

Differentiated Monitoring and Support Engagement Decisions  
New York – Part B

OSEP’s Results Driven Accountability System for differentiated monitoring and support is designed to provide differentiated levels and types of monitoring and support based on each State’s unique strengths, progress, challenges, and needs. To implement this system, OSEP developed a multi-tiered model for monitoring and providing support that is based on the principle that supports are first provided at a universal level to effectively address the needs of all States and is focused on prevention to minimize the need for more targeted or intensive engagement. Targeted monitoring and support is based on OSEP’s identification of common needs among multiple States, and intensive monitoring and support is reserved for those State Education Agencies and Lead Agencies experiencing the most intense or complex challenges to implementation.

To guide our designations for State monitoring and support at the universal, targeted and intensive levels in the areas of results, program compliance, dispute resolution and fiscal management, OSEP developed an organizational assessment of States’ progress in meeting performance standards and compliance with the legal requirements of the Individuals with Disabilities Education Act, the Education Department General Administrative Regulations and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Award Using Federal Fiscal Year 2013 data for results, program compliance, and dispute resolution, and the most current data available for fiscal management, the organizational assessment consists of scoring rubrics for all four areas. Those scores were included in OSEP’s Engagement Decision Tree, along with information about the factors contributing to elevated needs, the support the State has accessed, the State’s capacity to improve results and compliance, and additional contextual information about the State, to determine the level of monitoring and support necessary to meet the needs of each State.

<b>Results</b>			
<b>Designation</b>	<b>Factors<sup>1</sup></b>	<b>Existing/Current Engagement</b>	<b>New Engagement</b>
Universal		OSEP continues to make information and TA resources available, and provide universal support. In addition, the State is receiving assistance from National Center for Systemic Improvement (NCSI) and support from the State’s Technical Assistance Center.	OSEP will provide universal support

<b>Compliance</b>			
<b>Designation</b>	<b>Factors</b>	<b>Existing/Current Engagement</b>	<b>New Engagement</b>
Targeted	<ul style="list-style-type: none"> <li>• Longstanding noncompliance</li> <li>• FFY 2013 APR:               <ul style="list-style-type: none"> <li>○ 88.07% for Timely Initial Evaluations</li> <li>○ 77.17% for Secondary Transition</li> </ul> </li> </ul>	OSEP continues to make information and TA resources available, and provide universal support. In addition, the State is receiving assistance from NCSI and the State’s Technical Assistance Center.	OSEP will provide targeted monitoring and support on improving timely evaluations and secondary transition.

<sup>1</sup> Factors are only listed if a State has been designated as targeted or intensive in a particular area

<b>Dispute Resolution</b>			
<b>Designation</b>	<b>Factors</b>	<b>Existing/Current Engagement</b>	<b>New Engagement</b>
Targeted	State has been identified as meeting criteria specified by GAO audit on dispute resolution.	OSEP continues to make information and TA resources available, and provide universal support. In addition, the State has been receiving technical assistance from the National Center for Appropriate Dispute Resolution in Special Education (CADRE) on Facilitated IEPs.	OSEP will provide targeted monitoring and support on improving dispute resolution.

<b>Fiscal</b>			
<b>Designation</b>	<b>Factors</b>	<b>Existing/Current Engagement</b>	<b>New Engagement</b>
Intensive	<ul style="list-style-type: none"> <li>• Last monitoring visit</li> <li>• Size of award</li> <li>• Unresolved fiscal findings</li> </ul>	OSEP continues to make information and TA resources available, and provide universal support.	OSEP will provide intensive monitoring and support in resolving fiscal findings.