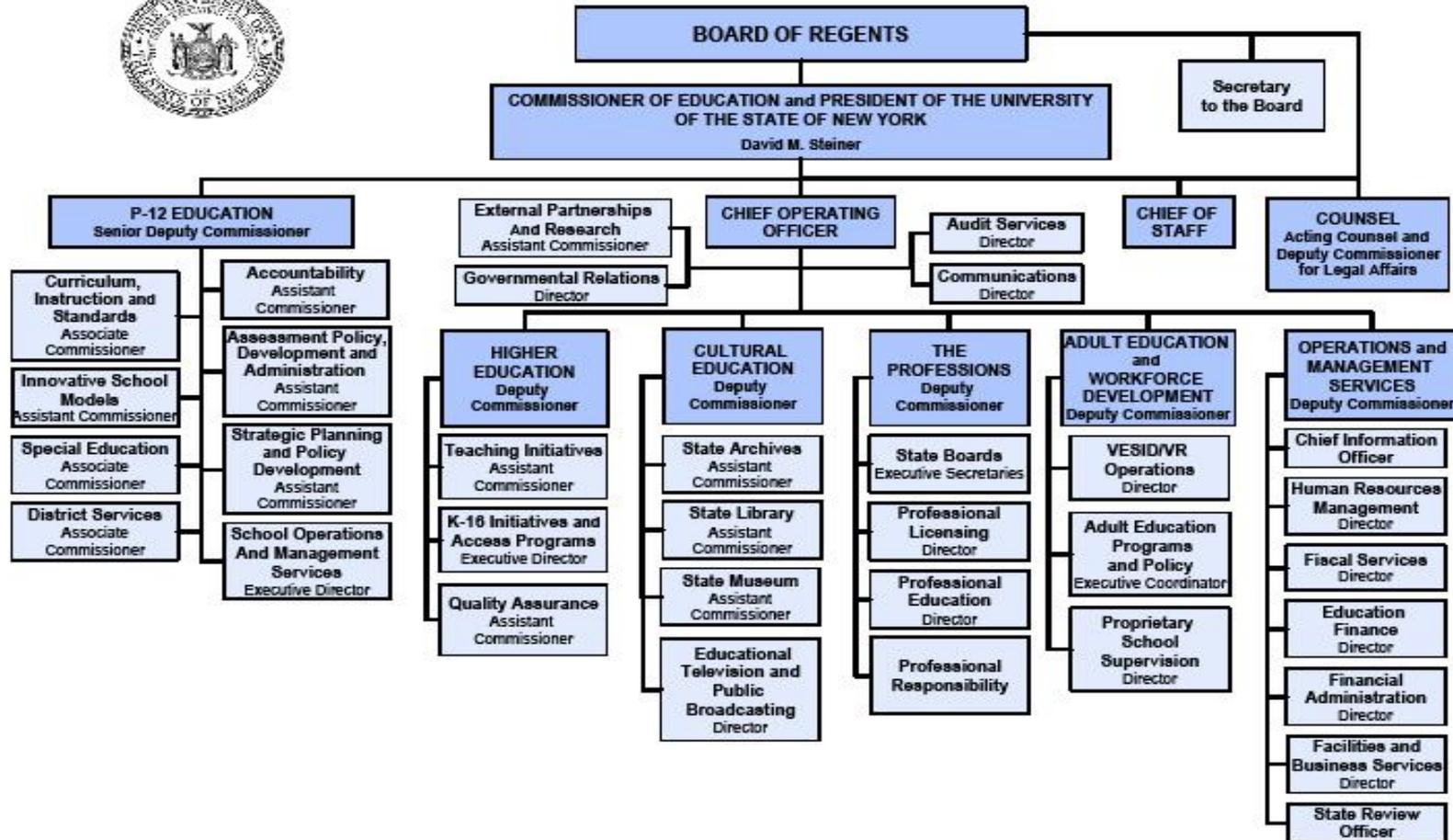


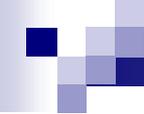
Presenters



NYSED Organizational Chart

NEW YORK STATE EDUCATION DEPARTMENT ORGANIZATION CHART





The Office of Innovative School Models

New Schools Team

Legal and Compliance Team

Governance and Authorizer Relations Team

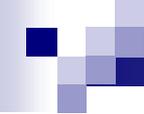
Finance Team

Accountability Team



New Schools Team

- New Application Kit/RFP
- New Application Review
- Pre-opening Procedures
- New Charter Awards
- Charter Contracts
- Fingerprinting



Legal and Compliance Team

- Regulatory Changes
- Coordination with Federal Programs and Compliance Monitoring
- Charter Contracts
- Complaint Procedures
- FOIL Requests



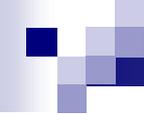
Governance Team

- Board of Regents Items
- Corporate Documents and Charter Revisions
- Board of Trustees (BoT) requirements



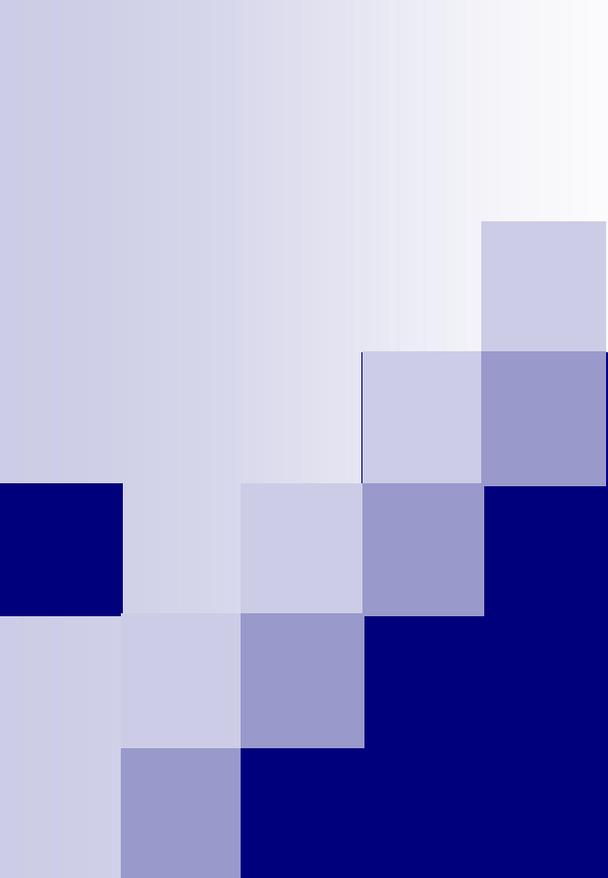
Finance Team

- Charter School Program Grant
- State Stimulus Fund Grant
- Annual and Quarterly Audits
- Budget Review
- Enrollment Monitoring

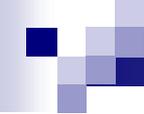


Accountability Team

- Accountability Plans
- School Annual Reports
- Desk Audits
- Site Visits
- Charter Renewal
- Annual Report to the Governor
- School Closure



New Charter Application Process



Application Kit/RFP

PROCESS: 4 Phases

PHASE 1: Letter of Intent

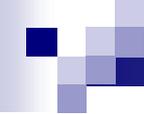
PHASE 2: Prospectus

PHASE 3: Full Application

PHASE 4: Capacity Interview

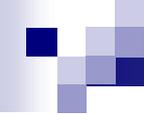
Letter of Intent

- Each applicant must submit a Letter of Intent identifying pertinent information about the proposed charter school, including but not limited to the location, projected grades served/enrollment, and identification of the founding group. It and all subsequent application materials will be posted online at <http://www.emsc.nysed.gov/psc/> for public review.



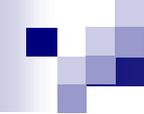
Prospectus

- The purpose of the Prospectus is to allow the applicant to demonstrate two of the four key competencies required for a successful application:
 - 1) The applicant demonstrates clear understanding of the New York State Charter Schools Act and what it means to comply with the Act.
 - 2) The applicant's proposed school demonstrates clear alignment with the educational priorities stated in the Act.



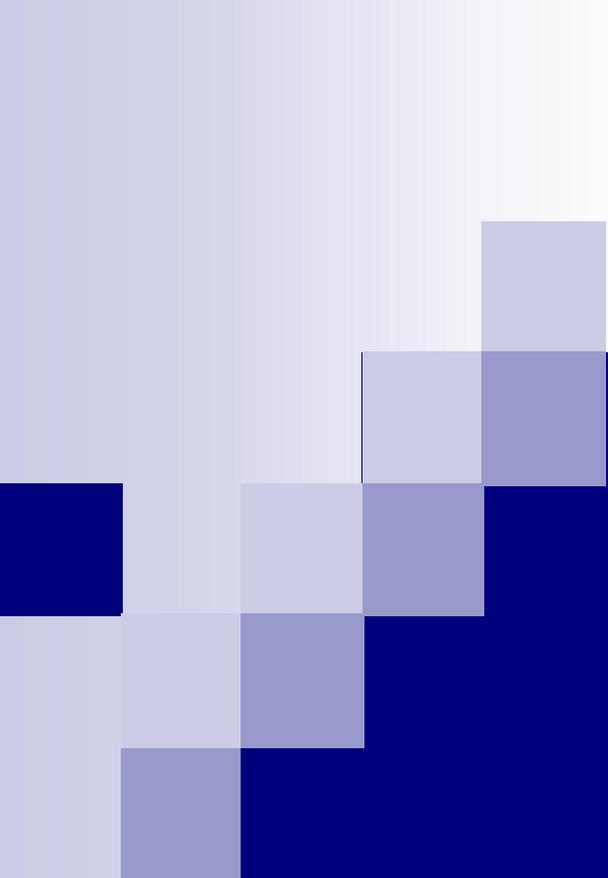
Full Application

- If advanced to the Full Application phase, the applicant will be required to submit the Full Application within 21 days following notice of Prospectus approval.
- The Full Application will be reviewed by NYSED staff using an Application Evaluation Rubric.



Capacity Interview

- The final stage of the application process includes a capacity interview of the founding group and/or proposed board of trustees. The primary purpose of the interview is to assess the capacity of the board to effectively oversee the school's academic program, organizational viability, and finances.



Charter Renewal Process

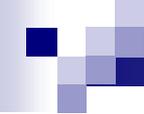


Renewal Process

- Applications are due to the Charter School Office by July 1st of the year prior to expiration of the charter.
- Application form and guidance are found on the Charter School Office website.
- Submit one paper copy and one electronic copy.

Renewal Site Visit

- Purpose is to gather qualitative and quantitative evidence about the school's performance.
- Review of materials:
 - Desk audit
 - On site
- Guiding questions for the visit:
 - Can the school demonstrate the ability to operate in an educationally sound manner?
 - Can the school demonstrate the ability to operate in a fiscally sound manner?
 - Can the school demonstrate adequate levels of parent and student satisfaction?
 - Can the school demonstrate that it is a viable organization?



Components of the Site Visit

- Classroom observations
- Facility observations
- Focus group interviews – Board of Trustees, administration, teachers, parents, students (if appropriate)
- Renewal site visit team meetings
- Informal verbal report

Sample Site Visit Schedule

Day One Time	Activity	Team Leader	Team Member A	Team Member B
8:00am	Meet school leader and tour school			
9:00am	Meet with Administrative Team			
10:00am	Classroom Visits			
11:30am	Team Meeting/Lunch			
1:00pm	Meet with Students			
2:00pm	Meet with Teachers			
3:00pm	After school Observations			
4:00pm	Team Meeting			

Day Two Time	Activity	Team Leader	Team Member A	Team Member B
8:00am	Classroom Visits			
12:00pm	Meet with Board			
1:00pm	Team Meeting/Lunch			
3:00pm	Meet with Parents			
4:00pm	Team Meeting			
4:30pm	Debriefing			

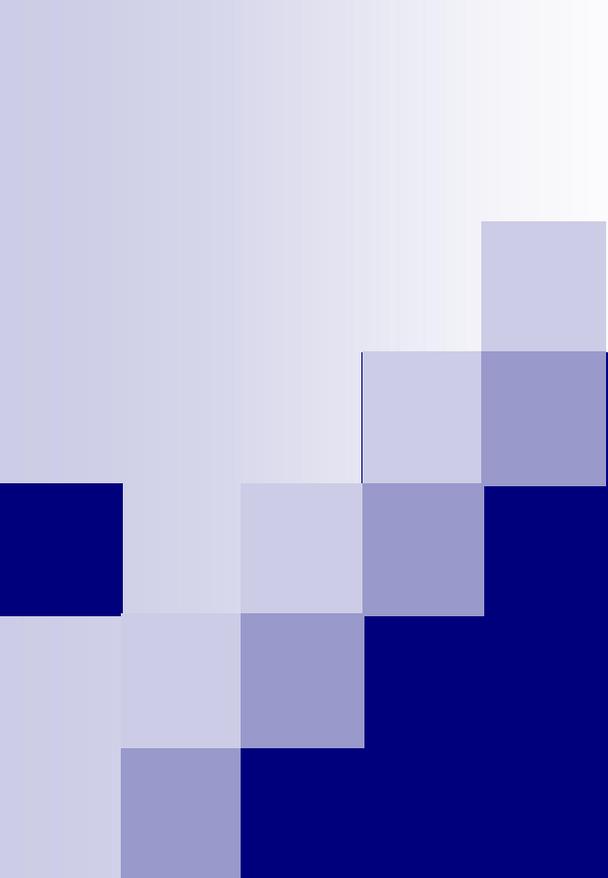


Written Report

- Sent to school a few weeks following the visit
- Based on evidence – written, observed, focus groups
- Opportunity for factual corrections

Recommendation

- A recommendation for renewal or non-renewal will be made to the Board of Regents by the Office of Innovative School Models.
- If the determination is made to renew, modifications will be made to prior practice.
- The charter contract will be aligned with the National Association of Charter School Authorizers *Principles and Standards for Quality Charter School Authorizing*.
- Renewal terms will be run from the charter expiration date through June 30, 20XX



Charter Revisions



REVISION PROCESS: NEW GUIDANCE

- Section 3.16 of the Rules of the Board of Regents was amended effective October 6, 2010.
- Authorizes the Commissioner of Education to approve certain revisions on behalf of the Board of Regents pursuant to Education Law Section 2852(7).
- **Material** changes - must be submitted to and approved by the school's authorizer and the Board of Regents.
- **Non-material** changes – must be submitted to and approved by the school's authorizer and the Commissioner of Education.

Material/Non-Material Changes

Material Changes

- School name
- Relocation to another school district**
- Maximum enrollment
- Grades served
- Hiring/termination of a management company

And ... Significant changes to a school's ...

- Educational philosophy, mission or vision
- Governance or leadership structure
- Curriculum model/school design

** New York City schools may be required to complete an educational impact statement pursuant to Education Law §2590–h(2-a)

Non-Material Changes

- Bylaws
- Schedule
- Admission policy/enrollment process
- Policies/codes/handbooks
- Corrections/clarifications

And ... non-significant changes to a school's ...

- Educational philosophy, mission or vision
- Governance or leadership structure
- Curriculum model/school design

Submission of Revision Request

- By the Board of Trustees Chair or a person authorized by the Chair
- Addressed to the Commissioner of Education (via the Charter Schools Office)
- Electronic submission to:
charterschools@mail.nysed.gov

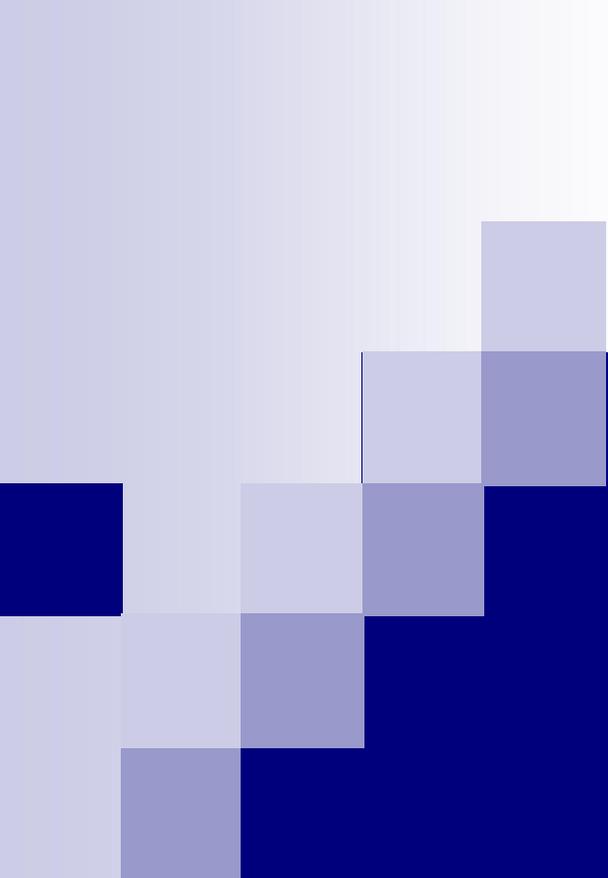


Criteria for Review of a Revision Request

- Compliance with applicable state, federal and local laws
- Academic success
- Organizational viability
- Faithfulness to the charter
- Additional questions related to enrollment increases will be asked

Questions



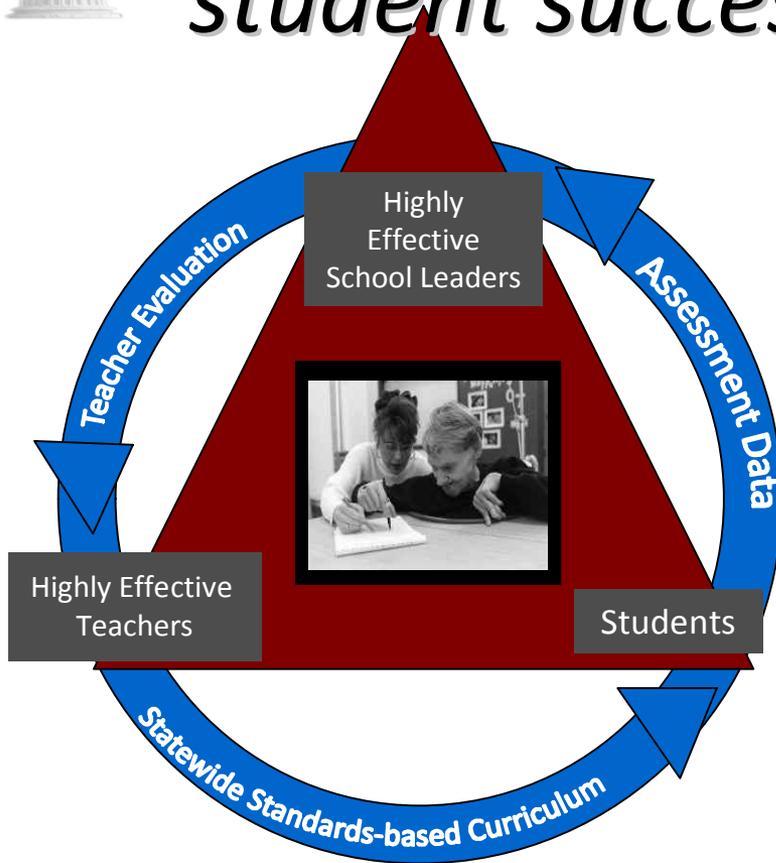


Race to the Top



The Race is Already Underway

Regents integrated approach to achieve student success



- Adopted Common Core State Standards
- Raised proficiency bar on state assessments to match college-readiness
- Making state assessments more rigorous and performance-based
- Committed to building sequenced, content-rich statewide curriculum with embedded formative assessments
- PARCC – Governing State



RTTT will Help Achieve Regents Goals

Investing in 4 critical reforms

- Adopting internationally-benchmarked standards and assessments that prepare students for success in college and the workplace
- Building instructional data systems that measure student success and inform teachers and principals how they can improve their practice
- Recruiting, developing, retaining, and rewarding effective teachers and principals
- Turning around the lowest-achieving schools



LEA Funding

- 50% of total NY award to LEAs via 2009-10 Title I, Part A formula
- Allocations are 4 year totals
- LEAs will need to request as much cash as required to meet immediate needs; minimizing time between receipt and disbursement locally
- First-year (10/01/10 to 06/30/11) spending cap: 15%
- Set-aside for Evaluation System implementation: 25%



LEA Activities

Required

- Establish Network Teams (or the equivalent)
- Implement Teacher/Principal Evaluation System

Allowable Activities

- RTTT funds remaining after budgeting for required activities may be used for any activities from menu of Allowable Activities
- Designed to provide LEAs with some flexibility in expenditure decisions across 4 reform areas



Network Teams

- Teams of expert support: Curriculum, Instruction and Data
- Provide ongoing professional development and technical assistance
- Coordinate with school-based Inquiry Teams
- Participate in NYSED-sponsored professional development
- 3 Types: BOCES/Regionally-based; Big 5 Districts; Network Team Equivalents
- Up to 75% of 4-year allocation to purchase/create services
- Implemented by July 1, 2011

BOCES & LEAs encouraged to collaborate to design Network Team's structure/functions/budget



Network Team Equivalents (NTEs)

- Option for BOCES and LEAs with existing capacity to deliver
- Must certify:

- (1) services of comparable scope and quality;
- (2) report regularly on performance and outcomes; and
- (3) participate in NYSED-sponsored professional development

- If approved, LEAs may use up to 75% of allocations for other Allowable Activities



Key NYSED/Network Team Deliverables

2011-12

- Training on implementation of Common Core Standards for ELA and Math
- Implementation and training of school-based Inquiry Teams
- Training on implementation of new performance evaluations for teachers and principals in ELA and Math



Key NYSED/Network Team Deliverables

2012-13

- Training on access and use of Early Warning Data System
- Training on access and use of Instructional Improvement Reporting System
- Training on implementation of ELA and Math curriculum models aligned with Common Core
- Training on use of ELA and Math formative assessments aligned with Common Core
- Implement new performance evaluations for all remaining teachers and principals



Key NYSED/Network Team Deliverables

2013-14

- Training for implementation of curriculum models for science, social studies and arts
- Training on use of formative assessments in science, social studies and arts
- Implement performance evaluations for all teachers and principals utilizing the State-developed value-added growth model

2014-15

- Training for implementation of PARCC summative assessments in ELA and Math



Network Team Performance Management

- Participation in NYSED-sponsored professional development to ensure consistency and quality in delivery to LEAs
- Principal and teacher satisfaction surveys
- Formative and summative student assessment data
- Others TBD



Teacher and Principal Evaluation

- School districts must begin implementation of provisions of Education Law §3012-c by Year 3
- Participating public charter schools must evaluate using comprehensive annual system consistent with 3 core provisions of Education Law §3012-c
- Certifications required before funds may be used for Section D activities (25% of total)



Supplemental Funding Opportunities for LEAs

- More than \$100 million from State's 50% share
- In the form of grants and RFPs
- 8 Projects

- Secondary School Innovation Fund
- AP/Professional Development for STEM
- Innovative Compensation Incentive Fund
- Leadership Academies for Principals
- Model Induction Programs
- Transfer Fund
- Full Service Schools
- Virtual School Digital Learning

Approximately 68% of RTTT Funds Targeted to LEAs

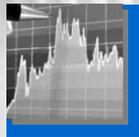


Submission Documents –

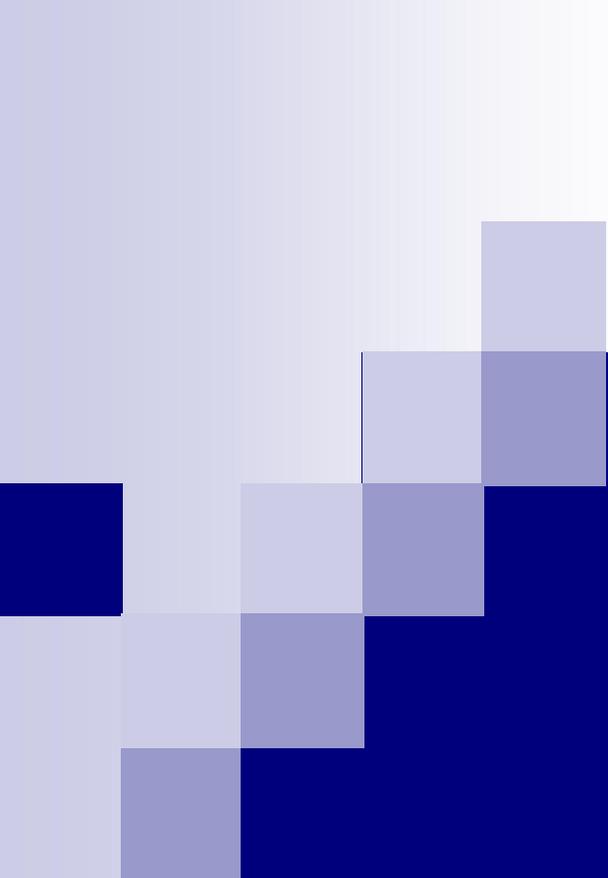
Due: Nov. 8th

- Initial MOU/Preliminary Scope of Work
- Intent to File (E-mail to RTTT@mail.nysed.gov by Oct.18th)
- Scope of Work Plan
- Budget Worksheet
- Network Team Equivalent Certification (if exercising this option)
- Applicable Teacher and Principal Evaluation System Certifications (after 07/01/2011 and by 06/30/2013)

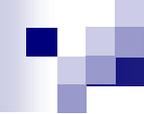
Where to Go for Help



- Check our webpage: usny.nysed.gov/rttt
- Participate in upcoming regional visits
- Send questions to RTTT@mail.nysed.gov



Additional Funding Opportunities



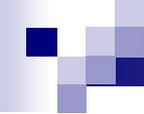
CSP Planning and Implementation Grant

- The funding for planning, program design and implementation of charter schools is authorized under Title V, Part B of the No Child Left Behind Act of 2001, (NCLB). The primary purpose of this program is to expand the number of high-quality charter schools available to students.



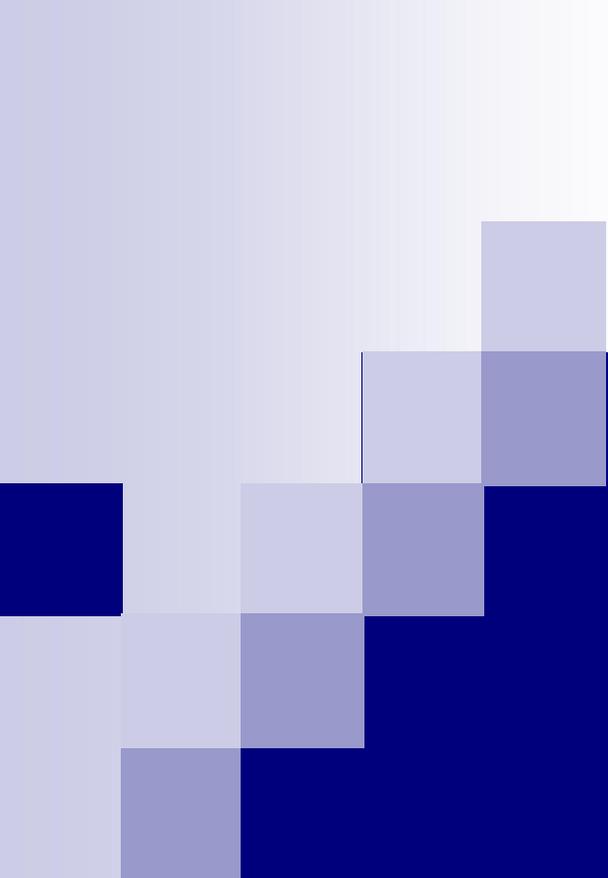
CSP Dissemination Grant

- The funding for the dissemination grant is authorized under Title V, Part B of the No Child Left Behind Act of 2001, (NCLB) The primary purpose of this program is to assist other schools with:
- Developing curriculum materials, assessments, and other materials that promote increased student achievement and are based on successful practices within the assisting charter school;
- Conducting evaluations and developing materials that document the successful practices of the assisting charter school and that are designed to improve student performance in other schools;
- Assisting other individuals with the planning and start-up of one or more new public schools, including charter schools, that are independent of the assisting charter school and its developers, and that agree to be held to at least as high a level of accountability as the assisting charter school; and
- Developing partnerships with other public schools, including charter schools, designed to improve student academic achievement in each of the schools participating in the partnership.



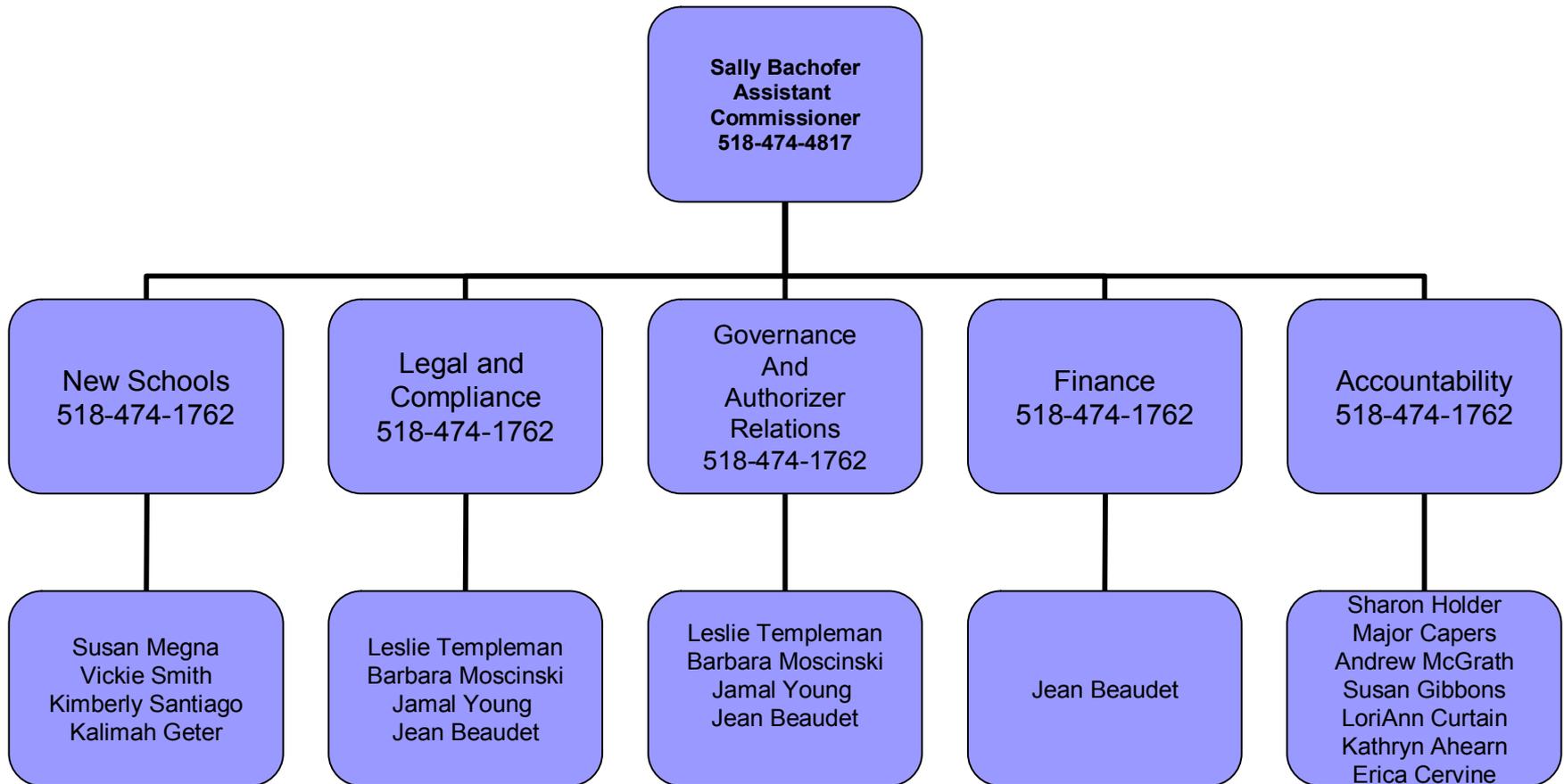
The State Stimulus Fund

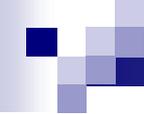
- The State Education Department (SED) receives New York State charter schools stimulus funds and provides opportunities for existing charter schools to apply for sub-grants for services and expenses related to the development, implementation, and operation of charter schools. Funds may be used for costs associated with the acquisition, renovation or construction of charter school facilities.



Resources

Office of Innovative School Models





Websites

- New York State Education Department

<http://www.nysed.gov/>

- Charter School Office

<http://www.p12.nysed.gov/psc/>

Questions

