



Entry 1 School Information and Cover Page

Last updated: 07/30/2018

Please be advised that you will need to complete this cover page (including signatures) before all of the other tasks assigned to you by your authorizer are visible on your task page. While completing this task, please ensure that you select the correct authorizer (**as of June 30, 2018**) or you may not be assigned the correct tasks.

a. SCHOOL NAME SUCCESS ACAD CS-UNION SQUARE (SUNY TRUSTEES)

(Select name from the drop down menu)

b. CHARTER AUTHORIZER (As of June 30th, 2018) SUNY-Authorized Charter School

(For technical reasons, please re-select authorizer name from the drop down menu).

c. DISTRICT / CSD OF LOCATION NYC CSD 2

d1. SCHOOL INFORMATION

	PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
	40 Irving Place, 2nd Floor	[REDACTED]		

d2. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Carly Norton
Title	Associate Director of Operations
Emergency Phone Number (###-###-####)	[REDACTED]

e. SCHOOL WEB ADDRESS (URL) www.successacademies.org

f. DATE OF INITIAL CHARTER 06/2012

g. DATE FIRST OPENED FOR INSTRUCTION 08/2013

i. TOTAL ENROLLMENT ON JUNE 30, 2018 649

j. GRADES SERVED IN SCHOOL YEAR 2017-18

Check all that apply

Grades Served	K, 1, 2, 3, 4, 5, 6
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k1. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION? Yes

k2. NAME OF CMO/EMO AND ADDRESS

NAME OF CMO/EMO	Success Academy Charter Schools
PHYSICAL STREET ADDRESS	
CITY	
STATE	
ZIP CODE	
EMAIL ADDRESS	

l1. FACILITIES

Does the school maintain or operate multiple sites?

	Yes, 2 sites
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12. SCHOOL SITES

Please list the sites where the school will operate for the upcoming school year.

	Physical Address	Phone Number	District/CSD	Grades Served at Site (K-5, 6-9, etc.)	Receives Rental Assistance	Rental Assistance for Which Grades (write N/A if applicable)
Site 1 (same as primary site)	40 Irving Place, 2nd Floor New York, NY 10003	[REDACTED]	NYC CSD 2	K-4	No	N/A
Site 2	500 West 41st Street New York, NY 10018	[REDACTED]	NYC CSD 2	5-6	No	N/A
Site 3						

12a. Please provide the contact information for Site 1.

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Dan Rojas	[REDACTED]	[REDACTED]	[REDACTED]
Operational Leader	Ana Alonso	[REDACTED]	[REDACTED]	[REDACTED]
Compliance Contact	Bonnie Litt	[REDACTED]	[REDACTED]	[REDACTED]
Complaint Contact	Bonnie Litt	[REDACTED]	[REDACTED]	[REDACTED]
DASA Coordinator	Dan Rojas	[REDACTED]	[REDACTED]	[REDACTED]

13. Please provide the contact information for Site 2.

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Malik Russell			
Operational Leader	Mike Dale			
Compliance Contact	Bonnie Litt			
Complaint Contact	Bonnie Litt			
DASA Coordinator	Malik Russell			

m1. Are any sites in co-located space? If yes, please proceed to the next question. Yes

m2. Please list the terms of your current co-location.

	Date school will leave current co-location	Is school working with NYCDOE to expand into current space?	If so, list year expansion will occur.	Is school working with NYCDOE to move to separate space?	If so, list the proposed space and year planned for move	School at Full Capacity at Site
Site 1 (primary site)						
Site 2						
Site 3						

n1. Were there any revisions to the school's charter during the 2017-18 school year? (Please include approved or pending material and non-material charter revisions). Yes

n2. Summary of Charter Revisions

	Category (Select Best Description)	Specific Revision (150 word limit)	Date Approved by BOT (if applicable)	Date Approved by Authorizer (if applicable)
1	Change in Bylaws	Non-material, non-substantive change to add language confirming compliance with investment restrictions.	9/12/17	
2	Change in admissions/enrollment policy	Non-material, non-substantive change to add language confirming compliance with McKinney Vento protections.	2/1/18	
3				
4				
5				

o. Name and Position of Individual(s) Who Completed this Annual Report. Yvonne Chan (Assistant General Counsel)

p. Our signatures (Head of School and Board President) below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES if you agree and then use the mouse on your PC or the stylist on your mobile device to sign your name).**

Yes

Signature, Head of Charter School

Handwritten signature consisting of the letters 'D' and 'R' in a simple, blocky font. The 'D' is on the left and the 'R' is on the right, with a small dot below each letter.

Signature, President of the Board of Trustees

Handwritten signature consisting of the letters 'S' and 'C' in a simple, blocky font. The 'S' is on the left and the 'C' is on the right, with a small dot below each letter.

Date

2018/07/30

Thank you.



Entry 2 NYS School Report Card Link

Last updated: 07/31/2018

SUCCESS ACAD CS-UNION SQUARE (SUNY TRUSTEES)

1. CHARTER AUTHORIZER (As of June 30th, 2018)

SUNY-Authorized Charter School

(For technical reasons, please re-select authorizer name from the drop down menu).

2. NEW YORK STATE REPORT CARD

<https://data.nysed.gov/>

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).



Entry 4 Expenditures per Child

Last updated: 08/01/2018

SUCCESS ACAD CS-UNION SQUARE (SUNY TRUSTEES)Section Heading

Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate '**Total Expenditures per Child**' take total expenditures (from the unaudited 2017-18 Schedule of Functional Expenses) and divide by the year end FTE student enrollment. (Integers Only. No dollar signs or commas).

Note: *The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:* <http://www.p12.nysed.gov/psc/AuditGuide.html>

Line 1: Total Expenditures	10144960
Line 2: Year End FTE student enrollment	556
Line 3: Divide Line 1 by Line 2	18254

2. Administrative Expenditures per Child

To calculate **'Administrative Expenditures per Child'** To calculate "Administrative Expenditures per Child" first *add* together the following:

1. Take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2017-18 Schedule of Functional Expenses)
2. Any contracted administrative/management fee paid to other organizations or corporations
3. Take the total from above and divide it by the year-end FTE enrollment. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officer, the finance or business offices, school operations personnel, data management and reporting, human resources, technology, etc. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation. Do not include the FTE of personnel whose role is to directly support the instructional program.

Notes:
The information on the Schedule of Functional Expenses on pages 41-43 of the Audit Guide can help schools locate the amounts to use in the two per pupil calculations:
<http://www.p12.nysed.gov/psc/AuditGuide.html>.
Employee benefit costs or expenditures should not be reported in the above calculations.

Line 1: Relevant Personnel Services Cost (Row)	309441
Line 2: Management and General Cost (Column)	1322363
Line 3: Sum of Line 1 and Line 2	1631804
Line 5: Divide Line 3 by the Year End FTE student enrollment	2936

Thank you.



GENERAL INSTRUCTIONS FOR ANNUAL BUDGET/QUARTERLY REPORT

TEMPLATE TABS

1- GRAY tab contains the Instructions

Instructions	Provides description of tabs and input requirements.
Funding by District	Charter School Tuition Rates

2- BLUE tabs require input of information

1.) Name of School	>Select school name from list. >Enter contact information.
2.) Enrollment	Enter enrollment information for Annual Budget (& Revisions) and Quarterly Actuals. Includes: >Enrollment by Grade >Enrollment by District
3.) Staffing Plan	Enter staffing plan information for Annual Budget (& Revisions) and Quarterly Actuals. Includes: >Full Time Equivalent (FTE), by Position Category, By Quarter
4.) Yearly Budget	Enter Yearly Budget information. Includes: >"Pior Year" column may be completed based upon preliminary data, and adjusted with Annual Audited data when the Quarter 2 Actuals are being submitted. (Note: Quarterly Revenue allocation may be set) >Budgeted Enrollment data and Per Pupil Revenue for the current year are populated based upon input on tab "2.) Enrollment." >Budgeted FTE for current year is populated based upon input on tab "3.) Staffing Plan." >All other sources of revenue >All expenses >Budget Revisions, as necessary and <i>approved</i> by the school's Board of Directors, should be submitted when submitting Quarterly Actuals
5.) Balance Sheet	Enter Balance Sheet information for EdCorps. Separate schools merged into a primary EdCorp should NOT use this tab. >"Pior Year" column may be completed based upon preliminary data, and adjusted with Annual Audited data when the Quarter 2 Actuals are being submitted.

6.) Quarterly Report	Enter Actual Quarterly Report information . Includes: >Actual Enrollment data and Per Pupil Revenue for the current year are populated based upon input on tab "2.) Enrollment." >Actual FTE for current year is populated based upon input on tab "3.) Staffing Plan." >All other sources of revenue >All expenses
7.) Annual Report Requirement	Complete when submitting Actual Quarter 4.

CELL COLORS & GUIDANCE COMMENTS

-  = Enter information into the light BLUE shaded cells.
-  = Cells labeled in ORANGE containe guidance regarding the input of information.
-  = Cells containing RED triangles in the upper right corner contain "guidance comments" on that particular line item. Please "mouse-over" the triangle to reveal each comment.

**Charter Funding Alphabetical By NYS School District
* (Sum of Charter School Basic Tuition and Supplemental Basic Tuition)**



ANNUAL BUDGET & QUARTERLY REPORT TEMPLATE

Success Academy Charter School - Union Square

SCHOOL

Name:	Success Academy Charter School - Union Square
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CONTACT INFORMATION

Contact Name:	Metin Capani
Contact Title:	Assistant Controller
Contact Email:	[REDACTED]
Contact Phone:	[REDACTED]

REPORT PERIOD

Current Academic Year:	2018-19
Prior Academic Year:	2017-18

**SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
2018-19**

ENROLLMENT BY GRADES

GRADES	K	1	2	3	4	5	6	7
INITIAL BUDGETED ENROLLMENT	84	84	94	94	93.52	136	120	51
TOTAL ENROLLMENT = 756.52								

ENROLLMENT BY DISTRICT

		PRIOR YEAR	ANNUAL BUDGET						
		ACTUAL	TOTAL DISTRICTS/ENROLLMENT BY QUARTER						
			QUARTER 1		QUARTER 2		QUARTER 3		QUAR
			Original	<i>Revised</i>	Original	<i>Revised</i>	Original	<i>Revised</i>	Original
NUMBER OF SCHOOL DISTRICTS ENROLLED:		0	1	0	1	0	1	0	1
NUMBER OF STUDENTS ENROLLED:		0	756.52	0	756.52	0	756.52	0	756.52
			*NOTE: If there are NO budget revisions at the time of quarterly submittal leave the 'REVISED COMPLETELY BLANK. If budget revisions ARE made, the entire "REVISED" budget columns affected quarter(s) must be completed on tabs 2, 3 and 4.						
		PRIOR YEAR	ANNUAL BUDGET						
		2017-18	QUARTER 1		QUARTER 2		QUARTER 3		QUAR
PRIMARY/OTHER	DISTRICT NAME(S)	Actual Enrollment	Original Budgeted Enrollment	<i>Revised</i> Budgeted Enrollment	Original Budgeted Enrollment	<i>Revised</i> Budgeted Enrollment	Original Budgeted Enrollment	<i>Revised</i> Budgeted Enrollment	Original Budgeted Enrollment
PRIMARY District	NYC CHANCELLOR'S OFFICE		756.52		756.52		756.52		756.52
SECONDARY District	(Select from drop-down list) →								

**ACADEMY CHARTER SCHOOL - I
2018-19**

**SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
2018-19**

PLAN - FULL TIME EQUIVALENT

STAFFING PLAN - FULL TIME EQUIVALENT ("FTE")

***NOTE:** Enter the number of FTE positions in the "blue" cells.

***NOTE:** If there are NO budget revisions at the time of quarterly submittal leave the 'REVISED' Column(s) COMPLETELY BLANK.

ADMINISTRATIVE PERSONNEL FTE	ADMINISTRATIVE PERSONNEL FTE
Executive Management	Executive Management
Instructional Management	Instructional Management
Deans, Directors & Coordinators	Deans, Directors & Coordinators
CFO / Director of Finance	CFO / Director of Finance
Operation / Business Manager	Operation / Business Manager
Administrative Staff	Administrative Staff
TOTAL ADMINISTRATIVE STAFF	TOTAL ADMINISTRATIVE STAFF

PRIOR YEAR
2017-18
ACTUAL
0.0

ANNUAL BUDGETED FTE							
Q1		Q2		Q3		Q4	
Original	Revised	Original	Revised	Original	Revised	Original	Revised
0.0		0.0		0.0		0.0	
8.0		8.0		8.0		8.0	
7.0		7.0		7.0		7.0	
0.0		0.0		0.0		0.0	
3.0		3.0		3.0		3.0	
0.0		0.0		0.0		0.0	
18.0	0.0	18.0	0.0	18.0	0.0	18.0	0.0

INSTRUCTIONAL PERSONNEL FTE	INSTRUCTIONAL PERSONNEL FTE
Teachers - Regular	Teachers - Regular
Teachers - SPED	Teachers - SPED
Substitute Teachers	Substitute Teachers
Teaching Assistants	Teaching Assistants
Specialty Teachers	Specialty Teachers
Aides	Aides
Therapists & Counselors	Therapists & Counselors
Other	Other
TOTAL INSTRUCTIONAL	TOTAL INSTRUCTIONAL

PRIOR YEAR
2017-18
ACTUAL
0.0

ANNUAL BUDGETED FTE							
Q1		Q2		Q3		Q4	
Original	Revised	Original	Revised	Original	Revised	Original	Revised
31.0		31.0		31.0		31.0	
22.0		22.0		22.0		22.0	
0.0		0.0		0.0		0.0	
8.0		8.0		8.0		8.0	
11.0		11.0		11.0		11.0	
0.0		0.0		0.0		0.0	
2.0		2.0		2.0		2.0	
0.0		0.0		0.0		0.0	
74.0	0.0	74.0	0.0	74.0	0.0	74.0	0.0

NON-INSTRUCTIONAL PERSONNEL FTE	NON-INSTRUCTIONAL PERSONNEL FTE
Nurse	Nurse
Librarian	Librarian
Custodian	Custodian
Security	Security
Other	Other
TOTAL NON-INSTRUCTIONAL	TOTAL NON-INSTRUCTIONAL

PRIOR YEAR
2017-18
ACTUAL
0.0

ANNUAL BUDGETED FTE							
Q1		Q2		Q3		Q4	
Original	Revised	Original	Revised	Original	Revised	Original	Revised
0.0		0.0		0.0		0.0	
0.0		0.0		0.0		0.0	
0.0		0.0		0.0		0.0	
0.0		0.0		0.0		0.0	
0.0		0.0		0.0		0.0	
0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

TOTAL PERSONNEL SERVICE FTE	TOTAL PERSONNEL SERVICE FTE
0.0	0.0

0.0

92.0	0.0	92.0	0.0	92.0	0.0	92.0	0.0
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**ACADEMY CHARTER SCHOOL - I
2018-19**

PLAN - FULL TIME EQUIVALENT

**NOTE: Enter the number of FTE positions in the "blue" cells. to be input.*

**NOTE: State the assumptions that are being made for personnel FTE levels.*

ADMINISTRATIVE PERSONNEL FTE	Q4 Actual
Executive Management	
Instructional Management	
Deans, Directors & Coordinators	
CFO / Director of Finance	
Operation / Business Manager	
Administrative Staff	
TOTAL ADMINISTRATIVE STAFF	0.0

Description of Assumptions

INSTRUCTIONAL PERSONNEL FTE	Q4 Actual
Teachers - Regular	
Teachers - SPED	
Substitute Teachers	
Teaching Assistants	
Specialty Teachers	
Aides	
Therapists & Counselors	
Other	
TOTAL INSTRUCTIONAL	0.0

Description of Assumptions

NON-INSTRUCTIONAL PERSONNEL FTE	Q4 Actual
Nurse	
Librarian	
Custodian	
Security	
Other	
TOTAL NON-INSTRUCTIONAL	0.0

Description of Assumptions

TOTAL PERSONNEL SERVICE FTE	0.0
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SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE								
Budget / Operating Plan								
2018-19								
	-	3,945,351	-	-	3,945,351	-	-	3,945,351
Total Revenue	-	3,945,351	-	-	3,945,351	-	-	3,945,351
Total Expenses	-	3,661,655	-	-	3,661,655	-	-	3,661,655
Net Income	-	283,696	-	-	283,696	-	-	283,696
Actual Student Enrollment	-	757	-	-	757	-	-	757
	Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
	2017-18	Original	Revised		Original	Revised		Original
	Revenue Per Pupil	Budget	Budget	Variance	Budget	Budget	Variance	Budget
Charter School Program (CSP) Planning & Implementation		-		-	-		-	-
Other		-		-	-		-	-
Other		-		-	-		-	-
TOTAL REVENUE FROM FEDERAL SOURCES	-	104,848	-	-	104,848	-	-	104,848
LOCAL and OTHER REVENUE								
Contributions and Donations		-		-	-		-	-
Fundraising		-		-	-		-	-
Erate Reimbursement		-		-	-		-	-
Earnings on Investments		-		-	-		-	-
Interest Income		-		-	-		-	-
Food Service (Income from meals)		-		-	-		-	-
Text Book		-		-	-		-	-
OTHER		-		-	-		-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-	-	-	-
TOTAL REVENUE	-	3,945,351	-	-	3,945,351	-	-	3,945,351

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan
2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-	3,945,351
Total Expenses	-	3,661,655	-	-	3,661,655	-	-	3,661,655
Net Income	-	283,696	-	-	283,696	-	-	283,696
Actual Student Enrollment	-	757	-	-	757	-	-	757
	Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
	2017-18	Original	Revised		Original	Revised		Original
	Revenue Per Pupil	Budget	Budget	Variance	Budget	Budget	Variance	Budget

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

Avg. No.
of Positions

Executive Management	-	-	-	-	-	-	-	-
Instructional Management	8.00	192,156	-	-	192,156	-	-	192,156
Deans, Directors & Coordinators	7.00	98,601	-	-	98,601	-	-	98,601
CFO / Director of Finance	-	-	-	-	-	-	-	-
Operation / Business Manager	3.00	61,188	-	-	61,188	-	-	61,188
Administrative Staff	-	-	-	-	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	18.00	- 351,945	-	-	351,945	-	-	351,945

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	31.00	494,188	-	-	494,188	-	-	494,188
Teachers - SPED	22.00	355,550	-	-	355,550	-	-	355,550
Substitute Teachers	-	-	-	-	-	-	-	-
Teaching Assistants	8.00	100,000	-	-	100,000	-	-	100,000
Specialty Teachers	11.00	162,325	-	-	162,325	-	-	162,325
Aides	-	-	-	-	-	-	-	-
Therapists & Counselors	2.00	33,750	-	-	33,750	-	-	33,750
Other	-	-	-	-	-	-	-	-
TOTAL INSTRUCTIONAL	74.00	- 1,145,813	-	-	1,145,813	-	-	1,145,813

NON-INSTRUCTIONAL PERSONNEL COSTS

Nurse	-	-	-	-	-	-	-	-
Librarian	-	-	-	-	-	-	-	-
Custodian	-	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-							

SUBTOTAL PERSONNEL SERVICE COSTS

92.00	-	1,497,758	-	-	1,497,758	-	-	1,497,758
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PAYROLL TAXES AND BENEFITS

Payroll Taxes	132,535	-	-	132,535	-	-	132,535
Fringe / Employee Benefits	142,287	-	-	142,287	-	-	142,287

		SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE							
		Budget / Operating Plan							
		2018-19							
Total Revenue		-	3,945,351	-	-	3,945,351	-	-	3,945,351
Total Expenses		-	3,661,655	-	-	3,661,655	-	-	3,661,655
Net Income		-	283,696	-	-	283,696	-	-	283,696
Actual Student Enrollment		-	757	-	-	757	-	-	757
		Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
		2017-18	Original	Revised		Original	Revised		Original
		Revenue Per Pupil	Budget	Budget	Variance	Budget	Budget	Variance	Budget
Retirement / Pension			89,865		-	89,865		-	89,865
TOTAL PAYROLL TAXES AND BENEFITS		-	364,687	-	-	364,687	-	-	364,687
TOTAL PERSONNEL SERVICE COSTS		92.00	1,862,445	-	-	1,862,445	-	-	1,862,445
CONTRACTED SERVICES									
Accounting / Audit			172		-	172		-	172
Legal			-		-	-		-	-
Management Company Fee			434,252		-	434,252		-	434,252
Nurse Services			-		-	-		-	-
Food Service / School Lunch			-		-	-		-	-
Payroll Services			9,855		-	9,855		-	9,855
Special Ed Services			-		-	-		-	-
Titlement Services (i.e. Title I)			-		-	-		-	-
Other Purchased / Professional / Consulting			8,887		-	8,887		-	8,887
TOTAL CONTRACTED SERVICES		-	453,165	-	-	453,165	-	-	453,165

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan
2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-	3,945,351
Total Expenses	-	3,661,655	-	-	3,661,655	-	-	3,661,655
Net Income	-	283,696	-	-	283,696	-	-	283,696
Actual Student Enrollment	-	757	-	-	757	-	-	757

	Prior Year Actual 2017-18 Revenue Per Pupil	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Q
		Original Budget	Revised Budget	Variance	Original Budget	Revised Budget	Variance	Original Budget

SCHOOL OPERATIONS

Board Expenses	-		-		-		-	
Classroom / Teaching Supplies & Materials	137,358		-		137,358		-	
Special Ed Supplies & Materials	-		-		-		-	
Textbooks / Workbooks	-		-		-		-	
Supplies & Materials other	68,052		-		68,052		-	
Equipment / Furniture	57,701		-		57,701		-	
Telephone	-		-		-		-	
Technology	102,240		-		102,240		-	
Student Testing & Assessment	6,250		-		6,250		-	
Field Trips	24,426		-		24,426		-	
Transportation (student)	3,523		-		3,523		-	
Student Services - other	68,738		-		68,738		-	
Office Expense	50,478		-		50,478		-	
Staff Development	44,150		-		44,150		-	
Staff Recruitment	11,375		-		11,375		-	
Student Recruitment / Marketing	25,832		-		25,832		-	
School Meals / Lunch	120,894		-		120,894		-	
Travel (Staff)	12,266		-		12,266		-	
Fundraising	-		-		-		-	
Other	5,687		-		5,687		-	
TOTAL SCHOOL OPERATIONS	-	738,971	-	-	738,971	-	-	738,971

FACILITY OPERATION & MAINTENANCE

Insurance	22,751		-		22,751		-	
Janitorial	-		-		-		-	
Building and Land Rent / Lease / Facility Finance Interest	454,228.34		-		454,228		-	
Repairs & Maintenance	33,581		-		33,581		-	
Equipment / Furniture	-		-		-		-	
Security	-		-		-		-	
Utilities	-		-		-		-	
TOTAL FACILITY OPERATION & MAINTENANCE	-	510,559	-	-	510,559	-	-	510,559

DEPRECIATION & AMORTIZATION

		96,515		-		96,515		-
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RESERVES / CONTINGENCY

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DEFERRED RENT

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SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE									
Budget / Operating Plan									
2018-19									
Total Revenue	-	3,945,351	-	-	3,945,351	-	-	3,945,351	
Total Expenses	-	3,661,655	-	-	3,661,655	-	-	3,661,655	
Net Income	-	283,696	-	-	283,696	-	-	283,696	
Actual Student Enrollment	-	757	-	-	757	-	-	757	
	Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter	
	2017-18	Original	Revised		Original	Revised		Original	
	Revenue Per	Budget	Budget	Variance	Budget	Budget	Variance	Budget	
	Pupil								
TOTAL EXPENSES	-	<u>3,661,655</u>	-	-	<u>3,661,655</u>	-	-	<u>3,661,655</u>	
NET INCOME	-	<u>283,696</u>	-	-	<u>283,696</u>	-	-	<u>283,696</u>	

Total Revenue	-	-	3,945,351	-	-
Total Expenses	-	-	3,661,655	-	-
Net Income	-	-	283,696	-	-
Actual Student Enrollment	-	-	757	-	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Revised Budget	Variance	Original Budget	Revised Budget	Variance
Charter School Program (CSP) Planning & Implementation		-	-		-
Other		-	-		-
Other		=	=		=
TOTAL REVENUE FROM FEDERAL SOURCES	-	-	104,848	-	-
LOCAL and OTHER REVENUE					
Contributions and Donations		-	-		-
Fundraising		-	-		-
Erate Reimbursement		-	-		-
Earnings on Investments		-	-		-
Interest Income		-	-		-
Food Service (Income from meals)		-	-		-
Text Book		-	-		-
OTHER		=	=		=
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-
TOTAL REVENUE	=	=	3,945,351	=	=

Total Revenue		-	-	3,945,351	-	-
Total Expenses		-	-	3,661,655	-	-
Net Income		-	-	283,696	-	-
Actual Student Enrollment		-	-	757	-	-
		Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
		Revised		Original	Revised	
		Budget	Variance	Budget	Budget	Variance
EXPENSES						
ADMINISTRATIVE STAFF PERSONNEL COSTS		Avg. No. of Positions				
Executive Management	-		-			-
Instructional Management	8.00		-	192,156		-
Deans, Directors & Coordinators	7.00		-	98,601		-
CFO / Director of Finance	-		-	-		-
Operation / Business Manager	3.00		-	61,188		-
Administrative Staff	-		-	-		-
TOTAL ADMINISTRATIVE STAFF	18.00	-	-	351,945	-	-
INSTRUCTIONAL PERSONNEL COSTS						
Teachers - Regular	31.00		-	494,188		-
Teachers - SPED	22.00		-	355,550		-
Substitute Teachers	-		-	-		-
Teaching Assistants	8.00		-	100,000		-
Specialty Teachers	11.00		-	162,325		-
Aides	-		-	-		-
Therapists & Counselors	2.00		-	33,750		-
Other	-		-	-		-
TOTAL INSTRUCTIONAL	74.00	-	-	1,145,813	-	-
NON-INSTRUCTIONAL PERSONNEL COSTS						
Nurse	-		-	-		-
Librarian	-		-	-		-
Custodian	-		-	-		-
Security	-		-	-		-
Other	-		-	-		-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-	-
SUBTOTAL PERSONNEL SERVICE COSTS		92.00	-	1,497,758	-	-
PAYROLL TAXES AND BENEFITS						
Payroll Taxes			-	132,535		-
Fringe / Employee Benefits			-	142,287		-

Total Revenue		-	-	3,945,351	-	-
Total Expenses		-	-	3,661,655	-	-
Net Income		-	-	283,696	-	-
Actual Student Enrollment		-	-	757	-	-
		Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
		Revised Budget	Variance	Original Budget	Revised Budget	Variance
Retirement / Pension			-	<u>89,865</u>		-
TOTAL PAYROLL TAXES AND BENEFITS		-	-	364,687	-	-
TOTAL PERSONNEL SERVICE COSTS						
	92.00	-	-	1,862,445	-	-
CONTRACTED SERVICES						
Accounting / Audit			-	172		-
Legal			-	-		-
Management Company Fee			-	434,252		-
Nurse Services			-	-		-
Food Service / School Lunch			-	-		-
Payroll Services			-	9,855		-
Special Ed Services			-	-		-
Titlement Services (i.e. Title I)			-	-		-
Other Purchased / Professional / Consulting			-	<u>8,887</u>		-
TOTAL CONTRACTED SERVICES		-	-	453,165	-	-

Total Revenue	-	-	3,945,351	-	-
Total Expenses	-	-	3,661,655	-	-
Net Income	-	-	283,696	-	-
Actual Student Enrollment	-	-	757	-	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Revised Budget	Variance	Original Budget	Revised Budget	Variance
SCHOOL OPERATIONS					
Board Expenses		-	-		-
Classroom / Teaching Supplies & Materials		-	137,358		-
Special Ed Supplies & Materials		-	-		-
Textbooks / Workbooks		-	-		-
Supplies & Materials other		-	68,052		-
Equipment / Furniture		-	57,701		-
Telephone		-	-		-
Technology		-	102,240		-
Student Testing & Assessment		-	6,250		-
Field Trips		-	24,426		-
Transportation (student)		-	3,523		-
Student Services - other		-	68,738		-
Office Expense		-	50,478		-
Staff Development		-	44,150		-
Staff Recruitment		-	11,375		-
Student Recruitment / Marketing		-	25,832		-
School Meals / Lunch		-	120,894		-
Travel (Staff)		-	12,266		-
Fundraising		-	-		-
Other		-	5,687		-
TOTAL SCHOOL OPERATIONS	-	-	738,971	-	-
FACILITY OPERATION & MAINTENANCE					
Insurance		-	22,751		-
Janitorial		-	-		-
Building and Land Rent / Lease / Facility Finance Interest		-	454,228		-
Repairs & Maintenance		-	33,581		-
Equipment / Furniture		-	-		-
Security		-	-		-
Utilities		-	-		-
TOTAL FACILITY OPERATION & MAINTENANCE	-	-	510,559	-	-
DEPRECIATION & AMORTIZATION		-	96,515		-
RESERVES / CONTINGENCY		-	-		-
DEFERRED RENT		-	-		-

Total Revenue	-	-	3,945,351	-	-
Total Expenses	-	-	3,661,655	-	-
Net Income	-	-	283,696	-	-
Actual Student Enrollment	-	-	757	-	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Revised Budget	Variance	Original Budget	Revised Budget	Variance
TOTAL EXPENSES	-	-	3,661,655	-	-
NET INCOME	-	-	283,696	-	-

	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Revised Budget	Variance	Original Budget	Revised Budget	Variance
Total Revenue	-	-	3,945,351	-	-
Total Expenses	-	-	3,661,655	-	-
Net Income	-	-	283,696	-	-
Actual Student Enrollment	-	-	757	-	-
ENROLLMENT - *School Districts Are Linked To Above Entries*					
Number of Districts:	-	-	1	-	-
NYC CHANCELLOR'S OFFICE	-	-	757	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
ALL OTHER School Districts: (Weighted Avg)	-	-	-	-	-
TOTAL ENROLLMENT	-	-	757	-	-
REVENUE PER PUPIL	-	-	5,215	-	-
EXPENSES PER PUPIL	-	-	4,840	-	-

**SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan**

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					
	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget
Charter School Program (CSP) Planning & Implementation	-	-	-	-	-
Other	-	-	-	-	-
Other	-	-	-	-	-
TOTAL REVENUE FROM FEDERAL SOURCES	419,391	419,391	-	419,391	419,391
LOCAL and OTHER REVENUE					
Contributions and Donations	-	-	-	-	-
Fundraising	-	-	-	-	-
Erate Reimbursement	-	-	-	-	-
Earnings on Investments	-	-	-	-	-
Interest Income	-	-	-	-	-
Food Service (Income from meals)	-	-	-	-	-
Text Book	-	-	-	-	-
OTHER	-	-	-	-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-
TOTAL REVENUE	15,781,404	15,781,404	-	15,781,404	15,781,404

DESCRIPTION OF ASSUMPTIONS

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					

	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget

DESCRIPTION OF ASSUMPTIONS

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

Avg. No. of Positions

Executive Management	-	-	-	-	-
Instructional Management	8.00	768,625	768,625	(768,625)	(768,625)
Deans, Directors & Coordinators	7.00	394,405	394,405	(394,405)	(394,405)
CFO / Director of Finance	-	-	-	-	-
Operation / Business Manager	3.00	244,750	244,750	(244,750)	(244,750)
Administrative Staff	-	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	18.00	1,407,780	1,407,780	(1,407,780)	(1,407,780)

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	31.00	1,976,750	1,976,750	(1,976,750)	(1,976,750)
Teachers - SPED	22.00	1,422,200	1,422,200	(1,422,200)	(1,422,200)
Substitute Teachers	-	-	-	-	-
Teaching Assistants	8.00	400,000	400,000	(400,000)	(400,000)
Specialty Teachers	11.00	649,300	649,300	(649,300)	(649,300)
Aides	-	-	-	-	-
Therapists & Counselors	2.00	135,000	135,000	(135,000)	(135,000)
Other	-	-	-	-	-
TOTAL INSTRUCTIONAL	74.00	4,583,250	4,583,250	(4,583,250)	(4,583,250)

NON-INSTRUCTIONAL PERSONNEL COSTS

Nurse	-	-	-	-	-
Librarian	-	-	-	-	-
Custodian	-	-	-	-	-
Security	-	-	-	-	-
Other	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-

SUBTOTAL PERSONNEL SERVICE COSTS

92.00	5,991,030	5,991,030	-	(5,991,030)	(5,991,030)
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PAYROLL TAXES AND BENEFITS

Payroll Taxes	530,140	530,140	-	(530,140)	(530,140)
Fringe / Employee Benefits	569,148	569,148	-	(569,148)	(569,148)

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					
	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget
Retirement / Pension	359,462	359,462	-	(359,462)	(359,462)
TOTAL PAYROLL TAXES AND BENEFITS	1,458,750	1,458,750	-	(1,458,750)	(1,458,750)
TOTAL PERSONNEL SERVICE COSTS	7,449,780	7,449,780	-	(7,449,780)	(7,449,780)
CONTRACTED SERVICES					
Accounting / Audit	687	687	-	(687)	(687)
Legal	-	-	-	-	-
Management Company Fee	1,737,008	1,737,008	-	(1,737,008)	(1,737,008)
Nurse Services	-	-	-	-	-
Food Service / School Lunch	-	-	-	-	-
Payroll Services	39,419	39,419	-	(39,419)	(39,419)
Special Ed Services	-	-	-	-	-
Titlement Services (i.e. Title I)	-	-	-	-	-
Other Purchased / Professional / Consulting	35,546	35,546	-	(35,546)	(35,546)
TOTAL CONTRACTED SERVICES	1,812,660	1,812,660	-	(1,812,660)	(1,812,660)

92.00

DESCRIPTION OF ASSUMPTIONS

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					

	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget

DESCRIPTION OF ASSUMPTIONS

SCHOOL OPERATIONS

Board Expenses	-	-	-	-	-
Classroom / Teaching Supplies & Materials	549,434	549,434	-	(549,434)	(549,434)
Special Ed Supplies & Materials	-	-	-	-	-
Textbooks / Workbooks	-	-	-	-	-
Supplies & Materials other	272,207	272,207	-	(272,207)	(272,207)
Equipment / Furniture	230,804	230,804	-	(230,804)	(230,804)
Telephone	-	-	-	-	-
Technology	408,960	408,960	-	(408,960)	(408,960)
Student Testing & Assessment	25,002	25,002	-	(25,002)	(25,002)
Field Trips	97,703	97,703	-	(97,703)	(97,703)
Transportation (student)	14,091	14,091	-	(14,091)	(14,091)
Student Services - other	274,950	274,950	-	(274,950)	(274,950)
Office Expense	201,912	201,912	-	(201,912)	(201,912)
Staff Development	176,601	176,601	-	(176,601)	(176,601)
Staff Recruitment	45,501	45,501	-	(45,501)	(45,501)
Student Recruitment / Marketing	103,329	103,329	-	(103,329)	(103,329)
School Meals / Lunch	483,576	483,576	-	(483,576)	(483,576)
Travel (Staff)	49,064	49,064	-	(49,064)	(49,064)
Fundraising	-	-	-	-	-
Other	22,749	22,749	-	(22,749)	(22,749)
TOTAL SCHOOL OPERATIONS	2,955,882	2,955,882	-	(2,955,882)	(2,955,882)

FACILITY OPERATION & MAINTENANCE

Insurance	91,002	91,002	-	(91,002)	(91,002)
Janitorial	-	-	-	-	-
Building and Land Rent / Lease / Facility Finance Interest	1,816,913	1,816,913	-	(1,816,913)	(1,816,913)
Repairs & Maintenance	134,322	134,322	-	(134,322)	(134,322)
Equipment / Furniture	-	-	-	-	-
Security	-	-	-	-	-
Utilities	-	-	-	-	-
TOTAL FACILITY OPERATION & MAINTENANCE	2,042,238	2,042,238	-	(2,042,238)	(2,042,238)

DEPRECIATION & AMORTIZATION

	386,059	386,059	-	(386,059)	(386,059)
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RESERVES / CONTINGENCY

	-	-	-	-	-
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DEFERRED RENT

	-	-	-	-	-
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**SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan**

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					
	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget
TOTAL EXPENSES	<u>14,646,618</u>	<u>14,646,618</u>	-	<u>(14,646,618)</u>	<u>(14,646,618)</u>
NET INCOME	<u>1,134,786</u>	<u>1,134,786</u>	-	<u>1,134,786</u>	<u>1,134,786</u>

DESCRIPTION OF ASSUMPTIONS

**SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan**

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					

Total Year

VARIANCE

Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget
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DESCRIPTION OF ASSUMPTIONS

ENROLLMENT - *School Districts Are Linked To Above Entries*

Number of Districts:

NYC CHANCELLOR'S OFFICE

-
-
-
-
-
-
-
-
-
-
-
-
-
-
-
-
-
-
-
-

ALL OTHER School Districts: (Weighted Avg)

TOTAL ENROLLMENT

REVENUE PER PUPIL

EXPENSES PER PUPIL

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE									
Budget / Operating Plan									
2018-19									
Total Revenue	-	3,945,351	-	-	3,945,351	-	-	3,945,351	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-	3,661,655	-
Net Income	-	283,696	-	-	283,696	-	-	283,696	-
Actual Student Enrollment	-	757	-	-	757	-	-	757	-
	Prior Year Actual	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter	
	2017-18	Original	Revised		Original	Revised		Original	
	Revenue Per Pupil	Budget	Budget	Variance	Budget	Budget	Variance	Budget	
CASH FLOW ADJUSTMENTS									
OPERATING ACTIVITIES <i>{enter descriptions below}</i>									
Example - Add Back Depreciation	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-
Total Operating Activities	-	-	-	-	-	-	-	-	-
INVESTMENT ACTIVITIES <i>{enter descriptions below}</i>									
Example - Subtract Property and Equipment Expenditures	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-
Total Investment Activities	-	-	-	-	-	-	-	-	-
FINANCING ACTIVITIES <i>{enter descriptions below}</i>									
Example - Add Expected Proceeds from a Loan or Line of Credit	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-
Total Financing Activities	-	-	-	-	-	-	-	-	-
Total Cash Flow Adjustments	-	-	-	-	-	-	-	-	-
NET INCOME	-	283,696	-	-	283,696	-	-	283,696	-
Beginning Cash Balance	-	-	-	-	283,696	-	-	567,393	-
ENDING CASH BALANCE	-	283,696	-	-	567,393	-	-	851,089	-

Total Revenue	-	-	3,945,351	-	-
Total Expenses	-	-	3,661,655	-	-
Net Income	-	-	283,696	-	-
Actual Student Enrollment	-	-	757	-	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Revised		Original	Revised	
	Budget	Variance	Budget	Budget	Variance
CASH FLOW ADJUSTMENTS					
OPERATING ACTIVITIES <i>{enter descriptions below}</i>					
Example - Add Back Depreciation	-	-	-	-	-
Other	-	-	-	-	-
Total Operating Activities	-	-	-	-	-
INVESTMENT ACTIVITIES <i>{enter descriptions below}</i>					
Example - Subtract Property and Equipment Expenditures	-	-	-	-	-
Other	-	-	-	-	-
Total Investment Activities	-	-	-	-	-
FINANCING ACTIVITIES <i>{enter descriptions below}</i>					
Example - Add Expected Proceeds from a Loan or Line of Credit	-	-	-	-	-
Other	-	-	-	-	-
Total Financing Activities	-	-	-	-	-
Total Cash Flow Adjustments	-	-	-	-	-
NET INCOME	-	-	283,696	-	-
Beginning Cash Balance	-	-	851,089	-	-
ENDING CASH BALANCE	-	-	1,134,786	-	-

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
Budget / Operating Plan

2018-19

Total Revenue	15,781,404	15,781,404	-	15,781,404	15,781,404
Total Expenses	14,646,618	14,646,618	-	(14,646,618)	(14,646,618)
Net Income	1,134,786	1,134,786	-	1,134,786	1,134,786
Actual Student Enrollment					

	Total Year			VARIANCE	
	Original Budget	Revised Budget	Variance	Original Budget vs. PY Budget	Revised Budget vs. PY Budget

DESCRIPTION OF ASSUMPTIONS

CASH FLOW ADJUSTMENTS					
<i>OPERATING ACTIVITIES {enter descriptions below}</i>					
Example - Add Back Depreciation	-	-	-	-	-
Other	-	-	-	-	-
Total Operating Activities	-	-	-	-	-
<i>INVESTMENT ACTIVITIES {enter descriptions below}</i>					
Example - Subtract Property and Equipment Expenditures	-	-	-	-	-
Other	-	-	-	-	-
Total Investment Activities	-	-	-	-	-
<i>FINANCING ACTIVITIES {enter descriptions below}</i>					
Example - Add Expected Proceeds from a Loan or Line of Credit	-	-	-	-	-
Other	-	-	-	-	-
Total Financing Activities	-	-	-	-	-
Total Cash Flow Adjustments	-	-	-	-	-
NET INCOME	1,134,786	1,134,786	-	1,134,786	1,134,786
Beginning Cash Balance	-	-	-	-	-
ENDING CASH BALANCE	1,134,786	1,134,786	-	1,134,786	1,134,786

SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE

DO NOT ENTER BALANCE SHEET DATA ON THIS
TEMPLATE

Balance sheet data should for the Ed Corp:
Success Academy Charter Schools -NYC (Combined)
should be entered on the template for
Success Academy Charter School -Harlem 3.

**BALANCE SHEET
2018-19**

	<u>Prior Year</u>	Q1	Q2	Q3	Q4
	2017-18	As of 9/30	As of 12/31	As of 3/31	As of 6/30
<u>ASSETS</u>					
<u>CURRENT ASSETS</u>					
Cash and cash equivalents	-	-	-	-	-
Grants and contracts receivable	-	-	-	-	-
Accounts receivables	-	-	-	-	-
Prepaid Expenses	-	-	-	-	-
Contributions and other receivables	-	-	-	-	-
TOTAL CURRENT ASSETS	-	-	-	-	-
<u>PROPERTY, BUILDING AND EQUIPMENT, net</u>	-	-	-	-	-
<u>OTHER ASSETS</u>	-	-	-	-	-
TOTAL ASSETS	-	-	-	-	-
<u>LIABILITIES AND NET ASSETS</u>					
<u>CURRENT LIABILITIES</u>					
Accounts payable and accrued expenses	-	-	-	-	-
Accrued payroll and benefits	-	-	-	-	-
Deferred Revenue	-	-	-	-	-
Current maturities of long-term debt	-	-	-	-	-
Short Term Debt - Bonds, Notes Payable	-	-	-	-	-
Other	-	-	-	-	-
TOTAL CURRENT LIABILITIES	-	-	-	-	-
<u>LONG-TERM DEBT and NOTES PAYABLE, net current maturities</u>	-	-	-	-	-
TOTAL LIABILITIES	-	-	-	-	-
<u>NET ASSETS</u>					
Unrestricted	-	-	-	-	-
Temporarily restricted	-	-	-	-	-
TOTAL NET ASSETS	-	-	-	-	-
TOTAL LIABILITIES AND NET ASSETS	-	-	-	-	-

SUCCESS ACADEMY CHARTER SCHOOL
Budget / Operating Plan

2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-
Net Income	-	283,696	-	-	283,696	-	-
Actual Student Enrollment	-	757	-	-	757	-	-

	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual
	*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed						
Other	-	-	-	-	-	-	-
TOTAL REVENUE FROM FEDERAL SOURCES	-	104,848	-	-	104,848	-	-
LOCAL and OTHER REVENUE							
Contributions and Donations	-	-	-	-	-	-	-
Fundraising	-	-	-	-	-	-	-
Erate Reimbursement	-	-	-	-	-	-	-
Earnings on Investments	-	-	-	-	-	-	-
Interest Income	-	-	-	-	-	-	-
Food Service (Income from meals)	-	-	-	-	-	-	-
Text Book	-	-	-	-	-	-	-
OTHER	-	-	-	-	-	-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-	-	-
TOTAL REVENUE	-	3,945,351	-	-	3,945,351	-	-

Total Revenue	-	3,945,351	-	-	3,945,351	-	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-
Net Income	-	283,696	-	-	283,696	-	-
Actual Student Enrollment	-	757	-	-	757	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual

EXPENSES

Quarter 0

ADMINISTRATIVE STAFF PERSONNEL COSTS

No. of Positions

Executive Management	-	-	-	-	-	-	-
Instructional Management	-	192,156	-	-	192,156	-	-
Deans, Directors & Coordinators	-	98,601	-	-	98,601	-	-
CFO / Director of Finance	-	-	-	-	-	-	-
Operation / Business Manager	-	61,188	-	-	61,188	-	-
Administrative Staff	-	-	-	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	-	351,945	-	-	351,945	-	-

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	-	494,188	-	-	494,188	-	-
Teachers - SPED	-	355,550	-	-	355,550	-	-
Substitute Teachers	-	-	-	-	-	-	-
Teaching Assistants	-	100,000	-	-	100,000	-	-
Specialty Teachers	-	162,325	-	-	162,325	-	-
Aides	-	-	-	-	-	-	-
Therapists & Counselors	-	33,750	-	-	33,750	-	-
Other	-	-	-	-	-	-	-
TOTAL INSTRUCTIONAL	-	1,145,813	-	-	1,145,813	-	-

NON-INSTRUCTIONAL PERSONNEL COSTS

Nurse	-	-	-	-	-	-	-
Librarian	-	-	-	-	-	-	-
Custodian	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-	-	-

SUBTOTAL PERSONNEL SERVICE COSTS

	-	1,497,758	-	-	1,497,758	-	-
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PAYROLL TAXES AND BENEFITS

Payroll Taxes	-	132,535	-	-	132,535	-	-
Fringe / Employee Benefits	-	142,287	-	-	142,287	-	-
Retirement / Pension	-	89,865	-	-	89,865	-	-
TOTAL PAYROLL TAXES AND BENEFITS	-	364,687	-	-	364,687	-	-

TOTAL PERSONNEL SERVICE COSTS

	-	1,862,445	-	-	1,862,445	-	-
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SUCCESS ACADEMY CHARTER SCHOOL

Budget / Operating Plan

2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-
Net Income	-	283,696	-	-	283,696	-	-
Actual Student Enrollment	-	757	-	-	757	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual
	CONTRACTED SERVICES						
Accounting / Audit		172	-		172	-	
Legal		-	-		-	-	
Management Company Fee		434,252	-		434,252	-	
Nurse Services		-	-		-	-	
Food Service / School Lunch		-	-		-	-	
Payroll Services		9,855	-		9,855	-	
Special Ed Services		-	-		-	-	
Titlment Services (i.e. Title I)		-	-		-	-	
Other Purchased / Professional / Consulting		8,887	-		8,887	-	
TOTAL CONTRACTED SERVICES	-	453,165	-	-	453,165	-	-

SUCCESS ACADEMY CHARTER SCHOOL

Budget / Operating Plan

2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-
Net Income	-	283,696	-	-	283,696	-	-
Actual Student Enrollment	-	757	-	-	757	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual

SCHOOL OPERATIONS

Board Expenses	-	-	-	-	-	-	-
Classroom / Teaching Supplies & Materials	137,358	-	-	137,358	-	-	-
Special Ed Supplies & Materials	-	-	-	-	-	-	-
Textbooks / Workbooks	-	-	-	-	-	-	-
Supplies & Materials other	68,052	-	-	68,052	-	-	-
Equipment / Furniture	57,701	-	-	57,701	-	-	-
Telephone	-	-	-	-	-	-	-
Technology	102,240	-	-	102,240	-	-	-
Student Testing & Assessment	6,250	-	-	6,250	-	-	-
Field Trips	24,426	-	-	24,426	-	-	-
Transportation (student)	3,523	-	-	3,523	-	-	-
Student Services - other	68,738	-	-	68,738	-	-	-
Office Expense	50,478	-	-	50,478	-	-	-
Staff Development	44,150	-	-	44,150	-	-	-
Staff Recruitment	11,375	-	-	11,375	-	-	-
Student Recruitment / Marketing	25,832	-	-	25,832	-	-	-
School Meals / Lunch	120,894	-	-	120,894	-	-	-
Travel (Staff)	12,266	-	-	12,266	-	-	-
Fundraising	-	-	-	-	-	-	-
Other	5,687	-	-	5,687	-	-	-
TOTAL SCHOOL OPERATIONS	-	738,971	-	-	738,971	-	-

FACILITY OPERATION & MAINTENANCE

Insurance	22,751	-	-	22,751	-	-	-
Janitorial	-	-	-	-	-	-	-
Building and Land Rent / Lease / Facility Finance Interest	454,228	-	-	454,228	-	-	-
Repairs & Maintenance	33,581	-	-	33,581	-	-	-
Equipment / Furniture	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-
Utilities	-	-	-	-	-	-	-
TOTAL FACILITY OPERATION & MAINTENANCE	-	510,559	-	-	510,559	-	-

DEPRECIATION & AMORTIZATION

	96,515	-	-	96,515	-	-	-
RESERVES / CONTINGENCY	-	-	-	-	-	-	-
DEFERRED RENT	-	-	-	-	-	-	-

SUCCESS ACADEMY CHARTER SCHOOL
Budget / Operating Plan

2018-19

Total Revenue	-	3,945,351	-	-	3,945,351	-	-
Total Expenses	-	3,661,655	-	-	3,661,655	-	-
Net Income	-	283,696	-	-	283,696	-	-
Actual Student Enrollment	-	757	-	-	757	-	-

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	1st Quarter - 7/1 - 9/30			2nd Quarter - 10/1 - 12/31			3rd Quarter
	Actual	Current Budget	Variance	Actual	Current Budget	Variance	Actual
TOTAL EXPENSES	-	<u>3,661,655</u>	-	-	<u>3,661,655</u>	-	-
NET INCOME	-	<u>283,696</u>	-	-	<u>283,696</u>	-	-

L - UNION SQUARE

n

Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	757	-	-	757	-
	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	Current Budget	Variance	Actual	Current Budget	Variance
	-	-		-	-
Other	-	-		-	-
TOTAL REVENUE FROM FEDERAL SOURCES	104,848	-	-	104,848	-
LOCAL and OTHER REVENUE					
Contributions and Donations	-	-		-	-
Fundraising	-	-		-	-
Erate Reimbursement	-	-		-	-
Earnings on Investments	-	-		-	-
Interest Income	-	-		-	-
Food Service (Income from meals)	-	-		-	-
Text Book	-	-		-	-
OTHER	-	-		-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-
TOTAL REVENUE	3,945,351	-	-	3,945,351	-

L - UNION SQUARE

n

Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	757	-	-	757	-

<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Current Budget	Variance	Actual	Current Budget	Variance

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

	Quarter 0 No. of Positions				
Executive Management	-	-		-	-
Instructional Management	-	192,156	-	192,156	-
Deans, Directors & Coordinators	-	98,601	-	98,601	-
CFO / Director of Finance	-	-	-	-	-
Operation / Business Manager	-	61,188	-	61,188	-
Administrative Staff	-	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	-	351,945	-	351,945	-

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	-	494,188	-	494,188	-
Teachers - SPED	-	355,550	-	355,550	-
Substitute Teachers	-	-	-	-	-
Teaching Assistants	-	100,000	-	100,000	-
Specialty Teachers	-	162,325	-	162,325	-
Aides	-	-	-	-	-
Therapists & Counselors	-	33,750	-	33,750	-
Other	-	-	-	-	-
TOTAL INSTRUCTIONAL	-	1,145,813	-	1,145,813	-

NON-INSTRUCTIONAL PERSONNEL COSTS

Nurse	-	-	-	-	-
Librarian	-	-	-	-	-
Custodian	-	-	-	-	-
Security	-	-	-	-	-
Other	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-

SUBTOTAL PERSONNEL SERVICE COSTS

PAYROLL TAXES AND BENEFITS

Payroll Taxes		132,535	-	132,535	-
Fringe / Employee Benefits		142,287	-	142,287	-
Retirement / Pension		89,865	-	89,865	-
TOTAL PAYROLL TAXES AND BENEFITS		364,687	-	364,687	-

TOTAL PERSONNEL SERVICE COSTS

	-	1,862,445	-	1,862,445	-
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L - UNION SQUARE					
n					
Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	757	-	-	757	-
		Quarter - 1/1 - 3/31	4th Quarter - 4/1 - 6/30		
*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed		L - UNION SQUARE			
		n	Current Budget	Variance	Actual
CONTRACTED SERVICES					
Accounting / Audit	172	-		172	-
Legal	-	-		-	-
Management Company Fee	434,252	-		434,252	-
Nurse Services	-	-		-	-
Food Service / School Lunch	-	-		-	-
Payroll Services	9,855	-		9,855	-
Special Ed Services	-	-		-	-
Titlment Services (i.e. Title I)	-	-		-	-
Other Purchased / Professional / Consulting	<u>8,887</u>	-		<u>8,887</u>	-
TOTAL CONTRACTED SERVICES	<u>453,165</u>	-	-	<u>453,165</u>	-

L - UNION SQUARE

n

Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	757	-	-	757	-

<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Current Budget	Variance	Actual	Current Budget	Variance

SCHOOL OPERATIONS

Board Expenses	-	-		-	-
Classroom / Teaching Supplies & Materials	137,358	-		137,358	-
Special Ed Supplies & Materials	-	-		-	-
Textbooks / Workbooks	-	-		-	-
Supplies & Materials other	68,052	-		68,052	-
Equipment / Furniture	57,701	-		57,701	-
Telephone	-	-		-	-
Technology	102,240	-		102,240	-
Student Testing & Assessment	6,250	-		6,250	-
Field Trips	24,426	-		24,426	-
Transportation (student)	3,523	-		3,523	-
Student Services - other	68,738	-		68,738	-
Office Expense	50,478	-		50,478	-
Staff Development	44,150	-		44,150	-
Staff Recruitment	11,375	-		11,375	-
Student Recruitment / Marketing	25,832	-		25,832	-
School Meals / Lunch	120,894	-		120,894	-
Travel (Staff)	12,266	-		12,266	-
Fundraising	-	-		-	-
Other	5,687	-		5,687	-
TOTAL SCHOOL OPERATIONS	738,971	-	-	738,971	-

FACILITY OPERATION & MAINTENANCE

Insurance	22,751	-		22,751	-
Janitorial	-	-		-	-
Building and Land Rent / Lease / Facility Finance Interest	454,228	-		454,228	-
Repairs & Maintenance	33,581	-		33,581	-
Equipment / Furniture	-	-		-	-
Security	-	-		-	-
Utilities	-	-		-	-
TOTAL FACILITY OPERATION & MAINTENANCE	510,559	-	-	510,559	-

DEPRECIATION & AMORTIZATION

RESERVES / CONTINGENCY

DEFERRED RENT

	96,515	-		96,515	-
	-	-		-	-
	-	-		-	-

L - UNION SQUARE

n

L - UNION SQUARE

Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	757	-	-	757	-

<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Current			Current	
	Budget	Variance	Actual	Budget	Variance
TOTAL EXPENSES	<u>3,661,655</u>	-	-	<u>3,661,655</u>	-
NET INCOME	<u>283,696</u>	-	-	<u>283,696</u>	-

L - UNION SQUARE

n

Total Revenue	3,945,351	-	-	3,945,351	-
Total Expenses	3,661,655	-	-	3,661,655	-
Net Income	283,696	-	-	283,696	-
Actual Student Enrollment	Quarter - 1/1 - 3/31 757	-	-	757	-

<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>	Quarter - 1/1 - 3/31		4th Quarter - 4/1 - 6/30		
	Current Budget	Variance	Actual	Current Budget	Variance

ENROLLMENT - *School Districts Are Linked To Above Entries*					
NYC CHANCELLOR'S OFFICE	757	-	-	757	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
ALL OTHER School Districts: (Count = 0)	-	-	-	-	-
TOTAL ENROLLMENT	<u>757</u>	-	-	<u>757</u>	-
REVENUE PER PUPIL	<u>5,215</u>	-	-	<u>5,215</u>	-
EXPENSES PER PUPIL	<u>4,840</u>	-	-	<u>4,840</u>	-

SUCCESS ACADEMY CHARTER SCHOOL - UNION
Budget / Operating Plan

2018-19

Total Revenue	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404
Total Expenses	-	-	-	14,646,618	14,646,618	-	-	14,646,618
Net Income	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786
Actual Student Enrollment	-	-	-			-	-	

***NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed**

TOTALS AND VARIANCE ANALYSIS

	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget	Original Budget -
Other	-	-	-	-	-	-	-	
TOTAL REVENUE FROM FEDERAL SOURCES	-	-	-	419,391	(419,391)	-	-	419,391
LOCAL and OTHER REVENUE								
Contributions and Donations	-	-	-	-	-	-	-	
Fundraising	-	-	-	-	-	-	-	
Erate Reimbursement	-	-	-	-	-	-	-	
Earnings on Investments	-	-	-	-	-	-	-	
Interest Income	-	-	-	-	-	-	-	
Food Service (Income from meals)	-	-	-	-	-	-	-	
Text Book	-	-	-	-	-	-	-	
OTHER	-	-	-	-	-	-	-	
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-	-	-	-	-	
TOTAL REVENUE	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404

SUCCESS ACADEMY CHARTER SCHOOL - UNION
Budget / Operating Plan

2018-19

Total Revenue	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404
Total Expenses	-	-	-	14,646,618	14,646,618	-	-	14,646,618
Net Income	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786
Actual Student Enrollment	-	-	-			-	-	

***NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed**

TOTALS AND VARIANCE ANALYSIS

				Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget	Original Budget - TY
EXPENSES										
ADMINISTRATIVE STAFF PERSONNEL COSTS	Quarter 0 No. of Positions									
Executive Management	-	-	-	-	-	-	-	-	-	-
Instructional Management	-	-	-	768,625	768,625	-	-	-	-	768,625
Deans, Directors & Coordinators	-	-	-	394,405	394,405	-	-	-	-	394,405
CFO / Director of Finance	-	-	-	-	-	-	-	-	-	-
Operation / Business Manager	-	-	-	244,750	244,750	-	-	-	-	244,750
Administrative Staff	-	-	-	-	-	-	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	-	-	-	1,407,780	1,407,780	-	-	-	-	1,407,780
INSTRUCTIONAL PERSONNEL COSTS										
Teachers - Regular	-	-	-	1,976,750	1,976,750	-	-	-	-	1,976,750
Teachers - SPED	-	-	-	1,422,200	1,422,200	-	-	-	-	1,422,200
Substitute Teachers	-	-	-	-	-	-	-	-	-	-
Teaching Assistants	-	-	-	400,000	400,000	-	-	-	-	400,000
Specialty Teachers	-	-	-	649,300	649,300	-	-	-	-	649,300
Aides	-	-	-	-	-	-	-	-	-	-
Therapists & Counselors	-	-	-	135,000	135,000	-	-	-	-	135,000
Other	-	-	-	-	-	-	-	-	-	-
TOTAL INSTRUCTIONAL	-	-	-	4,583,250	4,583,250	-	-	-	-	4,583,250
NON-INSTRUCTIONAL PERSONNEL COSTS										
Nurse	-	-	-	-	-	-	-	-	-	-
Librarian	-	-	-	-	-	-	-	-	-	-
Custodian	-	-	-	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-	-	-	-	-	-
SUBTOTAL PERSONNEL SERVICE COSTS	-	-	-	5,991,030	5,991,030	-	-	-	-	5,991,030
PAYROLL TAXES AND BENEFITS										
Payroll Taxes	-	-	-	530,140	530,140	-	-	-	-	530,140
Fringe / Employee Benefits	-	-	-	569,148	569,148	-	-	-	-	569,148
Retirement / Pension	-	-	-	359,462	359,462	-	-	-	-	359,462
TOTAL PAYROLL TAXES AND BENEFITS	-	-	-	1,458,750	1,458,750	-	-	-	-	1,458,750
TOTAL PERSONNEL SERVICE COSTS	-	-	-	7,449,780	7,449,780	-	-	-	-	7,449,780

SUCCESS ACADEMY CHARTER SCHOOL - UNION
Budget / Operating Plan

2018-19

Total Revenue	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404
Total Expenses	-	-	-	14,646,618	14,646,618	-	-	14,646,618
Net Income	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786
Actual Student Enrollment	-	-	-			-	-	

TOTALS AND VARIANCE ANALYSIS

***NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed**

	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget	Original Budget -
CONTRACTED SERVICES								
Accounting / Audit	-	-	-	687	687	-	-	687
Legal	-	-	-	-	-	-	-	-
Management Company Fee	-	-	-	1,737,008	1,737,008	-	-	1,737,008
Nurse Services	-	-	-	-	-	-	-	-
Food Service / School Lunch	-	-	-	-	-	-	-	-
Payroll Services	-	-	-	39,419	39,419	-	-	39,419
Special Ed Services	-	-	-	-	-	-	-	-
Titlement Services (i.e. Title I)	-	-	-	-	-	-	-	-
Other Purchased / Professional / Consulting	-	-	-	35,546	35,546	-	-	35,546
TOTAL CONTRACTED SERVICES	-	-	-	1,812,660	1,812,660	-	-	1,812,660

SUCCESS ACADEMY CHARTER SCHOOL - UNION

Budget / Operating Plan

2018-19

Total Revenue	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404
Total Expenses	-	-	-	14,646,618	14,646,618	-	-	14,646,618
Net Income	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786
Actual Student Enrollment	-	-	-			-	-	

***NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed**

TOTALS AND VARIANCE ANALYSIS

Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget	Original Budget -
---------------	---	----------------------------------	----------------------------	-------------------------------------	--	-----------------------------------	--------------------------

SCHOOL OPERATIONS

Board Expenses	-	-	-	-	-	-	-	-
Classroom / Teaching Supplies & Materials	-	-	-	549,434	549,434	-	-	549,434
Special Ed Supplies & Materials	-	-	-	-	-	-	-	-
Textbooks / Workbooks	-	-	-	-	-	-	-	-
Supplies & Materials other	-	-	-	272,207	272,207	-	-	272,207
Equipment / Furniture	-	-	-	230,804	230,804	-	-	230,804
Telephone	-	-	-	-	-	-	-	-
Technology	-	-	-	408,960	408,960	-	-	408,960
Student Testing & Assessment	-	-	-	25,002	25,002	-	-	25,002
Field Trips	-	-	-	97,703	97,703	-	-	97,703
Transportation (student)	-	-	-	14,091	14,091	-	-	14,091
Student Services - other	-	-	-	274,950	274,950	-	-	274,950
Office Expense	-	-	-	201,912	201,912	-	-	201,912
Staff Development	-	-	-	176,601	176,601	-	-	176,601
Staff Recruitment	-	-	-	45,501	45,501	-	-	45,501
Student Recruitment / Marketing	-	-	-	103,329	103,329	-	-	103,329
School Meals / Lunch	-	-	-	483,576	483,576	-	-	483,576
Travel (Staff)	-	-	-	49,064	49,064	-	-	49,064
Fundraising	-	-	-	-	-	-	-	-
Other	-	-	-	22,749	22,749	-	-	22,749
TOTAL SCHOOL OPERATIONS	-	-	-	2,955,882	2,955,882	-	-	2,955,882

FACILITY OPERATION & MAINTENANCE

Insurance	-	-	-	91,002	91,002	-	-	91,002
Janitorial	-	-	-	-	-	-	-	-
Building and Land Rent / Lease / Facility Finance Interest	-	-	-	1,816,913	1,816,913	-	-	1,816,913
Repairs & Maintenance	-	-	-	134,322	134,322	-	-	134,322
Equipment / Furniture	-	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-	-
Utilities	-	-	-	-	-	-	-	-
TOTAL FACILITY OPERATION & MAINTENANCE	-	-	-	2,042,238	2,042,238	-	-	2,042,238

DEPRECIATION & AMORTIZATION

RESERVES / CONTINGENCY

DEFERRED RENT

	-	-	-	386,059	386,059	-	-	386,059
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-

SUCCESS ACADEMY CHARTER SCHOOL - UNION S
Budget / Operating Plan

2018-19

Total Revenue	-	-	-	15,781,404	(15,781,404)	-	-	15,781,404
Total Expenses	-	-	-	14,646,618	14,646,618	-	-	14,646,618
Net Income	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786
Actual Student Enrollment	-	-	-			-	-	

TOTALS AND VARIANCE ANALYSIS

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed

	Actual	Current Budget (Current Quarter)	Actual vs. Current Budget	Current Budget - TY	Actual vs. Current Budget TY	Original Budget (Current Quarter)	Actual vs. Original Budget	Original Budget -
TOTAL EXPENSES	-	-	-	14,646,618	14,646,618	-	-	14,646,618
NET INCOME	-	-	-	1,134,786	(1,134,786)	-	-	1,134,786

UJARE

Total Revenue		(15,781,404)	-	-
Total Expenses		14,646,618	-	-
Net Income		(1,134,786)	-	-
Actual Student Enrollment			-	
<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>		Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
REVENUE				
REVENUES FROM STATE SOURCES				
Per Pupil Revenue	2018-19 Per Pupil Rate			
NYC CHANCELLOR'S OFFICE	15,307	(11,580,052)	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
-	-	-	-	-
ALL OTHER School Districts: (Count = 0)	-	-	-	-
TOTAL Per Pupil Revenue (Weighted Average Per Pupil Funding)	15,307	(11,580,052)	-	-
Special Education Revenue		(1,981,096)	-	-
Grants				
Stimulus		-	-	-
DYCD (Department of Youth and Community Development)		-	-	-
Other		(391,121)	-	-
NYC DoE Rental Assistance		(1,409,744)	-	-
Other		-	-	-
TOTAL REVENUE FROM STATE SOURCES		(15,362,012)	-	-
REVENUE FROM FEDERAL FUNDING				
IDEA Special Needs		-	-	-
Title I		(297,387)	-	-
Title Funding - Other		(122,005)	-	-
School Food Service (Free Lunch)		-	-	-
Grants				
Charter School Program (CSP) Planning & Implementation		-	-	-
Other		-	-	-

UJARE

Total Revenue	(15,781,404)	-	-
Total Expenses	14,646,618	-	-
Net Income	(1,134,786)	-	-
Actual Student Enrollment		-	
<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>			
	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
Other	-	-	-
TOTAL REVENUE FROM FEDERAL SOURCES	(419,391)	-	-
LOCAL and OTHER REVENUE			
Contributions and Donations	-	-	-
Fundraising	-	-	-
Erate Reimbursement	-	-	-
Earnings on Investments	-	-	-
Interest Income	-	-	-
Food Service (Income from meals)	-	-	-
Text Book	-	-	-
OTHER	-	-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES	-	-	-
TOTAL REVENUE	(15,781,404)	-	-

UARE

Total Revenue	(15,781,404)	-	-
Total Expenses	14,646,618	-	-
Net Income	(1,134,786)	-	-
Actual Student Enrollment		-	

<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY

EXPENSES	Quarter 0 No. of Positions			
ADMINISTRATIVE STAFF PERSONNEL COSTS				
Executive Management	-	-	-	-
Instructional Management	-	768,625	-	-
Deans, Directors & Coordinators	-	394,405	-	-
CFO / Director of Finance	-	-	-	-
Operation / Business Manager	-	244,750	-	-
Administrative Staff	-	-	-	-
TOTAL ADMINISTRATIVE STAFF	-	1,407,780	-	-
INSTRUCTIONAL PERSONNEL COSTS				
Teachers - Regular	-	1,976,750	-	-
Teachers - SPED	-	1,422,200	-	-
Substitute Teachers	-	-	-	-
Teaching Assistants	-	400,000	-	-
Specialty Teachers	-	649,300	-	-
Aides	-	-	-	-
Therapists & Counselors	-	135,000	-	-
Other	-	-	-	-
TOTAL INSTRUCTIONAL	-	4,583,250	-	-
NON-INSTRUCTIONAL PERSONNEL COSTS				
Nurse	-	-	-	-
Librarian	-	-	-	-
Custodian	-	-	-	-
Security	-	-	-	-
Other	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-
SUBTOTAL PERSONNEL SERVICE COSTS	-	5,991,030	-	-
PAYROLL TAXES AND BENEFITS				
Payroll Taxes		530,140	-	-
Fringe / Employee Benefits		569,148	-	-
Retirement / Pension		<u>359,462</u>	-	-
TOTAL PAYROLL TAXES AND BENEFITS		1,458,750	-	-
TOTAL PERSONNEL SERVICE COSTS	-	7,449,780	-	-

UARE

Total Revenue	(15,781,404)	-	-
Total Expenses	14,646,618	-	-
Net Income	(1,134,786)	-	-
Actual Student Enrollment		-	

***NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed**

	Actual UARE vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
CONTRACTED SERVICES			
Accounting / Audit	687	-	-
Legal	-	-	-
Management Company Fee	1,737,008	-	-
Nurse Services	-	-	-
Food Service / School Lunch	-	-	-
Payroll Services	39,419	-	-
Special Ed Services	-	-	-
Titlment Services (i.e. Title I)	-	-	-
Other Purchased / Professional / Consulting	35,546	-	-
TOTAL CONTRACTED SERVICES	1,812,660	-	-

UARE

Total Revenue	(15,781,404)	-	-
Total Expenses	14,646,618	-	-
Net Income	(1,134,786)	-	-
Actual Student Enrollment		-	
<p>*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed</p>			
	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
SCHOOL OPERATIONS			
Board Expenses	-	-	-
Classroom / Teaching Supplies & Materials	549,434	-	-
Special Ed Supplies & Materials	-	-	-
Textbooks / Workbooks	-	-	-
Supplies & Materials other	272,207	-	-
Equipment / Furniture	230,804	-	-
Telephone	-	-	-
Technology	408,960	-	-
Student Testing & Assessment	25,002	-	-
Field Trips	97,703	-	-
Transportation (student)	14,091	-	-
Student Services - other	274,950	-	-
Office Expense	201,912	-	-
Staff Development	176,601	-	-
Staff Recruitment	45,501	-	-
Student Recruitment / Marketing	103,329	-	-
School Meals / Lunch	483,576	-	-
Travel (Staff)	49,064	-	-
Fundraising	-	-	-
Other	22,749	-	-
TOTAL SCHOOL OPERATIONS	2,955,882	-	-
FACILITY OPERATION & MAINTENANCE			
Insurance	91,002	-	-
Janitorial	-	-	-
Building and Land Rent / Lease / Facility Finance Interest	1,816,913	-	-
Repairs & Maintenance	134,322	-	-
Equipment / Furniture	-	-	-
Security	-	-	-
Utilities	-	-	-
TOTAL FACILITY OPERATION & MAINTENANCE	2,042,238	-	-
DEPRECIATION & AMORTIZATION	386,059	-	-
RESERVES / CONTINGENCY	-	-	-
DEFERRED RENT	-	-	-

UARE

UARE							
Total Revenue	(15,781,404)	-	-				
Total Expenses	14,646,618	-	-				
Net Income	(1,134,786)	-	-				
Actual Student Enrollment		-	-				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 5px; text-align: center;"> *NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed </td> <td style="width: 15%; padding: 5px; text-align: center;"> Actual vs. Original Budget TY </td> <td style="width: 15%; padding: 5px; text-align: center;"> PY Actual (PY TY / No. of COMPLETED Actual CY </td> <td style="width: 10%; padding: 5px; text-align: center;"> Actual CY vs. Actual PY </td> </tr> </table>				*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY				
TOTAL EXPENSES	14,646,618	-	-				
NET INCOME	(1,134,786)	-	-				

UARE			
-			

Total Revenue	(15,781,404)	-	-
Total Expenses	14,646,618	-	-
Net Income	(1,134,786)	-	-
Actual Student Enrollment		-	

*NOTE: Enrollment, Revenue and Expenditure Data IN the 'Total and Variance Analysis' Section is Based on LAST ACTUAL Quarter Completed	Actual vs. Original Budget TY	PY Actual (PY TY / No. of COMPLETED Actual CY	Actual CY vs. Actual PY
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ENROLLMENT - *School Districts Are Linked To Above Entries*			
NYC CHANCELLOR'S OFFICE		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
-		-	-
ALL OTHER School Districts: (Count = 0)		-	-
TOTAL ENROLLMENT		-	-
REVENUE PER PUPIL		-	-
EXPENSES PER PUPIL		-	-



Annual Report Requirement
for SUNY Authorized Charter Schools
SUCCESS ACADEMY CHARTER SCHOOL - UNION SQUARE
2018-19

Administrative expenditures per pupil:	\$0.00
Per NYS Statute	Administrative expenditures per pupil: the sum of all general administration salaries and other general administration expenditures divided by the total number of enrolled students. Employee benefit costs or expenditures should not be reported here.

***NOTE: THIS TAB ONLY NEEDS TO BE COMPLETED FOR Q4**

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): andrew stone
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): n/a

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute
8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
<i>Please write “None” if applicable. Do not leave this space blank.</i>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				

andrew stone
andrew stone (Feb 20, 2018)

Signature

02/20/2018

Date



FOR INSTITUTE USE ONLY
FILING FOR SCHOOL YEAR: _____
DATE RECEIVED: _____

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

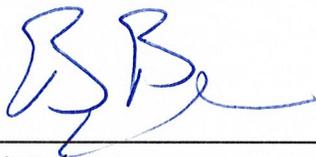
- Name of education corporation: **Success Academy Charter Schools – NYC**
- Trustee’s name (print): BRYAN BINDER
- Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.):
VICE CHAIRMAN
- Home address: On file with SUNY Charter Schools Institute
- Business Address: On file with SUNY Charter Schools Institute
- Daytime phone: On file with SUNY Charter Schools Institute
- E-mail: On file with SUNY Charter Schools Institute
- Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

- Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	NONE		
<i>Please write “None” if applicable. Do not leave this space blank.</i>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please write "None."

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				



Signature

4-19-18

Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Brian T Levine
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): _____

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute
8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				

Brian T Levine
Brian T Levine (Feb 20, 2018)

Signature

02/20/2018

Date



**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Catherine Shanker
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.):
ACT committee (chair)
4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute
8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
<p>Please write “None” if applicable. Do not leave this space blank.</p> <p><i>None</i></p>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please write "None."

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p>Please write "None" if applicable. Do not leave this space blank.</p> <p style="font-size: 2em; color: blue;">None</p>				

[Handwritten Signature]

Signature

April 18, 2018

Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Derrell Bradford
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Trustee

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute

8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				

Derrell Bradford
Derrell Bradford (Feb 22, 2018)

Signature

02/22/2018

Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Edwin Cespedes
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): _____
Parent Representative
4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute
8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				

Edwin Cespedes
Edwin Cespedes (Feb 24, 2018)

Signature

02/24/2018

Date

Edwin Cespedes
Edwin Cespedes (Feb 27, 2018)



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DATE RECEIVED: _____

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

- Name of education corporation: **Success Academy Charter Schools – NYC**
- Trustee’s name (print): Graham Officer
- Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Board Member

- Home address: On file with SUNY Charter Schools Institute
- Business Address: On file with SUNY Charter Schools Institute
- Daytime phone: On file with SUNY Charter Schools Institute
- E-mail: On file with SUNY Charter Schools Institute
- Is Trustee an employee of the education corporation? ___Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

- Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
<p align="center">NONE</p> <p align="center"><i>Please write “None” if applicable. Do not leave this space blank.</i></p>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p> <p style="font-size: 2em; font-weight: bold; margin: 0;">NONE</p>				

[Handwritten Signature]

 Signature

2/20/13

 Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Gregory R. Sawers
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Secretary

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute

8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
<i>Please write “None” if applicable. Do not leave this space blank.</i>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				

Gregory R. Sawers
Gregory R. Sawers (Feb 22, 2018)

Signature

02/22/2018

Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): isela bahena
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): n/a

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute
8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p>				


isela bahena (Feb 26, 2018)

Signature

02/26/2018

Date



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**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

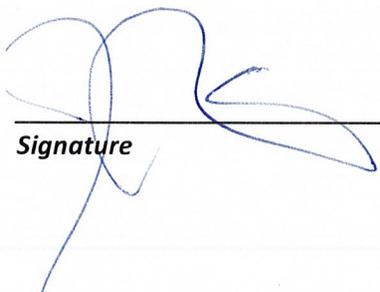
- Name of education corporation: **Success Academy Charter Schools – NYC**
- Trustee’s name (print): Jarrett Posner
- Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): FINANCES
COMMITTEE MEMBER
- Home address: On file with SUNY Charter Schools Institute
- Business Address: On file with SUNY Charter Schools Institute
- Daytime phone: On file with SUNY Charter Schools Institute
- E-mail: On file with SUNY Charter Schools Institute
- Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

- Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
<p align="center"><i>Please write “None” if applicable. Do not leave this space blank.</i></p> <p align="center">NONE</p>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p><i>Please write "None" if applicable. Do not leave this space blank.</i></p> <p>NONE</p>				



Signature

4/19/18

Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Lorenzo Smith III
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Finance Committee

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute

8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				

Lorenzo Smith III
Lorenzo Smith III (Feb 23, 2018)

Signature

02/23/2018

Date



**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

- 1. Name of education corporation: _____ Success Academy Charter Schools -- NYC _____
- 2. Trustee's name (print): _____ Robin Pzena _____
- 3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): _____

- 4. Home address: _____

- 5. Business Address: _____

- 6. Daytime phone: _____
- 7. E-mail: _____

8. Is Trustee an employee of the education corporation? ___ Yes. ___ No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write "None." Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

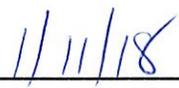
Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
<i>Please write "None" if applicable. Do not leave this space blank.</i>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
Success Academy Charter Schools	Charter management organization to the education corporation		Rich Pzena	
<i>Please write "None" if applicable. Do not leave this space blank.</i>				



Signature



Date



FOR INSTITUTE USE ONLY
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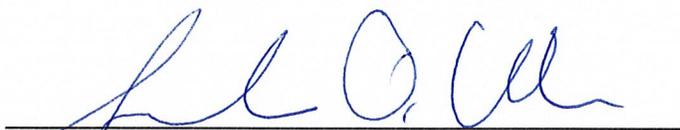
**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

- Name of education corporation: **Success Academy Charter Schools – NYC**
- Trustee’s name (print): Samuel Cole
- Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Chair
- Home address: On file with SUNY Charter Schools Institute
- Business Address: On file with SUNY Charter Schools Institute
- Daytime phone: On file with SUNY Charter Schools Institute
- E-mail: On file with SUNY Charter Schools Institute
- Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.
- Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

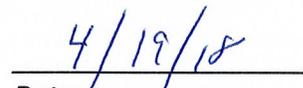
Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
<p align="center"><i>Please write “None” if applicable. Do not leave this space blank.</i></p> <p align="center">None</p>			

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please write "None."

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p>Please write "None" if applicable. Do not leave this space blank.</p> <p style="font-size: 2em; color: blue;">None</p>				



 Signature



 Date

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

1. Name of education corporation: **Success Academy Charter Schools – NYC**
2. Trustee’s name (print): Scott J. Friedman
3. Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): Treasurer

4. Home address: On file with SUNY Charter Schools Institute
5. Business Address: On file with SUNY Charter Schools Institute
6. Daytime phone: On file with SUNY Charter Schools Institute
7. E-mail: On file with SUNY Charter Schools Institute

8. Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please *write “None.”* Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	none		
	<i>Please write “None” if applicable. Do not leave this space blank.</i>		

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please *write "None."*

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<i>Please write "None" if applicable. Do not leave this space blank.</i>				

Scott J. Friedman
Scott J. Friedman (Mar 26, 2018)

Signature

03/26/2018

Date



FOR INSTITUTE USE ONLY
FILING FOR SCHOOL YEAR: _____
DATE RECEIVED: _____

**DISCLOSURE OF FINANCIAL INTEREST
BY A NOT-FOR-PROFIT CHARTER SCHOOL
EDUCATION CORPORATION TRUSTEE**

- Name of education corporation: **Success Academy Charter Schools – NYC**
- Trustee’s name (print): Suleman Luqat
- Position(s) on board, if any: (e.g., chair, treasurer, committee chair, etc.): N/A

- Home address: On file with SUNY Charter Schools Institute
- Business Address: On file with SUNY Charter Schools Institute
- Daytime phone: On file with SUNY Charter Schools Institute
- E-mail: On file with SUNY Charter Schools Institute
- Is Trustee an employee of the education corporation? Yes. No. If you checked yes, please provide a description of the position you hold, your salary and your start date.

9. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members have held or engaged in with the education corporation during the prior school year. If there has been no such financial interest or transaction, please write “None.” Please note that if you answered yes to Question 8, you need not disclose again your employment status, salary, etc.

Date(s)	Nature of Financial Interest/Transaction	Steps Taken to Avoid a Conflict of Interest, (e.g., did not vote, did not participate in discussion)	Identity of Person Holding Interest or Engaging in Transaction (e.g., you and/or immediate family member (name))
	NONE		

Please write “None” if applicable. Do not leave this space blank.

10. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the education corporation *and* in which such entity, during the preceding school year (July 1 – June 30), you and/or your immediate family member(s) had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the education corporation that is doing business with the education corporation through a management or services agreement, you need not list every transaction between such entity and the education corporation that is pursuant to such agreement; rather, please identify only the name of the entity, your position in the entity as well as the relationship between such entity and the education corporation. If there was no financial interest, please write "None."

Entity Conducting Business with the Education Corporation	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Holding an Interest in the Entity Conducting Business with the Education Corporation and the Nature of the Interest	Steps Taken to Avoid Conflict of Interest
<p style="font-size: 2em; color: blue;">NONE</p> <p style="color: gray; font-style: italic;">Please write "None" if applicable. Do not leave this space blank.</p>				



Signature



Date



Entry 8 BOT Table

Last updated: 07/31/2018

1. Current Board Member Information (Enter info for each BOT member)

	Trustee Name and Email Address	Position on the Board	Committee Affiliations	Voting Member Per By-Laws (Y/N)	Number of Terms Served	Start Date of Current Term (MM/DD/YYYY)	End Date of Current Term (MM/DD/YYYY)	Board Meetings Attended During 2017-18
1	Bryan Binder	Vice Chair	Executive	Yes	2	07/01/2015	06/30/2018	6
2	Derrell Bradford	Trustee/Member	Academics & Culture	Yes	2	07/01/2016	06/30/2019	6
3	Sam Cole	Chair	Executive	Yes	2	07/01/2016	06/30/2019	6
4	Scott Friedman	Treasurer	Executive ; Finance	Yes	2	07/01/2016	06/30/2019	6
5	Suleman Lunat	Trustee/Member	Finance	Yes	2	07/01/2017	06/30/2020	6
6	Edwin Cespedes	Parent Rep		No	1	07/01/2017	06/30/2020	6
7	Jarrett Posner	Trustee/Member	Finance	Yes	2	07/01/2017	06/30/2020	6
8	Robin Pzena	Trustee/Member		Yes	1	07/01/2017	06/30/2020	6
9	Greg Sawers	Secretary	Executive	Yes	2	07/01/2015	06/30/2018	6

1a. Are there more than 9 members of the Board of Trustees?

Yes

1b. Current Board Member Information

	Trustee Name and Email Address	Position on the Board	Committee Affiliations	Voting Member Per By-Laws (Y/N)	Number of Terms Served	Start Date of Current Term (MM/DD/YYYY)	End Date of Current Term (MM/DD/YYYY)	Board Meetings Attended During 2017-18
10	Cate Shinker	Trustee/Member	Executive ; Academics & Culture	Yes	2	07/01/2016	06/30/2019	6
11	Brian Levine	Trustee/Member	Academics & Culture	Yes	2	07/01/2015	06/30/2018	6
12	Lorenzo Smith	Trustee/Member	Finance	Yes	1	07/01/2015	06/30/2018	6
13	Andy Stone	Trustee/Member	Finance	Yes	1	07/01/2016	06/30/2019	6
14								
15								

1c. Are there more than 15 members of the Board of Trustees? No

2. Total number of members on June 30, 2018 13

3. Total number of members joining the Board during the 2017-18 school year 0

4. Total number of members departing the Board during the 2017-18 school year 3

5. Number of voting members in 2017-18, as set by the by-laws, resolution or minutes 12

6. Number of Board meetings conducted during the 2017-18 School Year bi-monthly

7. Number of Board meetings scheduled for the coming 2018-19 school year bi-monthly

Thank you.



Entry 10 Enrollment and Retention of Special Populations

Last updated: 07/31/2018

Instructions for Reporting Enrollment and Retention Strategies

Describe the efforts the charter school has made in 2017-18 toward meeting targets to attract and retain enrollment of students with disabilities, English language learners, and students who are economically disadvantaged. In addition, describe the school’s plans for meeting or making progress toward meeting its enrollment and retention targets in 2018-19.

SUCCESS ACAD CS-UNION SQUARE (SUNY TRUSTEES)Section Heading

Recruitment/Attraction Efforts Toward Meeting Targets

	Describe Recruitment Efforts in 2017-18	Describe Recruitment Plans in 2018-19)
	<p>Success Academy Charter Schools use robust outreach efforts to educate families about the option of applying to Success Academy schools. Each School’s outreach goal is that every family within the School’s Community School District (“CSD” or “in-district”) knows about the School and its world-class educational program, so that the family can choose to apply. This level of outreach is critical to reaching typically underserved families within the community, including those targeted by the enrollment and retention targets.</p> <p>All Success Academy schools undertake numerous outreach activities to recruit applicants. Some of the activities that promote the Schools and recruit applicants include:</p> <ul style="list-style-type: none"> • Mailings and distributions to residents of the School’s CSD, • Mailings and distributions to residents in low-income in-district communities, • Mailings and distributions to residents in mixed-income in-district communities, • Targeted distribution of foreign-language materials to foreign-language-speaking individuals and communities within the CSD, as determined by each School, 	<p>Success Academy Charter Schools use robust outreach efforts to educate families about the option of applying to Success Academy schools. Each School’s outreach goal is that every family within the School’s Community School District (“CSD” or “in-district”) knows about the School and its world-class educational program, so that the family can choose to apply. This level of outreach is critical to reaching typically underserved families within the community, including those targeted by the enrollment and retention targets.</p> <p>All Success Academy schools undertake numerous outreach activities to recruit applicants. Some of the activities that promote the Schools and recruit applicants include:</p> <ul style="list-style-type: none"> • Mailings and distributions to residents of the School’s CSD, • Mailings and distributions to residents in low-income in-district communities, • Mailings and distributions to residents in mixed-income in-district communities, • Targeted distribution of foreign-language materials to foreign-language-speaking individuals and communities within the CSD, as determined by each School,

<p>Economically Disadvantaged</p>	<ul style="list-style-type: none"> • Advertisements, flyers, and/or marketing materials posted in local newspapers, supermarkets, community centers, and/or apartment complexes, and/or • Open houses at the Schools and informational sessions hosted at public and private venues frequented by families of young children, including daycare and nursery schools. <p>Success Academy’s outreach process ensures enrollment of students who qualify for the federal Free and Reduced Price Lunch program (“FRPL”). Outreach to families who likely have FRPL-eligible children includes flyers and applications delivered to public housing apartment complexes, information sessions in community centers, and other strategies listed above.</p> <p>Each School offers a breakfast, snack (one or more times per day), and lunch program to all of its students free of charge. The Schools’ leadership and staff also work hard to help ensure that challenges in the home do not impact student learning or prevent students from receiving a top-notch education. These efforts go a long way toward ensuring that students who are eligible for free and reduced price lunch have an opportunity to access the educational programs offered at the Schools.</p>	<ul style="list-style-type: none"> • Advertisements, flyers, and/or marketing materials posted in local newspapers, supermarkets, community centers, and/or apartment complexes, and/or • Open houses at the Schools and informational sessions hosted at public and private venues frequented by families of young children, including daycare and nursery schools. <p>Success Academy’s outreach process ensures enrollment of students who qualify for the federal Free and Reduced Price Lunch program (“FRPL”). Outreach to families who likely have FRPL-eligible children includes flyers and applications delivered to public housing apartment complexes, information sessions in community centers, and other strategies listed above.</p> <p>Each School offers a breakfast, snack (one or more times per day), and lunch program to all of its students free of charge. The Schools’ leadership and staff also work hard to help ensure that challenges in the home do not impact student learning or prevent students from receiving a top-notch education. These efforts go a long way toward ensuring that students who are eligible for free and reduced price lunch have an opportunity to access the educational programs offered at the Schools.</p>
<p>English Language Learners</p>	<p>See above. In addition, Success Academy Charter Schools recently reinstated a lottery preference for ELL students in its admissions policy. Success Academy’s focus on robust outreach and its highly successful programs for enrolled ELL students also serve to attract and retain ELL students.</p>	<p>See above. In addition, Success Academy Charter Schools recently reinstated a lottery preference for ELL students in its admissions policy. Success Academy’s focus on robust outreach and its highly successful programs for enrolled ELL students also serve to attract and retain ELL students.</p>
<p>Students with Disabilities</p>	<p>See above. In addition, all Success Academy schools are committed to serving children with disabilities. The Schools seek to attract all students, including special education students, by directing advertising and other recruitment efforts at a broad range of neighborhoods, pre-school programs, and community centers that serve both special education and non-special education students. Also, all schools operated by Success Academy have detailed plans for serving students with special education</p>	<p>See above. In addition, all Success Academy schools are committed to serving children with disabilities. The Schools seek to attract all students, including special education students, by directing advertising and other recruitment efforts at a broad range of neighborhoods, pre-school programs, and community centers that serve both special education and non-special education students. Also, all schools operated by Success Academy have detailed plans for serving students with special education</p>

needs once they are enrolled. Through these outreach and service efforts, each School seeks to ensure that enrollment and retention rates are comparable to those of schools within its respective CSD and/or the district.

needs once they are enrolled. Through these outreach and service efforts, each School seeks to ensure that enrollment and retention rates are comparable to those of schools within its respective CSD and/or the district.

Retention Efforts Toward Meeting Targets

	Describe Retention Efforts in 2017-18	Describe Retention Plans in 2018-19)
Economically Disadvantaged	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Also, each School offers a breakfast, snack (one or more times per day), and lunch program to all of its students free of charge. The Schools' leadership and staff also work hard to help ensure that challenges in the home do not impact student learning or prevent students from receiving a top-notch education. These efforts go a long way toward ensuring that students who are eligible for free and reduced price lunch have an opportunity to access the educational programs offered at the Schools.	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Also, each School offers a breakfast, snack (one or more times per day), and lunch program to all of its students free of charge. The Schools' leadership and staff also work hard to help ensure that challenges in the home do not impact student learning or prevent students from receiving a top-notch education. These efforts go a long way toward ensuring that students who are eligible for free and reduced price lunch have an opportunity to access the educational programs offered at the Schools.
English Language Learners	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Success Academy maintains highly successful programs for enrolled ELL students.	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Success Academy maintains highly successful programs for enrolled ELL students.
Students with Disabilities	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Also, all schools operated by Success Academy have detailed plans for serving students with special education needs once they are enrolled.	The Schools work to retain all of their students through the strength of their programs, their inspiring school culture, their efforts to meet students' individual needs, and through open communication lines with parents. Also, all schools operated by Success Academy have detailed plans for serving students with special education needs once they are enrolled.



Entry 11 Classroom Teacher and Administrator Attrition

Last updated: 07/31/2018

Report changes in teacher and administrator staffing.

Instructions for completing the Classroom Teacher and Administrator Attrition Tables

Charter schools must complete the tables titled 2017-2018 Classroom Teacher and Administrator Attrition to report changes in teacher and administrator staffing during the 2017-2018 school year. Please provide the full time equivalent (FTE) of staff on June 30, 2017; the FTE for any departed staff from July 1, 2017 through June 30, 2018; the FTE for added staff from July 1, 2017 through June 30, 2018; and the FTE of staff added in newly created positions from July 1, 2017 through June 30, 2018 using the tables provided.

1. Classroom Teacher Attrition Table

	FTE Classroom Teachers on 6/30/17	FTE Classroom Teachers Departed 7/1/17 - 6/30/18	FTE Classroom Teachers Filling Vacant Positions 7/1/17 - 6/30/18	FTE Classroom Teachers Added in New Positions 7/1/17 - 6/30/18	FTE of Classroom Teachers on 6/30/18
	40	11	7	5	66

2. Administrator Position Attrition Table

	FTE Administrative Positions on 6/30/17	FTE Administrators Departed 7/1/17 - 6/30/18	FTE Administrators Filling Vacant Positions 7/1/17 - 6/30/18	FTE Administrators Added in New Positions 7/1/17 - 6/30/18	FTE Administrative Positions on 6/30/18
	1	1	0	1	2

3. Tell your school's story

Charter schools may provide additional information in this section of the Annual Report about their respective teacher and administrator attrition rates as some teacher or administrator departures do not reflect advancement or movement within the charter school networks. Schools may provide additional detail to reflect a teacher's advancement up the ladder to a leadership position within the network or an administrator's movement to lead a new network charter school.

(No response)

4. Charter schools must ensure that all prospective employees receive clearance through [the NYSED Office of School Personnel Review and Accountability \(OSPRA\)](#) prior to employment. After an employee has been cleared, schools are required to maintain proof of such clearance in the file of each employee. For the safety of all students, charter schools must take immediate steps to terminate the employment of individuals who have been denied clearance. Once the employees have been terminated, the school must terminate the request for clearance in the TEACH system.

Have all employees have been cleared through the NYSED TEACH system?

Yes

5. For perspective or current employees whose clearance has been denied, have you terminated their employment and removed them from the TEACH system?

	Not Applicable
--	----------------

Thank you

2018-2019 ACADEMIC CALENDAR

Success Academy

**All dates are subject to change*



Date	Event	Grades
August 2018		
Wednesday, August 8 - Friday, August 18	College Supplement Writing Workshops	High School for the Liberal Arts - Manhattan - Grade 12 Only
Tuesday, August 14	Orientation Day - Elementary Schools	All SA Elementary Schools
Friday, August 17	5th Grade Orientation - Middle Schools	All SA Middle Schools
Monday, August 20	First Day of School	All SA Elementary Schools
		All SA Middle Schools & High Schools
September 2018		
Monday, September 3	No School: Labor Day	All Grades
Tuesday, September 4	No School: Teacher Training	Grades K - 4
Tuesday, September 4 - Friday, September 7	No School: Teacher Training	Grades 5 - 8
October 2018		
Monday, October 8	No School: Columbus Day	All Grades
Tuesday, October 9 - Wednesday, October 10	No School: Teacher Training	Grades K - 8
November 2018		
Monday, November 19 - Friday, November 23	No School: Thanksgiving Break	All Grades
December 2018		
Monday, December 24 - Monday, January 7	No School: Winter Break	All Grades
January 2019		
Monday, January 7	No School: Teacher Training	All Grades
Monday, January 21	No School: Martin Luther King Jr. Day	All Grades
Tuesday, January 22	No School: Teacher Training	Grades K - 8
February 2019		
Monday, February 18	No School: President's Day	All Grades
Tuesday, February 19	No School: Teacher Training	Grades K - 8
April 2019		
Friday, April 5	No School: Teacher Training	Grades K - 8
Monday, April 8 - Friday, April 12	No School: Spring Break	All Grades
May 2019		
Friday, May 3	No School	Grades K - 8
Monday, May 6	No School: Teacher Training	Grades K - 8
Monday, May 27	No School: Memorial Day	All Grades
June 2019		
Thursday, June 6	High School Graduation	High School for the Liberal Arts - Manhattan - Grade 12 Only
Thursday, June 13	Graduation - K	All SA Elementary Schools
Friday, June 14	Last Day of School - Elementary Schools Graduation - 4th Grade	All SA Elementary Schools
Thursday, June 20	No School	All SA Middle Schools
Friday, June 21	Last Day of School - Middle Schools Graduation - 8th Grade	All SA Middle Schools
Wednesday, June 26	Last Day of School - High Schools	High School for the Liberal Arts - Manhattan, High School for the Liberal Arts - Bronx