I. SCHOOL INFORMATION AND COVER PAGE

Created Monday, July 15, 2013
Updated Thursday, August 01, 2013

Page 1

1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

331400860930 ETHICAL COMMUNITY CSO (THE)

2. CHARTER AUTHORIZER

NYCDOE-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 14

4. SCHOOL INFORMATION

	PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
	700 Park Avenue Brooklyn, NY 11206-526	718-599-2176	718-599-2814	akeane@teccs-ny.org

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES | Contact Name	Annette Keane
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES | Title	Principal
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES | Emergency Phone Number (###-###-####)	[redacted]

5. SCHOOL WEB ADDRESS (URL)

http://www.teccs-ny.org/

6. DATE OF INITIAL CHARTER

2008-07-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2009-08-01 00:00:00

8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2012-13 (as reported on BEDS Day)

(as reported on BEDS Day)

236

9. GRADES SERVED IN SCHOOL YEAR 2012-13

Check all that apply

- K
- 1
- 2
- 3
- 4

10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

Yes/No	Name of CMO/EMO
No	

11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2013-14.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	700 Park Ave. Brooklyn, NY 11206	718-599-2176	CSD 14	K-5	No	DOE space
Site 2						
Site 3						

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Annette Keane	[redacted]	[redacted]	[redacted]
Operational Leader	Frances DeWindt	[redacted]		[redacted]
Compliance Contact	Annette Keane	[redacted]		[redacted]
Complaint Contact	Annette Keane	[redacted]		[redacted]

13. Are the School sites co-located?

Yes

13a. Please list the terms of your current co-location.

	Date School will leave current co-location	Is school working with NYCDOE to expand into current space?	If so, list year expansion will occur.	Is school working with NYCDOE to move to separate space?	If so, list the proposed space and year planned for move	School at Full Capacity at Site
Site 1 (primary site)	Permanent					Yes
Site 2						
Site 3						

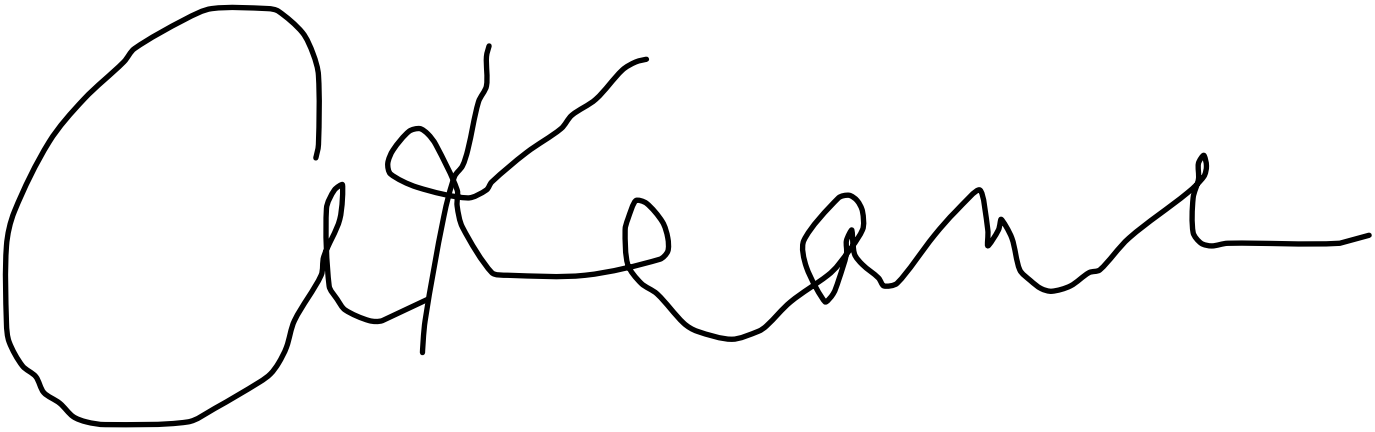
14. Were there any revisions to the school's charter during the 2012-2013 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

- Yes

Signature, Head of Charter School



Signature, President of the Board of Trustees

Thank you.

Signature Page for President of Board of Trustees

Created Tuesday, July 30, 2013
Updated Wednesday, July 31, 2013

Page 1

331400860930 ETHICAL COMMUNITY CSO (THE)

16. My signature below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

- Yes

Signature, Board President

Thank you.

Appendix A: Progress Toward Goals

Created Wednesday, July 17, 2013
Updated Thursday, November 21, 2013

Page 1

Charter School Name: 331400860930 ETHICAL COMMUNITY CSO (THE)

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See https://reportcards.nysed.gov/).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

https://reportcards.nysed.gov/files/2011-12/RC-2012-331400860930.pdf

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the Progress Toward Charter Goals are based on student performance data that the school will not have access to by August 1, 2013 (e.g., the NYS Assessment results), please the list goals and explain this in the "Progress Towards Attainment" column. This information can be updated for Appendix A when available but no later than November 1, 2013. Board of Regents-authorized charter schools that opened for instruction in the fall of 2012 or that were renewed in 2012-13 will be held to the same charter-specific academic goals. Board of Regents-authorized charter schools will also be held accountable to Student Performance Benchmark 1 of the Performance Framework.

2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Each year, 75 percent of those students in the 3rd to 5th grades will perform at or above Level 3 on the New York State ELA examination. This analysis will only include those students in the two year cohort (students enrolled on the day of the current exam and on BEDS day the prior school year).	NYS English Language Arts Exam	This academic goal was not achieved. Percent at 3&4 All/Cohort Grade 3: 19%/18% Grade 4: 16%/16% All Grades: 17%/17%	In the current school year, we are focusing on maximizing student learning and outcomes by designing and engaging students in rigorous and purposeful learning every day. We aim to accomplish this by urgently improving our own knowledge and practice. Our major action steps are listed below. - TECCS Summer 2013 served 60 students entering grades 3-5 and included ELA and Math instruction in the morning and special subjects (i.e., chess,

				technology, dance, music, sports) in the afternoon - Added K-2, 3-5, and Social Studies instructional coaches who lead weekly Grade Level Team planning meetings in ELA, Math and SS (see plan attached) - Continue targeted work w/Danielson's Framework for Teaching - Focus on strengthening the core curriculum with a school wide focus on curriculum design and lesson planning so it is responsive to student data and needs demonstrated in particular classrooms - Partnered with Achievement Network, which will provide interim assessments and support around data analysis and planning for re-teaching http://www.achievementnetwork.org - TECCS afterschool program will provide targeted academic support for students in grades 3-5 - TECCS Saturday academy will provide targeted academic support for student in grades 3-5
Academic Goal 2	Each year, 75 percent of those students in the 3rd to 5th grades will perform at or above Level 3 on the New York State Mathematics examination. This analysis will only include those students in the two year cohort (students enrolled on the day of the current exam and on BEDS day the prior school year).	NYS Mathematics Exam	This academic goal was not achieved. Percent at 3&4 All/Cohort Grade 3: 22%/24% Grade 4: 7% / 7% All Grades: 16%/16%	In addition to all the aforementioned strategies, TECCS has added K-2, 3-5 math instructional coaches and spent time identifying strategies and developing the processes in which the coaches will support the math teachers and students.
Academic Goal 3	Each year, 75 percent of those students in the 4th grade will perform at or above Level 3 on the New York State Science examination. This analysis will only include those students in the two year cohort (students enrolled on the day of the current exam and on BEDS day the prior school year). This analysis will start with the first 4th grade class during the 2012-2013 school year.	NYS Science 4 Exam	This academic goal was achieved. Percent at 3&4 All/Cohort Grade 4: 82%/82%	
Academic Goal 4	Starting in the 2012-13 school year, those students in the two year cohort will reduce by one-half the gap between the	NYS English Language Arts Exam	This academic goal was not achieved.	Please refer to aforementioned strategies for the 2013-14 year.

	percent at or above Level 3 on the previous year's State ELA exam and 75 percent at or above Level 3 on the current year's State ELA exam.			
Academic Goal 5	Starting in the 2012-13 school year, those students in the two year cohort will reduce by one-half the gap between the percent at or above Level 3 on the previous year's State Mathematics exam and 75 percent at or above Level 3 on the current year's State Mathematics exam.	NYS Mathematics Exam	This academic goal was not achieved.	Please refer to aforementioned strategies for the 2013-14 year.
Academic Goal 6	Each year starting with the 2011-2012 school year, the percent of students performing at or above Level 3 on the State ELA exam in each tested grade will place the school in the top quartile of all similar schools.	NYCDOE Progress Report	This academic goal was not achieved. Based on the NYCDOE Progress Report, students performed at 36.5 percent of the peer range on the NYS ELA exam.	Please refer to aforementioned strategies for the 2013-14 year.
Academic Goal 7	Each year starting with the 2011-2012 school year, the percent of students performing at or above Level 3 on the State Mathematics exam in each tested grade will place the school in the top quartile of all similar schools.	NYCDOE Progress Report	This academic goal was not achieved. Based on the NYCDOE Progress Report, students performed at 25.7 percent of the peer range on the NYS mathematics exam.	Please refer to aforementioned strategies for the 2013-14 year.

2a1. Do have more academic goals to add?

Yes

2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 9	Each year starting with the 2012-2013 school year, the School will make Annual Yearly Progress based on the results of the State ELA exam as set forth under the State's No Child Left Behind (NCLB) accountability system.	NYS Report Card	The 2012-13 NYS Report Card has not been released.	
Academic Goal 10	Each year starting with the 2013-2014 school year, the School will make Annual Yearly Progress based on the results of the State Mathematics exam as set forth under the State's No Child Left Behind (NCLB) accountability system.	NYS Report Card	The 2012-13 NYS Report Card has not been released.	
Academic Goal 11	Each year starting with the 2012-2013 school year, the School will make Annual Yearly Progress based on the results of the State	NYS Report Card	The 2012-13 NYS Report Card has not been released.	

	Science exam as set forth under the State's No Child Left Behind (NCLB) accountability system.

2a2. Do have more academic goals to add?

No

2b. ORGANIZATIONAL GOALS

2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1	Each year, the school will have an average student attendance rate of at least 95 percent.	ATS Data	TECCS maintained an attendance rate of 93 percent in 2012-13, which is the same as 2011-12. Prior to that it was 10 percentage points lower.	Going forward, TECCS will continue to utilize the parent education program that stresses the importance of parent involvement in a child's education. This includes regular attendance.
Org Goal 2	Each year, 95 percent of all students enrolled (excluding those students whose families moved) during the course of the year return the following September.	Student Enrollment Data	TECCS did not achieve this measure. 83 percent of students who were enrolled in June re-enrolled in the fall.	TECCS has a process in place to gather information as to why a student/family opts to enroll elsewhere. We will continue to reflect on student and parent feedback and satisfaction in an effort to retain students.
Org Goal 3	Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	Board Policies and Meetings	TECCS achieved this objective. TECCS has generally and substantially complied with all applicable laws, rules and regulations. The Board takes legal compliance matters very seriously and has retained outside counsel to ensure compliance with all relevant laws. TECCS-NY has in place and maintains effective systems, policies, procedures and other controls for ensuring that legal and charter requirements are met. TECCS' staff has been trained with respect to all applicable procedures and systems. The staff is empowered to identify and address possible legal or compliance issues and report these matters to the Board or its counsel.	
Org Goal 4	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract.	Student Enrollment Data	TECCS achieved this objective. Our enrollment number was well within 15% of our planned capacity of 250 throughout the year.	

2b.1 Do you have more organizational goals to add?

No

2c. FINANCIAL GOALS

2012-13 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Upon completion of the school's first year of operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.	Independent Audit		
Financial Goal 2	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	The budget is reviewed month to month by the Finance Committee of the Board of Trustees.	TECCS achieved this objective. The school maintained a positive operating and cash surplus throughout the year and kept within the limits of the budget of operating expenses throughout the 2012-13 school year.	

The Ethical Community Charter School

Appendix B: Total Expenditures and Administrative Expenditures per Child

2012-13

School Expenditures Per Child[1]	
Total Expenditures per Child	**$13,380.63**
Administrative Expenditures per Child	**$2,066.39**

[1] These are unaudited numbers. The total expenditures will most likely change slightly due to some additional accruals.

Audited Financial Statement Checklist

Created Thursday, October 31, 2013

Page 1

Charter School Name:

1. Please check each item that is included in the 2012-13 Audited Financial Statement submitted for your charter school.

	Yes	No	NA
Audited Financial Statements (including report on compliance and report on internal control over financial reporting)	True	False	False
Single Audit (if applicable)	False	False	True
CSP Agreed Upon Procedures (if applicable)	False	False	True
Management Letter	False	True	False
Report on Extracurricular Student Activity Accounts (if applicable)	False	False	True
Corrective Action Plans for any Findings	False	False	False

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2012-13 Audited Financial Statement.

	Yes	No
Report on Compliance	True	False
Report on Internal Control over Financial Reporting	False	True
Single Audit	False	True
CSP Agreed Upon Procedures Report	False	True
Management Letter	False	True

Thank you Jen .

SCHALL & ASHENFARB Certified Public Accountants, LLC

IRA L. SCHALL, CPA
DAVID C. ASHENFARB, CPA

THE ETHICAL COMMUNITY CHARTER SCHOOL

Audited Financial Statements In Accordance
With Government Auditing Standards

June 30, 2013

350 Fifth Avenue, Suite 5610
New York, New York 10118
Tel: (212) 268-2800 Fax: (212) 268-2805
www.schallandashenfarb.com

THE ETHICAL COMMUNITY CHARTER SCHOOL

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SCHALL & ASHENFARB Certified Public Accountants, LLC

IRA L. SCHALL, CPA
DAVID C. ASHENFARB, CPA

INDEPENDENT AUDITORS' REPORT

To the Board of Trustees of
The Ethical Community Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of The Ethical Community Charter School (the "School"), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

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New York, New York 10118
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www.schallandashenfarb.com

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Ethical Community Charter School as of June 30, 2013, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Summarized Comparative Information

We have previously audited the School's 2012 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated October 24, 2012. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2012 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 16, 2013 on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.

Schall & Ashenfarb
Certified Public Accountants, LLC

October 16, 2013

THE ETHICAL COMMUNITY CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
AT JUNE 30, 2013
(With comparative totals for June 30, 2012)

	6/30/13	6/30/12
Assets		
Cash and cash equivalents (Notes 2b and 2c)	$1,398,056	$1,250,872
Restricted cash (Note 3)	70,450	70,248
Contributions receivable (Notes 2f and 4)	41,400	0
Government grants receivable (Notes 2g and 9)	45,238	34,414
Prepaid expenses	57,527	5,020
Fixed assets, net (Notes 2e and 6)	231,489	224,916
Total assets	$1,844,160	$1,585,470
Liabilities and Net Assets		
Liabilities:		
Accounts payable and accrued expenses	$185,110	$219,749
Advance payable - New York City (Note 5)	0	5,275
Total liabilities	185,110	225,024
Net assets: (Note 2a)		
Unrestricted:		
Undesignated	653,963	345,415
Board designated (building reserve)	1,005,087	1,002,241
Total unrestricted	1,659,050	1,347,656
Temporarily restricted (Note 7)	0	12,790
Total net assets	1,659,050	1,360,446
Total liabilities and net assets	$1,844,160	$1,585,470

The attached notes and auditors' report are an integral part of these financial statements.

THE ETHICAL COMMUNITY CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2013
(With comparative totals for the year ended June 30, 2012)

	Unrestricted	Temporarily Restricted	6/30/13	6/30/12
Public support and revenue:				
Public school district: (Notes 2g and 5)				
Revenue - resident student enrollment	$3,072,658		$3,072,658	$2,733,198
Revenue - students with special education services	103,100		103,100	98,528
Subtotal public school district	3,175,758	$0	3,175,758	2,831,726
Government grants (Note 2g)	151,333		151,333	116,016
Contributions (Note 2f)	77,582		77,582	19,874
Student fees	630		630	2,770
Interest income	3,460		3,460	2,609
In-kind contributions (Notes 2h and 2i)	328,631		328,631	267,624
Net assets released from restrictions	12,790	(12,790)	0	0
Total public support and revenue	3,750,184	(12,790)	3,737,394	3,240,619
Expenses:				
Program expenses	2,935,961		2,935,961	2,723,864
Supporting services:				
Management and general	487,959		487,959	338,724
Fundraising	14,870		14,870	8,134
Total supporting services	502,829	0	502,829	346,858
Total expenses	3,438,790	0	3,438,790	3,070,722
Change in net assets	311,394	(12,790)	298,604	169,897
Net assets - beginning	1,347,656	12,790	1,360,446	1,190,549
Net assets - ending	$1,659,050	$0	$1,659,050	$1,360,446

The attached notes and auditors' report are an integral part of these financial statements.

THE ETHICAL COMMUNITY CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2013
(With comparative totals for the year ended June 30, 2012)

		Supporting Services				
	Program Services	Management and General	Fundraising	Total	Total Expenses 6/30/13	Total Expenses 6/30/12
Salaries	$1,798,890	$253,031	$10,620	$263,651	$2,062,541	$1,853,462
Salaries - after school program	26,050			0	26,050	0
Substitute teachers	153,606			0	153,606	55,801
Payroll taxes and employee benefits	364,225	50,500	2,120	52,620	416,845	373,584
Total personnel costs	2,342,771	303,531	12,740	316,271	2,659,042	2,282,847
Occupancy (in-kind) (Note 2h)	233,841	32,422	1,361	33,783	267,624	267,624
Professional fees (including in-kind legal of $61,007)	29,992	130,558	175	130,733	160,725	141,945
Classroom materials and supplies	156,764			0	156,764	106,072
After school program	1,580			0	1,580	62,775
Recruitment		7,312		7,312	7,312	26,513
Staff development	69,061			0	69,061	67,533
Conference and subscription	6,169	855	36	891	7,060	6,983
Office expenses	23,734	3,291	138	3,429	27,163	32,112
Depreciation	50,321	6,977	293	7,270	57,591	51,869
Insurance	18,513	2,567	108	2,675	21,188	18,465
Printing and postage	2,664	369	16	385	3,049	3,644
Miscellaneous	551	77	3	80	631	1,645
Total other than personnel costs	593,190	184,428	2,130	186,558	779,748	787,180
Total expenses	$2,935,961	$487,959	$14,870	$502,829	$3,438,790	$3,070,027

The attached notes and auditors' report are an integral part of these financial statements.

THE ETHICAL COMMUNITY CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2013
(With comparative totals for the year ended June 30, 2012)

	6/30/13	6/30/12
Net cash flows from operating activities:		
Change in net assets	$298,604	$169,897
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	57,591	51,869
(Increase)/decrease in assets:		
Restricted cash	(202)	(45,172)
Contributions receivable	(41,400)	196,482
Grant receivable - New York City	0	28,235
Government grants receivable	(10,824)	(9,978)
Prepaid expenses	(52,507)	14,463
Increase/(decrease) in liabilities:		
Accounts payable and accrued expenses	(34,639)	8,681
Advance payable - New York City	(5,275)	5,275
Total adjustments	(87,256)	249,855
Net cash provided by operating activities	211,348	419,752
Net cash flows from investment activities:		
Purchase of property and equipment	(64,164)	(40,145)
Net cash used for investing activities	(64,164)	(40,145)
Net increase in cash and cash equivalents	147,184	379,607
Cash and cash equivalents, beginning	1,250,872	871,265
Cash and cash equivalents, ending	$1,398,056	$1,250,872

Supplemental disclosures:
Interest paid - $0
Taxes paid - $0

The attached notes and auditors' report are an integral part of these financial statements.

THE ETHICAL COMMUNITY CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013

Note 1 - Organization and Nature of Activities

The Ethical Community Charter School (the "School"), located in Brooklyn, New York, is a not-for-profit education corporation chartered by the Regents of the University of the State of New York. The School provides a full range of educational services appropriate for kindergarten, first, second, third and fourth grade levels. The School completed the 2012-2013 fiscal year, its third year of operations, with an average enrollment of approximately 217 students with the addition of the fourth grade level which started this year. The School is a publicly funded, privately managed school, which is independent of the New York City Department of Education ("NYCDOE").

On January 15, 2008, the School was granted a provisional charter by the University of the State of New York Education Department for a term up through and including January 14, 2013. Such provisional charter may be extended upon application for a term of up to five years in accordance with the provisions of Article 56 of the Education Law.

In fiscal year 2013, the School received a two year charter renewal with future renewals subject to certain conditions related to student achievements.

The School's mission is a safe and caring community where ethics, service, and social justice are the principles that inform every aspect of school life; where teachers lead and collaborate with students in a culture of rigorous academics and mutual respect; where analytical thinking and creativity are prized over learning by repetition; where children become individuals of integrity, insight, autonomy – and socially productive citizens, workers, leaders.

The School is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes has been reflected in the accompanying financial statements. They have not been designated as a private foundation.

Note 2 - Significant Accounting Policies

a. Basis of Presentation

The accompanying financial statements have been prepared using the accrual basis of accounting which is the process of recognizing revenue and expenses when earned or incurred rather when received or paid. All significant receivables, payables and other liabilities have been reflected.

The School's net assets are classified based upon the existence or absence of donor-imposed restrictions as follows:

- *Unrestricted* – represent those resources for which there are no restrictions by donors as to their use. The School has separately identified certain funds as designated for a building reserve.

- *Temporarily restricted* – represent those resources, the uses of which have been restricted by donors to specific purposes or the passage of time. The release from restrictions results from the satisfaction of the restricted purposes specified by the donor. The School did not have any temporarily restricted net assets at June 30, 2013.

- *Permanently restricted* – accounts for activity restricted by donors that must remain intact in perpetuity. The School did not have any permanently restricted net assets at June 30, 2013.

b. Cash and Cash Equivalents
Checking and money market accounts with local banks and highly liquid debt instruments purchased with a maturity of three months or less are considered to be cash equivalents for purposes of the accompanying statement of cash flows.

c. Significant Concentrations
Financial instruments which potentially subject the School to concentration of credit risk consist of cash and money market accounts, which have been placed with a financial institution that management deems to be creditworthy. At year-end and at various points throughout the year, material cash balances were in excess of FDIC insurance levels; however, the School has not experienced any losses from these accounts due to bank failure.

The School is dependent upon grants from NYCDOE to carry out its operations. For the year ended June 30, 2013, approximately 93% of the School's total support and revenue, excluding in-kind contributions, was realized from NYCDOE.

d. Allowance for Uncollectible Receivables
Management has not established a reserve for uncollectible receivables because they deem all receivables to be fully collected based on specific identification and analysis. Write-offs will be recorded as expense in the year they are deemed to be uncollectible.

e. Fixed Assets and Capitalization Policies
Fixed assets are stated at cost or at the fair value at the date of gift, if donated. The School capitalizes fixed assets in excess of pre-defined amounts and have a useful life of more than one year. Depreciation was computed using the straight-line method over the estimated useful lives of the respective assets, as follows:

Office equipment – *5 years*
Computer equipment – *3 years*
Furniture and fixtures – *7 years*
Leasehold improvements – *Life of lease not to exceed 10 years*

f. Contributions
Contributions are recognized upon the earlier of the receipt of cash or when a pledge is considered unconditional in nature. Contributions are available for unrestricted use, unless specifically restricted by the donor, in which case they are recorded in one of the restricted classes of net assets, depending on the nature of the restriction.

Contributions expected to be received within one year are recorded at their net realizable value. Long-term pledges are recorded at fair value using a risk adjusted discount rate. Conditional contributions received are recorded as liabilities and are recognized as income when the conditions have been substantially met.

g. Revenue

Program revenue is recognized based on attendance of students using rates established by the School's funding source in the period that services are provided.

The terms of each government grant are reviewed to determine if they contain traits more closely associated with contributions or exchange transactions. Management has determined that all existing government grants are exchange transactions because they are similar in nature to contracts for service. The difference between cash received and revenue earned is reflected as grants receivable or refundable advances.

h. Contributed Facilities

The School's operations are located in a facility provided by NYCDOE and utilizes approximately 9,100 square feet at a charge of $1.00 per annum. As such, the School has recorded the estimated fair market value of this space as revenue and expense in the accompanying statements of activities and functional expenses.

i. Donated Services

Donated services are required to be recognized in circumstances where those services create or enhance non-financial assets or require specialized skills, are provided by individuals possessing those skills and would typically need to be purchased if not provided in-kind. Donated legal services have been recognized as revenue and expense in the accompanying statements of activities and functional expenses based on fair value.

j. Functional Allocation of Expenses

The costs of providing various programs and other activities have been summarized on a functional basis in the accompanying statements of activities and functional expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

k. Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

l. Summarized Comparative Information

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the School's financial statements for the year ended June 30, 2012, from which the summarized information was derived.

m. Accounting for Uncertainty in Income Taxes
The School does not believe its financial statements include any material, uncertain tax positions. Tax returns for periods ending June 30, 2010 and later are subject to examination by applicable taxing authorities.

n. Subsequent Events
Management has evaluated for potential recognition and disclosure events subsequent to the date of the statement of financial position through October 16, 2013, the date the financial statements were available to be issued. No events have occurred subsequent to the statement of financial position date through our evaluation date that would require adjustment to or disclosure in the financial statements.

Note 3 - Restricted Cash

An escrow account has been established to meet the requirement of NYCDOE. The purpose of this account is to ensure sufficient funds are available for an orderly dissolution or transition process in the event of termination of the charter or school closure.

Note 4 - Contribution Receivable – Related Party

At June 30, 2013, included in contributions receivable was $40,000 due from The Ethical Community Charter School Foundation (the "Foundation") which is an affiliate by nature of two common board members.

Note 5 - Advance Payable – New York City

Amount due to New York City at 6/30/2012		($5,275)
Fiscal year 2012 - 2013		
Funding based on allowable FTE's	$3,175,757	
Advances received	(3,170,482)	
Amount due from New York City – fiscal year 2012-2013		5,275
Amount due to/from New York City at 6/30/2013		$0

Note 6 - Fixed Assets

At June 30, 2013, fixed assets consisted of the following:

Computer and equipment	$101,609
Furniture	182,616
Leasehold improvements	119,818
Total fixed assets, cost	404,043
Less: accumulated depreciation	(172,554)
Total fixed assets, net	$231,489

Note 7- Temporarily Restricted Net Assets

During the year ended June 30, 2013, net assets of $12,790 that has been restricted for use in the library were released from restriction.

Note 8 - Retirement Plan

Effective September 1, 2009, the School sponsors a 401(k) profit sharing plan. Employees that have completed at least 1,000 hours and are employed on the last day of the plan year are eligible to participate. Eligible employees may designate a percentage of their salaries, subject to regulatory limits, to be contributed to the plan on a pre-tax basis. The School makes a contribution equal to 3% of pay to all participants who have satisfied the eligibility provisions of the plan. Employees enter the plan on January 1st or July 1st following attainment of age 21 and one year of service (1000 hours). For the year ended June 30, 2013, the employer share of the plan expense was approximately $39,000.

Note 9 - Commitments and Contingencies

Government contracts are subject to audit by the grantor. Management does not believe the results of any such audits will result in disallowed costs that would be material to the financial statements and has not established any reserve for that possibility. Any disallowances as a result of those audits will be expensed in the year notified.

SCHALL & ASHENFARB Certified Public Accountants, LLC

IRA L. SCHALL, CPA
DAVID C. ASHENFARB, CPA

REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Trustees of
The Ethical Community Charter School

Report on the Financial Statements

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of The Ethical Community Charter School (the "School"), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, functional expenses and cash flows for the year then ended and the related notes to the financial statements, and have issued our report thereon dated October 16, 2013.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

350 Fifth Avenue, Suite 5610
New York, New York 10118
Tel: (212) 268-2800 Fax: (212) 268-2805
www.schallandashenfarb.com

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed an instance of noncompliance or other matters that are required to be reported under *Government Auditing Standards* and which are described in the accompanying schedule of findings and responses as Finding 13-1.

Management's Response to Findings

The School's response to the finding is reported in the schedule of findings and responses. The response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Schall & Ashenfarb
Certified Public Accountants, LLC

October 16, 2013

THE ETHICAL COMMUNITY CHARTER SCHOOL
SCHEDULE OF FINDINGS AND RESPONSES
JUNE 30, 2013

Current Year:

13-1 – Hiring Procedures – Fingerprinting of Employees

Criteria: The School is required to document proof of fingerprinting checks of its employees to ensure clearance for employment.

Condition: One employee out of forty employees tested did not have documentation of a fingerprinting check.

Cause: There is no review of the personnel files by anyone other than the person who maintains the personnel files.

Effect or Potential Effect: The employee may not have been properly cleared for employment.

Recommendation: The finance director should review the personnel files to insure proof of fingerprinting checks are documented in the personnel files.

Management Response: Management will review personnel files and insure that proof of fingerprinting checks are documented.

Prior-Year:

None

Appendix E: Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

Page 1

331400860930 ETHICAL COMMUNITY CSO (THE)

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2012-13 school year. Trustees are at times difficult to track down in the summber months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at: http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey http://fluidsurveys.com/account/surveys/210748/publish/qrcode/. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.

Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

Appendix F: BOT Membership Table

Created Monday, July 29, 2013
Updated Wednesday, July 31, 2013

Page 1

331400860930 ETHICAL COMMUNITY CSO (THE)

1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	William Fitzpatrick	Member	Yes	Law	4 years	Legal, Finance
2	Brian Holland	Vice Chair/Vice President	Yes	Law	2 years	Governance, Legal
3	Larry Donovan	Member	Yes	Educational Leadership	1 year	Education
4	Dianne LaBasse	Treasurer	Yes	Finance	4 years	Finance
5	Jeffery Meyer	Chair/President	Yes	Business	6 years	
6	Judith Wallach	Vice Chair/Vice President	Yes	Community Volunteerism, Education & Leadership	6 years	Governance, Education
7	Catherine Garcia Bou	Member	Yes	Education & Advocate for those with Special Needs	New	Fundraising,
8	Stephen Warner	Member	Yes	Educational Leadership	2 years	Education
9	Gordon Pelavin	Member	Yes	Parent	2 years	Fundraising, Finance, Education
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

2. Total Number of Members Joining Board during the 2012-13 school year

1

3. Total Number of Members Departing the Board during the 2012-13 school year

1

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

15

5. How many times did the Board meet during the 2012-13 school year?

10

6. How many times will the Board meet during the 2013-14 school year?

10

Thank you.

Appendix H: Enrollment and Retention Efforts

TECCS continues to enact corrective measures in order to be in full compliance with the 2010 amended Charter School Act, specifically meeting target rates for enrollment and retention for ELL students and special education students. This goal is reflected in the school's marketing plan in the following ways:

- Visiting and meeting families at Head start programs
- Recruiting families that are part of a Community Based Organization that provides support services
- Printing TECCS flyers in Spanish
- Placing our school in the directory with the NY METRO Special Parent magazine.
- ELL teacher and staff are available at parent teacher conferences and school events to assist in language translation as needed
- A language translator dropdown on the school website and open house schedule was posted.
- A mailing to students in grades K-5 in zip codes 11206, 11205 & 11211.

Going forward in 2013-14, the school plans to utilize the following measures:

- All school brochures, mailings and application will mention that the school accommodates students with disabilities, English language learners and participates in the free and reduced lunch program.
- Distribute our flyers door to door in the 3 Public Houses area surrounding our school.
- Our flyers and applications will be dropped off at local Head Start Programs along with other local community organizations in more than one language based on input regarding spoken languages of the families from program administrators.

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Sunday, June 30, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/eac8eaca8083a20e072c0c5355e5efc

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Lawrence T. Donovan, Jr.

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

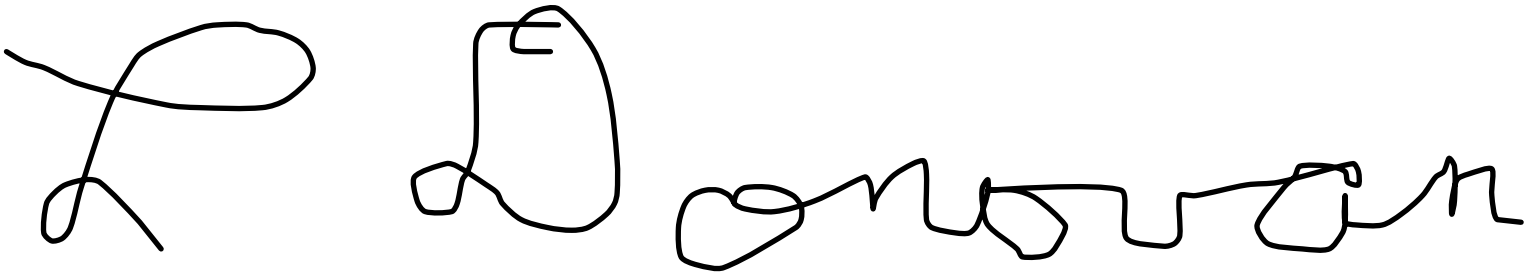
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



Required Form: Appendix E - Disclosure of Financial Interest Form

Created Wednesday, July 31, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/a9387ff692304975e51ba3007ad66cc

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

William Fitzpatrick

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Secretary

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

W. N. Fitzpatrick

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Saturday, July 27, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/15b76a04ba93e6815bcd186867232c

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

catherine Garcia-Bou

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: TRUSTEE

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, June 24, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/d4a11498c74077135f76260b1ef6a8

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Brian Holland

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: Trustee

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Sunday, July 28, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/01570990adda43982dc9a76b821cf2

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

dianne labasse

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Treasurer

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Friday, July 26, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/feed8bab69999d188cf7534c7426f95

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Jeffrey Meyer

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Chair/President

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

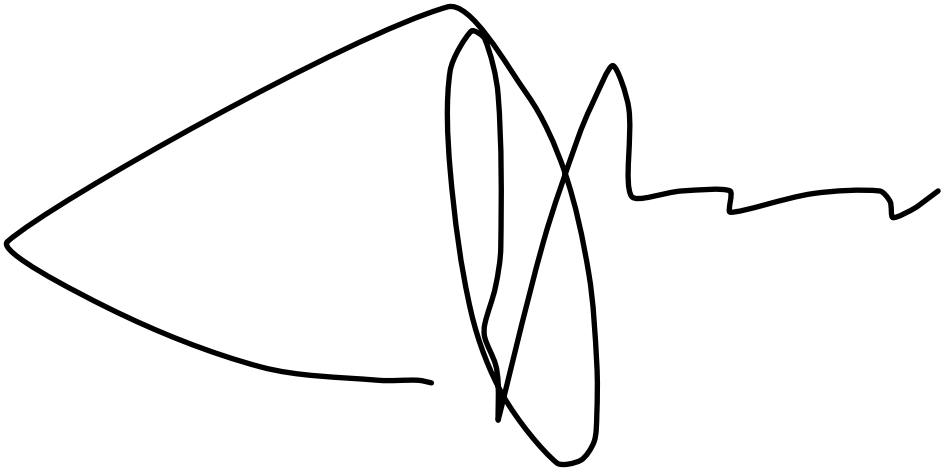
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 25, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/d481a6d5c6cd5eede46ffc6ffa08b34f

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Gordon Pelavin

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Parent Representative

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

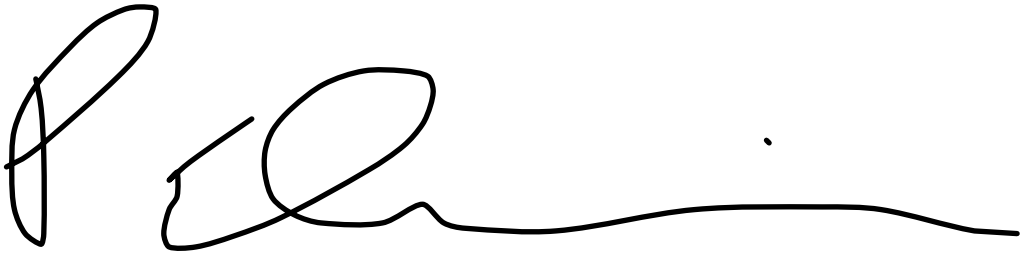
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, June 25, 2013

http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/58efeff499643704bd3905ca329ae7e

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Stephen Warner

2. Charter School Name:

Ethical Community Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address	[redacted]
4. *Your Home Address: | City/State	[redacted]
4. *Your Home Address: | Zip	[redacted]

5. *Your Business Address

5. *Your Business Address | Street Address	[redacted]
5. *Your Business Address | City/State	[redacted]
5. *Your Business Address | Zip	[redacted]

6. *Daytime Phone Number:

[redacted]

7. *E-mail Address:

[redacted]

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: Chair of Education Committee

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee