



UNDERSTANDING YOUR 2016-2017 UNIVERSAL PREKINDERGARTEN MAXIMUM ALLOCATION

Universal Prekindergarten (UPK) grant funds are allocated to school districts in accordance with a formula set forth in Section 3602-e(10) of Education Law. The 2016-2017 Universal Prekindergarten Maximum Allocations (Allocations List) can be accessed on the UPK website at www.p12.nysed.gov/upk/.

1. UPK allocations are calculated to fund a specific number of children at a specific amount per pupil. **Column C** of the Allocations List identifies the maximum number of funded students that are intended to be supported by the maximum grant allocation.
2. A district's maximum UPK grant allocation (**Column D**) is comprised of two parts.
 - The first part is based on the amount of prekindergarten funding that the district received in the 2006-07 school year. This amount is specified in **Column E** of the Allocations List.
 - The second part is the difference between the maximum allocation for 2016-17 (Column D) and the amount the district received in 2006-07 (Column E). This difference is specified in **Column H**, 2016- 17 UPK Increase (D – E).
3. Each portion of a district's maximum UPK grant allocation is associated with a grant per pupil amount.
 - **Column G**, the grant per pupil associated with the amount of funding the district received in 2006-07, is the amount in Column E divided by the amount in Column F.
 - **Column J**, the additional grant per pupil, is the **greater** of 50 percent of the selected foundation aid per pupil for the 2016-2017 enacted budget or the aid per pre-K pupil for the 2006-07 enacted budget.
4. The maximum funded pupils in Column C is the sum of **Column F**, Base Aidable Pre-K Pupils (the number served in 2006-07) and **Column I**, Pupils to be Served for the 2016-17 UPK Additional Funding (the number of aidable pupils in addition to the number served in 2006-07). Column I is the result of Column H divided by Column J.
5. There is a Maintenance of Effort (MOE) requirement for the UPK program. Section 3602-(e)(11) of Education Law provides that “where the district serves fewer children during the current year than the **lesser** of the children served in the 2010-11 school year **OR** its base aidable prekindergarten pupils computed for the 2007-2008 school year, the school district shall have its apportionment reduced in an amount proportional to such deficiency”. The district's MOE is specified in **Column K** of the Allocations List. **A district must serve the number of children specified in Column K of the Allocations List to ensure that its allocation is not reduced due to failure to meet the MOE.**

Questions regarding the 2016-2017 Universal Prekindergarten Maximum Allocations may be directed to an Associate on the Early Learning Team at ael@nysed.gov or by telephone at (518) 474-5807.