

New York State Education Department

Annual Performance Report for 2005-06

IDEA PART B STATE PERFORMANCE PLAN 2005-2010

OFFICE OF VOCATIONAL AND EDUCATIONAL SERVICES FOR INDIVIDUALS WITH DISABILITIES

FEBRUARY 2007 (REVISED JUNE 2007)



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OVERVIEW

Public Law 108-446, the Individuals with Disabilities Education Act (IDEA) 2004, required the State Education Department (SED) to develop and submit a six year State Performance Plan (SPP) to the Office of Special Education Programs (OSEP) at the U.S. Education Department (USED), spanning the years 2005-2010. OSEP identified three monitoring priorities and 20 indicators relating to the priority areas that must be tracked and reported. Annually the Annual Performance Report (APR) is required to be submitted as its report to the Secretary of Education and to the public on the State's performance under the SPP, describing overall progress and slippage in meeting the targets found in the SPP. This APR is the first such report, due February 1, 2007. It references the SPP dated November 2005, as amended in January 2007.

A separate report will be issued in July 2007, describing the performance of each local school district located in the State as measured against the targets described in the SPP.

The three priority areas and their corresponding indicators are as follows:

Priority: Free Appropriate Public Education in the Least Restrictive Environment

- 1. Percent of youth with individualized education programs (IEPs) graduating from high school with a regular diploma compared to percent of all youth in the State graduating with a regular diploma.
- 2. Percent of youth with IEPs dropping out of high school compared to the percent of all youth in the State dropping out of high school.
- 3. Participation and performance of children with disabilities on statewide assessments:
 - Percent of districts meeting the State's annual yearly progress (AYP) objectives for progress for disability subgroup.
 - Participation rate for children with IEPs in a regular assessment with no accommodations; regular assessment with accommodations; alternate assessment against grade level standards; alternate assessment against alternate achievement standards.
 - Proficiency rate for children with IEPs against grade level standards and alternate achievement standards.
- 4. Rates of suspension and expulsion:
 - Percent of districts identified by the State as having a significant discrepancy in the rate of suspensions and expulsions of children with disabilities for greater than 10 days in a school year; and
 - Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of greater than 10 days in a school year of children with disabilities by race and ethnicity.
- 5. Percent of children with IEPs ages 6 through 21:
 - Removed from regular class less than 21 percent of the day;
 - Removed from regular class greater than 60 percent of the day; or
 - Served in either public/private separate schools, residential placements or in homebound or hospital placements.

- Percent of preschool children with IEPs who receive special education and related services in settings with typically developing peers (e.g., early childhood settings, home, and part-time early childhood/part-time early childhood special education settings).
- 7. Percent of preschool children with IEPs who demonstrate improved:
 - positive social-emotional skills (including social relationships);
 - acquisition and use of knowledge and skills (including early language/communication and early literacy); and
 - use of appropriate behaviors to meet their needs.
- 8. Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.

Priority: Disproportionality

- 9. Percent of districts identified with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.
- 10. Percent of districts with disproportionate representation of racial and ethnic groups in:
 - specific disability categories that is the result of inappropriate identification.
 - special education placements that is the result of inappropriate policies, procedures and practices.

Priority: Effective General Supervision Part B

Child Find and Effective Transitions

- 11. Percent of children with parental consent to evaluate, who were evaluated within State required timelines.
- 12. Percent of children referred by Part C (Early Intervention Services) prior to age three (3), who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.
- 13. Percent of youth aged 15 and above with an IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable the student to meet the post-secondary goals.
- 14. Percent of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school.

General Supervision

- 15. General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.
- 16. Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

- 17. Percent of fully adjudicated due process hearing requests that were fully adjudicated within the 45-day timeline for school age students and 30-day timeline for preschool students or a timeline that is properly extended by the hearing officer at the request of either party.
- 18. Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.
- 19. Percent of mediations held that resulted in mediation agreements.
- 20. State reported data (618) and SPP and APR are timely and accurate.

Overview of Annual Performance Report Development

The development of New York State's (NYS) Part B SPP can be found at http://www.vesid.nysed.gov/specialed/spp/partb1106.html. The APR for 2005-06 was developed as follows:

NYS' Office of Vocational and Educational Services for Individuals with Disabilities (VESID) formed a workgroup in 2005. This group includes representatives from the Offices of Policy, Quality Assurance, Program Development and Data Collection and Reporting. This group served as the Cabinet to guide the development of the SPP and APR.

Meetings were held with various constituent groups throughout the year to review the State's progress in measuring and developing strategies to improve results in each of the indicator areas. These groups most notably included:

- Special Education and Training Resource Centers
- Early Childhood Direction Centers
- Transition Coordination Sites
- Parent Centers
- District Superintendents

In November 2006, SED issued its educational reform plan "P-16: A Plan for Action", which includes specific actions to improve academic outcomes for children with disabilities be setting performance targets, promoting effective practices and holding schools accountable for dramatic improvements. These actions were developed in consideration of the SPP and APR.

Several information sessions were held in various regions of the State and memorandums were issued to the field to inform school personnel, families and others of the activities of the SPP and the plan to report the State's annual progress toward meeting its targets through the APR and the public reports of each school district.

Stakeholder input from the Commissioner's Advisory Panel (CAP) for Special Education Services was sought on revisions to the SPP in baseline measures, targets and improvement strategies. In January 2007, prior to submission of the APR, staff met with CAP to share the State's baseline and performance data for all indicators and to obtain their input on improvement strategies. CAP has three subcommittees that will be

addressing the State's improvement strategies in the areas of preschool, transition and professional development.

The SPP and the APR are posted on the Department's website: http://www.vesid.nysed.gov/specialed/spp/home.html

An announcement of the availability of these documents will be provided through the list serve and through a memorandum to school districts, parent organizations and others interested in the education of students with disabilities. A press announcement will be released to newspapers regarding their availability.

Questions regarding the SPP and the APR may be directed to the New York State Education Department, Office of Vocational and Educational Services for Individuals with Disabilities (VESID), Special Education Services at 518-473-2878. You may refer to www.ed.gov/policy/speced/guid/idea/bapr/index.html for more information on these federal requirements.

Overview of the Annual Performance Report Development:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #1: Percent of youth with IEPs graduating from high school with a regular diploma compared to percent of all youth in the State graduating with a regular diploma.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

Measurement for youth with IEPs should be the same measurement as for all youth. Explain calculation.

New York State's Measurement:

Percent of "graduation-rate cohort" of students with disabilities who graduate with a high school diploma (Regents or local diploma) as of August 31 after four years of first entering 9th grade or for ungraded students with disabilities, after four years of becoming 17 years of age.

NYS will use the same measurements as used for accountability reporting under the No Child Left Behind (NCLB) Act.

New York State's Calculation:

The number of students in the "graduation-rate cohort" who earn a high school diploma as of August 31 after four years divided by the total number of students in the graduation rate cohort, expressed as a percent.

Definition of District Accountability Cohort: (Cohort is defined in Section 100.2 (p) (16) of the Commissioner's Regulations):

The 2001 "district accountability cohort" consists of all students, regardless of their current grade status, who were enrolled in a district school or placed by the district Committee on Special Education or a district official in an out-of-district placement on October 8, 2003 (BEDS¹ day) and met one of the following conditions:

- first entered 9th grade (anywhere) during the 2001–02 school year (July 1, 2001 through June 30, 2002); or
- in the case of ungraded students with disabilities, reached their seventeenth birthday during the 2001–02 school year.

¹ BEDS day is the first Wednesday in October and is the date that enrollment data for all students is collected in New York State.

The Department will exclude the following students when reporting data on the 2001 district accountability cohort:

- 1. students who transferred to a school in another district or state or transferred to a program leading to a high school equivalency diploma after BEDS day 2003;
- 2. students who left the U.S. and its territories after BEDS day 2003; and
- 3. students who died after BEDS day 2003.
- Students who transferred into the district after BEDS day 2003 (October 8, 2003)
 will not be included in the 2001 district accountability cohort.
- Students who move between district schools and out-of-district placements are not excluded from the cohort, as long as the transfers are the decision of the District Committee on Special Education (CSE) or a district official.
- Students who have dropped out may not be excluded from the 2001 cohort. A
 dropout is any student (regardless of age) who left your school prior to graduation
 for any reason except death and was not documented to have entered another
 school or a program leading to a high school equivalency diploma.
- Limited English proficient students and students with disabilities eligible to take the New York State Alternate Assessment (NYSAA) are not excluded from the 2001 cohort.

Definition of 2001 Graduation-Rate Cohort:

The "graduation-rate cohort" includes all students in the accountability cohort plus all students excluded from that accountability cohort solely because they transferred to a program leading to a high school equivalency diploma (General Education Development (GED) program). The final date used to determine the members of the graduation-rate cohort is August 31 of the fourth year after a student first entered 9th grade. For example, graduation-rate cohort membership would be determined on August 31, 2005 for a student who entered 9th grade for the first time in the 2001-02 school year.

Anticipated Change in Definition of 2003 Graduation-Rate Cohort. Graduation rate of this cohort will be determined as of August 31, 2007:

The definition of graduation-rate cohort will be revised as follows, beginning with students who first entered 9th grade (anywhere) in 2003-04 school year or for ungraded students with disabilities who reached the age of 17 during the 2003-04 school year:

- The 2003 Graduation-Rate Cohort will consist of students who meet Condition 1 and Condition 2 or 3 below:
 - enrolled in 9th grade (anywhere) for the first time in a particular year (year 1) or, for ungraded students with disabilities, reached age 17 during that school year, AND
 - were enrolled in the district/school for at least five continuous months during year 1, 2, 3, or 4 of high school (excluding July and August) OR
 - 3. were enrolled for less than five months and reason for ending enrollment was

"dropped out" or transferred to a GED program and the student's previous enrollment record in the district (assuming one exists):

- indicates that the student dropped out or transferred to a GED program, and
- that the student was enrolled in the district/school for at least five months.

The only students who are excluded from the cohort are students who transfer to another diploma-granting program, leave the U.S., transferred by court order, or die.

The graduation rate will be the percentage of these students who earned a regular high school diploma no later than the end of year 4. An exception will be made for high schools where a majority of students participate in a State-approved five-year program that results in the receipt of certification in a career or technology field in addition to a high school diploma. For those schools, the graduation rate will be the percentage of those students defined in Conditions 1 and 2 who earned a regular high school diploma no later than the end of year 5. The public high school graduation rate will be used pursuant to §1111(b)(2)(1) of NCLB.

Definition of Total Cohort:

The definition of the "2001 total cohort" and "2002 total cohort" is similar to how the definition of the 2003 graduation-rate cohort is anticipated to be revised as explained above.

FFY	Measurable and Rigorous Target
2005 (School Year 2005- 06 data is based on 2002 Total Cohort)	The percent of youth with IEPs graduating from high school within four years as of June 30 with a regular high school diploma will be 37 percent.

Actual Target Data for FFY 2005:

In 2005-06, the graduation after four years for the cohort of students with disabilities who first entered 9th grade in 2002 or if ungraded became 17 years of age during the 2002-03 school year was 37.5 percent. The State met its 2005-06 school year target of 37 percent. The charts on the next page display the graduation rate of the "Graduation-Rate Cohort" for the same year of 49 percent. While this is the State's accountability statistic under the No Child Left Behind (NCLB) Act, the State established its targets based on the "total cohort", which includes more students with disabilities (27,453 in the total cohort and 19,504 in the graduation rate cohort).

As displayed in the tables that follow, there was a wide variation in the 2005-06 graduation rate among school districts of different Need Resource Capacity categories. For example, the graduation rate in NYC was 18.6 percent, in the large four cities (Buffalo, Syracuse, Rochester, and Yonkers) 20.5 percent, in average need districts 45.6 percent and in the low need districts 74.1 percent.

Also as displayed in the tables that follow, students with disabilities are more than twice as likely to graduate with a high school diploma from school districts outside the large five cities. The graduation rate of the 2002 cohort of students with disabilities in the large five cities combined was 19 percent compared to 44.7 percent in rest of the State.

Graduation-Rate Cohort, As of August 31, Four Years Later								
	All Students Students with Disabilities					All Students		th Disabilities
		Graduation		Graduation				
Cohort Year	# in Cohort	Rate	# in Cohort	Rate				
1998	165,226	77%	14,306	55%				
1999	173,978	76%	15,056	58%				
2000 (old baseline data)	179,092	77%	18,909	53%				
2001	181,848	77%	19,504	49%				

Total Cohort, As of June 30, Four Years Later				
All Students Students with Disabilitie				
		Graduation		Graduation
Cohort Year	# in Cohort	Rate	# in Cohort	Rate
2000	199,312	67%	21,262	46%
2001 (new baseline data)	212,135	66%	26,281	38%
2002	210,910	67%	27,453	37%

Total Cohort Analysis of Students with Disabilities' (SWD) Graduation Rates for New York City, Large Four Cities Combined and Rest of School Districts				
	2001 Total C	Sohort of SWD	2002 Total Cohort of SWD	
Need/Resource Capacity Category	# in Cohort	Graduation Rate	# in Cohort	Graduation Rate
New York City	7,627	17.6%	7,587	18.6%
Large Four Cities	1,784	21.7%	1,862	20.5%
Urban/Suburban High Need Districts	2,487	30.4%	2,619	28.8%

Total Cohort Analysis of Students with Disabilities' (SWD) Graduation Rates for New York City, Large Four Cities Combined and Rest of School Districts

	2001 Total Cohort of SWD		2002 Total Cohort of SWD	
Need/Resource Capacity Category	# in Cohort	Graduation Rate	# in Cohort	Graduation Rate
Rural High Need Districts	2,165	32.5%	2,240	31.2%
Average Need Districts	8,733	48.1%	9,366	45.6%
Low Need Districts	3,459	74.0%	3,740	74.1%
Charter Schools	11	15.4%	39	15.9%
Total State	26,281	37.9%	27,452	37.5%

Total Cohort Analysis of Students with Disabilities (SWD) Graduation Rate by Need/Resource Capacity Category of School Districts							
	2001 Total Cohort of SWD 2002 Total Cohort of SWD				2001 Total Cohort of SWD		Cohort of SWD
Group of School Districts	# in Cohort	Graduation Rate	# in Cohort	Graduation Rate			
Big Five Cities	9,411	18.4%	9,449	19.0%			
Rest of State	16,870	48.7%	19,866	44.7%			
Total State	26,281	37.9%	27,453	37.5%			

Discussion of Improvement Activities Completed

In order to focus the State's technical assistance efforts and improve performance of students with disabilities in school districts that are the lowest performing school districts for students with disabilities, during the 2006-07 school year, the State notified 107 school districts (or 75 school districts if New York City is counted as a single district) based on their 2004-05 school year data that they were designated under IDEA as "in need of assistance" or "in need of intervention." The designations were based on graduation rates or drop-out rates of students with disabilities. Six of the 107 school districts did not have sufficient numbers of students with disabilities in the 2001 total cohort to have a valid graduation or drop-out rate, so they were identified based on the performance of students with disabilities on grades 4 and 8 ELA and math assessments.

On October 5, 2006, the Commissioner of Education held a press conference and issued a press release to publicly announce this list of school districts. See press release at http://www.oms.nysed.gov/press/specialed100506.htm and public posting of list of school districts at http://www.vesid.nysed.gov/specialed/swd-100506/swd-list.html.

The criteria used for designations were as follows:

- 2001 total cohort of at least 30 students with disabilities;
- School districts "in need of assistance" had a graduation rate of students with disabilities after four years (as of June) below 35% and/or drop-out rate of 20% or higher.
- School districts "in need of intervention" had a graduation rate of students with disabilities after four years (as of June) of 18.5% or lower and drop-out rate of 33% or higher.

and/or

School districts with at least 30 continuously enrolled students with disabilities whose Performance Index (PI) in 2004-05 on two State assessments for students with disabilities was below the State's PI and who did not make adequate yearly progress under NCLB for the students with disabilities subgroup. (School districts with graduation rates above 52% for students with disabilities are not identified, regardless of their performance on State assessments.) The State's PIs in 2004-05 were as follows:

Grade 4 ELA: 102
 Grade 4 Math: 141
 Grade 8 ELA: 85
 Grade 8 Math: 82

Based on the above criteria, school districts were designated as "in need of assistance." However, school districts were designated as "in need of intervention" if they had Pl's below the State's Pl in all four areas and did not make AYP in any area for the students with disabilities subgroup.

Small District Criteria:

- School districts that did not have at least 30 students with disabilities in the 2001 total cohort or 30 continuously enrolled students with disabilities in the tested grades in 2004-05 school year but had at least 30 continuously enrolled students tested in Grade 4 ELA and Grade 8 ELA combined, and whose performance on at least two of the State assessments listed below (averaged over three years) was significantly below the State average in 2004-05 were identified "in need of assistance". Significantly below the State average was defined as:
 - o Grade 4 ELA: 53.7% or lower at level 2 or above
 - o Grade 4 math: 63.3% or lower at level 2 or above
 - o Grade 8 ELA: 53.1% or lower at level 2 or above
 - o Grade 8 math: 43.3% or lower at level 2 or above

VESID plans to make the identification of lowest performing school districts for students with disabilities an annual process and will use criteria that are consistent with SPP goals and with the NCLB measures.

Explanation of Progress or Slippage that occurred for FFY 2005:

The graduation rate decreased from 37.9 percent for 2001 total cohort of students with disabilities to 37.5 percent for 2002 total cohort. The State met its 2005-06 target of 37 percent. The State revised its 2004-05 baseline data from 37.0 percent to 37.9 percent. This revision was necessary due to more accurate data reported by school districts.

As displayed in the previous tables, some categories of school districts showed a slight improvement in their total cohort graduation rates, while other categories showed some declines, however, there is a wide variation in the graduation rates among school districts of different need/resource capacity categories. School district with high needs relative to their resource capacity to meet student needs have the lowest graduation rates. For example, in New York City, the graduation rate for the 2002 cohort of

students with disabilities was 18.6 percent, in the large four cities combined (Buffalo, Syracuse, Rochester and Yonkers) it was 20.5 percent and in the low need school districts the rate was 74.1 percent.

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for 2006-07 School Year:

Revision to Proposed Targets for FFY 2005:

NYS has revised its baseline data and targets for this indicator for the following reasons:

- We initially proposed targets for graduation rate based on data on the performance of the 2000 "graduation rate cohort." The definition of the graduation rate cohort will be revised beginning with the 2003 graduation rate cohort as explained in the measurement section of this indicator. The definition of the 2003 graduation rate cohort is similar to the current definition of the "total cohort". The total cohort includes more students and provides a better basis for measuring the graduation rate. NYS has revised its baseline and targets so they are based on data for the "total cohort".
- NYS will continue to report data on both the "graduation rate cohort" and the "total cohort" until both of these cohorts have the same number of students, at which time we will drop reporting on the "total cohort". The graduation rate cohort is NYS' official cohort for school accountability under NCLB.

The revised targets for this indicator, based on the adjusted baseline data, are as follows:

FFY	Measurable and Rigorous Target
2005	The percent of youth with IEPs graduating from high school within
(2005-06 School Year)	four years, as of June, with a regular high school diploma will be 37
(2002 total cohort)	percent.
2006	The percent of youth with IEPs graduating from high school with a
(2006-07 School Year)	regular high school diploma within four years, as of June, will be 37
(2003 total cohort)	percent.
2007	The percent of youth with IEPs graduating from high school with a
(2007-08 School Year)	regular high school diploma within four years, as of June, will be 38
(2004 cohort)	percent.
2008	The percent of youth with IEPs graduating from high school with a
(2008-09 School Year)	regular high school diploma within four years, as of June, will be 44
(2005 cohort)	percent.
2009	The percent of youth with IEPs graduating from high school with a
(2009-10 School Year)	regular high school diploma within four years, as of June, will be 49
(2006 cohort)	percent.
2010	The percent of youth with IEPs graduating from high school with a
(2010-11 School Year)	regular high school diploma within four years, as of June, will be 52
(2007 cohort)	percent.

Revision to Improvement Activities:

Targeted improvement activities were added to directly impact on the graduation rate for students with disabilities:

1. Identification of school districts with graduation rates below the State's target

Beginning in 2006-07, school districts with graduation rates of higher than 18.5 percent, but less than or equal to 35 percent were identified as districts "in need of assistance" and school districts with graduation rates of 18.5 percent or less were identified as "districts in need of intervention."

Each school district, as a result of this designation, are required to engage in one or more of the following activities to improve its graduation rates:

- Conduct a focused review
- Work with one of the State's funded technical assistance networks
- Use a portion of its IDEA Part B funds to address the area of concern
- Redirect its fiscal or human resources
- Conduct a self-review of its policies, procedures and practices
- Development improvement plans

In addition to the above designations, the accountability requirements under NCLB for the students with disabilities subgroup are for every school district to achieve a graduation rate set by the Commissioner of Education or make a 1 percentage point improvement over the previous year's rate in order to be able to use the safe-harbor criteria to demonstrate improvement in English and math. Currently the graduation rate criterion is set at a minimum of 55 percent of the graduation-rate cohort. These requirements will continue and all the required consequences for schools and school districts that do not meet these requirements will be applied.

2. Development and implementation of a revised focused monitoring protocol

Beginning in 2006-07, conduct "IDEA Effective Instructional Practices" focused reviews of school districts identified as in need of intervention (see above). The review protocol targets requirements most directly related to improved instructional practices, with emphasis on:

- Individual evaluations and eligibility determinations
- IEP development and implementation
- Appropriate instruction from qualified staff
- Access to, participation and progress in the general education curriculum
- Specially designed instruction
- Instruction in literacy
- Behavioral supports
- Parental involvement

3. <u>Directed technical assistance to improve instructional practices</u>

Beginning in 2006-07, VESID redirected its funded networks to provide focused technical assistance to school districts to improve instruction in the areas of literacy, behavior and quality special education services. VESID Special Education Quality Assurance (SEQA) Regional Offices developed regional work plans to direct and deploy regional office and Special Education Training and Resource Center (SETRC) professional development staff to support school improvement activities in the designated low performing districts.

VESID identified the immediate assistance and interventions that would be provided to these school districts, including:

- On-site review of the districts' special education instructional programs to ensure compliance and improve program quality; and
- Directed technical assistance, through SETRC, to assess and improve districts' literacy instruction, behavioral supports and special education supports and services for students with disabilities in the district.

To ensure that interventions with districts are research-based, consistent and effective, VESID is:

- Developing tools and reviewing protocols to evaluate the districts' programs in the core special education instructional areas through work groups representing SETRC, VESID policy and regional staff, institutions of higher education, staff experts from EMSC and other consultants.
- Providing ongoing comprehensive professional development to all SETRC staff in the areas of literacy, behavioral supports and effective special education supports and services.
- Evaluating the progress and results of VESID's technical assistance work with districts to ensure that the strategies are effective.

To ensure that technical assistance resources are available to identified school districts, VESID is adding SETRC personnel in New York City and in 15 regions of the State beginning with the 2007-08 school year.

Overview of the Annual Performance Report Development:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #2: Percent of youth with IEPs dropping out of high school compared to the percent of all youth in the State dropping out of high school.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

Measurement for youth with IEPs should be the same measurement as for all youth. Explain calculation.

New York State's Measurement:

Percent of "graduation-rate cohort*" of students with disabilities who drop out of school.

New York State's Calculation:

The number of students in the "graduation-rate cohort" who drop out of school within four years divided by the total number of students in the graduation rate cohort, expressed as a percent.

Definition of dropout:

School principals must report as dropouts students who complete a school year and do not re-enroll (appear on the attendance register) the following school year unless the student can be documented to have graduated, transferred to another educational program leading to a high school diploma or a high school equivalency diploma, left the United States, or died. These students should be counted as dropouts in the year in which they did not re-enroll.

Any student who, on the last day of required attendance for the school year, has been absent for twenty (20) consecutive, unexcused days and has not resumed attendance should be counted as a dropout.

This definition of "dropout" may be found on page 167-168 of the STEP Reporting Manual at: http://www.emsc.nysed.gov/irts/STEP/2006/STEPManual-2006.pdf

When SED computes the total number of dropouts and dropout rate, any student who was reported as a dropout in a previous year is not counted again as a dropout.

Schools with grade seven or higher who do not grant diplomas are responsible for ensuring that students completing their programs enroll in a diploma-granting school to

complete their secondary education. They must report students who complete their program and who do not enroll in and attend a diploma-granting secondary school as dropouts. These students are reported in the school year in which they fail to enroll and to attend the diploma-granting program.

*See indicator #1 for definitions of Graduation-Rate Cohort and District Accountability Cohort.

Also see "Change in definition of 2003 Graduation-Rate Cohort" described in Indicator #1.

NYS has adjusted its baseline data and targets for this indicator based on data for the 2000 and 2001 total cohorts for the same reasons as described in Indicator #1. See Indicator #1 for definition of the total cohort.

Target:

FFY	Measurable and Rigorous Target			
2005 (School Year 2005-06 data is based on 2002 Total cohort)	The drop-out rate for students with disabilities will be 19 percent.			

Actual Target Data for FFY 2005:

In 2005-06, the dropout rate after four years for the cohort of students with disabilities who first entered 9th grade in 2002 or if ungraded became 17 years of age during the 2002-03 school year was 22.2 percent. The State did not meet its 2005-06 school year target of 19 percent.

As displayed in the tables that follow, there was a wide variation in the 2005-06 dropout rates among school districts of different Need Resource Capacity categories. For example, the dropout rate in NYC was 30.4 percent, in the large four cities (Buffalo, Syracuse, Rochester, and Yonkers) 39.7 percent, in average need districts 16.6 percent and in the low need districts 5.6 percent.

Also as displayed in the tables that follow, students with disabilities dropped out at twice the rate from the large five cities as from other school districts. The dropout rate in the large five cities combined was 32 percent compared to 16.9 percent in rest of the State.

Total Cohort, As of June 30, Four Years Later					
	All St	udents	Students wi	th Disabilities	
Cohort Year	# in Cohort	Drop-Out Rate	# in Cohort	Drop-Out Rate	
2000	199,312	11.9%	21,262	13.0%	
2001 (New Baseline Data)	212,135	15.4%	26,281	25.5%	
2002	216,910	14.0%	27,453	22.2%	

Total Cohort Analysis of Students with Disabilities (SWD) Drop-Out Rate by Need/Resource Capacity Category of School District					
	2001 Total C	ohort of SWD	2002 Total (Cohort of SWD	
Need/Resource Capacity Category	# in Cohort	Drop-Out Rate	# in Cohort	Drop-Out Rate	
New York City	7,627	37.8%	7,587	30.4%	
Large Four Cities	1,784	42.8%	1,862	39.7%	
Urban/Suburban High Need Districts	2,487	25.5%	2,619	26.2%	
Rural High Need Districts	2,165	25.1%	2,240	26.1%	
Average Need Districts	8,733	18.3%	9,366	16.6%	
Low Need Districts	3,459	7.5%	3,740	5.6%	
Charter Schools	11	42.3%	39	30.8%	
Total State	26,281	25.5%	27,453	22.2%	

Total Cohort Analysis of Students with Disabilities (SWD) Drop-Out Rate for Big Five Cities combined and Rest of School Districts						
	2001 Total Cohort of SWD 2002 Total Cohort of SWD					
Group of School Districts	# in Cohort	Drop-Out Rate	# in Cohort	Drop-Out Rate		
Big Five Cities	9,411	38.8%	9,449	38.8%		
Rest of School Districts State	17,496	18.1%	19,866	18.8%		
Total State	26,281	25.5%	27,453	22.2%		

Discussion of Improvement Activities Completed:

In order to focus the State's technical assistance efforts and improve performance of students with disabilities in school districts that are the lowest performing school districts for students with disabilities, during the 2006-07 school year, the State notified 107 school districts (or 75 school districts if New York City is counted as a single district) based on their 2004-05 school year data that they were designated as "in need of assistance" or "in need of intervention". The designations were based on graduation rates or drop-out rates of students with disabilities. Six of the 107 school districts did not have sufficient numbers of students with disabilities in the 2001 total cohort to have a valid graduation or drop-out rate, so they were identified based on the performance of students with disabilities on grades 4 and 8 ELA and math assessments.

On October 5, 2006, the Commissioner of Education held a press conference and issued a press release to publicly announce this list of school districts. See press release at http://www.oms.nysed.gov/press/specialed100506.htm and public posting of list of school districts at http://www.vesid.nysed.gov/specialed/swd-100506/swd-list.html. See Indicator #1 discussion of improvement activities completed.

Explanation of Progress or Slippage that occurred for 2005-2006 School Year:

The dropout rate decreased from 25.5 percent in 2004-05 school year to 22.2 percent in 2005-2006 school year. The State did not meet its 2005-06 target of 19 percent. The State revised its 2004-05 baseline data from 18.9 percent to 25.5 percent. This revision was necessary due to more accurate data reported by school districts.

As displayed on the previous page, most categories of school districts showed an improvement in their dropout rates, however, there is a wide variation in the dropout rates among school districts of different need/resource capacity categories. School district with high needs relative to their resource capacity to meet student needs have

the highest dropout rates. For example, in New York City, the dropout rate for the 2002 cohort of students with disabilities was 30.4 percent, in the large four cities combined (Buffalo, Syracuse, Rochester and Yonkers) it was 39.7 percent and in the low need school districts the rate was 5.6 percent.

Revisions, with Justification, to Proposed Targets FFY 2005:

NYS has revised its baseline and targets for this indicator so they are based on data for the 2000 "total cohort". We initially proposed targets for the drop-out rate based on data on the performance of the 2000 "accountability cohort". The definitions of the accountability cohort and the graduation-rate cohort will be revised such that the definition of the graduation-rate cohort will become similar to the current definition of the 2000 total cohort. The total cohort includes more students and provides a better basis for measuring the drop-out rate. This is the same cohort that will be used to measure the graduation rate as described under Indicator #1.

FFY	Measurable and Rigorous Target
2005	No more than 19 percent of students with disabilities will
(2005-06)	drop out of school.
(2002 total cohort)	·
2006	No more than 19 percent of students with disabilities will
(2006-07)	drop out of school.
(2003 total cohort)	·
2007	No more than 19 percent of students with disabilities will
(2007-08)	drop out of school.
(2004 total cohort)	
2008	No more than 18 percent of students with disabilities will
(2008-09)	drop out of school.
(2005 total cohort)	
2009	No more than 16 percent of students with disabilities will
(2009-10)	drop out of school.
(2006 total cohort)	
2010	No more than 15 percent of students with disabilities will
(2010-11)	drop out of school.
(2007 total cohort)	

Revisions, <u>with Justification</u>, to Improvement Activities/ Timelines/ Resources for FFY 2005:

1. Designation of school districts for targeted intervention

The same improvement activities as reported in Indicator #1 were added to directly address the drop-out rate for students with disabilities. The following performance criteria was set for designation of school districts as "in need of assistance" or "in need of intervention" based on performance on drop-out rates.

- School districts were identified as "in need of assistance" if they had a drop-out rate of 20% or higher.
- School districts were identified as "in need of intervention" if they had a drop-out rate of 33% or higher.

See Indicator #1.

2. Model Transition Programs

Beginning in 2006-07, VESID issued a Request for Proposals for the development and implementation of Model Transition Programs by school districts throughout the State. These projects will be awarded through competitive contracts with 60 school districts in collaboration with VESID Vocational Rehabilitation District Offices

Overview of the Annual Performance Report Development:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #3: Participation and performance of children with disabilities on statewide assessments:

- A. Percent of districts that have a disability subgroup that meets the State's minimum "n" size meeting the State's AYP objectives for progress for the disability subgroup.
- B. Participation rate for children with IEPs in a regular assessment with no accommodations; regular assessment with accommodations; alternate assessment against grade level standards; alternate assessment against alternate achievement standards.
- C. Proficiency rate for children with IEPs against grade level standards and alternate achievement standards.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

- A. Percent = [(# of districts meeting the State's AYP objectives for progress for the disability subgroup (children with IEPs)) divided by the (total # of districts that have a disability subgroup that meets the State's minimum "n" size in the State)] times 100.
- B. Participation rate =
 - a. # of children with IEPs in assessed grades;
 - b. # of children with IEPs in regular assessment with no accommodations (percent = [(b) divided by (a)] times 100);
 - c. # of children with IEPs in regular assessment with accommodations (percent = [(c) divided by (a)] times 100);
 - d. # of children with IEPs in alternate assessment against grade level achievement standards (percent = [(d) divided by (a)] times 100); and
 - e. # of children with IEPs in alternate assessment against alternate achievement standards (percent = [(e) divided by (a)] times 100).

Account for any children included in a but not included in b, c, d, or e above.

Overall Percent = [(b + c + d + e) divided by (a)].

C. Proficiency rate =

- a. # of children with IEPs in assessed grades;
- b. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with no accommodations (percent = [(b) divided by (a)] times 100);
- c. # of children with IEPs in assessed grades who are proficient or above as measured by the regular assessment with accommodations (percent = [(c) divided by (a)] times 100);
- d. # of children with IEPs in assessed grades who are proficient or above as measured by the alternate assessment against grade level achievement standards (percent = [(d) divided by (a)] times 100); and
- e. # of children with IEPs in assessed grades who are proficient or above as measured against alternate achievement standards (percent = [(e) divided by (a)] times 100).

Account for any children included in a but not included in b, c, d, or e above. Overall Percent = [(b + c + d + e) divided by (a)].

New York State Notes:

- New York State is not using data reported under section 618 in OSEP Table 6 for this indicator because Table 6 data are not consistent with how New York State calculates participation, proficiency and AYP under NCLB. Since school, district and State report cards contain data that are calculated to determine accountability under NCLB, the same data that are used in the State report card are presented in this APR.
- One of the reasons that NYS is not using section 618 data from Table 6 in this APR is that in Table 6 there is no differentiation between the enrollment of students in each grade that is used as the basis for computing the participation rate and the proficiency rate. In NYS, there is a difference. The participation rate is computed based on total enrollment of students in a grade or for high school it is computed based on enrollment of "seniors". However, the proficiency rate is based on the enrollment of "continuously enrolled" students in a grade or at the high school, the number of students in the accountability cohort.
- Another reason that NYS does not use section 618 data is that for measures of proficiency, NYS uses a Performance Index (PI) for each grade and assessment, which consists of the percent of continuously enrolled tested students at "basic proficiency" and above (which is Level 2 and above) plus the percent of such students "at or above proficiency" (which is Levels 3-4). For the 2004-05 school year, NYS had six performance indices (grade 4 ELA, grade 4 math, grade 8 ELA, grade 8 math, high school ELA, and high school math). Beginning with the 2005-06 school year, New York State has four indices (grades 3-8 ELA, grades 3-8 math, high school ELA and high school math).
- NYS is not able to provide data disaggregated for students with disabilities who

- received testing accommodations and those who did not. We expect to be able to report this disaggregation once our Student Information Repository System (SIRS) is fully implemented.
- NYS does not currently administer an "alternate assessment against grade level standards" as described in measurement d. NYS has an alternate assessment against alternate achievement standards that is aligned to grade level standards.

Target:

FFY	Measurable and Rigorous Target
2005 (2005-06)	AYP: 55.9 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 91 Grades 3-8 Math: 100 High School ELA: 114 High School Math: 124

Actual Target Data for FFY 2005:

The participation rate of students with disabilities in 2005-06 school year in Grades 3-8 ELA was 95 percent, in Grades 3-8 math, 96 percent, in high school ELA, 90 percent and in high school math, 91 percent. The State met its target of 95 percent participation rate for students with disabilities in Grades 3-8 ELA and Grades 3-8 math, but not in high school ELA and math.

In 2005-06, the State developed four performance indices (PI). The performance indices represent the percent of students scoring at Levels 3-4 plus the percent of students scoring at Levels 2-4. These four indices replaced the six indices that were used to measure performance on State assessments in Grades 4, 8 and high school ELA and math. The State average performance for the students with disabilities subgroup on these indices was as follows:

Grades 3-8 ELA: 91 Grades 3-8 Math: 100 High School ELA: 114 High School Math: 124 In the 2005-06 school year, 57.6 percent of school districts that were required to make Adequate Yearly Progress (AYP) made AYP in every grade and subject in which they had sufficient number of students with disabilities. The State met its 2005-06 target of 55.9 percent of school districts making AYP.

AYP for Students with Disabilities Subgroup					
Number of School Districts Required to Make AYP (had minimum of 40 students for participation and 30 students students for performance required to.					
2004 (2004-05)	290	48.3%			
2005 (2005-06)	670	57.6%			

AYP for Students with Disabilities Subgroup by Need/Resource Capacity Category of School Districts in 2005-06					
Need/Resource Capacity Category of School Districts	Number of School Districts Required to Make AYP (had minimum of 40 students for participation and 30 students for performance	Percent of School Districts that made AYP in all the Subjects they were Required to			
New York City	33	9.1%			
Large Four Cities	4	0.0%			
Urban-Suburban High Need Districts	45	11.1%			
Rural High Need Districts	137	66.4%			
Average Need Districts	325	60.0%			
Low Need Districts	126	73.0%			

Participation Rate for Students with Disabilities Subgroup						
Assessment	Enrollment in 2004-05 (Seniors in High School)	Participation Rate in 2004- 05 School Year	Enrollment in 2005-06 (Seniors in High School)	Participation Rate in 2005-06 School Year		
Grade 4 ELA	30,922	96%				
Grade 4 Math	30,567	97%				
Grade 8 ELA	35,651	95%				
Grade 8 Math	35,266	95%				
Grade 3-8 ELA			198,410	95%		
Grade 3-8 Math			198,074	96%		
High School ELA	16,686	89.0%	17,321	90%		
High School Math	16,686	90%	17,321	91%		

Performance Index for the Students with Disabilities Subgroup								
	2005-06 Perfo	2005-06 Standard						
Assessment	Continuously Enrolled Students with Disabilities in Grades 3-8 and in 2002 Accountability Cohort in High School (HS)	NYS PI	Effective AMO	Safe- Harbor Target	Met Third Indicator for Safe Harbor	Students with Disabilities Made AYP in 2005-06	2006-07 Safe- Harbor Target	
Grades 3-8 ELA	184,493	91	122	104	Yes	No	102	
Grades 3-8 Math	183,411	100	86	Not Applicable	Not Applicable	Yes	110	
HS Eng. 2002 accountability cohort	19,079	114	154	114	No	No	123	
HS Math 2002 accountability cohort	19,079	124	146	117	No	No	132	

Discussion of Improvement Activities Completed FFY 2005

In order to focus the State's technical assistance efforts and improve performance of students with disabilities in school districts that are the lowest performing school districts for students with disabilities, during the 2006-07 school year, the State notified 107 school districts (or 75 school districts if New York City is counted as a single district) based on their 2004-05 school year data that they were designated as in "need of assistance" or "in need of intervention". The designations were based on graduation rates or drop-out rates of students with disabilities. Six of the 107 school districts did not have sufficient numbers of students with disabilities in the 2001 total cohort to have a valid graduation or drop-out rate, so they were identified based on the performance of students with disabilities on grades 4 and 8 ELA and math assessments.

On October 5, 2006, the Commissioner of Education held a press conference and issued a press release to publicly announce this list of school districts. See press release at http://www.oms.nysed.gov/press/specialed100506.htm and public posting of list of school districts at http://www.vesid.nysed.gov/specialed/swd-100506/swd-list.html.

See explanation under Indicator #1.

The criteria used for designations specifically related to this indicator were as follows:

- 2001 total cohort of at least 30 students with disabilities;
- School districts with at least 30 continuously enrolled students with disabilities whose PI in 2004-05 on two State assessments for students with disabilities was below the State's PI and who did not make adequate yearly progress under NCLB for the students with disabilities subgroup. (School districts with graduation rates above 52% for students with disabilities are not identified, regardless of their performance on State assessments.) The State's PIs in 2004-05 were as follows:
 - Grade 4 ELA: 102
 Grade 4 Math: 141
 Grade 8 ELA: 85
 Grade 8 Math: 82.
- Based on the above criteria, school districts were designated as "in need of assistance", however, school districts were designated as "in need of intervention" if they had PI's below the State's PI in all four areas and did not make AYP in any area for the students with disabilities subgroup.

Small District Criteria:

School districts that did not have at least 30 students with disabilities in the 2001 total cohort or 30 continuously enrolled students with disabilities in the tested grades in 2004-05 school year but had at least 30 continuously enrolled students tested in grade 4 ELA and grade 8 ELA combined, and whose performance on at least two of the State assessments listed below (averaged over three years) was significantly

below the State average in 2004-05 were identified "in need of assistance". Significantly below the State average was defined as:

- o Grade 4 ELA: 53.7% or lower at level 2 or above
- o Grade 4 math: 63.3% or lower at level 2 or above
- o Grade 8 ELA: 53.1% or lower at level 2 or above
- o Grade 8 math: 43.3% or lower at level 2 or above

VESID plans to make the identification of lowest performing school districts for students with disabilities an annual process and will use the best criteria that are consistent with SPP goals and with the NCLB measures.

Explanation of Progress or Slippage that occurred for FFY 2005:

The State met its target of 95 percent participation rate for students with disabilities in Grades 3-8 ELA and Grades 3-8 math, but not in high school ELA and math. In high school ELA, the participation rate improved from 89 percent in 2004-05 to 90 percent in 2005-06 and from 90 percent in math to 91 percent. It is anticipated that the high school participation rate will improve once the State develops appropriate alternative State assessments for some students with disabilities who cannot participate in the regular assessments and for whom the New York State Alternate Assessment is not appropriate.

In 2005-06, the State developed four performance indices (PI). The performance indices represent the percent of students scoring at Levels 3-4 plus the percent of students scoring at Levels 2-4. These four indices replaced the six indices that were used to measure performance on State assessments in Grades 4, 8 and high school ELA and math. The State average performance for the students with disabilities subgroup on these indices was as follows:

Grades 3-8 ELA: 91 Grades 3-8 Math: 100 High School ELA: 114 High School Math: 124

Since the grades 3-8 PI scores were established for the first time in 2005-06 school year, it is not possible to evaluate the State's progress compared to the previous year. However, in high school ELA, the PI score improved from 104 to 114 and high school math PI improved from 108 to 124. The State met its 2005-06 targets since the State's targets were the State average PIs.

In the 2005-06 school year, 57.6 percent of school districts that were required to make Adequate Yearly Progress (AYP) made AYP in every grade and subject in which they had sufficient number of students with disabilities. The State met its 2005-06 target of 55.9 percent of school districts making AYP. There was an increase from 290 school districts in 2004-05 to 670 school districts in 2005-06 in the number required to demonstrate AYP. This increase is due to many more school districts having sufficient enrollment of students with disabilities in Grades 3-8 combined, compared to enrollment in Grades 4 or 8 only.

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY 2005

The targets established for the three measures relating to the participation and performance of students with disabilities on statewide assessments use the same data that are used for accountability as described in the State's approved plan under NCLB.

FFY	Measurable and Rigorous Target
2005 (2005-06)	AYP: 55.9 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 91 Grades 3-8 Math: 100 High School ELA: 114 High School Math: 124
2006 (2006-07)	AYP: 57 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 96 Grades 3-8 Math: 105 High School ELA: 119 High School Math: 129
2007 (2007-08)	AYP: 58 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and

FFY	Measurable and Rigorous Target
	math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 101 Grades 3-8 Math: 110 High School ELA: 124 High School Math: 134
2008 (2008-09)	AYP: 59 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 106 Grades 3-8 Math: 115 High School ELA: 129 High School Math: 139
2009 (2009-10)	AYP: 61 percent of school districts that are required to make AYP for the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 111 Grades 3-8 Math: 120 High School ELA: 134 High School Math: 144
2010	AYP: 65 percent of school districts that are required to make AYP for

FFY	Measurable and Rigorous Target
(2010-11)	the students with disabilities subgroup will make AYP in grades 3-8 ELA, grades 3-8 math, high school ELA and high school math.
	Participation: 95 percent in grades 3-8 and high school in ELA and math.
	Performance: The State's average performance on the performance indices (PI) which represent the percent of students with disabilities performing at Level 2 (basic proficiency) and above plus the percent of students with disabilities performing at Level 3 (proficiency) and above will be as follows: Grades 3-8 ELA: 116 Grades 3-8 Math: 125 High School ELA: 139 High School Math: 149

Revisions to Improvement Activities/Timelines/Resources for FFY 2005:

See Indicator #1.

Overview of the Annual Performance Report Development:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #4: Rates of suspension and expulsion:

- A. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year; and
- B. Percent of districts identified by the State as having a significant discrepancy in the rates of suspensions and expulsions of greater than 10 days in a school year of children with disabilities by race and ethnicity.

(20 U.S.C. 1416(a)(3)(A); 1412(a)(22))

Measurement:

A. Percent = [(# of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year) divided by the (# of districts in the State)] times 100.

Include State's definition of "significant discrepancy."

New York State Notes:

NYS collects data on the number of students with disabilities suspended or removed for more than 10 days in a school year on the PD-8 form.

Section 618 data was used to analyze the discrepancy in the rates of out-of-school suspensions of students with disabilities for greater than 10 days in a school year among school districts. Suspension rates were calculated for all school districts. The rates were computed by dividing the number of students with disabilities suspended out-of-school for more than 10 days during the school year by the December 1 count of school-age students with disabilities and the result expressed as a percent. The 2004-05 baseline statewide average suspension rate was 1.34 percent. School districts with at least 75 school- age students with disabilities that had a suspension rate of 4.0 percent or higher were identified as having significant discrepancy in their rate among school districts. (A minimum number of 75 students with disabilities was used, since small numbers of students with disabilities may distort percentages.)

New York State's Definition of Significant Discrepancy in Suspension Rate:

- For the baseline year and through 2007-08 school year, significant discrepancy is defined as a suspension rate of greater than three times the baseline statewide average (i.e., a rate of 4.0 percent or higher.)
- Beginning in 2008-09 through 2010-11 school years, significant discrepancy is

defined as a suspension rate of greater than two times the baseline statewide average, (i.e., a rate of more than 2.7 percent or higher).

B. Percent = [(# of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions for greater than 10 days in a school year of children with disabilities by race ethnicity) divided by the (# of districts in the State)] times 100.

New York State's Definition of Significant Discrepancy in Suspensions Based on Race/Ethnicity:

NYS will compare the number of students suspended of each race/ethnicity category with the number of students suspended of all other race/ethnicity categories combined and compute relative risk ratios and weighted relative risk ratios to determine if there is discrepancy in rates of suspension. For notifications of school districts during the 2006-07 school years based on 2005-06 school year data, the State will use the following definition of "significant discrepancy" and in subsequent years may revise the definition by lowering the relative risk ratio, weighted relative risk ratio as well as the minimum numbers of suspensions:

- At least 75 students with disabilities enrolled on 12/1/05:
- At least 10 students with disabilities of the particular race/ethnicity were suspended;
- At least 20 students with disabilities of all other race/ethnicities were enrolled; and
- Either:
 - Both the relative risk ratio and weighted relative risk ratio for any minority group was 2.0 or higher; or
 - All students with disabilities suspended were from only one minority group regardless of the size of the relative risk ratio and weighted relative risk ratio.

Data from the 2005-06 school year will be used to identify those districts with discrepancy in their rates of suspension by race/ethnicity. VESID will require a review of selected policies, procedures and practices of each of these identified school districts.

The school districts that were identified as having significant discrepancy in their rates of suspension of minority students with disabilities during the 2005-06 school year, based on 2004-05 school year data are required to correct any reported noncompliance with their policies, practices and procedures within one year from notification of noncompliance.

FFY	Measurable and Rigorous Target for Indicator 4A
FFY 2005 (2005-06)	4A. No more than 2 percent of school districts in the State will suspend students with disabilities for more than 10 days at a rate of 4.0% or higher. (This rate is three times the baseline average Of 1.3%).
	4B. This is a new indicator. Reporting is not due until February 2008.

Actual Target Data for FFY 2005 (Item 4A):

Percent = [(# of districts identified by the State as having significant discrepancies in the rates of suspensions and expulsions of children with disabilities for greater than 10 days in a school year) divided by the (# of districts in the State)] times 100.

State Average Suspension Rates of Students with Disabilities for Greater Than 10 Days in a School Year							
School Year	Number of Students with Disabilities Suspended for More than 10 Days in the School Year	Number of School-Age Students with Disabilities Receiving Special Education Services on December 1	Suspension Rate	Significant Discrepancy in Suspension Rate.	Percent of School Districts with Significant Discrepancy in Suspension Rate.		
2004-05 (baseline data)	5,502	409,791	1.34%	Three times the State baseline average	2.9%		
2005-06	5,294	407,000	1.30%	Three times the State baseline average.	2.5%		

Number of School Districts with their Suspension Rates and Percent of all Suspensions in the 2005-06 School Year					
# of districts in 2005-06 School Year	% of 684 districts	% of students with disabilities suspended for greater than 10 days	Comparison to statewide baseline average	% of total 10-day out-of-school suspensions	
95	13.9%	Not applicable	These districts each had less than 75 students with disabilities enrolled on December 1, 2005	0.7%	
430	62.9%	0% to < 1.3%	Below the baseline Statewide average	36.0%	
101	14.8%	≥ 1.3% < 2.7%	Between baseline and 2 times the baseline statewide average	11.4%	
41	6.0%	≥ 2.7%< 4.0%	Between 2 and 3 times the baseline statewide average	16.9%	
17	2.5%	≥ 4.0%	Three time or more than the baseline statewide average	35.0%	

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that Occurred for FFY 2005:

The average suspension rate of students with disabilities in the 2004-05 school year was 1.34 percent and in 2005-06 it was 1.30 percent. Also, preliminary data analysis of 2005-06 suspension data indicates that the State will identify 17 school districts that had a suspension rate of 4.0 percent of higher compared to 20 school districts that were initially identified based on 2004-05 school year data (two were subsequently removed from identification). While the State did not meet its 2005-06 school year target of having no more than 2.0 percent of school districts identified with a suspension rate of 4.0 percent or higher, there was a decrease from 2.9 percent of school districts identified based on 2004-05 data to 2.5 percent of school districts that will be identified based on 2005-06 data.

During the 2005-06 school year, based on 2004-05 school year data, 18 school districts were notified that they had a suspension rate that was significantly greater than the suspension rate in other school districts. These school districts completed a State-developed self-review monitoring protocol to evaluate their compliance with selected regulatory requirements, policies, practices and procedures related to discipline procedures for students with disabilities. The chart below provides the statewide results for the percent of identified school districts reporting compliance with each regulatory requirement. All 18 school districts reported some noncompliance and will need to revise their policies, practices and procedures and become compliant within one year from notification.

The Statewide results of compliance with regulatory citations provided below were disaggregated by the State's quality assurance regions and other technical assistance network regions so that the regional staff may provide the required technical assistance to school districts based on the regional profile of results on the self-review monitoring protocol.

I	Regulatory Citation 8 NYCRR	Number out of 18 School Districts Reporting Compliance	Percent of 18 School Districts Reporting Compliance
§200.4(b)(1)(v)	Initial evaluations of students with disabilities include a functional behavioral assessment (FBA) for students whose behaviors impede their learning or that of others.	7	38.9%
§200.4(b)(4)	The reevaluation is sufficient to determine the student's individual needs.	14	77.8%
§200.1(r)	FBAs identify the problem behavior, define the behavior in concrete terms, identify contextual factors that contribute to the behavior and formulate a hypothesis regarding the general conditions under which a behavior usually occurs and the probable consequences that serve to maintain it.	13	72.2%
§201.3(a)	FBAs are conducted when students are suspended for behaviors determined to be related to their disabilities.	7	38.9%
§200.4(d)(3)	For students whose behaviors impede their learning or that of others, the IEPs include positive	8	44.4%

	Regulatory Citation 8 NYCRR	Number out of 18 School Districts Reporting Compliance	Percent of 18 School Districts Reporting Compliance
	behavioral interventions and supports and other strategies to address the behaviors.		
§200.3(d)(1)	The general education teacher participated in the CSE meeting to identify appropriate positive behavioral interventions and strategies for the student.	10	55.6%
§201.4(e)	The IEP was revised as a result of any deficiencies noted during a manifestation determination review.	10	55.6%
§201.2(a)	Behavioral intervention plans are based on the results of the FBA and, at a minimum, include a description of the problem behavior, global and specific hypotheses as to why the problem behavior occurs and intervention strategies to address the behavior.	11	61.1%
§201.3(a)	When a student has been removed for more than 10 days and the student's conduct was determined to be a manifestation of the student's disability, the CSE conducted a FBA and implements a behavioral intervention plan for that student.	8	44.4%
§201.3(b)	If the student already has a behavioral intervention plan, the CSE meets to review the plan and its implementation and modifies the plan and its implementation, as necessary, to address the behavior that resulted in the disciplinary change of placement.	8	44.4%
§200.4(e)	Behavioral intervention plans are implemented, monitored and progress documented.	3	16.7%

	Regulatory Citation 8 NYCRR	Number out of 18 School Districts Reporting Compliance	Percent of 18 School Districts Reporting Compliance
§201.4(a)	The manifestation review is conducted immediately, but not later than 10 days after the decision to remove or suspend the student.	7	38.9%
§201.4(b)	A team that includes the student's parent, an individual knowledgeable about the student and the interpretation of behavior and other relevant members of the CSE as determined by the parent and the school district conducts the manifestation review. Parents are notified in writing of the meeting.	13	72.2%
§201.4(c)	All relevant information in the student's file, including the student's IEP, any teacher observations and relevant information provided by the parent is reviewed.	15	83.3%
§201.4(d)(2)	The manifestation determination is made based on whether the conduct was caused by or had a direct and substantial relationship to the student's disability or was a direct result of the school district's failure to implement the IEP.	14	77.8%
§201.4(d) 2)(ii)	If the conduct was determined to be related to the student's disability, the student is returned to the placement from which the student was removed (except drugs, weapons or serious bodily injury removals).	16	88.9%
§201.7(a)	The parent is notified and provided a copy of the procedural safeguards notice within 10 days of the decision to suspend the student for more than 10 days.	13	72.2%

	Regulatory Citation 8 NYCRR	Number out of 18 School Districts Reporting Compliance	Percent of 18 School Districts Reporting Compliance
§201.7(b)	Suspensions of students with disabilities do not exceed the amount of time that a nondisabled student would be subject to suspension for the same behavior.	16	88.9%
§201.7(c)	A manifestation determination has been made prior to the removal of a student with a disability for more than 10 school days. If the behavior is a manifestation of the disability, the penalty phase of a superintendent's hearing is dismissed.	10	55.6%
§201.7(d)	Short-term suspensions are reviewed to determine if they constitute a pattern of removals.	8	44.4%
§201.7(f)	School personnel consider unique circumstances on a case-by-case basis when determining whether to suspend a student with a disability.	14	77.8%
§201.10(b)	Students with disabilities of compulsory school age are provided with alternative instruction for short-term suspensions (10 days or less in the school year).	11	61.1%
§201.10(c) and (d)	During suspensions of more than 10 days in a school year, regardless of the manifestation determination, students with disabilities receive services to enable them to participate in the general curriculum and to continue to progress toward IEP goals.	10	55.6%
§201.10(e)	IAES and the services to be provided to a student are determined by the CSE.	12	66.7%

As shown in the table above, at least one-half of the 18 identified school districts reported being out of compliance with the following eight citations:

- 8 NYCRR §200.4(b)(1)(v) Initial evaluations of students with disabilities include a functional behavioral assessment (FBA) for students whose behaviors impede their learning or that of others.
- 8 NYCRR §201.3(a) FBAs are conducted when students are suspended for behaviors determined to be related to their disabilities.
- 8 NYCRR §200.4(d)(3) For students whose behaviors impede their learning or that of others, the IEPs include positive behavioral interventions and supports and other strategies to address the behaviors.
- 8 NYCRR §201.3(a) When a student has been removed for more than 10 days and the student's conduct was determined to be a manifestation of the student's disability, the CSE conducted a FBA and implements a behavioral intervention plan for that student.
- 8 NYCRR §201.3(b) If the student already has a behavioral intervention plan, the CSE meets to review the plan and its implementation and modifies the plan and its implementation, as necessary, to address the behavior that resulted in the disciplinary change of placement.
- 8 NYCRR §200.4(e) Behavioral intervention plans are implemented, monitored and progress documented.
- 8 NYCRR §201.4(a) The manifestation review is conducted immediately, but not later than 10 days after the decision to remove or suspend the student.
- 8NYCRR §201.7(d) Short-term suspensions are reviewed to determine if they constitute a pattern of removals.

NYS will use the above information in providing assistance to school districts through the State's quality assurance and technical assistance networks. NYS will require documentation of correction of noncompliance from each district identified in 2004-05.

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for 2006-07 and Subsequent Years:

1. Revisions to State Policy

In 2006, NYS revised its State regulations to establish standards for the development of functional behavioral assessments (FBA) and behavioral interventions.

As a result, improvement activities have been added to include developing field guidance on behavioral interventions, including standards for functional behavioral assessments, behavioral intervention plans and emergency interventions.

2. Focusing technical assistance to improve school wide systems of behavioral support

To ensure that interventions with districts are research-based, consistent and effective, VESID is:

 Developing tools and reviewing protocols to evaluate the districts' programs in the area of behavioral supports and services. Work groups representing SETRC, VESID policy and regional staff, institutions of higher education (IHEs), staff experts from Elementary, Middle, Secondary and Continuing Education (EMSC) and other consultants have been working to develop guides and resources to assist a school district to assess and address its practices to address the behaviors of students with disabilities.

- Providing ongoing comprehensive professional development to all SETRC staff in the areas of behavioral supports. In January 2007, SETRC provided a full day professional development workshop on school wide positive behavioral supports and quality indicators for the SETRC network.
- Evaluating the progress and results of VESID's technical assistance work with districts to ensure that the strategies are effective.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #5: Percent of children with IEPs aged 6 through 21:

- A. Removed from regular class less than 21% of the day;²
- B. Removed from regular class greater than 60% of the day; or
- C. Served in public or private separate schools, residential placements, or homebound or hospital placements.

(20 U.S.C. 1416(a)(3)(A))

Measurement:

A. Percent = [(# of children with IEPs removed from regular class less than 21% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.

- B. Percent = [(# of children with IEPs removed from regular class greater than 60% of the day) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.
- C. Percent = [(# of children with IEPs served in public or private separate schools, residential placements, or homebound or hospital placements) divided by the (total # of students aged 6 through 21 with IEPs)] times 100.

² At the time of the release of this package, revised forms for collection of 618 State reported data had not yet been approved. Indicators will be revised as needed to align with language in the 2005-2006 State reported data collections.

FFY	Measurable and Rigorous Target			
2005 (2005-06)	The statewide percent of students with disabilities, ages 6-21, removed from regular class less than 21 percent of the day will be greater than 54 percent.			
	The statewide percent of students with disabilities, ages 6-21, removed from regular class greater than 60 percent of the day will be less than 27.3 percent.			
	The statewide percent of students with disabilities, ages 6-21, served in public or private separate schools, residential placements, or homebound or hospital placements will be less than 7.0 percent.			

Actual Target Data for FFY 2005:

Statewide Trend Data: LRE for School Age Students					
	Number of Students with	Percent of Day Students with Disabilities are Removed from Regular Classes			
	Disabilities, Ages 6-21, on December				Percent of Students with Disabilities in
School Year	1 of the School year	Less than 21%	21% to 60%	Greater than 60%	Separate Settings
1997-98	372,716	43.2%	12.9%	34.8%	9.1%
1998-99	381,342	44.7%	12.9%	33.5%	8.9%
1999-00	384,352	47.6%	13.2%	30.7%	8.5%
2000-01	389,668	49.5%	12.9%	29.8%	7.7%
2001-02	387,014	51.1%	12.9%	28.6%	7.4%
2002-03	386,082	51.8%	13.9%	27.0%	7.4%
2003-04	387,633	53.4%	12.4%	27.0%	7.3%
2004-05 (Baseline Year for APR)	391,595	53.6%	12.0%	27.3%	7.0%
2005-06	389,125	54.5%	13.1%	25.5%	6.9%

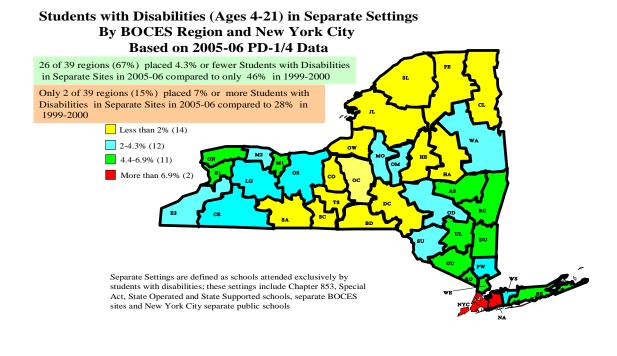


New York State

Big Five Cities' Combined Trend Data: LRE for School Age Students					
	Number of Students Percent of Day Students with Disabilities are Removed from Regular Classes				
School Year	with Disabilities, Ages 6-21, on December 1 of the School year	Less than 21%	21% to 60%	Greater than 60%	Percent of Students with Disabilities in Separate Settings
2002-03	160,410	47.9%	5.4%	38.1%	8.6%
2003-04	161,347	49.5%	2.5%	39.0%	9.0%
2004-05	165,795	49.9%	2.1%	39.3%	8.8%
2005-06	164,462	51.3%	4.8%	35.2%	8.7%

2005-06 LRE Data by Need Resource Capacity Category of School Districts					
	Number of Students with Disabilities,	Percent of Day Students with Disabilities are Removed from Regular Classes			Percent of
Need Resource Capacity	Ages 6-21, on December 1 of the School year	Less than 21%	21% to 60%	Greater than 60%	Students with Disabilities in Separate Settings
New York City	141,627	50.7%	4.1%	36.2%	9.0%
Large 4 Cities	22,835	55.2%	9.2%	29.4%	6.3%
Urban- Suburban High Need School Districts	35,055	48.6%	15.5%	29.3%	6.6%
Rural High Need School Districts	25,544	53.6%	22.9%	21.7%	1.9%
Average Need School Districts	110,738	57.4%	20.8%	17.5%	4.4%
Low Need School Districts	48,515	66.8%	16.9%	11.0%	5.4%

2005-06 LRE Data by Board of Cooperative Educational Services (BOCES) Regions for Separate Settings:



Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that Occurred for FFY 2005:

- The State met its targets in all three settings:
 - The percentage of students with disabilities who are removed from regular classes for less than 21 percent of the day increased from 53.6 percent in 2004-05 school year to 54.5 percent in 2005-06 school year.
 - The percent of students with disabilities who are removed from regular classes for more than 60 percent of the day decreased from 27.3 percent in 2004-05 to 25.5 percent in 2005-06.
 - The percent of students with disabilities who are educated in separate settings decreased from 7.0 percent in 2004-05 to 6.9 percent in 2005-06.
- As shown in the map above, only 2 of the 39 Board of Cooperative Educational Services (BOCES) regions (15%) placed 7% or more students with disabilities in separate settings in 2005-06 compared to 28% in 1999-2000.
- The large five cities' (New York City, Buffalo, Rochester, Syracuse and Yonkers)
 data combined contributed to the State meeting its goals in every LRE setting
 category.

- NYC uses the separate settings placements category to a much greater extent than other categories of school districts.
- The high need school districts tend to use the "removed from regular classrooms for more than 60 percent of the day" setting for significantly greater percentages of students with disabilities compared to the average or low-need school districts.

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timeline / Resources for FFY 2005

None.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #6: Percent of preschool children with IEPs who received special education and related services in settings with typically developing peers (i.e., early childhood settings, home, and part-time early childhood/part-time early childhood special education settings).

(20 U.S.C. 1416(a)(3)(A))

Measurement:

Percent = [(# of preschool children with IEPs who received special education services in settings with typically developing peers) divided by the (total # of preschool children with IEPs)] times 100.

FFY	Measurable and Rigorous Target
2005 (2005-06 School Year)	64 percent of preschool students with disabilities will be served in either natural settings or settings that include nondisabled children.

Actual Target Data for FFY 2005 (As of December 1, 2005):

Statewide Trend Data: LRE for Preschool					
School Year	Number of Preschool Children with Disabilities as of December 1	Percent of Children in Integrated for Natural Settings for Preschool Children			
1997-98	32,530	45.1%			
1998-99	33,051	52.2%			
1999-00	32,753	55.4%			
2000-01	34,492	57.7%			
2001-02	36,144	57.8%			

Statewide Trend Data: LRE for Preschool					
School Year	Number of Preschool Children with Disabilities as of December 1	Percent of Children in Integrated for Natural Settings for Preschool Children			
2002-03	37,009	58.7%			
2003-04	37,936	60.0%			
2004-05 (Baseline Year for APR)	42,495	63.5%			
2005-06	40,422	63.0%			

County Level Trend Data: LRE for Preschool*

	Total Number of Preschool Students as of	Percent in Integrated or Natural Setting			
County	December 1, 2005	12-01-03	12-01-04	12-01-05	
1. Hamilton	2	100.00%	100.00%	100.00%	
2. Cayuga	129	60.48%	80.56%	96.12%	
3. Otsego	76	96.39%	94.81%	96.05%	
4. Jefferson	158	97.69%	88.05%	95.57%	
5. Schoharie	75	83.93%	91.23%	94.67%	
6. Schuyler	18	86.67%	80.95%	94.44%	
7. Wyoming	59	72.06%	83.82%	93.22%	

County Level Trend Data: LRE for Preschool*

	Total Number of Preschool Students as of	Percent in Integrated or Natural Setting			
County	December 1, 2005	12-01-03	12-01-04	12-01-05	
8. Lewis	68	95.08%	88.89%	92.65%	
9. Seneca	58	96.00%	94.20%	89.66%	
10. Delaware	55	90.20%	76.92%	89.09%	
11. Essex	64	87.50%	85.71%	89.06%	
12. Broome	422	92.75%	91.60%	88.86%	
13. Onondaga	1336	88.15%	88.95%	88.40%	
14. Chautauqua	207	87.19%	90.78%	87.44%	
15. Albany	562	86.05%	94.38%	87.37%	
16. Cortland	112	95.65%	96.30%	86.61%	
17. Clinton	239	88.07%	93.48%	85.77%	
18. Fulton	91	84.06%	89.87%	84.62%	
19. Schenectady	344	85.37%	83.08%	84.30%	
20. Wayne	314	76.29%	74.11%	83.44%	
21. Montgomery	95	81.01%	87.88%	83.16%	

County Level Trend Data: LRE for Preschool*

to lowest)						
	Total Number of Preschool Students as of	Percent in Integrated or Natural Setting				
County	December 1, 2005	12-01-03	12-01-04	12-01-05		
22. Monroe	1465	87.61%	85.19%	82.59%		
23. Orleans	120	71.07%	74.63%	82.50%		
24. Tompkins	197	98.48%	89.62%	82.23%		
25. Niagara	527	78.47%	80.46%	81.97%		
26. Allegany	78	75.25%	78.26%	80.77%		
27. Chenango	78	81.94%	76.00%	78.21%		
28. Herkimer	73	75.71%	75.0%	78.08%		
29. Chemung	146	78.91%	72.73%	76.03%		
30. Columbia	131	80.0%	79.85%	75.57%		
31. Livingston	164	75.63%	78.77%	74.39%		
32. Oneida	378	67.56%	74.25%	74.07%		
33. Tioga	100	77.78%	75.26%	74.0%		
34. Sullivan	129	60.58%	79.34%	73.64%		
35. Erie	2395	70.79%	74.47%	73.40%		

County Level Trend Data: LRE for Preschool*

	Total Number of Preschool Students as of	Percent in Integrated or Natural Setting			
County	December 1, 2005	12-01-03	12-01-04	12-01-05	
36. Washington	115	74.44%	63.27%	71.30%	
37. Ontario	260	56.03%	69.65%	71.15%	
38. Rockland	1054	68.10%	67.78%	69.45%	
39. Westchester	2477	69.59%	71.85%	69.44%	
40. St Lawrence	114	77.23%	82.52%	69.30%	
41. Madison	119	92.31%	75.61%	68.07%	
42. Dutchess	743	68.05%	69.70%	67.43%	
43. Rensselaer	413	74.80%	69.25%	67.31%	
44. Putnam	243	57.94%	66.03%	66.67%	
45. Steuben	198	66.83%	63.84%	64.65%	
46. Genesee	164	48.33%	57.99%	62.80%	
47. Oswego	301	64.65%	64.22%	62.79%	
48. Franklin	93	69.70%	78.18%	62.37%	
49. Ulster	346	64.86%	69.21%	60.98%	

County Level Trend Data: LRE for Preschool*

Total Number of Preschool Students as of		Percent in Integrated or Natural Setting			
County	December 1, 2005	12-01-03	12-01-04	12-01-05	
50. Cattaraugus	225	61.71%	61.43%	60.44%	
51. Saratoga	491	59.68%	60.97%	58.25%	
52. Suffolk	4116	61.06%	57.96%	58.24%	
53. Nassau	3579	51.60%	54.74%	55.77%	
54. Greene	91	72.63%	79.12%	53.85%	
55. Orange	901	54.51%	54.02%	52.50%	
56. NYC Public	13730	41.50%	52.37%	50.56%	
57. Warren	138	49.66%	50.68%	48.55%	
58. Yates	46	55.32%	57.14%	47.83%	

^{*} These data represent the county in which the administrative address of the district is located, not the county in which children reside or receive programs/services.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2005:

NYS has trend data for this indicator for many years and as indicated above, the data showed that the percentage of preschool children provided special education services in integrated or natural settings was increasing annually and reached 63.5 percent in 2004-05. In 2005-06, there was a slight decline in the percentage to 63.0 percent. The State did not meet its 2005-06 target of increasing the percentage to 64 percent. An analysis of data at the county level indicates the declines occurred in the following 32 out of a total of 58 counties in NYS (NYC is counted as a single county, even though it is made up of five boroughs):

Seneca, Broome, Onondaga, Chautauqua, Albany, Cortland, Clinton, Fulton, Montgomery, Monroe, Tompkins, Columbia, Livingston, Oneida, Tioga, Sullivan, Erie, Westchester, St. Lawrence, Madison, Dutchess, Rensselaer, Oswego, Franklin, Ulster, Cattaraugus, Saratoga, Greene, Orange, New York City, Warren, and Yates.

We believe based on national trends and reports from school districts and parents, that the downward trend may be a byproduct of the increasing number of children diagnosed with autism who are being recommended for intensive programming in separate settings in the early years.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2005:

Analysis of Data

The State will use the above data provided above by county to review the need for more integrated program options in these counties.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #7: Percent of preschool children with IEPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416 (a)(3)(A))

Measurement:

A. Positive social-emotional skills (including social relationships):

- a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by the (# of preschool children with IEPs assessed)] times 100.
- b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of preschool children with IEPs assessed)] times 100.
- d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication and early literacy):

a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by the (# of preschool children with IEPs assessed)] times 100.

- b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of preschool children with IEPs assessed)] times 100.
- d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

C. Use of appropriate behaviors to meet their needs:

- a. Percent of preschool children who did not improve functioning = [(# of preschool children who did not improve functioning) divided by the (# of preschool children with IEPs assessed)] times 100.
- b. Percent of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of preschool children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- c. Percent of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of preschool children who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of preschool children with IEPs assessed)] times 100.
- d. Percent of preschool children who improved functioning to reach a level comparable to same-aged peers = [(# of preschool children who improved functioning to reach a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.
- e. Percent of preschool children who maintained functioning at a level comparable to same-aged peers = [(# of preschool children who maintained functioning at a level comparable to same-aged peers) divided by the (# of preschool children with IEPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

FFY	Measurable and Rigorous Target	
2005 (2005-06)	This is a new indicator. Report is not due until February 2008.	

This is a new indicator. The following will be reported in the 2008 APR:

Actual Target Data for FFY:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that Occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: FAPE in the LRE

Indicator #8: Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.

(20 U.S.C. 1416(a)(3)(A))

Measurement:

Percent = [(# of respondent parents who report schools facilitated parent involvement as a means of improving services and results for children with disabilities) divided by the (total # of respondent parents of children with disabilities)] times 100.

New York State's calculation:

NYS' parent survey contains 25 questions. All surveys returned with at least 15 of the 25 questions answered are the denominator for the calculation. The numerator is the number of surveys with an overall positive parental involvement rating. These are surveys in which parents indicated that they "agree", "strongly agree" or "very strongly agree" with at least 51% of the questions.

NYS' Statewide calculation will use a weighted average to control for the required minimum sample size response from every school district. This is necessary because many school districts received a response that was well above the minimum sample size required and in other school districts; the minimum response required was not achieved. In order to give each school district's positive response rate a proportional weight relative to their sample size in the State's average, the percent of positive responses was weighted by the sample size of each school district. For example in one school district, with a minimum sample size was 53, 30 surveys were returned with at least 15 questions answered with 18 of the 30 questions answered positively. This district's weighting in the State's average is 18/30*53 or 31.8 surveys with positive parental response. As another example, in another school district with minimum sample size was 87, 172 surveys were returned with at least 15 questions answered with 148 of the 172 questions answered positively. This district's weighting in the State's average is 148/172*87 or 74.8 surveys with positive parental response. The weighting helps to achieve an equal contribution from every school district of their positive parental response rate.

Note: When NYS reports school district data on this indicator as part of the public reporting requirement, weightings will not be used. A school district's actual data will be displayed.

FFY	Measurable and Rigorous Target
2005 (2005-06)	This is a new indicator. Reporting is not due until February 2008.

This is a new indicator. The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Disproportionality

Indicator #9: Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

Measurement:

Percent = # of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification divided by # of districts in the State times 100.

Include State's definition of "disproportionate representation."

Describe how the State determined that disproportionate representation of racial and ethnic groups in special education and related services was the result of inappropriate identification, e.g., monitoring data, review of policies, practices and procedures under 618(d), etc.

NYS Measurement:

NYS will compare the percent of total enrollment of each race/ethnic group in special education with the percent of total enrollment of all other race/ethnic groups in special education combined. For notifications of school districts during the 2005-06 school year based on 2004-05 school year data, the State will use the following **definition of** "disproportionate representation" and in subsequent years may revise the definition by lowering the relative risk ratio, weighted relative risk ratio as well as the minimum numbers of students:

- At least 75 students with disabilities enrolled on 12/1/04;
- A minimum of 30 students (disabled and nondisabled) of particular race/ethnicity enrolled on first Wednesday in October 2004;
- At least 75 students (disabled and nondisabled) of all other race/ethnicities enrolled on first Wednesday in October 2004;
- At least 10 students with disabilities of particular race/ethnicity enrolled in district on 12/1/04; and
- Either:
 - Both the relative risk ratio and weighted relative risk ratio for any minority group is 2.5 or higher; or

All students with disabilities in special education are of only one minority group regardless of the size of the relative risk ratio and weighted relative risk ratio.

FFY	Measurable and Rigorous Target
2005 (2005-06)	The percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification will be 0.

The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed \underline{and} Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Disproportionality

Indicator #10A: Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that are the result of inappropriate identification.

Indicator #10B: Percent of districts with disproportionate representation of racial and ethnic groups in particular settings that are the result of inappropriate identification.

(20 U.S.C. 1416(a)(3)(C))

Measurement:

Percent = # of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification divided by # of districts in the State times 100.

Include State's definition of "disproportionate representation."

Describe how the State determined that disproportionate representation of racial and ethnic groups in specific disability categories was the result of inappropriate identification, e.g., monitoring data, review of policies, practices and procedures under 618(d), etc.

NYS Measurement:

NYS will compare the percent of total enrollment of each race/ethnic group that is identified by particular disabilities or percent of each race/ethnic group of students with disabilities that is in particular special education placement categories compared to other race/ethnic groups combined. For notifications of school districts during the 2005-06 school year based on 2004-05 school year data, the State will use the following **definition of "disproportionate representation"** and in subsequent years may revise the definition by lowering the relative risk ratio, weighted relative risk ratio as well as the minimum numbers of students:

- At least 75 students with disabilities enrolled on 12/1/04;
- A minimum of 30 students (disabled and nondisabled) of particular race/ethnicity enrolled on first Wednesday in October 2004;
- At least 75 students (disabled and nondisabled) of all other race/ethnicities enrolled on first Wednesday in October 2004;
- At least 10 students with disabilities of particular race/ethnicity and disability (or placement in particular setting) enrolled in district on 12/1/04; and
- Either:

- o Both the relative risk ratio and weighted relative risk ratio for any minority group is 4.0 or higher (2.5 or higher for placement in particular setting); or
- All students with disabilities in a specific disability category (or placement in a particular setting) are of only one minority group regardless of the size of the relative risk ratio and weighted relative risk ratio.

The State will evaluate disproportionality in the identification of students by the following particular disabilities: learning disability; emotional disturbance; mental retardation, speech and language impairment; autism; and other health impairment.

The State will also evaluate disproportionality in the following special education placement categories: removed from regular classes for less than 20 percent of the school day; removed from regular classes for more than 60 percent of the day; and all separate settings combined.

FFY	Measurable and Rigorous Target
2005 (2005-06)	The percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories or placements that is the result of inappropriate policies, procedures and/or practices will be 0.

The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / Child Find

Indicator #11: Percent of children with parental consent to evaluate, who were evaluated within 60 days (or State established timeline).

(20 U.S.C. 1416(a)(3)(B))

Measurement:

- a. # of children for whom parental consent to evaluate was received.
- b. # determined not eligible whose evaluations were completed within 60 days (or State established timeline*).
- c. # determined eligible whose evaluations were completed within 60 days (or State established timeline*).

Account for children included in a but not included in b or c. Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.

Percent = [(b + c) divided by (a)] times 100.

*NYS' established timeline to complete the initial evaluation and eligibility determinations is 30 school days for preschool students and 60 calendar days for school age students.

NYS will compute its baseline data by adding "d. # of students whose evaluations were completed outside the required time line but for reasons that are "in compliance" with State requirements. These students will be added to the numerator, so the formula will be [(b+c+d) divided by (a)] times 100.

Target:

FFY	Measurable and Rigorous Target
2005 (2005-06)	100 Percent of children with parental consent to evaluate will be evaluated within State required timelines.

This is a new indicator. The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed \underline{and} Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator #12: Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

- a. # of children who have been served in Part C and referred to Part B for eligibility determination.
- b. # of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthdays.
- c. # of those found eligible who have an IEP developed and implemented by their third birthdays.
- d. # of children for whom parent refusal to provide consent caused delays in evaluation or initial services.

Account for children included in a but not included in b, c or d. Indicate the range of days beyond the third birthday when eligibility was determined and the IEP developed and the reasons for the delays.

Percent = [(c) divided by (a - b - d)] times 100.

NYS will use the above formula except it will add "e" to the equation as follows:

e. # of children whose IEPs were not implemented by their third birthdays but for reasons that are "in compliance" with State regulations.

NYS Baseline Data = [(c) divided by (a-b-d-e)] times 100

NYS will compute its baseline data by including the following elements:

- a. # of children referred by Part C prior to age 3, who are found eligible for Part B.
- b. # of children whose IEPs were implemented by their third birthdays
- c. # of children whose IEPs were not implemented by their third birthdays but for reasons that are "in compliance" with State regulations.

Baseline Data = [(b+c) divided by (a)] times 100

FFY	Measurable and Rigorous Target
2005 (2005-06)	100% of children referred by Part C prior to age 3, who are found eligible for Part B, will have an IEP developed and implemented by their third birthday or in compliance with State requirements.

Actual Baseline Data for FFY 2005:

NYS' baseline data for this indicator is that in the 2005-06 school year, 86.5 percent of children who were referred from Part C to Part B for eligibility determination and services had their eligibility determination made and IEP implemented by their third birthdays. This percentage includes children whose delays in eligibility determination or IEP implementation were for reasons that are in compliance with State requirements.

In the 2005-06 school year, 117 school districts that are representative of the State provided data to the State on the numbers of children who were receiving Early Intervention (EI) services for whom parents provided consent to evaluate for determination of eligibility for preschool special education programs or services under Part B of IDEA. Data were collected on the numbers of children found eligible and numbers of children found not eligible prior to their third birthday and on the numbers of IEPs developed and implemented prior to their third birthday. Data were also collected on the number of days past the child's third birthday when the IEP was implemented and the reasons for the delays. Of the 117 school districts reporting data for this indicator, 116 provided information on all eligible children and 1 provided information on a sample of students.

The table below provides NYS' baseline data calculation for the 2005-06 school year.

	Α	В	С	D	Е	F
Region	# of children who have been served in Part C and referred to Part B for eligibility determination	# of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthday	# of those found eligible who have an IEP developed and implemented by their third birthday	# of children for whom parent refusal to provide consent caused delays in eligibility determination or initial services	# of children for whom delays in determination of eligibility or delays in implementing the IEP were caused by reasons that are "in compliance" with State requirements	Baseline Data Calculation [(C) /(A-B-D-E)]*100
Central	296	20	48	19	176	59.3%
Eastern	158	4	55	3	94	96.5%
Hudson Valley	214	11	109	5	79	91.6%
Long Island	321	11	121	4	177	93.8%
New York City	1,825	47	165	659	921	83.3%
Western	282	20	116	13	123	92.1%
Total State	3,096	113	614	703	1,570	86.5%

Column E in the table above includes the following other reasons determined to be "in compliance" with State requirements for implementing the IEP past the child's third birthday for children included in Column A above:

- Parents chose to continue their children in EI and transition to preschool after the child became three years of age. (1172 children)
- Parents chose not to enroll child in recommended program. (This is the same as parents did not provide consent for services.) (84 children)
- Child moved from district prior to determination of eligibility or prior to IEP implementation by age 3. (16 children)
- Parents refused or repeatedly did not make the child available for the evaluation.
 (158 children)
- Parents canceled the scheduled evaluation and/or selected another site or approved evaluator.(21 children)
- Children were referred to CPSE less than 30 days before their third birthday. (113 children).
- Child transferred to a new district after the evaluation period began and parents and new district agreed to an extended time period. (2 children)
- Eligibility determined within timelines but services to start opening of school which is past child's third birthday. (4 children)

Some of the reasons provided by school districts for implementing the child's IEP past the third birthday determined to be "out of compliance" with State requirements were as follows:

- Evaluator was not available or evaluator caused delays
- CPSE did not meet to determine eligibility in a timely manner
- Additional evaluations were needed than originally scheduled
- Scheduling difficulties
- Recommended Part B programs and/or services were not available when the child turned three years of age.
- Still awaiting evaluations as of reporting date

Number of Days Past the Third Birthday When IEPs were Implemented - Some of these children had delays for reasons that are "in compliance" with State requirements and some are for reasons that are considered to be "out of compliance" with State requirements. Data were not collected in such a way as to be able to distinguish between the two types of delays:

	1 to 10	11 to 20	21-30	
Region	Days	Days	Days	More than 30 Days
Central	32	13	14	118
Eastern	10	10	7	61
Hudson Valley	15	8	4	58
Long Island	17	11	12	135
New York City	39	38	40	642
Western	6	10	11	101
Total State	119	90	88	1,115

Days of Delay in Implementing IEPs of Children Eligible for Preschool Special Education who are Transitioning from Part C to Part B by SEQA Region

Education who are transitioning from that of to that biby of QA Region					
Region	1 to 10 Days	11 to 20 Days	21-30 Days	More than 30 Days	
Central	18.1%	7.3%	7.9%	66.7%	
Eastern	11.4%	11.4%	8.0%	69.3%	
Hudson Valley	17.6%	9.4%	4.7%	68.2%	
Long Island	9.7%	6.3%	6.9%	77.1%	
New York City	5.1%	5.0%	5.3%	84.6%	
Western	4.7%	7.8%	8.6%	78.9%	
Total State	8.4%	6.4%	6.2%	79.0%	

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2005-06:

All school districts that reported having less than 100% of children whose eligibility
was not determined or whose IEPs were not implemented by their third birthday
according by NYS' formula for baseline calculation for this indicator will be required

to take actions to improve their compliance rates and report improvement to the State.

- NYS will modify its data collection instrument for the 2006-07 school year such that
 we will be able to compute a compliance rate based on all students referred from EI
 to preschool more precisely.
- NYS is working towards being able to collect these data at the student level in such a way as to determine the student specific reasons for delays in eligibility determinations and IEP implementation.
- School districts reported large numbers of children whose parents opted to continue receiving services in EI until after the child turned three years of age.
- Based on NYS' baseline calculation, the Central SEQA region had the lowest percentage of children who had timely determinations of eligibility and IEPs implemented by children's third birthdays (59.3%). The Eastern region had the largest such percentage (96.5%).
- NYC reported the greatest percentage of children who experienced the longest delays (more than 30 days) in receiving services (84.6%) compared to other regions.
- School districts reported that most of the delays in implementing IEPs were for reasons that are in compliance with State requirements.

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY

The proposed target was revised to clarify that 100 percent of children referred by Part C prior to age 3, who are found eligible for Part B, will have an IEP developed and implemented by their third birthday or in compliance with State requirements. This is necessary to account for reasons why a child's IEP is not implemented by their third birthday that are legitimate reasons in compliance with State and federal requirements. In particular, NYS allows a parent to retain their child in EI for a limited time after the child's third birthday, but requires that the child's eligibility for Part B services be first established.

The following improvement activities were completed:

- A joint Department of Health and State Education Department guidance document: Transition of Children at Age Three from the New York State Department of Health Early Intervention Program to the State Education Department Preschool Special Education Program or Other Early Childhood Services was developed and is available at:
 - http://www.vesid.nysed.gov/specialed/publications/preschool/transitionguide/cover.html

- A video/training program on transition from EI to preschool special education was developed and disseminated to Early Childhood Direction Centers and EI and preschool providers.
- The procedures to allow a temporary increase in approved special class sizes in those extenuating circumstances when adding a student to a special class is necessary to ensure that the student receives a free appropriate public education were revised in December 2005 to streamline the process. See Child-Specific Allowance to Temporarily Exceed an Approved Special Class Size for Preschool Students with Disabilities were revised in December 2005 http://www.vesid.nysed.gov/specialed/publications/preschool/childspecific1205.htm

The following improvement activity was added:

 To address the timely provision of preschool services to children transitioning from El to preschool services, NYS will propose a regulatory amendment to address the role of the school district in evaluating a preschool child with a disability and providing services in a timely manner.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator #13: Percent of youth aged 15 and above with an IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable the student to meet the post-secondary goals.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(# of youth with disabilities aged 15 and above with an IEP that includes coordinated, measurable, annual IEP goals and transition services that will reasonably enable the student to meet the post-secondary goals) divided by the (# of youth with an IEP age 15 and above)] times 100.

FFY	Measurable and Rigorous Target
2005 (2005-06)	This is a new indicator. Report is not due until February 2008.

This is a new indicator. The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / Effective Transition

Indicator #14: Percent of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(# of youth who had IEPs, are no longer in secondary school and who have been competitively employed, enrolled in some type of postsecondary school, or both, within one year of leaving high school) divided by the (# of youth assessed who had IEPs and are no longer in secondary school)] times 100.

FFY	Measurable and Rigorous Target	
2005 (2005-06)	This is a new indicator. Report is due in February 2008.	

This is a new indicator. The following are not due to be reported until the February 2008 Annual Performance Report.

Actual Target Data for FFY:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY:

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines / Resources for FFY:

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #15: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B))

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and enforcement actions that the State has taken.

FFY	Measurable and Rigorous Target
2005 (2005-06)	100% of noncompliance issues identified through the State's general supervision system (including monitoring, complaints, hearings, etc.) will be corrected within one year from identification.

Actual Target Data for FFY 2005:

The State's percent of issues of noncompliance identified that were corrected within one year of the report being issued, based on the revised measurement standard, is **83.71% percent.**

b. # of corrections
completed within
one year from
identification

	50=4(1) 11 11 11 14 14 16 (1)	20-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
Total	1136	951
nts		
plai		
com		
day		
	332	100
60	532	468
s		
iew		
Rev		
SEQA	604	483
0504	e	100
	anc	

Percent = [951(b)] divided by 1136 (a)] = .8371 times 100 = 83.71 %

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for FFY 2005:

The 2005-06 data reflected progress from the 2004-05 data.

The percent of issues of noncompliance brought into compliance within 12 months of identification increased from 81.20 percent in 2005 to 83.71 percent in 2006. Factors contributing to this improvement include the increased attention to timeliness, regular reports highlighting the timeliness issue, timely processing of State complaints resulting in prompt attention to the identified non compliant issues.

For any district/agency represented in the SPP baseline data as not having achieved full compliance within 12 months, and as of September 1, 2006 still remaining in noncompliance, the assigned SEQA staff member has provided intensive intervention and a hierarchy of enforcement procedures have been implemented on a case-by-case basis, as outlined in the SPP. Those steps included written communication with district/agency administrators, Boards of Education and BOCES District Superintendents. In some cases, IDEA funds have been redirected to address areas of noncompliance. In addition, technical assistance network resources have been directed to assist those districts in correcting remaining instances of noncompliance where appropriate.

The following activities were completed:

- Regional and statewide reports regarding timeliness were generated regularly.
- The Nondistrict Unit was operationalized. More than 80 percent of all residential in-State and out-of-State programs (over 80 programs) have had a formal on-site review with a final report since July 2005.
- The monitoring processes and protocols have been realigned to support meeting the SPP targets, utilizing the new IDEA Effective Instructional Practices Focused Review protocol as well as directed technical assistance.
- SED identified 75 school districts as either in need of intervention or in need of technical assistance for 2006-07 (see indicators 1-3).

• Criteria were developed for SPP determinations as well as procedures for initiating actions consistent with IDEA and federal regulations.

Revisions, with Justification, to Proposed Targets

No changes

Revisions, <u>with Justification</u>, Improvement Activities / Timelines / Resources for FFY 2005

The following activities were changed or amended:

- The Comprehensive Special Education Information System (CSEIS) was scheduled to be implemented in 2005. It was delayed until January of 2007. The anticipated benefits of the system including timely reminders of the upcoming due dates, letter generation and immediately retrievable reports have not yet been fully realized.
- The training for SEQA staff relative to CSEIS and the strategies to improve timely resolution of instances of noncompliance was initiated in January 2007.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #16: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(1.1(b) + 1.1(c))] divided by 1.1 times 100.

FFY	Measurable and Rigorous Target
2005 (2005-06)	100 percent of signed written complaints will be resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

Actual Target Data for FFY 2005:

The percentage of signed written complaints resolved within the 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint was **95.34 percent.**

(1) Signed, written complaints total	326
(1.1) Complaints with reports issued	236
(a) Reports with findings	234
(b) Reports within timeline	218
(c) Reports within extended timelines	7
(1.2) Complaints withdrawn or dismissed	89
(1.3) Complaints pending	1
(a) Complaint pending a due process hearing	0

Percent = 218 [1.1(b)] + 7[1.1(c)] = 225 divided by 236 [1.1] times 100 = 95.34%.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for 2005:

The 2005-06 data reflected improvement from the 2004-05 baseline data.

The following activities were completed:

- Regional and statewide reports regarding timeliness were generated regularly.
- The Non District Unit was operationalized; this reassignment of duties provided relief to staff who handle a majority of the complaint contributing to more timely completion.

The percent of written signed complaints fully processed with the 60 day timeline or approved extension increased from 94.8 percent in 2005 to 95.34 percent in 2006. Factors contributing to this improvement include the increased attention to timeliness and regular reports highlighting the timeliness issue.

Revisions, with Justification, to Proposed Targets

No Changes

Revisions, <u>with Justification</u>, Improvement Activities/ Timelines/ Resources for FFY 2005

The following activities were changed or amended:

- CSEIS was scheduled to be implemented in 2005. It was delayed until January of 2007. The anticipated benefits of the system including timely reminders of the upcoming due dates, letter generation and immediately retrievable reports have not yet been fully realized.
- The training for SEQA staff for relative to CSEIS and the strategies to improve timely complaint investigations was initiated in January 2007.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #17: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the 45-day timeline or a timeline that is properly extended by the hearing officer at the request of either party.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(3.2(a) + 3.2(b))] divided by 3.2 times 100.

FFY	Measurable and Rigorous Target
2005 (2005-06 School Year)	100 percent of impartial hearing decisions will be rendered within regulatory timelines.

Actual Target Data for FFY 2005:

The percent of due process hearing requests that were fully adjudicated within the 45-day timeline or a timeline that was properly extended by the hearing officer at the request of either party was 83.39 percent.

(3) Hearing requests total	5415
(3.1) Resolution meetings	959
(a) Settlement agreements	170
(3.2) Hearings (fully adjudicated)	1054
(a) Decisions within timeline	233
(b) Decisions within extended timeline	646
(3.3) Resolved without a hearing	4177

Percent = 233 [3.2(a)] + 646 [3.2(b)] divided by 1054 [3.2] = 83.39 times 100 = 83.39%.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that Occurred for FFY 2005:

The percentage of timely hearings decreased 0.1 percentage point from 83.5 percent in 2004 to 83.4 percent in 2005. The minor slippage is attributed to NYS increased monitoring of the time lines for hearings. In April of 2006 NYS implemented the revision to the Impartial Hearing Reporting System (IHRS) to collect resolution session information and to begin monitoring the July 2004 NYS regulatory change which limited the length of extensions to a maximum of 30 days. This change in monitoring protocols is expected to have a significant effect on the timeliness of hearings. During this year the impartial hearing officer's (IHO) practice of granting extensions from hearing date to hearing date is regularly questioned and is increasing the awareness of the compliance date for the hearing.

The following activities were completed:

- In March of 2005, IHOs received performance summaries. The performance summaries were structured to provide information regarding the number of extensions granted for greater than 30 days to prepare the IHOs for the increased monitoring in April. The IHOs will receive performance summaries annually and the summaries will highlight the individual's progress or slippage in terms of timeliness.
- NYS completed the development of an electronic file transfer process between the New York City Department of Education Impartial Hearing System and the State's IHRS. This process makes it possible to have New York City impartial hearing data within twelve hours of data entry instead of the 1-14 day lag that existed prior to the transfer process.

Revisions, with Justification, to Proposed Targets

No changes.

Revisions, <u>with Justification</u> to Improvement Activities/ Timelines /Resources for FFY 2005

No changes.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #18: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.

(20 U.S.C. 1416(a)(3(B))

Measurement:

Percent = (3.1(a)) divided by (3.1) times (3.1) times (3.1)

FFY	Measurable and Rigorous Target	
2005 (2005-06)	This is a new indicator. Targets for 2006 -10 are established in the SPP.	

Actual Data for 2005: This is a new indicator.

The following will be reported in the 2008 APR:

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that Occurred:

Revisions, with Justification, to Proposed Targets

Revisions, with Justification to Improvement Activities/ Timelines /Resources

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #19: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

Percent = [(2.1(a)(i) + 2.1(b)(i))] divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2005 (2005-06)	95 percent of mediations held will result in mediation agreements.

Actual Target Data for 2005:

The percent of mediation sessions held in 2004-05 that resulted in mediation agreements to resolve the dispute was **94.98 percent**.

(2) Mediation requests total	446
(2.1) Mediations [held]	339
(a) Mediations [held] related to due process	27
(i) Mediation agreements	21
(b) Mediations [held] not related to due process	312
(i) Mediation agreements	301
(2.2) Mediations not held (including pending)	107

Percent = 21[(2.1(a)(i)] + 301[2.1(b)(i)] = 322 divided by 339[2.1] = .9498 times 100 = 94.98%.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for 2005:

The percent of mediations held that resulted in mediation agreements decreased from 95.50 percent in 2005 to 94.98 percent in 2006. The .52 percentage point decrease was significantly less than the 2.8 percentage point decrease between 2004 and 2005 and the .86 percent decrease between 2003 and 2004. This minor slippage could also have been the result of the percentage of agreements reached through resolution sessions that might have otherwise been resolved through mediation.

The following activities were completed:

The revised training manual was drafted.

Revisions, with Justification, to Proposed Targets

The targets were revised significantly to reflect the changes in the baseline data. The original targets were based on a calculation using the number of mediations requested not the number of mediations held as the denominator. This resulted in a significant increase in the percent of mediations resulting in agreement. For example the 2004-05 percent increased from 71 percent to 95.5 percent.

FFY	Measurable and Rigorous Target
2005 (2005-06)	95 percent of mediations held will result in mediation agreements.

Revisions, <u>with Justification</u>, Improvement Activities/ Timelines/ Resources for FFY 2005

The following activities were changed or amended in the revised SPP submitted in February 2007:

 Pending final approval of the mediators' training manual it is projected that NYSDRA will schedule statewide update training during 2007.

See Overview of the Development of the Annual Performance Report on page 1.

Monitoring Priority: Effective General Supervision Part B / General Supervision

Indicator #20: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B))

Measurement:

State reported data, including 618 data and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity; placement; November 1 for exiting, discipline, personnel; and February 1 for Annual Performance Reports); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).

FFY	Measurable and Rigorous Target
2005 (2005-06)	100 percent of State reported data, including 618 data and annual performance reports, are submitted on or before due dates and are accurate.

Actual Target Data for FFY 2005:

Type of Data	Due Date	Submitted
Child Count, including race and ethnicity, and LRE as of December 1, 2005	February 1, 2006	February 1, 2006 Revision submitted in April 2006 Final submitted in July 2006

Type of Data	Due Date	Submitted
State Assessment Data for the 2004-05 School Year Data	February 1, 2006	February 1, 2006
Exiting data for the 2005-06 school year	November 1, 2006	November 1, 2006
Discipline data for the 2005-06 school year	November 1, 2006	November 1, 2006
Personnel data on or about December 1, 2005	November 1, 2006	November 1, 2006
SPP with 2004-05 school year data (including due process data)	December 1, 2005	December 1, 2005 Revisions to Indicator #16 data submitted in March 2006 and February 2007 Revisions to Indicator #19 data submitted in December 2006 and in February 2007.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for 2005-06 School Year:

• The increased demands and complexity of federal IDEA and NCLB data collection and reporting required in 2005-06 has had a significant effect on the ability of staff to meet timelines and data demands. As a result some of the planned activities to conduct reasonability checks, complete verification procedures and to provide technical assistance were not completed. Plans were developed during the year to secure additional staffing and to continue to train existing staff.

As reported in the summary table above:

- All required federal reports were submitted by their due dates.
- One report required revision in April 2006 due to a data compiling error.
- Several changes were made to data for Indicators #16 and #19.
- NYS relies on a final report submission date of July for its December 1 child count and LRE data and previous school year's data on exiting, discipline and personnel. NYS collects data through a web-based aggregate data reporting system that includes all edit checks for internal data consistency, however additional time is required to complete all reasonability checks and verification procedures. Beginning in the 2007-08 school year, SED anticipates collecting special education data through an individual student record system called Student Information Repository

System (SIRS). It is anticipated that this system will have data validation rules and verification reports to ensure data accuracy and final data should become available for the State's use earlier than with the aggregate data reporting system. See the current SIRS system manual for description of the reporting system and examples of verification reports at http://www.emsc.nysed.gov/irts/SIRS/documentation/UserManual.doc

Some activities completed or enhancements made to the systems that collect section 618 data during the 2005-06 school year were as follows:

- All the required data to complete the 2005-06 APR were collected, edited and analyzed in a timely manner. Data collection requirements escalated during the 2005-06 school year. A new web-based data system was developed to collect self-review monitoring protocol data for Indicators 4B, 9, 10A, 10B, and 13. NYS contracted with two different vendors to manage the data collection and reporting for Indicators 8 and 14 and two new PD forms were developed to collect data for Indicators 7, 11 and 12 through the web-based PD data submission system.
- NYS enhanced its PD data collection process by implementing regularly scheduled advance notices of the web-based PD data submission system's availability for PD forms and provided advance notices of the due dates for most of the PD reports to all schools, agencies and school districts that submit data to the Department.
- NYS enhanced its dunning and error correction processes by providing regularly scheduled and more frequent notices of missing information and reminders for data error corrections to all schools, agencies and school districts that submit data to SED.
- NYS implemented the revision to the IHRS to collect resolution session information and to begin monitoring the July 2004 NYS regulatory change which limited the length of extensions to a maximum of 30 days.
- In March of 2005, IHOs received individualized performance summaries. The performance summaries were developed and structured to provide information regarding the number of extensions granted for greater than 30 days to prepare the IHOs for the increased monitoring requirement that was implemented in April.
- NYS made substantial progress towards the development of CSEIS. This system
 began implementation during the 2006-07 school year and will ensure data for
 Indicators 15 and 16 are timely and accurate. Once fully implemented, this system
 will also enable the Department to track compliance of school districts on other
 indicators that require compliance within one year from identification.

In addition to the data required under IDEA, section 618, SED made a major enhancement in its capacity to assist schools to use data to enhance instruction:

 The Office of Elementary, Middle, Secondary and Continuing (EMSC) Education in consultation with other offices including VESID developed and implemented through a contract with Grownet a set of accountability and verification reports and other reports for school district personnel and for parents to review student level, school building and school district level data on State assessments (including disaggregations for students with disabilities subgroup). This new web-based system of reports called NyStart is intended to encourage the use of State assessment data in making instructional decisions to improve student achievement at all levels within the school district and to encourage parents to assist their children. This secure system has become available during the 2006-07 school year. See description of NyStart at: http://www.emsc.nysed.gov/irts/nystart/

Revisions, <u>with Justification</u>, to Proposed Targets/ Improvement Activities/ Timelines/ Resources for 2006-07 School Year:

Improvement activities were added to the revised SPP and submitted in February 2007. Additional resources will be sought for VESID to ensure timely, accurate data collection, analysis, and reporting activities are completed. Data reside in many systems and sufficient personnel are needed to:

- Collect and correct data from all schools, agencies and school districts that provide data to SED
- Gather data from various systems
- Verify data accuracy (complete reasonability checks)
- Provide technical assistance
- Complete comprehensive data analysis
- Complete the federal reports
- Identify school districts that have disproportionality based on race/ethnicity
- Identify school districts for self-review monitoring for Indicators 4, 9, 10A, 10B, 11, 12, and 13.
- Identify school districts that are "in need of assistance", "in need of intervention" and "in need of substantial intervention"
- Prepare data for public reporting of section 618 data and APR data on Indicators 1-20.
- Complete data requests for internal Department and technical assistance network staff to enable them to assist school districts to improve results
- Calculate federal IDEA allocations
- Prepare data reports and assist with the review of applications to exceed the 1% cap under NCLB.
- Provide data support to other Department offices to meet increasing needs.

NYS was not able to submit data for Indicators 1, 2, and 3 and Table 6 in this APR for the 2005-06 year due to delays in developing the State's reporting database. NYS

launched a new reporting system in September 2007 that made State assessment results available to all parents, teachers and administrators to enable them to use data to improve instruction. See the press release announcing this new reporting system at http://www.emsc.nysed.gov/irts/press-release/20060907/GROW-Reports-Release.doc.

New York State submitted the required data for Indicators 1, 2 and 3 and Table 6 at the end of June 2007.

STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS

DATE: June 18, 2007 **STATUS:** REVISION

Data are due February 1, 2007.

Please read the following basic guidelines before completing the Data Transmission System (DTS) forms:

- 1. To change the size and appearance of the text on the spreadsheet, select VIEW from the toolbar, select ZOOM, and then select the percentage increase or decrease.
- 2. Enter the appropriate data into the YELLOW shaded areas on each page of the form. Please be sure to read section heading descriptions so data are entered in the correct section. Also, be sure to enter any State and date information. The two-digit State postal code should appear on every page of the form. A list is available on PAGE1. Use the scroll bar or the up or down arrow keys to scroll through the list. Click on the appropriate State postal code to select it.
- 3. If you choose to cut and paste data from another area, use the PASTE SPECIAL option and select VALUES. This will protect the current formats.
- 4. Any comments regarding the submitted data should be entered on the last page of the workbook, titled COMMENTS.
- 5. Save the completed forms. Please be sure that your State postal code appears in the file name. (Example: Maryland AS05MD.XLS)
- 6. Red cells indicate a condition that must hold. Orange cells indicate a condition that should hold. Please make sure there are NO RED CELLS before saving and submitting data.
- 7. Print the entire workbook by selecting, FILE, PRINT and then select ENTIRE WORKBOOK located in the 'PRINT WHAT' section. Send printed copies of the completed DTS forms to the Office of Special Education Programs (OSEP) at the following address:

Alexa Posney, Director
Office of Special Education Programs
U.S. Department of Education
Part B Data Reports
Program Support Services Group
Mail Stop 2600
550 12th Street, SW
Washington, D.C. 20202-2600
Attn: Cheryl Broady

8. If you received your file by e-mail, please return electronic copies of completed DTS forms to Danielle Crain at Westat

Daniellecrain@WESTAT.COM Westat 1650 Research Blvd RA 1205 Rockville, MD 20850-3159

9. If you have any questions or comments, please contact Danielle Crain at (301) 610-8805

TABLE 6

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OMB NO. 1820-0659

FORM EXPIRES: 09/30/2007

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

2005-2006 STATE: NY - NEW YORK

SECTION A. ENROLLMENT DATA FOR THE MATH ASSESSMENT¹

GRADE LEVEL		STUDENTS WITH IEPs (1)	ALL STUDENTS (2)		
3		30744	205862		
4		32677	206643		
5		33731	213106		
6		33852	215819		
7		33909	222846		
8		34261	226390		
HIGH SCHOOL (SPECIFY GRADE:)	12	19079	183145		

¹At a date as close as possible to the testing date.

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

FORM EXPIRES: 09/30/2007

2005-2006 STATE: NY - NEW YORK

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT

	STUDENTS WITH DISABILITIES WHO TOOK REGULAR ASSESSMENT ON GRADE LEVEL ACHIEVEMENT STANDARDS								
GRADE LEVEL	TOTAL (3)	SUBSET (OF 3) WHO TOOK THE ASSESSMENT WITH ACCOMODATIONS (3A)	SUBSET (OF 3) WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (3B)	SUBSET (OF 3) WHOSE ASSESSMENT RESULTS WERE INVALID ² (3C)					
3	27819			68					
4	29769			151					
5	30758			74					
6	30688			150					
7	30415			203					
8	30479			446					
HIGH SCHOOL: 12	15330		0	0					

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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FORM EXPIRES: 09/30/2007

STATE: NY - NEW YORK

2005-2006

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

	STUDENTS WITH DISABILITIES WHO TOOK OUT OF GRADE LEVEL ASSESSMENT	
TOTAL (4)	SUBSET (OF 4) WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (4A)	SUBSET (OF 4) WHOSE ASSESSMENT RESULTS WERE INVALID ² (4B)
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
	TOTAL (4) 0 0 0 0 0 0 0	OUT OF GRADE LEVEL ASSESSMENT SUBSET (OF 4) WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

OMB NO. 1820-0659

FORM EXPIRES: 09/30/2007

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

2005-2006 STATE: NY - NEW YORK

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

		STUDENTS WITH DISABILITIES WHO TOOK ALTERNATE ASSESSMENT									
GRADE LEVEL	TOTAL (5)	SUBSET (OF 5) WHOSE ALTERNATE WAS SCORED AGAINST GRADE LEVEL STANDARDS (5A)	SUBSET (OF 5) WHOSE ALTERNATE WAS SCORED AGAINST ALTERNATE ACHIEVEMENT STANDARDS (5B)	SUBSET (OF 5B) COUNTED AT THE LOWEST ACHIEVEMENT LEVEL BECAUSE OF THE NCLB CAP ³ (5C)	SUBSET (OF 5) WHOSE ASSESSMENT RESULTS WERE INVALID ⁴ (5D)						
3	1895	0	1895	0	213						
4	2023	0	2023	0	216						
5	2120	0	2120	0	195						
6	2112	0	2112	0	177						
7	2239	0	2239	0	240						
8	2022	0	2022	0	228						
HIGH SCHOOL: 12	1174	0	1174	0	34						

³ NCLB cap is the limit on the percent of students whose scores can be held to alternate achievement standards in AYP calculations.

⁴ Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

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TABLE 6

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

FORM EXPIRES: 09/30/2007

OMB NO. 1820-0659

2005-2006 STATE: NY - NEW YORK

SECTION B. PARTICIPATION OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

		STUDENTS WHO DID NOT TAKE ANY ASSESSMENT								
GRADE LEVEL		PARENTAL EXEMPTION (6)	ABSENT (7)	EXEMPT FOR OTHER REASONS ⁵ (8)						
3		0	1004	26						
4		0	860	25						
5		0	828	25						
6		0	1018	34						
7		0	1222							
8		0	1718	42						
HIGH SCHOOL :	12									
		0	2575	0						

⁵ Provide a list of other reasons for exemption with the number of students exempted by each grade and reason for exemption.

Please provide the reason(s) for exemption.

CURRENT DATE: June 18, 2007

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

PAGE 6 OF 18

OMB NO. 1820-0659

FORM EXPIRES: 09/30/2007

STATE: NY - NEW YORK

SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT

2005-2006

	REGULAR ASSESSMENT ON GRADE LEVEL (9A)											
		1	2	3	4							
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9A ROW TOTAL ²								
3	NYSTP-Grade 3	6501	7329	12135	1786	0	0	0	O	0	27751	
4	NYSTP-Grade 4	8387	7885	11644	1702	0	0	0	O	0	29618	
5	NYSTP-Grade 5	10873	10040	8809	962	0	0	0	0	0	30684	
6	NYSTP-Grade 6	13457	10457	6172	452	0	0	0	0	0	30538	
7	NYSTP-Grade 7	12600	12112	5160	340	0	0	0	C	0	30212	
8	NYSTP-Grade 8	13181	11650	5049	153	0	0	0	0	0	30033	
HIGH SCHOOL : 12	NYSTP-High School	1818	5451	7076	985	0	0	0	0	0	15330	

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:

1 Include all students whose regular assessment score was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score (column 3B).

² The total number of students reported by achievement level in 9A is to equal the number reported in column 3 minus the number reported in columns 3C.

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

FORM EXPIRES: 09/30/2007

OMB NO. 1820-0659

STATE: NY - NEW YORK

2005-2006

SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

ALTERNATE ASSESSMENT ON GRADE LEVEL STANDARDS (9B)											
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9B ROW TOTAL ²							
3		0	0	0	0	0	0	0	0	0	0
4		0	0	0	0	0	0	0	0	0	0
5		0	0	0	0	0	0	0	0	0	0
6		0	0	0	0	0	0	0	0	0	0
7		0	0	0	0	0	0	0	0	0	0
8		0	0	0	0	0	0	0	0	0	0
HIGH SCHOOL : 12		0	0	0	0	0	0	0	0	0	0

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:

¹ Include all students whose score on the alternate assessment on grade level standards was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score.

² The total number of students reported by achievement level in 9B is to equal the number reported in column 5A minus that portion of 5F that includes students whose assessment scored on grade level standards was invalid.

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

FORM EXPIRES: 09/30/2007

OMB NO. 1820-0659

STATE: NY - NEW YORK

2005-2006

SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT SCORED AGAINST ALTERNATE STANDARDS (9C)												
		1	2	3	4								
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9C ROW TOTAL ²									
3	NYSAA -Math-Grade 3	72	162	203	1245	0	0	0	0	0	1682		
4	NYSAA -Math-Grade 4	43	150	279	1335	0	0	0	0	0	1807		
5	NYSAA -Math-Grade 5	85	202	246	1392	0	0	0	0	0	1925		
6	NYSAA -Math-Grade 6	80	184	247	1424	0	0	0	0	0	1935		
7	NYSAA -Math-Grade 7	85	180	237	1497	0	0	0	0	0	1999		
8	NYSAA -Math-Grade 8	32	157	288	1317	0	0	0	0	0	1794		
HIGH SCHOOL : 12	NYSAA -Math-Secondar	38	120	185	797	0	0	0	0	0	1140		

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT: 3

¹ Include all students whose assessment counted in the lowest achievement level because of the NCLB 1% cap plus all students who received a score but changes to the assessment invalidated their score. If your state has an approved exception to the 1% cap, as indicated in Section A, use your adjusted cap rather than 1% when determining the number of students that must be the lowest achievement level.

² The total number of students reported by achievement level in 9D is to equal the number reported in column 4 plus the number reported in column 5D minus the number reported in columns 4B and that portion of 5F that includes students whose alternate assessment scored on alternate standard was invalid.

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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FORM EXPIRES: 09/30/2007

STATE: NY - NEW YORK

2005-2006

SECTION C. PERFORMANCE OF STUDENTS WITH DISABILITIES ON MATH ASSESSMENT (CONTINUED)

GRADE LEVEL		TOTAL FOR COLUMN 9A (ON PAGE 6) ¹	TOTAL FOR COLUMN 9B (ON PAGE 7)	TOTAL FOR COLUMN 9C (ON PAGE 8)	NO VALID SCORE ⁷ (10)	TOTAL ⁸ (11)
3		27751	0	1682	1311	30744
4		29618	0	1807	1252	32677
5		30684	0	1925	1122	33731
6		30538	0	1935	1379	33852
7		30212	0	1999		33909
8		30033	0		2434	34261
HIGH SCHOOL:	12	15330	0			19079

⁷ The number of students reported in column 10 is to equal the number reported in column 3C plus column 4B plus column 5D plus column 6 plus column 7 plus column 8.

⁸ The number of students reported in column 11, the row total, should equal the number of students with IEPs reported in Section A.

TABLE 6

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

FORM EXPIRES: 09/30/2007

STATE: NY - NEW YORK

2005-2006

SECTION D. ENROLLMENT DATA FOR THE READING ASSESSMENT¹

GRADE LEVEL		STUDENTS WITH IEPs (1)	ALL STUDENTS (2)		
3		30802	206008		
4		32709	206760		
5		33774	213229		
6		33935	215977		
7		33955	222990		
8		34352	226628		
HIGH SCHOOL (SPECIFY GRADE:)	12	19079	183145		

¹At a date as close as possible to the testing date.

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

STATE: NY - NEW YORK

FORM EXPIRES: 09/30/2007

2005-2006

SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT

	STUDENTS WITH DISABILITIES WHO TOOK REGULAR ASSESSMENT ON GRADE LEVEL ACHIEVEMENT STANDARDS								
GRADE LEVEL	TOTAL (3)	SUBSET (OF 3) WHO TOOK THE ASSESSMENT WITH ACCOMODATIONS (3A)	SUBSET (OF 3) WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (3B)	SUBSET (OF 3) WHOSE ASSESSMENT RESULTS WERE INVALID ² (3C)					
3	27715	0		145					
4	29473	0		291					
5	30585	0		145					
6	30746	0		467					
7	30515	0		186					
8	30581	0		326					
HIGH SCHOOL: 12	14910	0	C	0					

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

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² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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2005-2006

STATE: NY - NEW YORK

SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	STUDENTS WITH DISABILITIES WHO TOOK OUT OF GRADE LEVEL ASSESSMENT						
GRADE LEVEL	TOTAL (4)	SUBSET (OF 4) WITH CHANGES TO THE ASSESSMENT THAT INVALIDATED THEIR SCORE ¹ (4A)	SUBSET (OF 4) WHOSE ASSESSMENT RESULTS WERE INVALID ² (4B)				
3	0	0	0				
4	0	0	0				
5	0	0	0				
6	0	0	0				
7	0	0	0				
8	0	0	0				
HIGH SCHOOL: 12			-				
	0	0	0				

¹ Changes to the assessment that invalidate a score are changes in testing materials or procedures that enable a student to participate in the assessment, but result in a score that is not deemed by the State to be comparable to scores received by students without these changes. In some States these changes are called modifications or nonstandard administrations.

² Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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TABLE 6

STATE: NY - NEW YORK

SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	I				
		STUDENTS WITH	DISABILITIES WHO TOOK ALT	ERNATE ASSESSMENT	
GRADE LEVEL	TOTAL (5)	SUBSET (OF 5) WHOSE ALTERNATE WAS SCORED AGAINST GRADE LEVEL STANDARDS (5A)	SUBSET (OF 5) WHOSE ALTERNATE WAS SCORED AGAINST ALTERNATIVE ACHIEVEMENT STANDARDS (5B)	SUBSET (OF 5B) COUNTED AT THE LOWEST ACHIEVEMENT LEVEL BECAUSE OF THE NCLB CAP ³ (5C)	SUBSET (OF 5) WHOSE ASSESSMENT RESULTS WERE INVALID ⁴ (5D)
3	1895	0	1895	0	219
4	2021	0	2021	0	209
5	2119	0	2119	0	205
6	2117	0	2117	0	201
7	2247	0	2247	0	264
8	2018	0	2018	0	230
HIGH SCHOOL: 12	4405	0	1405		222
	1185	0	1185	0	32

³ NCLB cap is the limit on the percent of students whose scores can be held to alternate achievement standards in AYP calculations.

⁴ Invalid results are assessment results that cannot be used for reporting and or aggregation due to problems in the testing process (e.g. students do not take all portions of the assessment or students do not fill out the answer sheet correctly).

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION E. PARTICIPATION OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

		STUD	ENTS WHO DID NOT TAKE ANY ASSESSMENT	
GRADE LEVEL		PARENTAL EXEMPTION (6)	ABSENT (7)	EXEMPT FOR OTHER REASONS ⁵ (8)
3		0	1175	17
4		0	1192	23
5		0	1052	
6		0	1047	
7		· ·		
8		0	1155	
		0	1721	32
HIGH SCHOOL :	12			
		0	2984	0

⁵ Provide a list of other reasons for exemption with the number of students exempted by each grade and reason for exemption.

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TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE

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ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

2005-2006

SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT

	REGULAR ASSESSMENT ON GRADE LEVEL (9A)										
		1	2	3	4						
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9A ROW TOTAL ²							
3	NYSTP- Grade 3	10993	9511	6851	215	0	0	0	0	0	27570
4	NYSTP- Grade 4	11402	10043	7545	192	0	0	0	0	0	29182
5	NYSTP- Grade 5	8545	13772	7673	450	0	0	0	0	0	30440
6	NYSTP- Grade 6	9955	15163	4946	215	0	0	0	0	0	30279
7	NYSTP- Grade 7	9889	15472	4805	163	0	0	0	0	0	30329
8	NYSTP- Grade 8	11531	15483	3170	71	0	0	0	0	0	30255
HIGH SCHOOL : 12		2634	4986	6498	792	0	0	0	0	0	14910

OWEST ACHIEVEMENT LEVEL CONSIDERED PRO	DFICIENT: 3	,
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¹ Include all students whose regular assessment score was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score (column 3B).

² The total number of students reported by achievement level in 9A is to equal the number reported in column 3 minus the number reported in columns 3C.

TABLE 6 REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

	ALTERNATE ASSESSMENT ON GRADE LEVEL STANDARDS (9B)										
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9B ROW TOTAL ²							
3		0	0	0	0	0	0	0	0	0	0
4		0	0	0	0	0	0	0	0	0	0
5		0	0	0	0	0	0	0	0	0	0
6		0	0	0	0	0	0	0	0	0	0
7		0	0	0	0	0	0	0	0	0	0
8		0	0	0	0	0	0	0	0	0	0
HIGH SCHOOL : 12		0	0	0	0	0	0	0	0	0	0

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:

¹ Include all students whose score on the alternate assessment on grade level standards was in the lowest achievement level plus all students who received a score but changes to the assessment invalidated their score.

² The total number of students reported by achievement level in 9B is to equal the number reported in column 5A minus that portion of 5F that includes students whose assessment scored on grade level standards was invalid.

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REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

	ALTERNATE ASSESSMENT SCORED AGAINST ALTERNATE STANDARDS (9C)										
		1	2	3	4						
GRADE LEVEL	TEST NAME	Achievement Level ¹	Achievement Level	9C ROW TOTAL ²							
3	NYSAA ELA- Grade 3	46	124	171	1335	0	0	0	0	0	1676
4	NYSAA ELA- Grade 4	11	135	315	1351	0	0	0	0	0	1812
5	NYSAA ELA- Grade 5	44	166	244	1460	0	0	0	0	0	1914
6	NYSAA ELA- Grade 6	54	153	217	1492	0	0	0	0	0	1916
7	NYSAA ELA- Grade 7	42	139	253	1549	0	0	0	0	0	1983
8	NYSAA ELA- Grade 8	14	140	264	1370	0	0	0	0	0	1788
HIGH SCHOOL : 12	NYSAA ELA-Secondary	29	95	209	820	0	0	0	0	0	1153

LOWEST ACHIEVEMENT LEVEL CONSIDERED PROFICIENT:

¹ Include all students whose assessment counted in the lowest achievement level because of the NCLB 1% cap plus all students who received a score but changes to the assessment invalidated their score. If your state has an approved exception to the 1% cap, as indicated in Section A, use your adjusted cap rather than 1% when determining the number of students that must be the lowest achievement level.

² The total number of students reported by achievement level in 9D is to equal the number reported in column 4 plus the number reported in column 5D minus the number reported in columns 4B and that portion of 5F that includes students whose alternate assessment scored on alternate standard was invalid.

TABLE 6

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

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SECTION F. PERFORMANCE OF STUDENTS WITH DISABILITIES ON READING ASSESSMENT (CONTINUED)

GRADE LEVEL		TOTAL FOR COLUMN 9A (ON PAGE 15)	TOTAL FOR COLUMN 9B (ON PAGE 16)	TOTAL FOR COLUMN 9C (ON PAGE 17)	NO VALID SCORE ⁷ (10)	TOTAL ⁸ (11)
3		27570	0	1676	1556	30802
4		29182	0	1812	1715	32709
5		30440	0	1914	1420	33774
6		30279	0	1916	1740	33935
7		30329	0	1983	1643	33955
8		30255	0	1788	2309	34352
HIGH SCHOOL :	12	14910	0	1153	3016	19079

⁷ The number of students reported in column 10 is to equal the number reported in column 3C plus column 4B plus column 5D plus column 6 plus column 7 plus column 8.

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⁸ The number of students reported in column 11, the row total, should equal the number of students with IEPs reported in Section A.

GO BACK

TABLE 6 COMMENTS

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

	00.	37.011	
-			STATE: NY - NEW YORK
Which	assessment		Reasons for Exception
Readin	g and Math	Section B, Co	olumn 8 and Section E, Column 8 contain students who were medically excused from taking the assessment.

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TABLE 6 COMMENTS

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

	GO BA	СК			OTATE: NIV. NIEW VODIA
Which assessment		Discrepancies	STATE: <u>NY - NEW YORK</u>		

TABLE 6 COMMENTS

REPORT OF THE PARTICIPATION AND PERFORMANCE OF STUDENTS WITH DISABILITIES ON STATE ASSESSMENTS BY CONTENT AREA, GRADE, AND TYPE OF ASSESSMENT

STATE: NY - NEW YORK

COMMENTS

- 1. Data for Section B, Column 3A (accommodations) are not available. We expect to have these data once the Student Information Repository System is fully implemented during the 2007-08 school year.
- 2. Data for Section E, Column 3A (accommodations) are not available. We expect to have these data once the Student Information Repository System is fully implemented during the 2007-08 school year.
- 3. We have reported "grade 12" as the high school grade because we could not provide an explanation in the form where the grade level was requested. New York State reported 19,079 students with disabilities and 183,145 total students who were in the cohort of students who first entered ninth grade in 2002-03 school year or, if ungraded, became 17 years of age during the 2002-03 school year. These students were enrolled since fall of 2005. The performance results for students with disabilities are reported on the cohort of students with disabilities as of June, 2006.
- 4. NYS computes the participation rate in English and Mathematics in high school for accountability based on percent of seniors in 2005-06 who were tested on the English and Mathematics assessments. The numbers used to compute the participation rate in high school are as follows:

Seniors who were students with disabilities in 2005-06: 17.321

Number of seniors tested in English: 15,596; Number of seniors tested in Mathematics: 15,704

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Part B –APR Table 7 (Attachment #1)

Report of Dispute Resolution under Part B of the Individuals with Disabilities Education Act Complaints, Mediations, Resolution Sessions, and Due Process Hearings

SECTION A: Signed, written complaints		
(1) Signed, written complaints total	326	
(1.1) Complaints with reports issued	236	
(a) Reports with findings	234	
(b) Reports within timeline	218	
(c) Reports within extended timelines	7	
(1.2) Complaints withdrawn or dismissed	89	
(1.3) Complaints pending	1	
(a) Complaints pending a due process hearing	0	

SECTION B: Mediation requests			
(2) Mediation requests total	446		
(2.1) Mediations			
(a) Mediations related to due process	27		
(i) Mediation agreements	21		
(b) Mediations not related to due process	312		
(i) Mediation agreements	301		
(2.2) Mediations not held (including pending)	107		

SECTION C: Hearing requests				
(3) Hearing requests total	5415			
(3.1) Resolution sessions (April 1, 2006 –June 30, 2006)	959			
(a) Settlement agreements	170			
(3.2) Hearings (fully adjudicated)	1054			
(a) Decisions within timeline	233			
(b) Decisions within extended timeline	646			
(3.3) Resolved without a hearing	4177			

SECTION D: Expedited hearing requests (related to disciplinary decision)		
(4) Expedited hearing requests total	25	
(4.1) Resolution sessions	0	
(a) Settlement agreements	0	
(4.2) Expedited hearings (fully adjudicated)	4	
(a) Change in placement ordered	0	